Notices

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2022, has been posted to the OSC Website at <u>www.osc.gov.on.ca</u>.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation			
Instrument	Title	Status	
52-108	Amendments to NI 52-108 Auditor Oversight Changes to Companion Policy 52-108 Auditor Oversight	Commission approval of amendments published January 13, 2022	
11-742	Securities Advisory Committee	Notice published January 13, 2022	
41-101	Amendments to NI 41-101 General Prospectus Requirements	Commission approval of amendments published January 13, 2022	
81-106	Amendments to NI 81-106 Independent Review Committee for Investment Funds	Commission approval of amendments published January 13, 2022	
81-107	Amendments to NI 81-107 Independent Review Committee for Investment Funds	Commission approval of amendments published January 13, 2022	
81-101	Amendments to NI 81-101 Mutual Fund Prospectus Disclosure	Commission approval of amendments published January 13, 2022	
11-501	Amendments to OSC Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission	Commission approval of amendments published January 13, 2022	
14-501	Amendments to OSC Rule 14-501 Definitions	Commission approval of amendments published January 13, 2022	
11-601	Amendments to OSC Policy11-601 The Securities Advisory Committee	Commission approval of amendments published January 13, 2022	
15-601	Amendments to OSC Policy 15-601 Whistleblower Program	Commission approval of amendments published January 13, 2022	
51-601	Amendments to OSC Policy 51-601 Reporting Issuer Defaults	Commission approval of amendments published January 13, 2022	
91-507	Amendments to CP 91-507 Trade Repositories and Derivatives Data Reporting	Commission approval of amendments published January 13, 2022	
81-334	ESG-Related Investment Fund Disclosure	Notice published January 20, 2022	

Reformulation			
Instrument	Title	Status	
51-733	Extension of Comment Period – Consultation: Climate-related Disclosure Update and CSA Notice and Request for Comment Proposed national Instrument 51-107 Disclosure of Climate-related matters	Notice published January 20, 2022	
93-101	Derivatives: NI 93-101 Business Conduct	Third request for comment published January 20, 2022	
94-101	Amendments to NI 94-101 Mandatory Central Counterparty Clearing of Derivatives	Commission approval of amendments published January 27, 2022	
41-101	Amendments to NI 41-101 General Prospectus Requirements	<i>Initial request for comment published January 27, 2022</i>	
81-101	Amendments to NI 81-101 Mutual Fund Prospectus Disclosure	<i>Initial request for comment published January 27, 2022</i>	
81-106	Amendments to NI 81-106 Investment Fund Continuous Disclosure	<i>Initial request for comment published January 27, 2022</i>	
41-101CP	Amendments to 41-101CP General Prospectus Requirements	<i>Initial request for comment published January 27, 2022</i>	
81-101CP	Amendments to 81-101CP Mutual Fund Prospectus Disclosure	<i>Initial request for comment published January 27, 2022</i>	
24-318	Preparing for the Implementation of T+1 Settlement	Notice published February 3, 2022	
81-507	Extension to Ontario Instrument 81-506 Temporary Exemptions from National Instrument NI 81-104 Alternative Mutual Funds	Order published February 24, 2022	
13-502	Amendments to OSC Rule 13-502 Fees	Initial publication for comment published February 24, 2022	
13-503	Amendments to OSC Rule 13-503 (Commodity Futures Act) Fees	Initial publication for comment published February 24, 2022	
33-109	Amendments to NI 33-109 Registration Information	Notice of coming into force published March 3, 2022	
31-103	Amendments to NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	Notice of coming into force published March 3, 2022	
33-506	Amendments to OSC Rule 33-506 (Commodity Futures Act) Registration Information	Notice of coming into force published March 3, 2022	
33-508	OSC Rule 33-508 Extension to Ontario Instrument 33-507 Exemption from Underwriting Conflict Disclosure Requirements	Commission approval published March 3, 2022	
41-101	Amendments to NI 41-101 General Prospectus Requirements	Notice of coming into force published March 17, 2022	
81-106	Amendments to NI 81-106 Investment Fund Continuous Disclosure	Notice of coming into force published March 17, 2022	
81-107	Amendments to NI 81-107 Independent Review Committee for Investment Funds	Notice of coming into force published March 17, 2022	

Reformulation		
Instrument	Title	Status
52-108	Amendments to NI 52-108 Auditor Oversight Changes to Companion Policy 52-108 Auditor Oversight	Notice of ministerial approval of amendments published March 31, 2022

For further information, contact:

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