Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Canadian Securities Exchange (CSE) – Amendments to Trading System Functionality & Features – Notice of Approval

CANADIAN SECURITIES EXCHANGE

NOTICE OF APPROVAL

SYSTEM FUNCTIONALITY – REGULAR HOURS ONLY OPTION

In accordance with the *Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, CNSX Markets Inc. ("CSE") has proposed, and the Ontario Securities Commission has approved significant changes to the CSE trading system.

On August 21, 2020, CSE published *Notice 2020-009 – Amendments to Trading System Functionality & Features – Request for Comment* with respect to the introduction of a "Regular Hours Only (RHO)" option for orders.

The comment period expired September 23, 2020. CSE did not receive any public comments regarding these proposed changes.

IMPLEMENTATION

The Regular Hours Only option will be launched during Q4 2020.

Questions about this notice may be directed to:

Mark Faulkner, Vice President Listings & Regulation, Mark.Faulkner@thecse.com, or 416-367-7341