



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

22nd Floor
20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF
THE *SECURITIES ACT*, RSO 1990, c S.5**

- and -

**IN THE MATTER OF
RTG DIRECT TRADING GROUP LTD. and
RTG DIRECT TRADING LIMITED**

**ORDER
(Subsections 127(1) and 127(10) of the *Securities Act*)**

WHEREAS:

1. On April 28, 2016, the Financial and Consumer Affairs Authority of Saskatchewan issued an order against RTG Direct Trading Group Ltd. (“**RTG Group**”) and RTG Direct Trading Limited (“**RTG Limited**” and, together with RTG Group, the “**Respondents**”) which imposes sanctions, conditions, restrictions and requirements on the Respondents;
2. On August 29, 2016, Staff of the Ontario Securities Commission (the “**Commission**”) filed a Statement of Allegations, in which Staff seeks an inter-jurisdictional enforcement order against the Respondents pursuant to paragraph 4 of subsection 127(10) of the *Securities Act*, RSO 1990, c S.5 (the “**Act**”);
3. On August 30, 2016, the Commission issued a Notice of Hearing pursuant to subsections 127(1) and 127(10) of the Act in respect of the Statement of Allegations;
4. On September 27, 2016, the Commission issued an order granting Staff’s application to continue this proceeding by way of a written hearing;

5. Staff filed written submissions, a brief of authorities, a hearing brief and affidavits of service;
6. The Respondents did not file responding materials; and
7. The Commission is of the opinion that it is in the public interest to make this Order.

IT IS ORDERED:

1. Trading in any securities or derivatives by the Respondents shall cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;
2. Trading in any securities of the Respondents shall cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;
3. The acquisition of any securities by the Respondents is prohibited permanently, pursuant to paragraph 2.1 of subsection 127(1) of the Act; and
4. Any exemptions contained in Ontario securities law do not apply to the Respondents permanently, pursuant to paragraph 3 of subsection 127(1) of the Act.

DATED at Toronto this 20th day of December 2016.

“Christopher Portner”

Christopher Portner