

Chapter 1

Notices

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2019 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
11-742	Securities Advisory Committee	Published January 10, 2019
23-324	Order Protection Rule: Market Share Threshold for the period April 1, 2019 to March 31, 2020	Published January 31, 2019
45-325	Filing Requirement and Fee Payable for Exempt Distributions involving Fully Managed Accounts	Published February 7, 2019
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published February 14, 2019
45-324	Update on the Start-up Crowdfunding Registration and Prospectus Exemptions	Published February 21, 2019
11-341	Withdrawal of Staff Notices	Published March 7, 2019
11-319	Extension of Consultation Period – Consultation Paper 25-401 Potential Regulation of Proxy Advisory Forms	Withdrawn March 7, 2019
11-322	Extension of Consultation Period – Proposed Amendments to Multilateral Instrument 62-104 Take-Over Bids and Issuer Bids and National Instrument 62-103 Early Warning System and Related Take-Over Bid and Insider Reporting Issues	Withdrawn March 7, 2019
11-327	Extension of Consultation Period – Proposed National Policy 25-201 Guidance for Proxy Advisory Firms	Withdrawn March 7, 2019
21-306	Request for Filing of Form 21-101F5 Initial Operation Report for Information Processor by Interested Information Processors	Withdrawn March 7, 2019
23-301	Electronic Audit Trails	Withdrawn March 7, 2019
23-302	Electronic Audit Trail Initiative (TREATS)	Withdrawn March 7, 2019

Reformulation

Instrument	Title	Status
23-304	Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)	Withdrawn March 7, 2019
23-306	Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)	Withdrawn March 7, 2019
31-339	Omnibus/Blanket Orders Exempting IIROC and MFDA Registrants from Certain Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	Withdrawn March 7, 2019
31-341	Omnibus/Blanket Orders Exempting Registrants from Certain CRM2 Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	Withdrawn March 7, 2019
33-305	Sale of Insurance Products by Dually Employed Salespersons	Withdrawn March 7, 2019
45-311	Exemptions from Certain Financial Statement-Related Requirements in the Offering Memorandum Exemption to Facilitate Access to Capital by Small Business	Withdrawn March 7, 2019
45-320	Exemptions for Certain Foreign Issuers from the Requirement to Identify Purchasers as Registrants or Insiders in Reports of Exempt Distribution	Withdrawn March 7, 2019
81-320	Update on International Financial Reporting Standards for Investment Funds	Withdrawn March 7, 2019
81-325	Status Report on Consultation under CSA Notice 81-324 and Request for Comment on Proposed CSA Mutual Fund Risk Classification Methodology for Use in Fund Facts	Withdrawn March 7, 2019
81-326	Update on an Alternative Funds Framework for Investment Funds	Withdrawn March 7, 2019
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Custody Related Amendments	Commission Approval/Delivery to Minister published March 14, 2019
25-102	Designated Benchmarks and Benchmark Administrators	Published for comment March 14, 2019
25-501	Designated Benchmarks and Benchmark Administrators (Commodity Futures Act)	Published for comment March 14, 2019
21-402	Joint Canadian Securities Administrators/Investment Industry Regulatory Organization of Canada Consultation Paper 21-402 Proposed Framework for Crypto-Asset Trading Platforms	Published for comment March 14, 2019
21-325	Follow-up on Marketplace Systems Incidents	Published March 21, 2019
21-326	Guidance for Reporting Material Systems Incidents	Published March 21, 2019
23-406	Joint CSA/IIROC Consultation Paper 23-406 Internalization within the Canadian Equity Market	Published for comment on March 21, 2019
45-106	Prospectus Exemptions – Amendments (Related to Syndicated Mortgages)	Published for comment on March 21, 2019
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations (Related to Syndicated Mortgages)	Published for comment on March 21, 2019

Reformulation

Instrument	Title	Status
11-785	Statement of Priorities – Request for Comments regarding Statement of Priorities for financial Year to End March 31, 2019	Published March 28, 2019

For further information, contact:

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