1.

Individual information

Ontario Securities Commission

Form 31-103F3

Unofficial consolidation current to 2015-01-11

This document is not an official statement of law or policy and should be used for reference purposes only.

FORM 31-103F3 USE OF MOBILITY EXEMPTION

(section 2.2 [client mobility exemption – individuals])

This is to notify the securities regulatory authority that the individual named in paragraph 1 is relying on the exemption in section 2.2 [client mobility exemption – individuals] of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations.

Name of individual:
NRD number of individual:
The individual is relying on the client mobility exemption in each of the following jurisdictions of Canad
2. Firm information
Name of the individual's sponsoring firm:
NRD number of firm:
Dated:
(Signature of an authorized signatory of the individual's sponsoring firm)
(Name and title of authorized signatory)