

Practitioner Articles Removed From OSC Website as of March 2021

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Merger Costs	April 2007
Commingling Relief	April 2007
IFIC Risk Classification Guidelines	April 2007
Flow Through Limited Partnerships	April 2007
DSC Switches	April 2007
Shelf Prospectuses for Linked Notes	April 2007
CICA Handbook Section 3855	April 2007
NI 81-107 – Sunsetted or Terminated Relief Orders	November 2007
Relief to Permit Purchases of Asset Backed Commercial Paper (ABCP)	November 2007
Approval to Act as Trustee under the LTCA	November 2007
Trades in Pooled Fund Units to Managed Accounts	November 2007
Closed End Funds – Annual Redemption at NAV	November 2007
Flow Through LPs and Closed End Funds – Performance Data of Related Funds	November 2007
Advertising During the Waiting Period	November 2007
CICA Handbook Section 3855	November 2007
Applications for Relief: Process	September 2008
NI 81-107 and the Conflicts Provisions	September 2008
Relief to Permit Purchases of Related Party Debt on the Secondary Market	September 2008
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Relief to Permit Inter-fund Trades with Pooled Funds and Managed Accounts	September 2008
Relief to Permit Non-Redeemable Investment Fund to Purchase Mortgages from a Related Party	September 2008
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Long-term Warrant Offerings – Non Redeemable Investment Funds	September 2008
National Instrument 81-105 – Payment of Trailing Commissions	September 2008
Form 41-101F2 – Forms Compliance Reviews	September 2008
Part 14 of NI 41-101 – Custodian Requirements	September 2008
Publication of Recent Amendments	September 2008



Publication of CSA Staff Notice 52-320	September 2008
NI 81-107 and the Conflicts Provisions	January 2010
Mergers and Reorganizations	January 2010
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Split Shares – Relief from s. 119	May 2011
Split Shares – Secondary Offerings	May 2011
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Relief from 90-Day Filing Requirement	May 2011
Review of NI 81-107 Related Disclosure	May 2011
Point of Sale FAQs	May 2011
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Disclosure of Trailing Commission Payments	December 2011
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Financial Statements Filed Before Filing Deadline	December 2011
Exemptive Relief and Inquiries Related to Fund Facts: Fund Codes	December 2011



Exemptive Relief and Inquiries Related to Fund Facts: Relief to Permit Early Delivery of Fund Facts	December 2011
Exemptive Relief and Inquiries Related to Fund Facts: Disclosure of Expected Mergers in the Fund Facts	December 2011
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Exemptive Relief and Inquiries Related to Fund Facts: Risk Methodology	December 2011
Exemptive Relief and Inquiries Related to Fund Facts: Disclosure of Separately Negotiated Fees	December 2011
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Accessibility of Continuous Disclosure Documents on Websites	May 2013
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In the Matter of Crown Hill Capital Corporation and Wayne Lawrence Pushka	November 2013
Summary Report for Investment Fund Issuers	March 2014
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Continuous Disclosure Review – Fixed Income Volatility	March 2014
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CSA Mutual Fund Risk Classification Methodology for Use in Fund Facts	March 2014
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Redemption Price of Securities – Exchange-Traded Funds	April 2015
Default Mutual Fund Distributions	April 2015
Offering Expenses of Split Share Corporations	April 2015
Recent Amendments to NI 81-102 – Closed-End Funds	April 2015
Rehypothecation of Collateral for OTC Derivatives	April 2015
Prospectus Review Priorities	July 2015
Default Mutual Fund Distributions – Fixed Rate Distribution Series	July 2015
Mutual Funds Currently Investing in Closed-End Funds	July 2015
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Use of "Index" in Investment Fund Names and Objectives	July 2015
IRC Notification Requirements – Inter-Fund Trades Involving Pooled Funds	July 2015
Scope of Future-Oriented Relief for Pooled Funds Investing in Related Pooled Funds	July 2015
Relief from Fund Facts Delivery Requirement for Automatic Switching Programs that Facilitate Fee Reductions Based on Client Asset Thresholds	December 2015
Relief from Financial Statement Filing and Delivery Requirements of NI 81-106 for Pooled Fund on Funds	December 2015
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Stakeholder Outreach	September 2016
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Relief to Use Notice-and-Access Procedures for Securityholder Meetings	December 2016
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Scholarship Plans – Certificate of Annual Compliance with the Undertaking	December 2016
Project RID	June 2017
Changes in Investment Funds and Structured Products Branch Management Responsibilities	June 2017
Adoption of a T+2 Settlement Cycle for Conventional Mutual Funds	June 2017
Update on Pooled Fund-on-Fund Relief	June 2017
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Registered Firms and Cryptocurrencies	March 2018
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New SEDAR Document Types for Prospectus Supplements	March 2018
Standards for Prospectuses and Exemptive Relief Applications	March 2018
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