B. Ontario Securities Commission

B.1 Notices

B.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2022, has been posted to the OSC Website at <u>www.osc.gov.on.ca</u>.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published on July 14, 2022
32-506	(Commodity Futures Market) Exemptions for International Dealers, Advisers and Sub-Advisers; Amendment to OSC Rule 91-502 Trades in Recognized Options under the Securities Act	Published on August 11, 2022
94-101	Mandatory Central Counterparty Clearing of Derivatives and Changes to Companion Policy 94-101 Mandatory Central Counterparty Clearing of Derivatives	Ministerial approval of amendments published on August 18, 2022
94-303	Variation, Amendment, or Revocation and Replacement of Blanket Orders Exempting Certain Counterparties from the Requirement to Submit a Mandatory Clearable Derivative for Clearing and Update on Proposed Amendments to NI 94-101 Mandatory Central Counterparty Clearing of Derivatives	Notice of withdrawal published on September 1, 2022
45-106	Amendments to NI 45-106 Prospectus Exemptions to introduce the Listed Issuer Financing Exemption	Commission approval of amendments published September 8, 2022
81-106	Investment Fund Continuous Disclosure – Amendments	Request for comment published September 29, 2022
41-101	General Prospectus Requirements- Amendments	Request for comment published September 29, 2022
81-101	Mutual Fund Prospectus Disclosure – Amendments	Request for comment published September 29, 2022

For further information, contact:

Darlene Watson Business and Corporate Project Manager Ontario Securities Commission 416-593-8148

October 6, 2022