

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 Notice of Rescission of Commission Approval – Amendments to MFDA Rule 1.2.1(d)(vii)(A) – Dual Occupations

##### MUTUAL FUND DEALERS ASSOCIATION OF CANADA (MFDA)

##### AMENDMENTS TO MFDA RULE 1.2.1(D)(VII)(A) – DUAL OCCUPATIONS

##### NOTICE OF RESCISSION OF COMMISSION APPROVAL

The Ontario Securities Commission has rescinded its approval of amendments to MFDA Rule 1.2.1(d)(vii)(A) – Dual Occupations (currently, Rule 1.2.1(c)(vii)(A)). In addition, the Alberta Securities Commission, Manitoba Securities Commission, New Brunswick Securities Commission, Nova Scotia Securities Commission and Saskatchewan Financial Services Commission have revoked or rescinded their approval of the amendments and the British Columbia Securities Commission has revoked its non-objection to the amendments.

Notice of Commission approval of the amendments was published in Chapter 1 of the OSC Bulletin on April 24, 2009.

On July 6, 2011, the MFDA issued Bulletin #0486-P explaining why the amendments were withdrawn.