# 13.1.3 CDS Rule Amendment Notice - Technical Amendments to CDS Application for Participation

### CDS CLEARING AND DEPOSITORY SERVICES INC. (CDS)

#### TECHNICAL AMENDMENTS TO CDS APPLICATION FOR PARTICIPATION

#### NOTICE OF EFFECTIVE DATE

#### A. DESCRIPTION OF THE AMENDMENT

#### Background

As a consequence of the inception of alternative trading systems ("ATS") and quotation and trade reporting systems ("QTRS"), and their integration with the CDSX system, CDS submits the proposed technical amendments to the Application for Participation. The proposed amendments to the pre-authorized debit section of the Application for Participation will accommodate currently operating exchanges, QTRS's and ATS's and allow for the efficient bring-on of future such entities, as required.

The procedures marked for the amendments may be accessed on the CDS website at:

http://www.cds.ca/cdsclearinghome.nsf/Pages/-EN-UserDocumentation?Open

Description of Proposed Amendments

The proposed amendments to the Application for Participation remove references to specific exchanges and replace such with reference to exchanges, alternative trading systems, and quotation and trade reporting systems.

#### B. REASONS FOR TECHNICAL CLASSIFICATION

The amendments proposed pursuant to this Notice are considered technical amendments as they are matters of a technical nature in routine operating procedures and administrative practices relating to the settlement services.

## C. EFFECTIVE DATE OF THE RULE

Pursuant to Appendix A ("Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC") of the OSC Recognition and Designation Order, as amended 1 November, 2006, and *Annexe A* ("Protocole d'examen et d'approbation des Règles de Services de Dépôt et de Compensation CDS Inc. par l'Autorité des marchés financiers") of AMF Decision 2006-PDG-0180, made effective on 1 November, 2006, CDS has determined that these amendments will be effective on January 20, 2007.

#### D. QUESTIONS

Questions regarding this notice may be directed to:

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