

The Ontario Securities Commission

OSC Bulletin

August 9, 2018

Volume 41, Issue 32

(2018), 41 OSCB

The Ontario Securities Commission administers the *Securities Act* of Ontario (R.S.O. 1990, c. S.5) and the *Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

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Toronto, Ontario
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Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
51-354	Report on Climate change-related Disclosure Project	Published April 5, 2018
11-780	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2019	Published for comment April 5, 2018
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	Published April 5, 2018
61-303	Request for Comment – Soliciting Dealer Arrangements	Published for comment April 12, 2018
52-329	Distribution Disclosures and Non-GAAP Financial Measures in the Real Estate Industry	Published April 12, 2018
93-102	Derivatives: Registration	Published for comment April 19, 2018
81-329	Reducing Regulatory Burden for Investment Fund Issuers	Published May 24, 2018
21-323	Proposal for Mandatory Post-Trade Transparency of Trades in Government Debt Securities, Expanded Transparency of Trades in Corporate Debt Securities and Proposed Amendments to NI 21-101 Marketplace Operation	Published for comment May 24, 2018
93-403	Variation, Amendment, or Revocation and Replacement of Blanket Orders Exempting Certain Counterparties from the Requirement to Submit a Mandatory Clearable Derivative for Clearing and Update on Proposed Amendments to NI 94-101 Mandatory Central Counterparty	Published May 31, 2018
72-503	Distributions Outside Canada- Amendments	Ministerial approval published June 7, 2018
45-102	Resale of Securities – Amendments	Ministerial approval published June 7, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Ministerial approval published June 7, 2018

Reformulation

Instrument	Title	Status
31-103	Registrant Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Ministerial approval published June 7, 2018
33-109	Registration Information – Amendments	Ministerial approval published June 7, 2018
41-101	General Prospectus Requirements – Amendments	Ministerial approval published June 7, 2018
44-101	Short Form Prospectus – Amendments	Ministerial approval published June 7, 2018
44-102	Shelf Distributions – Amendments	Ministerial approval published June 7, 2018
45-106	Prospectus Exemptions – Amendments	Ministerial approval published June 7, 2018
51-102	Continuous Disclosure Obligations – Amendments	Ministerial approval published June 7, 2018
81-102	Investment Funds – Amendments	Ministerial approval published June 7, 2018
81-106	Investment Fund Continuous Disclosure – Amendments	Ministerial approval published June 7, 2018
33-506	(Commodity Futures Act) Registration Information – Amendments	Ministerial approval published June 7, 2018
46-308	Securities Law Implications for Offerings of Tokens	Published June 14, 2018
93-101	Derivatives: Business Conduct	Published for comment June 14, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Published for comment June 21, 2018
81-330	Status Report on Consultation on Embedded Commissions and Next Steps	Published June 21, 2018
21-324	Information Processor for Exchange-Traded Securities other than Options	Published June 28, 2018

For further information, contact:
 Darlene Watson
 Project Specialist
 Ontario Securities Commission
 416-593-8148

August 9, 2018

1.5 Notices from the Office of the Secretary

1.5.1 Money Gate Mortgage Investment Corporation et al.

**FOR IMMEDIATE RELEASE
August 2, 2018**

**MONEY GATE MORTGAGE INVESTMENT CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN,
File No. 2017-79**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated August 2, 2018 is available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

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Chapter 2

Decisions, Orders and Rulings

2.1 Decisions

2.1.1 Royal National Insurance Company Ltd.

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for relief from prospectus requirement in section 53 of the Act regarding distributions of securities of the issuer to shareholders (who are also key employees) of licenced automotive dealers operating in the applicable jurisdictions (or their holding companies) – Filer distributes securities in order to allow for payment of commissions for dealers to sell warranty programs offered by the filer – Relief granted subject to conditions, including that subsequent trades restricted to specified transferees.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 53, 74(1).
National Instrument 45-106 Prospectus Exemptions.

July 27, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
ROYAL NATIONAL INSURANCE COMPANY LTD.
(the Filer)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for an exemption from the prospectus requirement (the **Exemption Sought**) in connection with the issuance from time to time of shares of the Filer to Dealer Principals (as defined below), associates of a Dealer Principal and successors to a Dealer Principal's business.

For purposes of this decision, the term "associate" where used to indicate a relationship with a Dealer Principal (as defined below) shall mean:

- (i) any person who resides in the same home as the Dealer Principal and to whom the Dealer Principal is married or with whom the Dealer Principal is living in a conjugal relationship outside marriage;
- (ii) any child of the Dealer Principal;
- (iii) any trust or estate in which the Dealer Principal has a substantial beneficial interest or as to which the Dealer Principal serves as trustee or in a similar capacity; or
- (iv) any company of which the Dealer Principal beneficially owns, either individually or together with a person mentioned in paragraph (i) or (ii) and either directly or indirectly, 100% of the voting rights attached to all voting securities of the company for the time being outstanding.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in each of British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Prince Edward Island, Nova Scotia, Newfoundland and Labrador, the Yukon Territory, the Northwest Territories and Nunavut.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a registered insurance company incorporated on December 9, 1988 and existing under the laws of Barbados in accordance with the provisions of the *Exempt Insurance Act, 1983*. It is in the business of reinsuring obligations under creditor life insurance contracts, creditor accident and sickness insurance contracts and various other insurance coverages arising out of the sale or lease of automobiles by commercially licensed automotive dealers operating in the provinces and territories of Canada (**Dealers**).
2. The Filer is not, and has no intention to become, a reporting issuer in any province or territory of Canada and is not an “investment fund” as defined in the Legislation. Except as noted in paragraphs 17 to 22 below, the Filer is not in default of the securities legislation of any province or territory of Canada.
3. The Filer was originally formed by American Bankers Life Assurance Company of Florida (**ABLAC**) to establish an insurance company to reinsure the insurance written through Dealers in order to enable the shareholders (who are also key employees) of such Dealers (the **Dealer Principals**) to participate in the profits of the insurance generated by them.
4. The Filer has entered into a reinsurance treaty and management contract with ABLAC and American Bankers Insurance Company of Florida (collectively, **American Bankers**) to permit the Filer to realize substantially all of the profits on business generated through the Dealers while minimizing the losses which may be realized on such business.
5. The Dealers forward applications for insurance contracts received from purchasers or lessees of motor vehicles to American Bankers and certificates of insurance coverage are issued by American Bankers to the customers of the Dealers who purchase such insurance, and American Bankers reinsure the business written through the Dealers with the Filer.
6. Effective May 31, 2018, American Bankers was replaced by The Canada Life Assurance Company (**Canada Life**) such that the Dealers sell insurance coverage issued by Canada Life to its customers, and the business written through the Dealers will be reinsured by (i) Canada Life entering into a reinsurance treaty with International Insurance & Guarantee SCC (**IIG**) and (ii) IIG entering into a retrocessional reinsurance treaty with the Filer. However, there is no management contract between the Filer and Canada Life.
7. The authorized capital of the Filer consists of 100,000 common shares without nominal or par value, divided into 1,000 classes, each of 100 shares (the **RNIC Shares**).
8. The Filer issues RNIC Shares to Dealer Principals of Dealers, either directly or through a holding company that may be owned solely by the Dealer Principal or by the Dealer Principal and his or her associates, that have agreed to sell insurance products offered by the Filer so as to allow the Dealer Principals to participate in the profits of the insurance generated by their respective Dealers. Each Dealer Principal acquires 100 RNIC Shares of a separate class for an aggregate subscription price of \$2,500.
9. It is a mandatory requirement for Dealer Principals of Dealers that sell insurance products offered by the Filer, to subscribe for the RNIC Shares, either directly or through a holding company, as a Dealer Principal needs to hold a class of RNIC Shares in order to receive the profits generated by his or her Dealer from its insurance sales via dividends from its shareholder account, as further described below.
10. Dividends on the RNIC Shares are declared and payable only from the shareholder account attributable to the specific class of shares held by the Dealer Principal or his or her holding company and not out of any capital or surplus or

shareholder account attributable to any other class of shares. Dividends on the RNIC Shares are payable when and as declared by the board of directors of the Filer.

11. The shareholder account from which dividends are paid for each class of RNIC Shares includes (i) the paid-in capital attributable to the RNIC Shares, (ii) the Dealer's *pro rata* share of the Filer's profits or losses from all insurance sales, (iii) the Dealer's investment income or loss, less (iv) any allocation of common operating expenses, and (v) the dividends paid or share redemptions in respect of the RNIC Shares.
12. The RNIC Shares have not been, and will not be, sold to any person other than Dealer Principals or their associates.
13. The by-laws of the Filer provide that only Dealer Principals or their associates are allowed to purchase the RNIC Shares and that the respective Dealer Principals control the voting of such RNIC Shares.
14. There is no market for the RNIC Shares and the constating documents of the Filer provide for restrictions on their transfer. Pursuant to the by-laws of the Filer, the RNIC Shares shall not be transferred without the approval of the board of directors of the Filer.
15. The by-laws of the Filer also provide that the Filer may repurchase the RNIC Shares.
16. As of the date hereof, the Filer has 370 shareholders, all resident in the Province of Ontario, holding an aggregate of 37,000 RNIC Shares. The Filer would like to engage Dealers in other provinces and territories of Canada to offer its insurance products and therefore offer the RNIC Shares to Dealer Principals, either directly or through a holding company that may be owned solely by the Dealer Principal or by the Dealer Principal and his or her associates, in such other provinces and territories.
17. The Filer previously obtained a ruling from the OSC, dated August 20, 1990 and varied on November 30, 1990, granting the Filer an exemption from the prospectus and registration requirements in connection with the issuance of RNIC Shares to Dealer Principals, their associates and successors to their business in Ontario (the **Previous Decision**).
18. The representations in the Previous Decision contemplated the issuance of RNIC Shares over a two to ten year period. The Filer has been made aware that the Previous Decision could be read to be limited to this ten year period and as a result seeks the Exemption Sought. The Filer believes that all purchasers of the RNIC Shares to date have been accredited investors.
19. The Filer acknowledges that, if the Previous Decision were interpreted to be limited to a ten year period, to the extent that distributions of securities were made to any purchasers of RNIC Shares without an available exemption subsequent to August 20, 2000, such distributions were made in contravention of the prospectus requirement of the Legislation.
20. The Filer further acknowledges that the management team of its previous management company did not maintain and enforce the necessary procedures to ensure complete compliance with the Previous Decision, and that the Filer did not send annually an information circular in accordance with the Legislation as required by the Previous Decision.
21. In late 2017, the compliance and legal department of a service provider to the Filer (the **Service Provider**) identified that the issuance of the RNIC Shares since August 30, 2000 might not be in conformity with applicable securities laws and forthwith retained new external counsel, which counsel was not involved with the application for nor compliance with the Previous Decision, to bring an application in order to seek the Exemption Sought. In February 2018, the Filer changed its management company to a wholly owned subsidiary of the Service Provider (the **Current Management Company**), and the Current Management Company has retained personnel and taken appropriate steps to put in place policies and procedures that establish a system of controls and supervision sufficient to provide reasonable assurance that it will comply with applicable law.
22. The Filer acknowledges that the Exemption Sought does not apply retroactively to the non-compliance noted in paragraphs 19 and 20.
23. The Filer has considered whether, under National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) and the Legislation, it could be considered to be engaged in or holding itself out as engaging in the business of trading in securities and therefore required to register as a dealer, rely on another exemption from the dealer registration requirement or seek exemptive relief from the dealer registration requirement. In light of the particular facts and circumstances of the Filer, including the fact that it has no offices or employees, does not receive any fees or other income from engaging in trades or acts in furtherance of distributions, and its activities do not have the attributes typical of a person or company carrying on the business of a dealer, and having considered the guidance in section 1.3 of the Companion Policy to NI 31-103, the Filer has concluded that it should not be considered

to be engaged in registrable activities and therefore does not require relief from the registration requirement of the Legislation.

24. The Current Management Company has established, and maintains and applies, policies and procedures that establish a system of controls and supervision sufficient to provide reasonable assurance that the Filer and each individual acting on its behalf complies with the Legislation, including compliance with the terms and conditions of any statutory or discretionary exemption under the Legislation.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that:

- (a) prior to the issuance of RNIC Shares to a prospective shareholder, the Filer shall deliver to such prospective shareholder a copy of:
 - (1) the articles and by-laws of the Filer, and all amendments thereto;
 - (2) the most recent annual audited financial statements of the Filer;
 - (3) this decision; and
 - (4) a statement to the effect that, as a consequence of this decision, certain protections, rights and remedies provided by the Legislation, including statutory rights of rescission or damages, will not be available to the shareholder and that certain restrictions are imposed on the subsequent disposition of the RNIC Shares; and
 - (5) an information document pertaining to the Filer and describing, among other things, the method by which revenues, expenses and losses will be calculated for purposes of the dividends payable to each shareholder's capital accounts;
- (b) all certificates representing RNIC Shares shall bear a legend describing the restrictions on the transfer of the RNIC Shares;
- (c) the exemptions contained in this decision shall cease to be effective if any of the provisions of the articles or by-laws of the Filer relevant to the exemptions granted herein are amended in any material respect without written notice to, and consent of, the principal regulator;
- (d) the Filer prepares and sends to each of its shareholders, on an annual basis, audited financial statements and such notices and information as are required under Barbados law in respect of the annual meetings of shareholders;
- (e) the Filer conducts annual meetings in accordance with applicable Barbados corporate law;
- (f) the Filer prepares and sends to each of its shareholders a statement of account, on at least an annual basis, that includes dividends paid to the shareholder, which is mailed to the shareholder and available to the shareholder through an online portal;
- (g) the first trade of any RNIC Share by a Dealer Principal, his or her associate or a successor to his or her business to a person or company other than the Filer or another Dealer Principal, his or her associate or a successor to his or her business, shall be deemed a distribution; and
- (h) this decision shall cease to be effective after the date that is five years after the date of this decision, unless the Filer applies for and is granted exemptive relief that is substantially the same as the Exemption Sought with respect to the then issuance of RNIC Shares.

Decisions, Orders and Rulings

“Philip Anisman”
Commissioner
Ontario Securities Commission

“Deborah Leckman”
Commissioner
Ontario Securities Commission

2.1.2 First Asset Investment Management Inc. and First Asset Morningstar US Consumer Defensive Index Fund

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Approval of investment fund merger – approval required because merger does not meet the criteria for pre-approved reorganizations and transfers in National Instrument 81-102 – the fundamental investment objective of the terminating fund and continuing fund are not substantially similar – unitholders of the terminating fund provided with timely and adequate disclosure regarding the merger.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 5.5(1)(b), 19.1.

July 23, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

and

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

and

**IN THE MATTER OF
FIRST ASSET INVESTMENT MANAGEMENT INC.
(the Filer)**

AND

**FIRST ASSET MORNINGSTAR US CONSUMER DEFENSIVE INDEX FUND
(the Terminating Fund)**

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Terminating Fund for a decision under the securities legislation of the Jurisdiction (the **Legislation**) approving the proposed merger (the **Merger**) of the Terminating Fund into First Asset MSCI USA Low Risk Weighted ETF (the **Continuing Fund** and, together with the Terminating Fund, the **Funds**) pursuant to paragraph 5.5(1)(b) of National Instrument 81-102 *Investment Funds* (**NI 81-102**) (the **Merger Approval**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

1. the Ontario Securities Commission is the principal regulator for this application; and
2. the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Yukon, Northwest Territories and Nunavut (together with Ontario, the **Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Manager and the Funds

1. The Filer is registered as a portfolio manager in Ontario and as an investment fund manager under the securities legislation of each of Ontario, Quebec and Newfoundland and Labrador. The Filer's head office is located in Ontario.
2. The Filer is the manager of each Fund.
3. Each Fund was established pursuant to a declaration of trust under the laws of Ontario.
4. The Terminating Fund is a non-redeemable investment fund whose units are listed on the Toronto Stock Exchange (**TSX**).
5. The Continuing Fund is an exchange-traded mutual fund (**ETF**) whose units are listed on the TSX.
6. The Filer and each Fund is not in default of securities legislation in any Jurisdiction.
7. Each Fund is a reporting issuer (or the equivalent) under the securities legislation of each Jurisdiction and is subject to the requirements of NI 81-102.
8. Each of the Funds follows the standard investment restrictions and practices established under the Legislation, except to the extent that the Fund has received an exemption to deviate therefrom.
9. The net asset value (**NAV**) of each Fund is calculated on each day that the TSX is open for business in accordance with the Funds' valuation policy and as described in each Fund's prospectus.

Reason for Merger Approval

10. Regulatory approval of the Merger is required because the Merger does not satisfy all the criteria for pre-approved reorganizations and transfers set out in section 5.6 of NI 81-102. In particular, a reasonable person may not consider the Terminating Fund to have substantially similar fundamental investment objectives as the Continuing Fund.
11. The investment objectives of the Terminating Fund and the Continuing Fund are as follows:

Terminating Fund	Continuing Fund
To provide Unitholders with (i) the opportunity for capital appreciation; and (ii) monthly cash distributions. The Fund invests in a portfolio that is designed to replicate, to the extent possible, the performance of the Morningstar® Consumer Defensive Index™, net of expenses.	The First Asset MSCI USA Low Risk Weighted ETF has been designed to replicate, to the extent possible, the performance of the MSCI USA Risk Weighted Top 150 Index Hedged to CAD, net of expenses.

12. Other than the criterion described in paragraph 10, the Merger complies with all the other criteria for pre-approved reorganizations and transfers set out in section 5.6 of NI 81-102.

The Proposed Merger

13. The Filer intends to merge the Terminating Fund into the Continuing Fund.
14. The Merger was announced in a press release dated June 6, 2018 and a material change report dated June 7, 2018, both of which have been filed on SEDAR.
15. As required by National Instrument 81-107 *Independent Review Committee for Investment Funds*, the Filer presented the terms of the Merger to the independent review committee of the Funds (the **IRC**) for its review. The IRC determined that the Merger, if implemented, will achieve a fair and reasonable result for each of the Funds.
16. The Filer convened a special meeting (the **Meeting**) of the unitholders of the Terminating Fund on July 19, 2018 in order to seek the approval of the unitholders to complete the Merger, as required by paragraph 5.1(1)(f) of NI 81-102. As the quorum requirements at the Meeting were not met, the Meeting was adjourned to July 30, 2018 (the **Adjourned Meeting**). At the Adjourned Meeting, the unitholders then present in person or represented by proxy shall constitute quorum.
17. The Filer has concluded that the Merger is not a material change to the Continuing Fund, and, accordingly, there is no intention to convene a meeting of unitholders of the Continuing Fund to approve the Merger pursuant to paragraph 5.1(1)(g) of NI 81-102.

18. By way of order dated November 4, 2016, the Filer was granted relief (the **Notice-and-Access Relief**) from the requirement set out in paragraph 12.2(2)(a) of National Instrument 81-106 *Investment Fund Continuous Disclosure* to send a printed management information circular to unitholders while proxies are being solicited, and, subject to certain conditions, instead allows a notice-and-access document (as described in the Notice-and-Access Relief) to be sent to such unitholders. In accordance with the Filer's standard of care owed to the Funds pursuant to securities legislation, the Manager will only use the notice-and-access procedure for a particular meeting where it has concluded it is appropriate and consistent with the purposes of notice-and-access (as described in Companion Policy 54-101CP *Communication with Beneficial Owners of Securities of a Reporting Issuer*) to do so, also taking into account the purpose of the meeting and whether the Funds would obtain a better participation rate by sending the management information circular with the other proxy-related materials.
19. Pursuant to requirements of the Notice-and-Access Relief, a notice-and-access document and applicable proxies in connection with the Meeting and any adjournment thereof, along with the ETF summary document of the Continuing Fund was mailed to unitholders of the Terminating Fund on June 15, 2018, and was filed via SEDAR immediately prior to such mailing. The management information circular (the **Circular**), which the notice-and-access document provided a link to, was also filed via SEDAR at the same time.
20. If all required approvals for the Merger are obtained, it is intended that the Merger will occur after the close of business on a day in early September 2018 (the **Effective Date**). The Filer therefore anticipates that each unitholder of the Terminating Fund will become a unitholder of the Continuing Fund after the close of business on the Effective Date. The Terminating Fund will be wound-up within 30 days following the Merger.
21. The tax implications of the Merger as well as the differences between the investment objectives, fee structure and other features of the Terminating Fund and the Continuing Fund will be described in the Circular, so that unitholders may make an informed decision before voting on whether to approve the Merger. The Circular will also describe the various ways in which unitholders can obtain a copy of the prospectus of the Continuing Fund and its most recent interim and annual financial statements and management reports of fund performance.
22. Unitholders of the Terminating Fund will continue to have the right to trade their units of the Terminating Fund on the TSX at any time until the units are delisted, which will occur shortly prior to the Merger being implemented. If unitholders of the Terminating Fund approve the Merger at the Adjourned Meeting, unitholders of the Terminating Fund who do not wish to participate in the Merger will have the opportunity to redeem their units of the Terminating Fund at a price equal to the NAV of the units prior to the Effective Date.
23. The costs of effecting the Merger (consisting of primarily legal and regulatory fees, and proxy solicitation, printing and mailing costs) will be borne by the Filer.
24. No sales charges will be payable by unitholders of the Funds in connection with the Merger.
25. The investment portfolio and other assets of the Terminating Fund to be acquired by the Continuing Fund in order to effect the Merger are currently, or will be on or prior to the Effective Date, acceptable to the portfolio manager of the Continuing Fund and are, or will be, consistent with the investment objectives of the Continuing Fund.

Merger Steps

26. The specific steps to implement the Merger are expected to be as follows:
 - (a) as soon as reasonably practicable, prior to the Effective Date, the Terminating Fund will liquidate a portion of its portfolio into cash;
 - (b) prior to the Merger, each of the Terminating Fund and the Continuing Fund will distribute any net income and net realized capital gains for its current taxation year to the extent necessary to eliminate its liability for non-refundable income tax;
 - (c) the exchange ratio at which the Merger will be effected (the **Exchange Ratio**) will be calculated by dividing the NAV of the units of the Terminating Fund by the NAV of the units of the Continuing Fund, each as determined at the close of business on the business day prior to the Effective Date;
 - (d) prior to the effective time of the Merger, the Terminating Fund will transfer all of its assets to the Continuing Fund in consideration for an amount (the **Purchase Price**) at the effective time of the Merger on the Effective Date;

- (e) the Continuing Fund will satisfy the Purchase Price by assuming the Terminating Fund's liabilities and by issuing to the Terminating Fund that number of units of the Continuing Fund equal to the number of units of the Terminating Fund then outstanding multiplied by the Exchange Ratio. Such issued units of the Continuing Fund will be listed on the TSX at all times while they are held by the Terminating Fund;
- (f) immediately thereafter, all of the units of the Terminating Fund that are listed on the TSX will be redeemed and the redemption price for such units will be paid by delivering the applicable number of units of the Continuing Fund to unitholders of the Terminating Fund based on the number of units of the Terminating Fund then held, with each unitholder of the Terminating Fund receiving that number of units of the Continuing Fund (rounded down to the nearest whole unit) as is equal to the Exchange Ratio multiplied by the number of units of the Terminating Fund held by such unitholder prior to the completion of the Merger;
- (g) the Terminating Fund and the Continuing Fund will file a joint tax election in respect of the transfer to the Continuing Fund of all of the assets of the Terminating Fund;
- (h) the Terminating Fund's units will be de-listed from the TSX; and
- (i) the Terminating Fund will be terminated within 30 days following the Merger.

Benefits of the Merger

27. In the opinion of the Filer, the Merger will be beneficial to unitholders of the Funds for the following reasons:
- (a) the Continuing Fund will maintain its stock exchange listing and will therefore offer intra-day market liquidity for its units, but with the added benefit of posted two-way markets by designated brokers. Accordingly, units can be expected to trade at a market price approximately equal to their NAV;
 - (b) both the Terminating Fund and the Continuing Fund seek to provide investors with up-market participation along with significant downside protection. While the index tracked by the Fund targets the consumer defensive sector, the index tracked by the Continuing Fund targets the least volatile companies in the United States and removes the dependence on a single sector. Accordingly, the Merger will provide unitholders of the Terminating Fund with the benefit of diversification;
 - (c) unlike the Terminating Fund, the Continuing Fund does not pay a service fee. Accordingly, the annual management fee payable by the Continuing Fund is lower at 0.60% of the NAV of the Continuing Fund, compared to 1.00% payable by the Terminating Fund;
 - (d) the Terminating Fund is currently responsible for all ordinary expenses incurred in connection with its operation and administration, whereas in connection with the Continuing Fund's operation and administration, the Filer is responsible for substantial ordinary expenses incurred; and
 - (e) the Continuing Fund is a substantially larger fund and, due to the continuous offering of the Continuing Fund's units, its asset base is expected to increase, thereby increasing economies of scale and the ability to reduce its management expense ratio and trading expense ratio.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Merger Approval is granted, provided that the Filer obtains the prior approval of the unitholders of the Terminating Fund for the Merger at the Adjourned Meeting, or any further adjournments thereof.

"Darren McKall"
Manager, Investment Funds and Structured Products Branch
Ontario Securities Commission

2.1.3 Canoe Financial LP

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Approval of mutual fund reorganization, exemptions from disclosure requirements – Relief from National Instrument 81-101 Mutual Fund Prospectus Disclosure, National Instrument 81-102 Investment Funds and National Instrument 81-106 Investment Fund Continuous Disclosure

Applicable Legislative Provisions

National Instrument 81-101 Mutual Fund Prospectus Disclosure, ss. 2.1, 2.2, 2.2.1, 2.2.2, 2.2.3, 2.3, 2.3.1, 4.1, 4.2.
Form 81-101F1.
Form 81-101F2.
Form 81-101F3.
National Instrument 81-102 Investment Funds, ss. 1.3(1), 2.5(2), 5.5(1), 9.3(1), 10.3(1) and Part 15.
National Instrument 81-106 Investment Fund Continuous Disclosure, Parts 2, 3, 4, 5, 6, 10, 12, 15 and 16.

Citation: *Re Canoe Financial LP*, 2018 ABASC 115

July 19, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ALBERTA AND ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
CANOE FINANCIAL LP
(the Filer)**

DECISION

Background

The principal regulatory authority or regulator in each of the Jurisdictions (each a **Decision Maker**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **Legislation**) for:

1. approval pursuant to paragraph 5.5(1)(b) National Instrument 81-102 *Investment Funds* (**NI 81-102**) to permit the Filer to offer the New Proposed Structure (as defined below) to investors;
2. relief for: (i) the classes of shares of the Canoe 'GO CANADA!' Fund Corp. (the **Existing Corporate Fund**), that are currently offered by means of a simplified prospectus, an annual information form and fund facts dated June 19, 2017, as amended on June 22, 2017, as applicable, (each, an **Existing Fund**); (ii) all new classes of shares of the Existing Corporate Fund that may be offered in the future (each, a **New Fund** and together with the Existing Funds, the **Funds** and individually, a **Fund**); (iii) units of the Canoe Trust Fund (the **New Trust**); and (iv) limited partnership interests of the Canoe Portfolio Class Limited Partnership (the **New LP**), from the following provisions of the Legislation:
 - (a) subsection 1.3(1) of NI 81-102 which requires that each section, part, class or series of a class of securities of an investment fund that is referable to a separate portfolio of assets be considered as a separate investment fund for purposes of NI 81-102;
 - (b) subsections 9.3(1) and 10.3(1) of NI 81-102 which require that securities of a mutual fund be issued at the net asset value per security of that class, or series of a class;

- (c) paragraphs 2.5(2)(a) and (c) of NI 81-102 which require that the New LP be subject to National Instrument 81-101 *Mutual Fund Prospectus Disclosure (NI 81-101)* and be a reporting issuer;
 - (d) sections 2.1, 2.2, 2.2.1, 2.2.2, 2.2.3, 2.3, 2.3.1, 4.1 and 4.2 of NI 81-101, Form 81-101F1, Form 81-101F2 and Form 81-101F3 and the applicable provisions in the legislation in Ontario as referred to in NI 81-101 to permit the Funds and the New Trust to offer investors only one set of offering documents, including a single simplified prospectus (**SP**), a single annual information form (**AIF**) and a single fund fact for each series of each class of each Fund and the New Trust (each, a **Fund Fact**);
 - (e) parts 2, 3, 5, 15 and 16 of National Instrument 81-106 *Investment Fund Continuous Disclosure (NI 81-106)* to permit the applicable Fund and the New Trust to be reported and offered to investors as a single security pursuant to a single FundServ code such that investors receive: (i) a single management expense ratio; (ii) combined financial results and ratios; and (iii) consolidated audited annual financial statements and consolidated unaudited interim financial statements;
 - (f) parts 4 and 5 of NI 81-106 to permit the Funds and the New Trust to prepare for investors a joint annual management report of fund performance and a joint semi-annual management report of fund performance (each, a **MRFP**);
 - (g) parts 6, 10 and 12 of NI 81-106 which require the New Trust to prepare and disseminate quarterly portfolio information, proxy voting results and proxy solicitation and information circulars;
 - (h) subsection 5.1(1) of NI 81-102, in respect of unit holders of the New Trust, which requires prior approval for certain actions of the security holders of an investment fund;
 - (i) part 15 of NI 81-102 which requires the New Trust to provide performance results and sales communications and which require the Existing Corporate Fund to report and to continue to report, its performance results as reflecting the performance results of both the Existing Corporate Fund and the New Trust; and
3. variation of the following prior decisions (the **Prior Decisions**) granted to either or both of the Filer and the Existing Funds to allow such relief to continue to be applicable to the Filer, the Funds, the New Trust and the New LP, after the New Proposed Structure is implemented:
- (a) a decision to allow a Fund to invest through the New LP more than 10% of its net assets in an underlying fund that invests in another fund as set out in *Re Canoe Financial LP*, 2015 ABASC 786;
 - (b) a decision to allow the use of derivatives for hedging and non-hedging purposes and to the extent cash cover is required, to cover specified derivative positions with other alternatives all as set out in *Canoe Financial LP, Re*, 2011 ABASC 94;
 - (c) a decision to allow purchases of securities of exchange traded funds that seek to replicate the performance of gold and silver, or to use specified derivatives where the underlying interest is gold or silver as set out in *Canoe Financial LP, Re*, 2011 ABASC 96; and
 - (d) a decision to allow the use derivatives with respect to the implementation of a Fund's or an underlying fund's investment strategies, including derivatives where the underlying interest is sweet crude oil or natural gas; as set out in *Canoe Financial LP, Re*, 2011 ABASC 97

(collectively, the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in each jurisdiction of Canada, other than Ontario; and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* or MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

Existing Structure

1. The Filer is a limited partnership established under the laws of Alberta. The Filer is registered as a portfolio manager, investment fund manager and exempt market dealer in Alberta and certain other jurisdictions of Canada.
2. The Existing Corporate Fund is a corporation incorporated under the *Business Corporations Act* (Alberta).
3. The Filer is the manager and trustee of each Existing Fund and is also the portfolio manager of certain of the Existing Funds.
4. The Filer will be the manager and trustee of each New Fund and will also be the portfolio manager of certain of the New Funds.
5. Each Existing Fund is a class of shares of the Existing Corporate Fund that is offered to investors in each jurisdiction of Canada pursuant to a simplified prospectus, an annual information form and a fund fact for each series of shares of that class of that Existing Fund.
6. Each New Fund will be a class of shares of the Existing Corporate Fund and will be offered to investors in each jurisdiction of Canada pursuant to the SP, the AIF and a Fund Fact for each series of shares of that class of that New Fund.
7. Certain of the Existing Funds invest in another public mutual fund trust of the Filer offered in accordance with NI 81-101 as part of the same simplified prospectus and annual information form as the Existing Funds (each an **Existing Underlying Fund**).
8. Certain of the New Funds may also invest in another public mutual fund trust of the Filer offered in accordance with NI 81-101 as part of the same SP and AIF as the Funds (each a **New Underlying Fund** and together collectively with the Existing Underlying Funds, the **Underlying Funds** and together individually, an **Underlying Fund**).
9. The assets under management of the Existing Funds currently amounts to approximately \$2.3 billion.
10. None of the Filer, any of the Funds nor any of the Existing Underlying Funds is in default of securities legislation in any jurisdiction of Canada.

New Proposed Structure

11. The Filer will establish the New Trust and the New LP. The Filer will be the manager and portfolio manager of the New Trust and the New LP and the trustee of the New Trust. A separate related entity will be the general partner of the New LP.
12. Interests of the New LP will only be sold to the Funds and the New Trust.
13. Each of the Funds and the New Trust will be a reporting issuer in each jurisdiction of Canada. The New LP will not be a reporting issuer in any jurisdiction of Canada.
14. The securities of each Existing Fund will be transferred in a tax efficient manner, permissible under the *Income Tax Act* (Canada) (the **Tax Act**), that will result in that Existing Fund and the New Trust holding parallel investments in interests of the New LP, which will in turn to the extent applicable, hold units of the appropriate Existing Underlying Fund on behalf of that Existing Fund and the New Trust (the **New Proposed Structure**).
15. The security holders in the Existing Funds will be notified about the New Proposed Structure before it is implemented which will describe the New Proposed Structure, the benefits that it is expected to achieve for such security holders and a description of their rights after the New Proposed Structure is implemented.

Decisions, Orders and Rulings

16. Before the New Proposed Structure is implemented, a security holder in a Fund will have the ability to redeem its investment in accordance with the redemption provisions included in the current simplified prospectus and annual information form of the Fund.
17. All costs of implementing the New Proposed Structure will be paid for by the Filer.
18. The Filer will cap its administration fees with respect to the New Trust and the New LP in the same manner as it currently does with respect to the Existing Funds.
19. There will be no duplication of management fees in the New Proposed Structure and no sales charges or redemption fees will apply with respect to the purchase and/or redemption of interests of the New LP.
20. Security holders in the Funds and the New Trust will not experience any new expenses as a result of the Filer implementing the New Proposed Structure.
21. Security holders in the Funds and the New Trust will have the same voting rights as they would have in any other mutual fund, which will be combined together as set out above.
22. The New Proposed Structure will be achieved in the following manner:
 - (a) the declaration of trust of the New Trust will provide that each investor who acquires a unit of the New Trust will have their own net asset value per unit of the New Trust;
 - (b) each Fund will continue to calculate its net asset value per security in accordance with the Existing Corporate Fund's constating documents;
 - (c) the net asset value of the Existing Corporate Fund and the New Trust will be calculated on the same basis;
 - (d) each Existing Fund will transfer certain of its investments to the New Trust in a qualifying exchange under the Tax Act for units of the New Trust;
 - (e) each Existing Fund will then redeem certain of its shares and distribute units of the New Trust to its shareholders in satisfaction of the redemption amount;
 - (f) each Existing Fund and the New Trust will then transfer certain of its investments to the New LP in exchange for interests of the New LP, including any interest in any Existing Underlying Funds, which will be done in a tax efficient manner as permissible under the Tax Act; and
 - (g) all of the foregoing steps will be carried out in a manner that will not have any adverse tax effects on any Existing Fund or any security holder of an Existing Fund.
23. Investors that purchased shares of an Existing Fund prior to the date the New Proposed Structure is implemented will receive a unit of the New Trust once the New Proposed Structure is implemented. Investors that purchase a share of a Fund after the New Proposed Structure is implemented will also purchase a unit of the New Trust at the same time if they do not already own a unit of the New Trust (each share of a Fund and the unit of the New Trust, a **Joint Investment**).
24. The applicable Fund and the New Trust will then invest in parallel in interests of the New LP, which in turn will invest to the extent applicable, in units of the appropriate Underlying Fund, which if the Fund is an Existing Fund, is the Existing Underlying Fund that the Existing Fund had previously invested in directly.
25. After the date of this decision and once the New Proposed Structure is implemented, each holder of a Joint Investment will have the ability to redeem that holder's investment in the Joint Investment in accordance with the redemption provisions in the SP and AIF of the Joint Investment, based on the net asset value of each element of the Joint Investment being redeemed, as applicable, which will be reported on a combined basis.
26. Each Fund and the New Trust will derive its value from the investments held by the New LP as that Fund and the New Trust will invest in parallel in interests of the New LP as noted above.
27. Each Fund, the New Trust and the New LP will be a mutual fund as such term is defined in the Legislation.
28. Except as exempted by this decision or other exemptive relief, each Fund and the New Trust will fully comply with the requirements of NI 81-102.

Decisions, Orders and Rulings

29. Each Fund, the New Trust and each Underlying Fund will have an independent review committee, which is expected to be comprised of the same individuals.
30. Each holder of a Joint Investment will receive:
- (a) one Fund Fact for the Joint Investment, which will reflect the results of the Fund and the New Trust on a combined basis;
 - (b) a single annual and semi-annual MRFP for the Joint Investment, which will reflect the combined results of the Fund and the New Trust;
 - (c) one set of annual audited and semi-annual unaudited financial statements for the Joint Investment, which will also reflect the results of the Fund and the New Trust on a consolidated basis, including a consolidated audit report, the consolidated net asset value of the Fund and the New Trust and the consolidated net asset value of the securities of the Fund and the New Trust;
 - (d) combined quarterly portfolio information, combined proxy voting results, combined performance reports, combined performance results and combined sales communications for the Fund and the New Trust; and
 - (e) combined confirmations and account statements reflecting their investment in the Fund and the New Trust as a joint investment, although the investor can at any time request their net asset value per security in the Fund and the New Trust
- (collectively, the **Disclosure Documents**).
31. An investor holding a Joint Investment will not receive separate quarterly portfolio information, proxy voting results, performance reports, performance results, sales communications, proxy solicitation and information circulars, confirmations or account statements for the New Trust.
32. The reorganization of the Existing Funds into the New Proposed Structure as well as its tax operating model comply with the Tax Act.
33. The Filer and the Funds want to be able to continue relying on the Prior Decisions and will continue to fully comply with the terms and conditions set out in the Prior Decisions once the New Proposed Structure is implemented. The New Proposed Structure does not affect the underlying rationale for granting the Prior Decisions and the request for a variation of the Prior Decisions is intended solely to ensure that the Filer and the Funds can continue to rely on the Prior Decisions after the New Proposed Structure is implemented.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Makers to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted, provided that:

- (a) the relevant Disclosure Documents are provided to each investor at the time that investor acquires the Joint Investment; and
- (b) the Funds and the New Trust comply with their respective continuous disclosure obligations by preparing the relevant Disclosure Documents as required by securities legislation.

“Tom Graham”
Director, Corporate Finance

2.1.4 Royal Warranty Company Ltd.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for relief from prospectus requirement in section 53 of the Act regarding distributions of securities of the issuer to holding companies that are owned by the shareholders (who are also key employees) of licenced automotive dealers operating in the applicable jurisdictions – Filer distributes securities in order to allow for payment of commissions for dealers to sell warranty programs offered by the filer – Relief granted subject to conditions, including that subsequent trades restricted to specified transferees.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 53, 74(1).
National Instrument 45-106 Prospectus Exemptions.

July 27, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
ROYAL WARRANTY COMPANY LTD.
(the Filer)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for an exemption from the prospectus requirement (the **Exemption Sought**) in connection with the issuance from time to time of shares of the Filer to BHCs (as defined below) of Dealer Principals (as defined below).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Prince Edward Island, Nova Scotia, Newfoundland and Labrador, the Yukon Territory, the Northwest Territories and Nunavut.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a licensed warranty company incorporated on December 18, 2013 and existing under the laws of Barbados. It is in the business of issuing warranty coverage for selected finance, insurance and bill of sale products sold in relation

to the sale or lease of automobiles by commercially licensed automotive dealers operating in the provinces and territories of Canada (**Dealers**).

2. The Filer is not, and has no intention to become, a reporting issuer in any province or territory of Canada and is not an "investment fund" as defined in the Legislation. Except as noted in paragraphs 11 to 14 below, the Filer is not in default of the securities legislation of any province or territory of Canada.
3. The authorized capital of the Filer consists of 100,000 shares which are divided into 1,000 classes of redeemable stock with each class having 100 shares. The classes of stock are divided as follows: (i) class 1 redeemable shares consisting of 100 shares and (ii) Class 2 to Class 1,000 Redeemable Shares (the **RWC Shares**) consisting of 100 shares for each class, all 100 of which shall be issued together. The terms of each class of RWC Shares are identical.
4. The Filer indirectly offers the RWC Shares to the shareholders (who are also key employees) (collectively, the **Dealer Principals**) of Dealers that have agreed to sell warranty programs offered by the Filer, with each Dealer Principal indirectly holding a minimum of 100 RWC Shares of a separate class, pursuant to the terms of a participation agreement and a subscription agreement.
5. Pursuant to the participation agreement, the RWC Shares may only be issued to Barbados holding companies which are wholly owned by Dealer Principals, either alone or together with immediate family members (**BHCs**).
6. It is a mandatory requirement for Dealer Principals of Dealers that sell warranty programs of the Filer to subscribe for the RWC Shares, through BHCs, as a Dealer Principal needs to hold a class of RWC Shares in order to receive the profits generated by his or her Dealer from its warranty sales via dividends from its shareholder account, as further described below.
7. Dividends on the RWC Shares are declared and payable only from the shareholder account attributable to the specific class of shares held by the Dealer Principal's BHC and not out of any capital or surplus or shareholder account attributable to any other class of shares. Dividends on the RWC Shares are payable when and as declared by the board of directors of the Filer.
8. The shareholder account from which dividends are paid for each class of RWC Shares includes (i) the paid-in capital attributable to the RWC Shares, minus any redemption rights of the holders of Class 1 Redeemable Shares, (ii) the Dealer's profits or losses from its warranty business; (iii) the Dealer's investment income or loss, less (iv) the dividends paid or share redemptions in respect of the RWC Shares.
9. The participation cost for each class of RWC Shares is equal to \$7,500, consisting of \$5,000 for the subscription of a class of RWC Shares and \$2,500 for legal and administrative fees, which include fees associated with establishing and maintaining a BHC for the Dealer Principal.
10. As of the date hereof, the Filer has 68 shareholders, all of which are indirectly wholly owned by residents in the Province of Ontario, holding an aggregate of 6,800 RWC Shares.
11. The Filer previously relied on the private issuer exemption from the prospectus requirement of the Legislation as the RWC Shares were beneficially owned by not more than 50 persons, all of whom were "accredited investors", and subject to transfer restrictions pursuant to the constating documents of the Filer. However, the Filer reached 50 shareholders as of February 17, 2016 and, accordingly, can no longer rely on the private issuer exemption. Since February 17, 2016, the Filer believes that all purchasers of the RWC Shares have been accredited investors.
12. The Filer acknowledges that, although it believes that all purchasers of RWC Shares since February 17, 2016 have been accredited investors, it did not take the steps necessary to confirm such fact nor did it make the required filings under National Instrument 45-106 *Prospectus Exemptions*, and that to the extent the distributions of securities were made to such purchasers without an available exemption, such distributions were made in contravention of the prospectus requirement of the Legislation.
13. In May 2017, the management company of the Filer (the **Management Company**) changed the key relationship manager and servicing employee who is responsible for day-to-day operations and financial reporting, among other things, and oversees the management of the Filer and the Dealers (the **Manager**). Under the new Manager, the compliance and legal department of the Management Company has taken appropriate steps to put in place policies and procedures that establish a system of controls and supervision sufficient to provide reasonable assurance that it will comply with applicable law. In late 2017, the compliance and legal department of the Management Company identified that the issuance of the RWC Shares might not be in conformity with applicable securities laws and forthwith retained new external counsel to bring an application in order to seek the Exemption Sought.

14. The Filer acknowledges that the Exemption Sought does not apply retroactively to the non-compliance noted in paragraph 12.
15. The Filer has considered whether, under National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI 31-103)* and the Legislation, it could be considered to be engaged in or holding itself out as engaging in the business of trading in securities and therefore required to register as a dealer, rely on another exemption from the dealer registration requirement or seek exemptive relief from the dealer registration requirement. In light of the particular facts and circumstances of the Filer, including the fact that it has no offices or employees, does not receive any fees or other income from engaging in trades or acts in furtherance of distributions, and its activities do not have the attributes typical of a person or company carrying on the business of a dealer, and having considered the guidance in section 1.3 of the Companion Policy to NI 31-103, the Filer has concluded that it should not be considered to be engaged in registrable activities and therefore does not require relief from the registration requirement of the Legislation.
16. The RWC Shares have not been, and will not be, sold to any person other than BHCs of Dealer Principals. Each Dealer Principal beneficially owns his or her RWC Shares through a Canadian holding company, which in turn holds all the shares of the Dealer Principal's BHC. Pursuant to the articles of incorporation of the Filer, the RWC Shares may not be registered in the name of any person other than the principal or majority owner of a Dealer or a holding company whose principal or majority owner owns a Dealer.
17. There is no market for the RWC Shares and the constating documents of the Filer provide for restrictions on their transfer. Pursuant to the articles of incorporation of the Filer, no shares of the Filer, including the RWC Shares, may be transferred without the approval of the board of directors of the Filer or a committee of the board, and the board or committee may in their absolute discretion and without assigning reasons therefor, decline to register any transfer of any share.
18. The Management Company has established, and maintains and applies, policies and procedures that establish a system of controls and supervision sufficient to provide reasonable assurance that the Filer and each individual acting on its behalf complies with the Legislation, including compliance with the terms and conditions of any statutory or discretionary exemption under the Legislation.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that:

- (a) prior to the issuance of RWC Shares to a Dealer Principal's BHC, the Filer shall deliver to such Dealer Principal a copy of:
 - (1) the articles and by-laws of the Filer, and all amendments thereto;
 - (2) the most recent annual audited financial statements of the Filer;
 - (3) this decision;
 - (4) a statement to the effect that, as a consequence of this decision, certain protections, rights and remedies provided by the Legislation, including statutory rights of rescission or damages, will not be available to the Dealer Principal and that certain restrictions are imposed on the subsequent disposition of the RWC Shares; and
 - (5) an information document pertaining to the Filer and describing, among other things, the method by which revenues, expenses and losses will be calculated for purposes of the dividends payable to each shareholder's capital accounts;
- (b) all certificates representing RWC Shares shall bear a legend describing the restrictions on the transfer of the RWC Shares;
- (c) the exemptions contained in this decision shall cease to be effective if any of the provisions of the articles or by-laws of the Filer relevant to the exemptions granted herein are amended in any material respect without written notice to, and consent of, the principal regulator;

Decisions, Orders and Rulings

- (d) the Filer prepares and sends to each of its shareholders, on an annual basis, audited financial statements and such notices and information as are required under Barbados law in respect of the annual meetings of shareholders;
- (e) the Filer conducts annual meetings in accordance with applicable Barbados corporate law;
- (f) the Filer prepares and sends to each of its shareholders a statement of account, on at least an annual basis, that includes dividends paid to the shareholder, which is mailed to the shareholder and available to the shareholder through an online portal;
- (g) the first trade of any RWC Share by a Dealer's BHC to a person or company other than the Filer or another Dealer's BHC shall be deemed a distribution; and
- (h) this decision shall cease to be effective after the date that is five years after the date of this decision, unless the Filer applies for and is granted exemptive relief that is substantially the same as the Exemption Sought with respect to the then issuance of RWC Shares.

"Philip Anisman"
Commissioner
Ontario Securities Commission

"Deborah Leckman"
Commissioner
Ontario Securities Commission

2.2 Orders

2.2.1 American Express Canada Credit Corporation

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceases to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

August 1, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR CEASE TO BE A
REPORTING ISSUER APPLICATIONS

AND

IN THE MATTER OF
AMERICAN EXPRESS CANADA
CREDIT CORPORATION
(the Filer)

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a "marketplace" as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The Principal Regulator is satisfied that the order meets the test set out in the Legislation for the Principal Regulator to make the order.

The decision of the Principal Regulator under the Legislation that the Order Sought is granted.

"Winnie Sanjoto"
Manager, Corporate Finance
Ontario Securities Commission

2.2.2 Nuveen Asset Management, LLC – s. 80 of the CFA

Headnote

Section 80 of the Commodity Futures Act (Ontario) – Foreign adviser exempted from the adviser registration requirement in paragraph 22(1)(b) of the CFA where such adviser acts as an adviser in respect of commodity futures contracts or commodity futures options (Contracts) for certain investors in Ontario who meet the definition of “permitted client” in National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Contracts are primarily traded on commodity futures exchanges outside of Canada and primarily cleared outside of Canada.

Terms and conditions of exemption correspond to the relevant terms and conditions of the comparable exemption from the adviser registration requirement available to international advisers in respect of securities set out in section 8.26 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Exemption also subject to a “sunset clause” condition.

Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20, as am., ss. 1(1), 22(1)(b), 80.

Securities Act, R.S.O. 1990, c. S.5, as am., s. 25(3).

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 1.1, 8.26.

Ontario Securities Commission Rule 13-502 Fees.

Applicable Order

In the Matter of Nuveen Asset Management LLC, dated June 7, 2013, (2013) 36 OSCB 5968.

**IN THE MATTER OF
THE COMMODITY FUTURES ACT,
R.S.O. 1990, CHAPTER C.20, AS AMENDED
(the CFA)**

AND

**IN THE MATTER OF
NUVEEN ASSET MANAGEMENT, LLC**

**ORDER
(Section 80 of the CFA)**

UPON the application (the **Application**) of Nuveen Asset Management, LLC (the **Applicant**) to the Ontario Securities Commission (the **Commission**) for an order, pursuant to section 80 of the CFA (the **Order**), that the Applicant, and any individuals engaging in, or holding themselves out as engaging in, the business of advising others as to trading in Contracts (as defined below) on the Applicant’s behalf (the **Representatives**), be exempt, for a specified period of time, from the adviser registration requirement in paragraph 22(1)(b) of the CFA, subject to certain terms and conditions;

AND UPON considering the Application and the recommendation of staff of the Commission;

AND WHEREAS for the purposes of this Order:

“**CFA Adviser Registration Requirement**” means the provisions of section 22 of the CFA that prohibit a person or company from acting as an adviser with respect to trading in Contracts unless the person or company is registered in the appropriate category of registration under the CFA;

“**CFTC**” means the Commodity Futures Trading Commission of the United States;

“**Contract**” has the meaning ascribed to that term in subsection 1(1) of the CFA;

“**Foreign Contract**” means a Contract that is primarily traded on one or more organized exchanges that are located outside of Canada and primarily cleared through one or more clearing corporations that are located outside of Canada;

“**International Adviser Exemption**” means the exemption set out in section 8.26 of NI 31-103 from the OSA Adviser Registration Requirement;

“**NFA**” means the National Futures Association of the United States;

“**NI 31-103**” means National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, as amended from time to time;

“**OSA**” means the *Securities Act*, R.S.O. 1990, c. S.5, as amended from time to time;

“**OSA Adviser Registration Requirement**” means the provisions of section 25 of the OSA that prohibit a person or company from acting as an adviser with respect to investing in, buying or selling securities unless the person or company is registered in the appropriate category of registration under the OSA;

“**Permitted Client**” means a client in Ontario that is a “permitted client”, as that term is defined in section 1.1 of NI 31-103, except that for purposes of this Order such definition shall exclude a person or company registered under the securities or commodities futures legislation of a jurisdiction of Canada as an adviser or dealer;

“**Previous Order**” means the exemption from the CFA Adviser Registration Requirement in respect of providing advice to Permitted Clients as to the trading of Foreign Contracts (subject to certain terms and conditions), granted by the Commission to the Applicant on June 7, 2013, and as expired on June 7, 2018;

“**SEC**” means the Securities and Exchange Commission of the United States;

“**specified affiliate**” has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*;

“**U.S., U.S.A. or United States**” means the United States of America; and

“**U.S. Advisers Act**” means the *Investment Advisers Act of 1940* of the United States, as amended from time to time.

AND UPON the Applicant having represented to the Commission that:

1. The Applicant is a limited liability company organized under the laws of the State of Delaware, United States. The Applicant succeeds a business established in 1989 and became a separate entity in 2011 as a result of being spun out from one of its continuing affiliates. The Applicant’s principal place of business is located in Chicago, Illinois.
2. The Applicant is an indirect, wholly-owned, subsidiary of Teachers Insurance and Annuity Association of America, the principal office of which is in New York, New York.
3. In the United States, the Applicant is currently:
 - a. registered with the SEC as an investment adviser under the U.S. Advisers Act;
 - b. registered with the CFTC as a commodity trading adviser under the *Commodity Exchange Act*; and
 - c. a member of the NFA.
4. The Applicant engages in the business of an adviser with respect to securities and with respect to Contracts in Illinois, U.S.A. and its other offices in the U.S.A. As of December 31, 2017, the Applicant managed approximately U.S.\$176 billion in assets.
5. The Applicant provides its advisory services in a broad array of fixed income, equity and other investment strategies, including in the broad categories of municipal bonds, taxable fixed income, global and international, value, growth and core, real assets, asset allocation, quantitative/enhanced, index, and non-traditional strategies. Depending on the particular strategy, the Applicant may invest in a variety of securities and other investments, including in certain cases derivatives, and employ various methods of analysis and investment techniques. In the U.S.A., the Applicant instructs trades for both fixed income and equity futures for a variety of strategies. Among other instruments, the Applicant has substantial experience instructing trades of U.S. Treasury futures, foreign exchange futures, and S&P EMini futures, all on the CME (Chicago Mercantile Exchange).
6. The Applicant is registered in a category of registration, or operates under an exemption from registration, under the applicable securities legislation or commodity futures legislation of the United States that permits it to carry on the activities in the United States that registration as an adviser under the CFA in the category of commodity trading manager would permit it to carry on in Ontario.

7. The Applicant advises Ontario clients that are Permitted Clients with respect to foreign securities in reliance on the International Adviser Exemption and therefore is not registered under the OSA.
8. The Applicant is not registered in any capacity under the CFA.
9. In reliance on, and in accordance with the terms and conditions of, the Previous Order, the Applicant provided discretionary advisory services to Permitted Clients in respect of Foreign Contracts in connection principally with respect to foreign currency and interest rate futures, options and forwards.
10. As it has done before pursuant to the Previous Order, the Applicant proposes to provide advisory services in respect of Foreign Contracts to Permitted Clients (**Advisory Services**).
11. The Applicant is not in default of securities legislation, commodity futures legislation, or derivatives legislation of any jurisdiction in Canada. Upon the expiry of the Previous Order, the Applicant ceased acting as an adviser to Permitted Clients in respect of Foreign Contracts and, as a result, has not contravened the CFA Adviser Registration Requirement to date. The Applicant is in compliance in all material respects with the securities laws, commodity futures laws and derivatives laws of the United States.
12. If the advisory services were being provided by the Applicant with respect to securities (as defined in subsection 1(1) of the OSA), the Applicant would be able to rely on the International Adviser Exemption to provide such services to Permitted Clients on a basis that would be exempt from the OSA Adviser Registration Requirement.
13. There is currently no exemption from the CFA Adviser Registration Requirement that is equivalent to the International Adviser Exemption. Consequently, in the absence of the Order, the Applicant would be required to satisfy the CFA Adviser Registration Requirement to provide the Advisory Services by applying for and obtaining registration in Ontario as an adviser under the CFA in the category of commodity trading manager.
14. To the best of the Applicant's knowledge, the Applicant confirms that there are currently no regulatory actions of the type contemplated by the *Notice of Regulatory Action* attached as Appendix "B", other than those previously filed with the Commission.

AND UPON being satisfied that it would not be prejudicial to the public interest for the Commission to make this Order.

IT IS ORDERED, pursuant to section 80 of the CFA, that the Applicant and the Representatives are exempt from the adviser registration requirement in paragraph 22(1)(b) of the CFA in respect of providing advice to Permitted Clients as to the trading of Foreign Contracts, provided that:

- (a) the Applicant provides advice to Permitted Clients only as to trading in Foreign Contracts and does not advise any Permitted Client as to trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to its providing advice on Foreign Contracts;
- (b) the Applicant's head office or principal place of business remains in the United States;
- (c) the Applicant is registered in a category of registration, or operates under an exemption from registration, under the applicable securities legislation or commodity futures legislation of the United States that permits it to carry on the activities in the United States that registration as an adviser under the CFA in the category of commodity trading manager would permit it to carry on in Ontario;
- (d) the Applicant continues to engage in the business of an adviser, as defined in the CFA, in the United States;
- (e) as at the end of the Applicant's most recently completed financial year, not more than 10% of the aggregate consolidated gross revenue of the Applicant, its affiliates and its affiliated partnerships (excluding the gross revenue of an affiliate or affiliated partnership of the Applicant if the affiliate or affiliated partnership is registered under securities legislation, commodity futures legislation, or derivatives legislation of a jurisdiction of Canada) was derived from the portfolio management activities of the Applicant, its affiliates and its affiliated partnerships in Canada (which, for greater certainty, includes both securities-related and commodity futures-related activities);
- (f) before advising a Permitted Client with respect to Foreign Contracts, the Applicant notifies the Permitted Client of all of the following:
 - (i) the Applicant is not registered in Ontario to provide the advice described under paragraph (a) of this Order;

- (ii) the foreign jurisdiction in which the Applicant's head office or principal place of business is located;
 - (iii) all or substantially all of the Applicant's assets may be situated outside of Canada;
 - (iv) there may be difficulty enforcing legal rights against the Applicant because of the above; and
 - (v) the name and address of the Applicant's agent for service of process in Ontario;
- (g) the Applicant has submitted to the Commission a completed *Submission to Jurisdiction and Appointment of Agent for Service* in the form attached as Appendix "A";
- (h) the Applicant notifies the Commission of any regulatory action initiated after the date of this Order with respect to the Applicant or, to the best of the Applicant's knowledge and after reasonable inquiry, any predecessors or the specified affiliates of the Applicant by filing Appendix "B" within 10 days of the commencement of each such action; and
- (i) if the Applicant is not subject to the requirement to pay a participation fee in Ontario because it is not registered under the OSA and does not rely on the International Adviser Exemption, by December 31st of each year, the Applicant pays a participation fee based on its specified Ontario revenues for its previous financial year in compliance with the requirements of Part 3 and section 6.4 of Ontario Securities Commission Rule 13-502 Fees as if the Applicant relied on the International Adviser Exemption; and

IT IS FURTHER ORDERED that this Order will terminate on the earliest of:

- (a) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- (b) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the ability of the Applicant to act as an adviser to a Permitted Client; and
- (c) five years after the date of this Order.

DATED at Toronto, Ontario this 1st day of August, 2018.

"Lawrence Haber"
Commissioner
Ontario Securities Commission

"Peter Currie"
Commissioner
Ontario Securities Commission

APPENDIX "A"

SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE

INTERNATIONAL DEALER OR INTERNATIONAL ADVISER EXEMPTED FROM
REGISTRATION UNDER THE COMMODITY FUTURES ACT, ONTARIO

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.

Name:
E-mail address:
Phone:
Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):

 Section 8.18 [*international dealer*]

 Section 8.26 [*international adviser*]

 Other [specify]:
7. Name of agent for service of process (the "Agent for Service"):
8. Address for service of process on the Agent for Service:
9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
 - a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated;
 - b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service;
 - c. a notice detailing a change to any information submitted in this form, other than the name or above address of the Agent for Service, no later than the 30th day after the change.
12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.

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Dated: _____

(Signature of the International Firm or authorized signatory)

(Name of signatory)

(Title of signatory)

Acceptance

The undersigned accepts the appointment as Agent for Service of _____ [*Insert name of International Firm*] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: _____

(Signature of the Agent for Service or authorized signatory)

(Name of signatory)

(Title of signatory)

This form, and notice of a change to any information submitted in this form, is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

APPENDIX "B"

NOTICE OF REGULATORY ACTION

1. Settlement Agreements

Has the firm, or any predecessors or specified affiliates¹ of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization?

Yes ____ No ____

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Disciplinary History

Has any financial services regulator, securities or derivatives exchange, SRO or similar organization:

	Yes	No
a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?	___	___
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?	___	___
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?	___	___
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?	___	___
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?	___	___
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?	___	___
(g) Issued an order (other than an exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?	___	___

If yes, provide the following information for each action:

Name of entity	
Type of action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

¹ In this Appendix, the term "specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 Registration Information.

3. Ongoing Investigations

Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliates is the subject?

Yes _____ No _____

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Authorized signing officer or partner

Name of firm:
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

Witness

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

2.2.3 Franklin Templeton Investments Corp. et al. – ss. 78(1) and 80 of the CFA

Headnote

Section 80 of the Commodity Futures Act (Ontario) (the CFA) – Relief from the adviser registration requirement of paragraph 22(1)(b) of the CFA granted to sub-advisers headquartered in foreign jurisdictions in respect of advice regarding trades in commodity futures contracts and commodity futures options, subject to certain terms and conditions – Relief mirrors exemption available in section 8.26.1 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations made under the Securities Act (Ontario) – Relief is subject to a sunset clause.

Subsection 78(1) of the Commodity Futures Act (Ontario) – Order also revokes prior order of the Commission dated July 19, 2016, In the Matter of Franklin Templeton Investments Corp. et al. that would otherwise have expired on July 19, 2021.

Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20, as am., ss. 1(1), 22(1)(b), 78(1), 80.
Securities Act, R.S.O. 1990, c. S.5, as am., s. 25(3).
National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, s. 8.26.1.
Ontario Securities Commission Rule 35-502 Non-Resident Advisers, s. 7.11.

Applicable Orders

In the Matter of Franklin Templeton Investments Corp. et al., (2016) 39 OSCB 6794

**IN THE MATTER OF
THE COMMODITY FUTURES ACT,
R.S.O. 1990, CHAPTER C.20, AS AMENDED (the “CFA”)**

AND

**IN THE MATTER OF
FRANKLIN TEMPLETON INVESTMENTS CORP.,
FIDUCIARY TRUST COMPANY OF CANADA,
FRANKLIN ADVISERS, INC.,
FRANKLIN TEMPLETON INSTITUTIONAL, LLC,
FRANKLIN MUTUAL ADVISERS, LLC,
K2/D&S MANAGEMENT CO., LLC,
TEMPLETON INVESTMENT COUNSEL, LLC,
TEMPLETON GLOBAL ADVISORS LIMITED,
FRANKLIN TEMPLETON INVESTMENT MANAGEMENT LIMITED, AND
FRANKLIN TEMPLETON INTERNATIONAL SERVICES S.à.r.l.**

ORDER

(Subsection 78(1) and Section 80 of the CFA)

UPON the application (the **Application**) of Franklin Templeton Investments Corp. (**FTIC**) and Fiduciary Trust Company of Canada (**FTCC**) (each referred to individually as a **Principal Adviser** and collectively as the **Principal Advisers**) and Franklin Advisers, Inc. (**FAI**), Franklin Templeton Institutional, LLC (**FTI LLC**), Franklin Mutual Advisers, LLC (**FMA**), K2/D&S Management Co., LLC (**K2**), Templeton Investment Counsel, LLC (**TIC**), Templeton Global Advisors Limited (**TGAL**), Franklin Templeton Investment Management Limited (**FTIML**) and Franklin Templeton International Services S.à.r.l. (**FTIS**) (each referred to individually as a **Sub-Adviser** and collectively as the **Sub-Advisers**) to the Ontario Securities Commission (the **Commission**) for an order:

- (a) pursuant to subsection 78(1) of the CFA, revoking the exemption order granted by the Commission to the Principal Advisers and the Sub-Advisers, except FTIS, on July 19, 2016 (the **Previous Order**); and
- (b) pursuant to section 80 of the CFA, that each of the Sub-Advisers and any individuals engaging in, or holding themselves out as engaging in, the business of advising others when acting on behalf of their respective Sub-Advisers in respect of the Sub-Advisory Services (as defined below) (the **Representatives**) be exempt, for a specified period of time, from the adviser registration requirements of paragraph 22(1)(b) of the CFA when acting as a sub-adviser to the Principal Advisers for the benefit of the Clients (as defined below) regarding commodity futures contracts and commodity futures options (collectively, the **Contracts**) traded on commodity futures exchanges and cleared through clearing corporations (the **Relief Sought**);

AND UPON considering the Application and the recommendation of staff of the Commission;

AND UPON the Principal Advisers and the Sub-Advisers having represented to the Commission that:

Principal Advisers

1. FTIC is a corporation amalgamated under the laws of Ontario, having its head office in Toronto, Ontario. FTIC is registered (a) under the securities legislation in each of the provinces of Canada and in Yukon Territory as a portfolio manager, an exempt market dealer and a mutual fund dealer; (b) under the securities legislation in Alberta, British Columbia, Manitoba, Newfoundland and Labrador, Nova Scotia, Ontario and Québec as an investment fund manager; and (c) under the CFA in Ontario as a commodity trading manager. In addition, FTIC is registered with the Securities and Exchange Commission of the United States of America (the **SEC**) as an investment adviser.
2. FTIC is an indirect wholly-owned subsidiary of Franklin Resources Inc. (**FRI**), a global investment management organization operating as Franklin Templeton Investments.
3. FTCC is a trust company established under the laws of Canada, having its head office in Toronto, Ontario. FTCC is registered (a) under the securities legislation in each of the provinces of Canada and in Yukon Territory as a portfolio manager; and (b) under the CFA in Ontario as a commodity trading manager.
4. FTCC and FTIC are affiliates as FTCC is a wholly-owned subsidiary of FTIC.

Sub-Advisers

5. Each Sub-Adviser is organized under the laws of a jurisdiction other than Canada or the provinces or territories thereof. In particular, the Sub-Advisers are:
 - (a) FAI, a corporation incorporated under the laws of the State of California. FAI is resident in the United States of America, with a principal office and place of business at One Franklin Parkway, San Mateo, California, USA. FAI provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies, other financial institutions, pension and profit sharing plans, and governmental entities. FAI offers advice with respect to a broad range of securities, derivatives and other financial instruments. FAI is registered as an investment adviser with the SEC and as a commodity pool operator with the U.S. Commodity Futures Trading Commission (**CFTC**).
 - (b) FTI LLC, a limited liability company organized and existing under the laws of the State of Delaware. FTI LLC is resident in the United States of America, with a principal office and place of business at 600 Fifth Avenue, New York, New York, USA. FTI LLC provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies, pension and profit sharing plans, and governmental entities. FTI LLC offers advice with respect to a broad range of securities, derivatives and other financial instruments. FTI LLC is registered as an investment adviser with the SEC and is exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
 - (c) FMA, a limited liability company organized and existing under the laws of the State of Delaware. FMA is resident in the United States of America, with a principal office and place of business at 51 John F. Kennedy Parkway, Short Hills, New Jersey, USA. FMA provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies. FMA offers advice with respect to a broad range of securities, derivatives and other financial instruments. FMA is registered as an investment adviser with the SEC and is exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
 - (d) K2, a limited liability company organized and existing under the laws of the State of Delaware. K2 is resident in the United States of America, with a principal office and place of business at 300 Atlantic Street, 12th Floor, Stamford, Connecticut, USA. K2 provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as banking and thrift institutions, pension and profit sharing plans, and governmental entities. K2 offers advice with respect to a broad range of securities, derivatives and other financial instruments. K2 is registered as an investment

adviser with the SEC and is registered as a commodity trading adviser and commodity pool operator with the CFTC.

- (e) TIC, a limited liability company organized and existing under the laws of the State of Delaware. TIC is a resident of the United States of America, with a principal office and place of business at 300 Southeast 2nd Street, Fort Lauderdale, Florida, USA. TIC provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies, other financial institutions, pension and profit sharing plans, and governmental entities. TIC offers advice with respect to a broad range of securities, derivatives and other financial instruments. TIC is registered as an investment adviser with the SEC and is exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
 - (f) TGAL, a company organized and existing under the laws of the Commonwealth of the Bahamas. TGAL is resident in the Bahamas, with a principal office and place of business at Lyford Cay, Nassau, Bahamas. TGAL provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies and charitable organizations. TGAL offers advice with respect to a broad range of securities, derivatives and other financial instruments. TGAL is registered as an investment fund administrator with the Securities Commission of the Bahamas and as an investment adviser with the SEC. It is exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
 - (g) FTIML, a company organized and existing under the laws of England. FTIML is resident in England, with a principal office and place of business at Cannon Place, 78 Cannon Street, London, England. FTIML provides a variety of discretionary advisory services to clients, including, but not limited to: (i) certain investment companies registered under the *Investment Company Act of 1940*, as amended; (ii) unregistered funds and other pooled investment vehicles; and (iii) institutions, such as insurance companies, other financial institutions, and governmental entities. FTIML offers advice with respect to a broad range of securities, derivatives and other financial instruments. FTIML is registered with the Financial Conduct Authority in the United Kingdom as an adviser. It is also registered as an investment adviser with the SEC and exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
 - (h) FTIS, an investment management company organized and existing under the laws of Luxembourg. FTIS is resident in Luxembourg, with a registered office at 8A, rue Albert Borschette, L-1246 Luxembourg, Grand-Duché of Luxembourg. FTIS creates, promotes, administers and manages undertakings for collective investment in transferable securities (**UCITS**) and alternative investment funds. It also provides services such as discretionary portfolio management, investment advice, and reception and transmission of orders in relation to financial instruments. FTIS is registered with the Luxembourg Trade and Companies Register and is authorized by the Commission de Surveillance du Secteur Financier (Luxembourg) as an UCITS management company and an alternative investment fund manager. FTIS is also exempted from registration as an investment adviser with the SEC, but reports limited information to the SEC as an Exempt Reporting Adviser, pursuant to such exemption. Additionally, it is exempted from registration as a commodity trading adviser and commodity pool operator with the CFTC.
6. Each Sub-Adviser is registered in a category of registration, or operates under an exemption from registration, under the commodity futures or other applicable legislation of its jurisdiction of residence that permits it to carry on the activities in that jurisdiction that registration as an adviser under the CFA would permit it to carry on in Ontario. As such, each Sub-Adviser is authorized and permitted to carry on the Sub-Advisory Services (as defined below) in the jurisdiction outside of Canada in which its head office or principal place of business is located.
 7. None of the Sub-Advisers is registered in any capacity under the CFA or the *Securities Act (Ontario)* (the **OSA**), nor are any of the Sub-Advisers registered in any capacity under the securities law, commodity futures law or derivatives law of any other jurisdiction of Canada.
 8. Each of the Sub-Advisers acts in reliance on the exemption from the requirement to register as an adviser under the OSA pursuant to section 8.26.1 "International sub-adviser" of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**).
 9. The following Sub-Advisers are also relying on the exemption from the requirement to register as an adviser under the OSA pursuant to section 8.26 "International adviser" of NI 31-103 (the **OSA International Adviser Exemption**): FAI, FTI LLC and FTIML. FTIS is relying on the exemption from the requirement to register as an investment fund manager under the OSA pursuant to Multilateral Instrument 32-102 *Registration Exemptions for Non-Resident Investment Fund*

Managers. All other Sub-Advisers are not currently relying on any exemptions from the requirement to register under securities law, commodity futures law or derivatives law of any jurisdiction of Canada (except as identified in paragraph 8 above).

10. Each Sub-Adviser engages in the business of an adviser in respect of Contracts in its principal jurisdiction.
11. None of the Sub-Advisers is a resident of any province or territory of Canada.
12. The Sub-Advisers and the Principal Advisers are affiliates, as defined in the OSA. Each of the Sub-Advisers and Principal Advisers are directly or indirectly wholly-owned by their parent company, FRI.
13. None of the Principal Advisers or the Sub-Advisers is in default of securities legislation, commodity futures legislation or derivatives legislation in any jurisdiction of Canada.
14. Each Sub-Adviser is in compliance in all material respects with the securities laws, commodity futures laws and derivatives laws in each jurisdiction outside of Canada in which its head office or principal place of business is located.
15. The Principal Advisers provide, or may provide, discretionary and/or non-discretionary portfolio management services in Ontario to the following clients (each referred to individually as a **Client** and collectively as the **Clients**):
 - (a) investment funds, the securities of which are qualified by prospectus for distribution to the public in Ontario and the other provinces and territories of Canada (the **Investment Funds**);
 - (b) pooled funds, the securities of which are sold on a private placement basis in Ontario and certain other provinces and territories of Canada pursuant to prospectus exemptions contained in National Instrument 45-106 *Prospectus Exemptions* (the **Pooled Funds**);
 - (c) clients who have entered into investment management agreements with a Principal Adviser to establish managed accounts (the **Managed Account Clients**); and
 - (d) other Investment Funds, Pooled Funds and Managed Account Clients that may be established or retained in the future in respect of which a Principal Adviser will engage a Sub-Adviser to provide portfolio advisory services (the **Future Clients**).
16. Certain of the Clients may, as part of their investment program, invest in Contracts. The Principal Advisers each act as a commodity trading manager in respect of such Clients.
17. In connection with the Principal Advisers acting as advisers to Clients in respect of the purchase or sale of Contracts, each Principal Adviser, pursuant to a written agreement made between the Principal Adviser and each respective Sub-Adviser, has retained (or will retain) the respective Sub-Adviser to act as a sub-adviser to the Principal Adviser in respect of Contracts in which that Sub-Adviser has experience and expertise by exercising discretionary investment authority on behalf of the Principal Adviser, in respect of all or a portion of the assets of the investment portfolio of the respective Client, including discretionary authority to buy or sell Contracts for the Client (the **Sub-Advisory Services**), provided that:
 - (a) in each case, the Contracts must be cleared through an "acceptable clearing corporation" [as defined in National Instrument 81-102 *Investment Funds (NI 81-102)*, or any successor thereto] or a clearing corporation that clears and settles transactions made on a futures exchange listed in Appendix A of NI 81-102, or any successor thereto; and
 - (b) such investments are consistent with the investment objectives and strategies of the applicable Client.
18. Paragraph 22(1)(b) of the CFA prohibits a person or company from acting as an adviser unless the person or company is registered as an adviser under the CFA, or is registered as a representative or as a partner or an officer of a registered adviser and is acting on behalf of such registered adviser.
19. By providing the Sub-Advisory Services, each Sub-Adviser and its Representatives will be engaging in, or holding themselves out as engaging in, the business of advising others in respect of Contracts and, in the absence of being granted the Relief Sought, would be required to register as an adviser or a representative of an adviser, as the case may be, under the CFA.

Decisions, Orders and Rulings

20. There is presently no rule or regulation under the CFA that provides an exemption from the adviser registration requirement in paragraph 22(1)(b) of the CFA that is similar to the exemption from the adviser registration requirement in subsection 25(3) of the OSA provided under section 8.26.1 of NI 31-103.
21. The relationship among any Principal Adviser, any Sub-Adviser and any Client is, and will be, consistent with the requirements of section 8.26.1 of NI 31-103.
22. A Sub-Adviser will only provide the Sub-Advisory Services to a Principal Adviser as long as that Principal Adviser is, and remains, registered under the CFA as an adviser in the category of commodity trading manager.
23. As would be required under section 8.26.1 of NI 31-103:
 - (a) the obligations and duties of each Sub-Adviser are, or will be, set out in a written agreement with the relevant Principal Adviser or Principal Advisers; and
 - (b) the relevant Principal Adviser or Principal Advisers have entered into, or will enter into, a written contract with each Client, agreeing to be responsible for any loss that arises out of the failure of any Sub-Adviser:
 - (i) to exercise the powers and discharge the duties of its office honestly, in good faith and in the best interests of the Principal Adviser and each Client; or
 - (ii) to exercise the degree of care, diligence and skill that a reasonably prudent person would exercise in the circumstances (together with (i), the **Assumed Obligations**).
24. The written agreement between a Principal Adviser and each Sub-Adviser sets out, or will set out, the obligations and duties of each party in connection with the Sub-Advisory Services and permits, or will permit, the Principal Adviser to exercise the degree of supervision and control it is required to exercise over the applicable Sub-Adviser in respect of the Sub-Advisory Services.
25. The Principal Advisers will deliver to the Clients all required reports and statements under applicable securities, commodity futures and derivatives legislation.
26. The prospectus or other offering document (in either case, the **Offering Document**) of each Client that is an Investment Fund or a Pooled Fund and for which a Principal Adviser engages one or more Sub-Advisers to provide the Sub-Advisory Services includes, or will include, the following disclosure (the **Required Disclosure**):
 - (a) a statement that the Principal Adviser is responsible for any loss that arises out of the failure of any Sub-Adviser to meet the Assumed Obligations; and
 - (b) a statement that there may be difficulty in enforcing any legal rights against the Sub-Advisers (or any of their Representatives) because the Sub-Advisers are resident outside of Canada and all or substantially all of their assets are situated outside of Canada.
27. Prior to purchasing any securities of one or more of the Clients that are Investment Funds or Pooled Funds directly from a Principal Adviser, all investors in the Investment Funds or Pooled Funds who are Ontario residents will receive, or have received, the Required Disclosure in writing (which may be in the form of an Offering Document).
28. Each Client that is a Managed Account Client for which a Principal Adviser engages one or more Sub-Advisers to provide the Sub-Advisory Services will receive, or has received, the Required Disclosure in writing prior to the purchasing of any Contracts for such Client.
29. The Principal Advisers and the Sub-Advisers obtained substantially similar relief in the Previous Order, pursuant to which the Sub-Advisers, with the exception of FTIS, currently provide Sub-Advisory Services to the Principal Advisers for the benefit of the Clients. The Relief Sought will add FTIS as a Sub-Adviser.
30. Each Principal Adviser and Sub-Adviser that is subject to the Previous Order (i.e., all Filers other than FTIS), have complied with, and are currently in compliance with, all of the terms and conditions of the Previous Order.

AND UPON the Commission being of the opinion that to do so would not be prejudicial to the public interest;

IT IS ORDERED, pursuant to subsection 78(1) of the CFA, that the Previous Order is revoked;

AND IT IS ORDERED, pursuant to section 80 of the CFA, that each Sub-Adviser and its Representatives is exempt from the adviser registration requirements of paragraph 22(1)(b) of the CFA when acting as a sub-adviser to a Principal Adviser in respect of the Sub-Advisory Services, provided that at the time that such activities are engaged in:

- (a) the Principal Adviser is registered under the CFA as an adviser in the category of commodity trading manager;
- (b) the Sub-Adviser's head office or principal place of business is in a jurisdiction outside of Canada;
- (c) the Sub-Adviser is registered in a category of registration, or operates under an exemption from registration, under the commodity futures or other applicable legislation of the jurisdiction outside of Canada in which its head office or principal place of business is located, that permits it to carry on the activities in that jurisdiction that registration as an adviser under the CFA would permit it to carry on in Ontario;
- (d) the Sub-Adviser engages in the business of an adviser in respect of Contracts in the jurisdiction outside of Canada in which its head office or principal place of business is located;
- (e) the obligations and duties of the Sub-Adviser are set out in a written agreement with the Principal Adviser;
- (f) the Principal Adviser has entered into a written agreement with each Client, agreeing to be responsible for any loss that arises out of the failure of the Sub-Adviser to meet the Assumed Obligations;
- (g) the Offering Document of each Client that is an Investment Fund or a Pooled Fund and for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services includes the Required Disclosure;
- (h) prior to purchasing any securities of a Client that is an Investment Fund or a Pooled Fund directly from the Principal Adviser, each investor in any of these Investment Funds or Pooled Funds who was or is an Ontario resident received, or will receive, the Required Disclosure in writing; and
- (i) each Client that is a Managed Account Client for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services received, or will receive, the Required Disclosure in writing prior to the purchasing of any Contracts for such Client;

AND IT IS FURTHER ORDERED that this Order will terminate on the earliest of:

- (a) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- (b) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the ability of any Sub-Adviser to act as a sub-adviser to the Principal Advisers in respect of the Sub-Advisory Services; and
- (c) five years after the date of this Order.

DATED at Toronto, Ontario, this 1st day of August, 2018.

"Lawrence Haber"
Commissioner
Ontario Securities Commission

"Peter Currie"
Commissioner
Ontario Securities Commission

2.2.4 Shoppers Drug Mart Corporation

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

August 1, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE A
REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
SHOPPERS DRUG MART CORPORATION
(the Filer)**

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

1. the Ontario Securities Commission is the principal regulator for this application, and
2. the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland, Yukon, Nunavut and Northwest Territories.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Winnie Sanjoto”
Manager, Corporate Finance
Ontario Securities Commission

2.2.5 Money Gate Mortgage Investment Corporation et al.

File No.: 2017-79

**IN THE MATTER OF
MONEY GATE MORTGAGE
INVESTMENT CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN**

Timothy Moseley, Vice-Chair and Chair of the Panel

August 2, 2018

ORDER

WHEREAS on August 1, 2018 Staff of the Ontario Securities Commission advised that it was withdrawing its motion regarding the summary of expected evidence of Payam Katebian scheduled to be heard on August 8, 2018;

IT IS ORDERED THAT the August 8, 2018 date scheduled for the hearing of the motion is vacated.

“Timothy Moseley”

2.2.6 Matamec Explorations Inc.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceases to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

Decision N°: 2018-IC-0032

File N°: 14842

August 1, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
QUÉBEC AND ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE A
REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
MATAMEC EXPLORATIONS INC.
(the Filer)**

ORDER

Background

The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Autorité des marchés financiers is the principal regulator for this application,
- (b) the Filer has provided notice that subsection 4C.5(1) of *Regulation 11-102 respecting Passport System* (Regulation 11-102) is intended to be relied upon in British Columbia and Alberta; and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in *Regulation 14-101 respecting Definitions*, in *Regulation 11-102* and, in *Regulation 14-501Q respecting Definitions* have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under *Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in *Regulation 21-101 respecting Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Martin Latulippe”
Director, Continuous Disclosure
Autorité des marchés financiers

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Chapter 4

Cease Trading Orders

4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
Added Capital Inc.	03 August 2018	
Aquarius Surgical Technologies Inc.	03 August 2018	
Border Petroleum Limited	03 August 2018	
Lightning Ventures Inc.	03 August 2018	
Syncordia Technologies and Healthcare Solutions Corp.	03 August 2018	

4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
THERE IS NOTHING TO REPORT THIS WEEK.		

4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

Company Name	Date of Order	Date of Lapse
Katanga Mining Limited	15 August 2017	

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Chapter 7

Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).¹ The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).²

Guide to Codes

Relationship of Insider to Issuer (Rel=n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	7/31/2018	10	35.426	40,326	156
Abattis Bioceticals Corp.	Common Shares	Irving, James	4	8/1/2018	10	0.15	1,307,250	-236,000
ABcann Global Corporation	Common Shares	Clement, Kenneth	3	7/27/2018	10	1.15	26,252,158	-100,000
ABcann Global Corporation	Common Shares	Laflamme, Daniel	3	7/30/2018	00		46,250,000	
ABcann Global Corporation	Common Shares	Laflamme, Raymond	3	7/30/2018	00		46,250,000	
ABCOURT MINES INC.	Common Shares catégorie B	DÉCOCHIB	3	8/2/2018	10	0.05	41,933,541	50,000
ABCOURT MINES INC.	Common Shares catégorie B	Hinse, Renaud	3, 4, 5	8/2/2018	10	0.05	41,933,541	50,000
ABCOURT MINES INC.	Common Shares catégorie B	MESTRALLET, FRANCOIS JOSEPH PIERRE MARIE	3, 4	7/24/2018	10	0.055	7,130,000	200,000
Acasta Enterprises Inc.	Class B Shares	Wachsberg, Charles	3, 6, 7	7/18/2018	11		0	-833,333
Acasta Enterprises Inc.	Class B Shares	Wachsberg, Charles	3, 6, 7	7/18/2018	11		11,694,198	833,333
Acasta Enterprises Inc.	Class B Shares	Wachsberg, Richard	3, 6, 7	7/18/2018	11		0	-833,334
Acasta Enterprises Inc.	Class B Shares	Wachsberg, Richard	3, 6, 7	7/18/2018	11		11,694,198	833,334
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Angevine, Jill Terilee	4	7/31/2018	56		32,413	1,157
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Balog, Stephen	4	7/31/2018	56		41,534	1,157
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Fagerheim, Grant Bradley	4	7/31/2018	56		42,511	1,389
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Haggis, Paul	4	7/31/2018	56		46,524	1,273
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	McIntosh, Ronald A	4	7/31/2018	56		63,873	1,759
Aimia Inc.	Common Shares	Mittleman Investment Management, LLC	3	7/27/2018	97		26,836,547	-84,000
Air Canada	Options (Long-Term Incentive Plan)	Rousseau, Michael Stewart	5	7/27/2018	50		554,488	17,331
Air Canada	Options (Long-Term Incentive Plan)	Smith, Benjamin M.	7	7/27/2018	50		948,919	70,144
Alexandria Minerals Corporation	Options	Ashcroft, Mark Nicholas James	4	7/24/2018	00			
Alexandria Minerals Corporation	Options	Ashcroft, Mark Nicholas James	4	7/26/2018	50		1,000,000	1,000,000
Alexandria Minerals Corporation	Options	Ashcroft, Mark Nicholas James	4	7/30/2018	50		2,500,000	1,500,000
Alexandria Minerals Corporation	Options	Gundy, Peter Verity	4	7/30/2018	50	0.05	2,500,000	1,500,000
Alexandria Minerals Corporation	Options	Miranda, Mario Alejandro	5	7/24/2018	50		2,150,000	750,000
Alexandria Minerals Corporation	Options	O'Connor, Gary Vincent	4	7/31/2018	50		2,500,000	1,500,000
Alexandria Minerals Corporation	Options	Patil, Priya	4	7/30/2018	50	0.05	2,500,000	1,500,000
Algold Resources Ltd.	Deferred Share Units (DSUs)	Batoff, Mary Delores	4	3/31/2017	56		147,179	53,846
Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	7/30/2018	30	12.658	1,578,227	-4,941

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Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	7/31/2018	30	12.81	1,569,951	-8,276
Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	8/1/2018	30	12.748	1,567,069	-2,882
Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	8/2/2018	30	12.916	1,562,540	-4,529
Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	8/3/2018	30	13.039	1,557,393	-5,147
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	7/30/2018	30	12.658	1,814,225	-7,059
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	7/31/2018	30	12.81	1,802,401	-11,824
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	8/1/2018	30	12.748	1,798,283	-4,118
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	8/2/2018	30	12.916	1,791,812	-6,471
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	8/3/2018	30	13.039	1,784,459	-7,353
Alimentation Couche-Tard Inc.	Unité d'action différée	Bourque, Nathalie	4	8/1/2018	35	59.84	18,916	31
Alimentation Couche-Tard Inc.	Unité d'action différée	Boyko, Éric	4	8/1/2018	35	59.84	1,941	4
Alimentation Couche-Tard Inc.	Unité d'action différée	D'Amours, Jacques	4	8/1/2018	35	59.84	7,082	12
Alimentation Couche-Tard Inc.	Unité d'action différée	Élie, Jean André	4	8/1/2018	35	59.84	33,722	57
Alimentation Couche-Tard Inc.	Unité d'action différée	Fortin, Richard	4, 6, 7, 5	8/1/2018	35	59.84	20,833	34
Alimentation Couche-Tard Inc.	Unité d'action différée	Kau, Mélanie	4	8/1/2018	35	59.84	68,067	114
Alimentation Couche-Tard Inc.	Unité d'action différée	Leroux, Monique F.	4	8/1/2018	35	59.84	5,644	9
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	Plourde, Réal	4, 6, 7, 5	7/27/2018	10	60.21	97,000	-53,000
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	Plourde, Réal	4, 6, 7, 5	7/30/2018	10	60.1	96,000	-1,000
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	Plourde, Réal	4, 6, 7, 5	7/27/2018	10	60.09	171,000	-10,000
Alimentation Couche-Tard Inc.	Unité d'action différée	Plourde, Réal	4, 6, 7, 5	8/1/2018	35	59.84	7,042	12
Alimentation Couche-Tard Inc.	Unité d'action différée	Rabinowicz, Daniel	5	8/1/2018	35	59.84	5,569	10
Alliance Growers Corp.	Common Shares	Lambert, Ian Davidson	4	7/31/2018	10	0.161	319,500	5,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Common Shares	McDonald, Douglas John	4	8/2/2018	51	0.05	239,700	70,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Common Shares	McDonald, Douglas John	4	8/2/2018	51	0.19	279,700	40,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Options	McDonald, Douglas John	4	8/2/2018	51	0.05	180,000	-70,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Options	McDonald, Douglas John	4	8/2/2018	51	0.19	140,000	-40,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Options	Seegerstrom, Lawrence	4	7/31/2018	51	0.19		30,000

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Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Options	Segerstrom, Lawrence	4	7/31/2018	51	0.19	120,000	-30,000
Almadex Minerals Ltd. (formerly 1154229 B.C. Ltd.)	Options	Segerstrom, Lawrence	4	7/31/2018	51	0.05	50,000	-70,000
Altai Resources Inc.	Common Shares	AU, Maria Pui-Ching	5	8/1/2018	10	0.085	1,983,800	15,000
Altiplano Metals Inc.	Options	Mager, Sean Richard William	3, 4	10/12/2017	99		300,000	200,000
Altiplano Metals Inc.	Options	Mager, Sean Richard William	3, 4	7/30/2018	50		500,000	200,000
Altiplano Metals Inc.	Options	McPherson, Ian	4	7/17/2014	00			
Altiplano Metals Inc.	Options	McPherson, Ian	4	10/12/2017	50	0.14	100,000	100,000
Altiplano Metals Inc.	Options	McPherson, Ian	4	7/27/2018	50		300,000	200,000
Altiplano Metals Inc.	Common Shares	Williamson, John	3, 4	8/1/2018	51	0.3	2,795,168	200,000
Altiplano Metals Inc.	Common Shares	Williamson, John	3, 4	8/2/2018	10	0.3	1,449,071	7,500
Altiplano Metals Inc.	Common Shares	Williamson, John	3, 4	8/2/2018	10	0.3	1,451,571	2,500
Altiplano Metals Inc.	Options	Williamson, John	3, 4	7/27/2018	50	0.3	450,000	200,000
Altiplano Metals Inc.	Options	Williamson, John	3, 4	8/1/2018	51	0.3	250,000	-200,000
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	7/31/2018	10	0.89	22,742,400	33,500
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	8/1/2018	10	0.88	22,942,400	200,000
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	8/1/2018	10	0.88	2,378,000	50,000
Argex Titanium Inc.	Warrants	Alnaimi, Mazen	3, 4, 5	8/1/2018	53		44,706,499	4,300,000
Argex Titanium Inc.	Warrants	Dallala, Amir	4	8/1/2018	53		3,100,000	2,550,000
Argex Titanium Inc.	Warrants	Ghali, Abderraouf	3, 4	8/1/2018	53		12,050,000	2,050,000
Argex Titanium Inc.	Warrants	Haddad, Mazen	4, 5	8/1/2018	53		5,262,340	2,300,000
Argex Titanium Inc.	Warrants	Rais, Florian	4	8/1/2018	53		4,050,000	2,050,000
Aritzia Inc.	Subordinate Voting Shares	Bensadoun, Aldo	4	7/27/2018	47		170,000	170,000
Aritzia Inc.	Subordinate Voting Shares	Bensadoun, Aldo	4	7/27/2018	47		1,533,316	-170,000
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	7/30/2018	10	0.405	15,640,178	5,000
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/2/2018	38	2.15	100	100
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/17/2018	38	2.15	3,400	3,300
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/18/2018	38	2.15	4,902	1,502
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/19/2018	38	2.149	74,668	69,766
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/20/2018	38	2.15	96,554	21,886
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/24/2018	38	2.15	98,346	1,792
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/25/2018	38	2.1492	163,080	64,734
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/26/2018	38	2.1311	231,214	68,134
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/27/2018	38	2.1267	299,348	68,134
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/30/2018	38	2.1305	364,249	64,901

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Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	7/31/2018	38		64,901	-299,348
Atlantic Power Corporation	Preferred Shares Series 2	Atlantic Power Corporation	1	7/31/2018	38		0	-5,000
Atlantic Power Corporation	Preferred Shares Series 3	Atlantic Power Corporation	1	7/9/2018	38	17.9	19,600	19,600
Atlantic Power Corporation	Preferred Shares Series 3	Atlantic Power Corporation	1	7/10/2018	38	17.8	19,800	200
Atlantic Power Corporation	Preferred Shares Series 3	Atlantic Power Corporation	1	7/17/2018	38	18	41,695	21,895
Atlantic Power Corporation	Preferred Shares Series 3	Atlantic Power Corporation	1	7/31/2018	38		0	-41,695
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	7/30/2018	10	0.015	73,423,168	163,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	7/30/2018	10	0.015	73,433,168	10,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	7/31/2018	10	0.015	73,439,168	6,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	8/1/2018	10	0.015	73,614,168	175,000
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	7/27/2018	10	2.0999	24,237,870	36,000
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	7/30/2018	10	2.1	24,273,470	35,600
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	7/30/2018	10	2.13	24,291,170	17,700
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	8/1/2018	10	2.1	24,302,770	11,600
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	8/2/2018	10	2.1	24,316,170	13,400
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	7/27/2018	10	2.0999	24,237,870	36,000
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	7/30/2018	10	2.1	24,273,470	35,600
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	7/30/2018	10	2.13	24,291,170	17,700
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	8/1/2018	10	2.1	24,302,770	11,600
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	8/2/2018	10	2.1	24,316,170	13,400
AXS BLOCKCHAIN SOLUTIONS INC. (Form. Canadian Data Preserve Inc.)	Common Shares	Blockcorp Sociedad Anonima	3	8/1/2018	11	0.01	74,250,000	-8,250,000
Aztec Minerals Corp.	Common Shares	Varas, Jorge Patricio	4	7/30/2018	10	0.23	-24,500	-103,333
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	McDonald, Douglas John	4	8/2/2018	51	0.11	239,700	70,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	McDonald, Douglas John	4	8/2/2018	51	0.46	279,700	40,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Stock Options	McDonald, Douglas John	4	8/2/2018	51	0.11	180,000	-70,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Stock Options	McDonald, Douglas John	4	8/2/2018	51	0.46	140,000	-40,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	Segerstrom, Lawrence	4	7/31/2018	51	0.11	94,000	70,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	Segerstrom, Lawrence	4	7/31/2018	51	0.46	124,000	30,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	Segerstrom, Lawrence	4	8/1/2018	10	0.7106	99,000	-25,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	Segerstrom, Lawrence	4	8/2/2018	10	0.7213	59,000	-40,000
Azucar Minerals Ltd. (formerly Almadex Minerals Limited)	Common Shares	Segerstrom, Lawrence	4	8/3/2018	10	0.718	24,000	-35,000
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Aufreiter, Nora Anne	4	7/27/2018	56	76.58	7,842	473
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Aufreiter, Nora Anne	4	7/27/2018	30	76.58	7,921	79
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Babatz, Guillermo	4	7/27/2018	56	76.58	8,918	474
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Babatz, Guillermo	4	7/27/2018	30	76.58	9,008	90
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Bonham, Scott Barclay	4	7/27/2018	56	76.58	7,885	767
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Bonham, Scott Barclay	4	7/27/2018	30	76.58	7,961	76
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Dallara, Charles Harry	4	7/27/2018	56	76.58	9,139	473
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Dallara, Charles Harry	4	7/27/2018	30	76.58	9,232	93
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Macklem, Richard Tiffany	4	7/27/2018	56	76.58	10,579	898
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Macklem, Richard Tiffany	4	7/27/2018	30	76.58	10,682	103
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	O'Neill, Thomas Charles	4	7/27/2018	56	76.58	53,246	1,469
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	O'Neill, Thomas Charles	4	7/27/2018	30	76.58	53,800	554
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Pacheco, Eduardo	4	7/27/2018	56	76.58	9,215	767
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Pacheco, Eduardo	4	7/27/2018	30	76.58	9,306	91

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Penner, Michael D.	5	7/27/2018	56	76.58	3,269	767
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Penner, Michael D.	5	7/27/2018	30	76.58	3,296	27
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Power, Una Marie	4	7/27/2018	56	76.58	7,610	930
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Power, Una Marie	4	7/27/2018	30	76.58	7,682	72
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Regent, Aaron William	4	7/27/2018	56	76.58	19,765	898
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Regent, Aaron William	4	7/27/2018	30	76.58	19,967	202
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Samarasekera, Indira Vasanti	4	7/27/2018	56	76.58	34,682	474
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Samarasekera, Indira Vasanti	4	7/27/2018	30	76.58	35,048	366
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Segal, Susan Louise	4	7/27/2018	56	76.58	24,077	881
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Segal, Susan Louise	4	7/27/2018	30	76.58	24,326	249
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Thomas, Barbara Susan	4	7/27/2018	56	76.58	27,190	767
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Thomas, Barbara Susan	4	7/27/2018	30	76.58	27,473	283
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Thomson, Scott	4	7/27/2018	56	76.58	7,120	767
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Thomson, Scott	4	7/27/2018	30	76.58	7,188	68
Banyan Gold Corp.	Common Shares Class "A"	Christie, Tara	4	6/10/2013	00			
Banyan Gold Corp.	Common Shares Class "A"	Christie, Tara	4	8/1/2018	10	0.04	82,000	82,000
Banyan Gold Corp.	Common Shares Class "A"	Christie, Tara	4	7/30/2018	10	0.053	3,477,442	25,400
Barsele Minerals Corp.	Options	Tsakumis, Alexander	5	7/13/2018	50	0.63	750,000	350,000
Baylin Technologies Inc.	Deferred Share Units	Day, Stockwell	4	7/31/2018	56	3.35	57,889	746
Baylin Technologies Inc.	Deferred Share Units	Reiter, Barry	4	7/31/2018	56	3.35	81,555	995
Baylin Technologies Inc.	Deferred Share Units	Saska, David	4	7/31/2018	56	3.35	1,129	373
Baylin Technologies Inc.	Deferred Share Units	SIMMONDS, DONALD E.	4	7/31/2018	56	3.35	57,889	746
Baylin Technologies Inc.	Deferred Share Units	Wolkin, Harold Morton	4	7/31/2018	56	3.35	87,729	1,866
Belo Sun Mining Corp.	Common Shares	Sun Valley Gold LLC	3	8/1/2018	10	0.2	87,125,974	1,000,000
Belo Sun Mining Corp.	Common Shares	Sun Valley Gold Master Fund, Ltd.	3	8/1/2018	10	0.2	57,273,875	623,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Benchmark Botanics Inc.	Common Shares	Stowell, Claude Clifford	4	7/31/2018	10	0.54	1,351,100	-10,000
Benchmark Botanics Inc.	Common Shares	Stowell, Claude Clifford	4	8/2/2018	10	0.57	1,341,100	-10,000
Bengal Energy Ltd.	Common Shares	Chakrabarty, Chayan	4, 5	7/24/2018	30	0.1348	816,939	28,429
Bengal Energy Ltd.	Common Shares	MacMahon, Gordon R	5	7/24/2018	30	0.1348	180,543	2,224
Bengal Energy Ltd.	Common Shares	MacMahon, Gordon R	5	7/24/2018	30	0.1348	732,137	20,024
Bengal Energy Ltd.	Common Shares	Moorman, Matthew Joseph	5	7/24/2018	30	0.1348	88,970	22,249
Big Pharma Split Corp.	Common Shares Class A Shares	Big Pharma Split Corp.	1	7/31/2018	38	13.282	1,349,900	-9,700
Big Pharma Split Corp.	Preferred Shares	Big Pharma Split Corp.	1	7/30/2018	38	10.287	1,349,900	-9,700
Biocure Technology Inc.	Common Shares	Kim, Sang Goo	4	7/30/2018	10		129,723	-10,000
Black Tusk Resources Inc.	Common Shares	Penn, Richard Ryan	3, 4, 5	7/30/2018	10	0.2	2,424,500	10,000
Blackline Safety Corp.	Common Shares	Hayduk, Michael Franklin	4	7/31/2018	10	5.75	33,000	-2,000
Blackline Safety Corp.	Common Shares	Hayduk, Michael Franklin	4	7/31/2018	10	5.8	32,700	-300
BlissCo Cannabis Corp.	Common Shares	Ty, Sean	5	7/18/2018	00		16,667	
Blox Labs Inc.	Common Shares	Clarke, Thomas William	4	8/3/2018	37		399,999	266,666
Blox Labs Inc.	Options	Clarke, Thomas William	4	8/3/2018	37	0.04	750,000	500,000
BlueRush Inc. (formerly BlueRush Media Group Corp.)	Options	Badun, David R.	5	7/30/2018	00			
BlueRush Inc. (formerly BlueRush Media Group Corp.)	Options	Badun, David R.	5	7/30/2018	50		1,000,000	1,000,000
BMTC Group Inc.	Common Shares	Des Groseillers, Yves	4, 6, 7, 5	7/30/2018	10	15.56	21,285,307	400
BMTC Group Inc.	Common Shares	Des Groseillers, Yves	4, 6, 7, 5	7/30/2018	10	15.57	21,293,807	8,500
BMTC Group Inc.	Common Shares	Des Groseillers, Yves	4, 6, 7, 5	7/31/2018	10	15.57	21,300,000	6,193
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/3/2018	38	14.89	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/3/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/4/2018	38	14.9	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/4/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/5/2018	38	14.9	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/5/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/6/2018	38	14.87	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/6/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/9/2018	38	14.95	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/9/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/10/2018	10	14.87		1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/10/2018	38	14.87	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/10/2018	10			-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/10/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/11/2018	38	14.72	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/11/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/12/2018	38	14.45	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/12/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/13/2018	38	14.4	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/13/2018	38		0	-1,300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/16/2018	38	14.26	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/16/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/17/2018	38	14.01	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/17/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/18/2018	38	13.94	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/18/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/19/2018	38	13.77	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/19/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/20/2018	38	14.24	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/20/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/23/2018	38	14.01	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/23/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/24/2018	38	14.52	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/24/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/27/2018	38	15.1	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/27/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/30/2018	38	15.56	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/30/2018	38		0	-1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/31/2018	38	15.57	1,300	1,300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	7/31/2018	38		0	-1,300
Braveheart Resources Inc.	Common Shares	Keele, Philip Abbott	4, 5	8/1/2018	10	0.1	2,346,500	25,000
Brixton Metals Corporation	Common Shares	Thompson, Gary Robert	6	7/25/2018	10	0.22	1,671,084	20,000
Brixton Metals Corporation	Common Shares	Thompson, Gary Robert	6	7/27/2018	10	0.215	1,691,084	20,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/2/2018	38	18.972	52,631	52,631
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/3/2018	38	18.997	80,918	28,287
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/16/2018	38	19	81,218	300
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/17/2018	38	18.999	94,248	13,030
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/18/2018	38	18.994	103,781	9,533
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	7/18/2018	38		0	-103,781
BRP Inc.	Deferred Share Units	Cary, William H.	4	7/31/2018	56	61.747	11,330	527
BRP Inc.	Deferred Share Units	Hanley, Michael	4	7/31/2018	56	61.747	19,786	527
BRP Inc.	Deferred Share Units	Métayer, Estelle	4	7/31/2018	56	61.747	16,259	527
BRP Inc.	Deferred Share Units	O'Neill, Daniel J.	4	7/31/2018	56	61.747	19,786	527
BRP Inc.	Deferred Share Units	Philip, Edward Michael	4	7/31/2018	56	61.747	19,786	527
BRP Inc.	Deferred Share Units	Samardzich, Barbara Jean	4	7/31/2018	56	61.747	1,726	527
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	6/28/2018	38	1.3233	263,000	7,500
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/3/2018	38	1.3	17,000	9,500
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/5/2018	38	1.32	23,800	6,800
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/6/2018	38	1.33	24,300	500
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/9/2018	38	1.355	44,300	20,000

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BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/10/2018	38	1.337	51,400	7,100
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/11/2018	38	1.3467	66,400	15,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/12/2018	38	1.34	66,800	400
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/13/2018	38	1.3467	80,400	13,600
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/16/2018	38	1.3396	83,000	2,600
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/17/2018	38	1.34	93,000	10,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/18/2018	38	1.34	113,000	20,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/19/2018	38	1.33	133,000	20,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/20/2018	38	1.2816	140,600	7,600
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/23/2018	38	1.31	160,600	20,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/24/2018	38	1.3348	173,000	12,400
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/26/2018	38	1.3346	193,000	20,000
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/27/2018	38	1.33	196,300	3,300
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/30/2018	38	1.33	197,900	1,600
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/31/2018	38	1.3461	214,300	16,400
BSM Technologies Inc.	Common Shares	BSM Technologies Inc.	1	7/31/2018	38		18,000	-196,300
Buhler Industries Inc.	Common Shares	Buhler, John	3, 4	7/30/2018	10	3.6	3,779,986	6,700
C21 Investments Inc.	Options	Kidd, Michael Starrett	5	7/26/2018	00		125,000	
C21 Investments Inc.	Warrants	Kidd, Michael Starrett	5	7/26/2018	00		230,000	
C21 Investments Inc.	Common Shares	Macdonald, Donald Bruce	4	6/19/2018	00			
C21 Investments Inc.	Common Shares	Macdonald, Donald Bruce	4	7/26/2018	10	1.2	13,100	13,100
C21 Investments Inc.	Common Shares	Macdonald, Donald Bruce	4	7/27/2018	36	0.909	563,100	550,000
C21 Investments Inc.	Common Shares	Macdonald, Donald Bruce	4	7/27/2018	10	1.2	570,000	6,900
C21 Investments Inc.	Common Shares	Macdonald, Donald Bruce	4	7/31/2018	10	1.2	580,000	10,000
C21 Investments Inc.	Convertible Debentures	Macdonald, Donald Bruce	4	7/27/2018	36		\$0	(\$500,000)
Calfrac Well Services Ltd.	Common Shares	Brown, James Michael	5	7/30/2018	10	3.8498	12,060	85
Calfrac Well Services Ltd.	Common Shares	Brown, James Michael	5	7/31/2018	10	3.7291	12,710	650
Callidus Capital Corporation	Common Shares	Braslyn Ltd.	3	7/25/2018	35		8,293,239	247,773
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	7/25/2018	30	3.25	4,659,061	139,196
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner III Inc.	3	7/25/2018	30	3.25	24,864,507	742,867
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner IV Inc.	3	7/25/2018	30	3.25	10,869,302	324,737
Callidus Capital Corporation	Common Shares	Catalyst Fund II Parallel General Partner Inc.	3	7/25/2018	30	3.25	862,906	25,780
Callidus Capital Corporation	Common Shares	Lewis, Joseph C.	3	7/25/2018	35		8,293,239	247,773
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	7/25/2018	30	3.25	4,659,061	139,196
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	7/25/2018	30	3.25	24,864,507	742,867
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	7/25/2018	30	3.25	10,869,302	324,737

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Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	7/25/2018	30	3.25	862,906	25,780
Cameco Corporation	Common Shares	McHardy, Scott	5	7/31/2018	10	14	14,151	3,900
Canaccord Genuity Group Inc.	Common Shares	Daviau, Daniel Joseph	7	8/2/2018	10	6.7411	30,300	30,300
Canaco Resources Inc.	Common Shares	Davidson, Alexander John	5	4/4/2013	00			
Canaco Resources Inc.	Common Shares	Davidson, Alexander John	5	8/1/2018	00		133,333	
Canada Cobalt Works Inc. (formerly Castle Silver Resources Inc.)	Common Shares	MONETTE, JACQUES F.	4	7/19/2018	51	0.05	400,000	200,000
Canada Cobalt Works Inc. (formerly Castle Silver Resources Inc.)	Options	MONETTE, JACQUES F.	4	7/19/2018	51	0.05	200,000	-200,000
Canada Goose Holdings Inc.	Options	Mihaljevic, Ana	5	6/29/2018	51	1.7879		15,556
Canada Goose Holdings Inc.	Options	Mihaljevic, Ana	5	6/29/2018	51	1.7879	120,039	-15,556
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	51	38.185	31,839	17,114
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	10	117.54	14,725	-17,114
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	51	34.705	19,965	5,240
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	10	117.56	14,725	-5,240
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	51	25.62	17,875	3,150
Canadian National Railway Company	Common Shares	Cory, Michael A	5	7/26/2018	10	117.56	14,725	-3,150
Canadian National Railway Company	Options	Cory, Michael A	5	7/26/2018	51	38.185	227,674	-17,114
Canadian National Railway Company	Options	Cory, Michael A	5	7/26/2018	51	34.705	222,434	-5,240
Canadian National Railway Company	Options	Cory, Michael A	5	7/26/2018	51	25.62	219,284	-3,150
Canadian National Railway Company	Options	Ruest, Jean-Jacques	4	7/26/2018	50	90.17	448,559	55,053
Canadian National Railway Company	Performance Share Units/Unités d'actions de performance	Ruest, Jean-Jacques	4	7/26/2018	56	90.17	87,701	16,968
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	7/30/2018	38	175.49	5,000	5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	7/30/2018	38		0	-5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	7/31/2018	38	175.85	5,000	5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	7/31/2018	38		0	-5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/1/2018	38	177.63	5,000	5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/1/2018	38		0	-5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/2/2018	38	177.73	5,000	5,000

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Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/2/2018	38		0	-5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/3/2018	38	177.72	5,000	5,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	8/3/2018	38		0	-5,000
Canadian Tire Corporation, Limited	C.T.C. Dealer Holdings Interest	Cardinal, Michel	6	7/27/2018	16	190.34	3,060	124
Canadian Tire Corporation, Limited	C.T.C. Dealer Holdings Interest	Hatch, Robert	6	7/27/2018	16	190.34	3,012	116
Canadian Tire Corporation, Limited	C.T.C. Dealer Holdings Interest	McFadden, Larry Randall	6	7/27/2018	16	190.34	4,434	35
Canadian Tire Corporation, Limited	C.T.C. Dealer Holdings Interest	Weinbrecht, Markus	6	7/27/2018	16	190.34	2,796	2
Canadian Western Bank	Common Shares	Bibby, Andrew John	4	6/28/2018	30	35.9	9,053	62
Canadian Western Bank	Common Shares	Bibby, Andrew John	4	6/28/2018	30	35.9	1,105	7
CANADIAN ZINC CORPORATION	Common Shares	MacDonald, Robert John	5	7/30/2018	10	0.135	3,000,000	2,500
CANADIAN ZINC CORPORATION	Common Shares	MacDonald, Robert John	5	8/1/2018	10	0.13	3,119,500	119,500
CANADIAN ZINC CORPORATION	Common Shares	MacDonald, Robert John	5	8/2/2018	10	0.13	3,123,000	3,500
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	7/30/2018	10	0.05	88,000	23,000
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	8/1/2018	10	0.05	90,000	2,000
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	8/3/2018	10	0.05	113,000	23,000
Canfor Corporation	Common Shares	Canfor Corporation	1	7/30/2018	38	28.542	95,229	75,729
Canfor Corporation	Common Shares	Canfor Corporation	1	7/31/2018	38	28.298	527,329	432,100
Canfor Corporation	Common Shares	Canfor Corporation	1	8/1/2018	38	28.076	603,029	75,700
Canfor Corporation	Common Shares	Canfor Corporation	1	8/2/2018	38	28.255	678,329	75,300
Canfor Corporation	Common Shares	Canfor Corporation	1	8/3/2018	38	27.865	753,129	74,800
Cannvas MedTech Inc.	Common Shares	Loutskou, Steve	3, 4, 5	7/27/2018	16	0.35	5,439,404	1,750,000
Cannvas MedTech Inc.	Common Shares	Loutskou, Steve	3, 4, 5	8/2/2018	10	0.38	5,444,904	5,500
Cannvas MedTech Inc.	Common Shares	Loutskou, Steve	3, 4, 5	8/2/2018	10	0.385	5,454,904	10,000
Cannvas MedTech Inc.	Common Shares	Loutskou, Steve	3, 4, 5	8/2/2018	10	0.39	5,466,404	11,500
Cannvas MedTech Inc.	Common Shares	Moniz, Shawn	3, 4, 5	7/27/2018	16	0.35	4,956,991	1,750,000
Canoe Mining Ventures Corp.	Common Shares	Giyani Metals Corp.	3	1/29/2018	10	0.125	13,690,000	-2,800,000
Canso Credit Income Fund	Exposure to Issuer through Canso North Star Fund	Burns, Brenda Ellen	7	7/31/2018	70	7.5197	35,021	1,995
Canso Credit Income Fund	Exposure to Issuer through Canso Retirement and Savings Fund	Mudie, Gail Roberta	7	7/31/2018	70	6.2402	1	-2,049
Canstar Resources Inc.	Common Shares	Adventus Zinc Corporation	3	7/30/2018	00		86,681,695	
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	7/31/2018	10	0.155	4,137,000	5,000
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/3/2018	30	25.462	143,806	17,002
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/4/2018	30	25.467	188,208	44,402
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/5/2018	30	25.279	251,610	63,402

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Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/6/2018	30	25.439	315,012	63,402
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/6/2018	30		126,804	-188,208
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/9/2018	30	25.365	190,206	63,402
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/10/2018	30	25.396	252,708	62,502
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/11/2018	30	25.479	316,110	63,402
Capital Power Corporation	Common Shares	Capital Power Corporation	1	7/13/2018	30		0	-316,110
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	7/24/2018	10		380,500	500
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	7/24/2018	10		390,500	10,000
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	7/25/2018	10		405,500	15,000
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	7/27/2018	10		420,500	15,000
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	7/30/2018	10		435,500	15,000
Carmanah Technologies Corporation	Common Shares	Brown, Evan	5	8/1/2018	10	4.681	8,261	433
Carmanah Technologies Corporation	Common Shares	Simmons, John Charles	4, 5	7/30/2018	30	4.68	66,376	769
Cartier Iron Corporation (Formerly Northfield Metals Inc.)	Common Shares	LARSEN, THOMAS	4, 5	7/25/2018	10	0.07	360,500	35,000
Cartier Iron Corporation (Formerly Northfield Metals Inc.)	Common Shares	LARSEN, THOMAS	4, 5	8/2/2018	10	0.07	361,500	1,000
Cervus Equipment Corporation	Common Shares	Cervus Equipment Corporation	1	7/26/2018	38	13.365	1,300	1,300
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/25/2018	38	83.989	74,200	74,200
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/26/2018	38	84	98,200	24,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/27/2018	38	83.589	225,300	127,100
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/27/2018	38		151,100	-74,200
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/30/2018	38	82.587	287,100	136,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/30/2018	38		263,100	-24,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/31/2018	38	83.593	381,100	118,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	7/31/2018	38		254,000	-127,100
Champion Iron Limited	Common Shares Ordinary Shares (as per Australian securities law)	Frei, Beat	5	7/26/2017	00			
Champion Iron Limited	Common Shares Ordinary Shares (as per Australian securities law)	Frei, Beat	5	7/26/2017	00			
CHC Student Housing Corp.	Debentures	Murphy, Thomas William	4	3/5/2018	00			

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CHC Student Housing Corp.	Debentures	Murphy, Thomas William	4	7/11/2018	16	100000	\$100,000	\$100,000
CHC Student Housing Corp.	Debentures principal amount of subordinated secured debentures	Nyilassy, Simon	5	3/9/2018	00			
CHC Student Housing Corp.	Debentures principal amount of subordinated secured debentures	Nyilassy, Simon	5	7/11/2018	16	1E+06	\$100,000	\$100,000
CHC Student Housing Corp.	Debentures	Schwarz, Ronald	4	10/9/2014	00			
CHC Student Housing Corp.	Debentures	Schwarz, Ronald	4	7/11/2018	16	100000	\$100,000	\$100,000
CHC Student Housing Corp.	Debentures	Smith, Craig Gordon	4	11/20/2013	00			
CHC Student Housing Corp.	Debentures	Smith, Craig Gordon	4	7/11/2018	16		\$375,000	\$375,000
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Di Clemente, Lucio	4	7/31/2018	56	14.7	29,771	766
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Gee, David	4	7/31/2018	56	14.7	62,406	766
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	McArthur, Susan J.	4	7/31/2018	56	14.7	29,129	1,914
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Muzyka, Douglas	7	7/31/2018	56	14.7	5,081	2,552
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Rethy, Katherine Anne	4	7/31/2018	56	14.7	16,645	1,021
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Waisberg, Lorie	4	7/31/2018	56	14.7	55,317	1,999
Cherry Street Capital Inc.	Common Shares	ARBUCKLE, JOSH	4	7/20/2018	00		20,000	
Cherry Street Capital Inc.	Common Shares	Cheddie, Rudy	4	7/20/2018	00		400,000	
Cherry Street Capital Inc.	Common Shares	del Moral, Joseph	4	7/20/2018	00		400,000	
Cherry Street Capital Inc.	Common Shares	Faissal, Robert	4	7/20/2018	00		380,000	
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	7/25/2018	10	0.83	5,915,847	3,000
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	7/26/2018	10	0.84	988,100	13,500
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	7/27/2018	10	0.84	1,088,100	100,000
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	7/30/2018	10	0.82	1,101,600	13,500
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	7/31/2018	10	0.83	1,115,100	13,500
Claim Post Resources Inc.	Common Shares	Assman, John Kenneth	3, 6	2/1/2013	00			
Claim Post Resources Inc.	Common Shares	Assman, John Kenneth	3, 6	7/19/2018	51	0.1	150,000	150,000
Claim Post Resources Inc.	Options	Assman, John Kenneth	3, 6	7/19/2018	51	0.1	1,500,000	-150,000
Claim Post Resources Inc.	Common Shares	Paramount Resources Ltd.	3	8/2/2018	00		41,390,909	
Claim Post Resources Inc.	Common Shares	Sousa, Rodrigo	4	8/2/2018	00			
Claim Post Resources Inc.	Common Shares	Sousa, Rodrigo	4	8/2/2018	11	0.1	500,000	500,000
Cloud Nine Education Group Ltd.	Common Shares	Lee, Peter	5	8/1/2018	10	0.4		-35,000

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Cloud Nine Education Group Ltd.	Common Shares	Lee, Peter	5	8/1/2018	10	0.04	868,000	-35,000
Cloud Nine Education Group Ltd.	Common Shares	Lee, Peter	5	8/2/2018	10	0.04	848,000	-20,000
Co-operators General Insurance Company	Preferred Shares Class A Series B	Daniel, Kevin	7	7/31/2018	30	100	1,747	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	Daniel, Kevin	7	8/1/2018	30	100	1,762	15
Co-operators General Insurance Company	Preferred Shares Class A Series B	Guglietti, Lisa	5	8/1/2018	30	100	2,262	40
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	7/31/2018	30	100	965	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	8/1/2018	30	100	973	8
Co-operators General Insurance Company	Preferred Shares Class A Series B	McCombie, Richard Allen	7	7/31/2018	30	100	3,483	4
Co-operators General Insurance Company	Preferred Shares Class A Series B	McCombie, Richard Allen	7	8/1/2018	30	100	3,536	53
Co-operators General Insurance Company	Preferred Shares Class A Series B	Wesseling, Robert	4, 7, 5	8/1/2018	30	100	7,493	160
CO2 GRO Inc.	Common Shares	Gledhill, Stephen Mark	5	8/1/2018	46	0.176	94,976	11,363
Colorado Resources Ltd.	Common Shares	Gieselman, Terese	5	7/20/2018	51	0.08	650,000	400,000
Colorado Resources Ltd.	Options	Gieselman, Terese	5	7/20/2018	51	0.08	850,000	-400,000
Copperbank Resources Corp.	Common Shares	Bonifacio, Giulio	4, 5	8/2/2018	10	0.05	6,300,000	230,000
Copperbank Resources Corp.	Common Shares	Kovacevic, John Gianni	4, 5	8/3/2018	10	0.06	18,502,415	50,000
Coronado Resources Ltd.	Common Shares	Guidi, Alex P.	3	7/25/2018	10	1.05	607,713	200
Corus Entertainment Inc.	Non-Voting Shares Class B	Estate of James R. Shaw	3	7/31/2018	30	3.9616	1,679	40
Corus Entertainment Inc.	Non-Voting Shares Class B	Estate of James R. Shaw	3	7/31/2018	30	3.9616	184	22
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	1,172	64
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	469	30
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	469	30
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	469	30
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	469	30
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Bradley	3	7/31/2018	30	3.9616	234	15
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, JR	3	7/31/2018	30	3.9616	11,123	366
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Julie Marie	4	7/31/2018	30	3.9616	10,402	299
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Julie Marie	4	7/31/2018	30	3.9616	666	34
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Julie Marie	4	7/31/2018	30	3.9616	540	32
Corus Entertainment Inc.	Non-Voting Shares Class B	Shaw, Julie Marie	4	7/31/2018	30	3.9616	540	32

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CounterPath Corporation	Rights Deferred Share Units	Bruk, Steven John	3	7/26/2018	56		12,834	6,088
CounterPath Corporation	Rights Deferred Share Units	Cooper, Christopher	4	7/26/2018	56		62,330	12,176
CounterPath Corporation	Rights Deferred Share Units	Jones, Donovan	4, 5	7/26/2018	56		206,587	45,661
CounterPath Corporation	Rights Deferred Share Units	Joyce, Bruce Allan Russell	4	7/26/2018	56		49,341	12,176
CounterPath Corporation	Rights Deferred Share Units	Karp, David Lawrence	5	7/26/2018	56		83,198	22,830
CounterPath Corporation	Rights Deferred Share Units	Matthews, Terence, Hedley	3, 4, 5	7/26/2018	56		70,648	14,459
CounterPath Corporation	Rights Deferred Share Units	Timlick, Larry Elwood	4	7/26/2018	56		57,480	12,176
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Jensen, Twila Crystal	4	8/1/2018	30	0.3	150,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Jensen, Twila Crystal	4	8/1/2018	53	0.5	125,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Mah, Christine	4	8/1/2018	30	0.3	150,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Mah, Christine	4	8/1/2018	53	0.5	125,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Yorke, Michael	5	8/1/2018	30	0.3	700,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Yorke, Michael	5	8/1/2018	55	0.55	400,000	100,000
Crown Capital Partners Inc.	Common Shares	Crown Capital Partners Inc.	1	7/31/2018	10	10.13	26,100	26,100
Crown Capital Partners Inc.	Common Shares	Crown Capital Partners Inc.	1	8/3/2018	38		0	-26,100
Crystal Peak Minerals Inc.	Common Shares Voting	Mansanti, John Gerard	4, 5	7/27/2018	10	0.24	130,000	40,000
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	7/27/2018	90		27,602,737	1,052,181
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	7/27/2018	11	13.357	1,052,181	1,052,181
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	7/27/2018	90		0	-1,052,181
Currency Exchange International, Corp.	Common Shares	August, Joseph Andrade	4	8/2/2018	51	10.86	22,240	5,940
Currency Exchange International, Corp.	Common Shares	August, Joseph Andrade	4	8/2/2018	10	30.65	16,300	-5,940

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Currency Exchange International, Corp.	Options	August, Joseph Andrade	4	8/2/2018	51	10.86	15,264	-5,940
Currency Exchange International, Corp.	Common Shares	Mickleborough, Mark Douglas	4	7/30/2018	10	30.79		2,000
Currency Exchange International, Corp.	Common Shares	Mickleborough, Mark Douglas	4	7/30/2018	10	30.79	5,000	2,000
Currency Exchange International, Corp.	Common Shares	Pinna, Randolph W.	3, 4, 5	8/2/2018	51	10.86	1,386,880	26,700
Currency Exchange International, Corp.	Common Shares	Pinna, Randolph W.	3, 4, 5	8/2/2018	51	16.21	1,417,061	30,181
Currency Exchange International, Corp.	Common Shares	Pinna, Randolph W.	3, 4, 5	8/2/2018	10	30.65	1,360,180	-56,881
Currency Exchange International, Corp.	Options	Pinna, Randolph W.	3, 4, 5	8/2/2018	51	10.86	103,322	-26,700
Currency Exchange International, Corp.	Options	Pinna, Randolph W.	3, 4, 5	8/2/2018	51	16.21	73,141	-30,181
Currency Exchange International, Corp.	Common Shares	Sardo, Vincent James	4	8/2/2018	51	10.86	30,940	5,940
Currency Exchange International, Corp.	Common Shares	Sardo, Vincent James	4	8/2/2018	51	16.21	34,964	4,024
Currency Exchange International, Corp.	Common Shares	Sardo, Vincent James	4	8/2/2018	10	30.65	25,000	-9,964
Currency Exchange International, Corp.	Options	Sardo, Vincent James	4	8/2/2018	51	10.86	15,264	-5,940
Currency Exchange International, Corp.	Options	Sardo, Vincent James	4	8/2/2018	51	16.21	11,240	-4,024
Currency Exchange International, Corp.	Common Shares	Schillo, Matthew Alan	5	8/3/2018	51	10.86	13,024	10,680
Currency Exchange International, Corp.	Options	Schillo, Matthew Alan	5	8/3/2018	51	10.86	26,266	-10,680
Currency Exchange International, Corp.	Common Shares	Stromme, Linda Anne	4	8/2/2018	51	16.21	11,224	4,024
Currency Exchange International, Corp.	Common Shares	Stromme, Linda Anne	4	8/2/2018	51	24.64	15,636	4,412
Currency Exchange International, Corp.	Common Shares	Stromme, Linda Anne	4	8/2/2018	51	25.52	17,425	1,789
Currency Exchange International, Corp.	Common Shares	Stromme, Linda Anne	4	8/2/2018	10	30.65	7,200	-10,225
Currency Exchange International, Corp.	Options	Stromme, Linda Anne	4	8/2/2018	51	16.21	11,240	-4,024
Currency Exchange International, Corp.	Options	Stromme, Linda Anne	4	8/2/2018	51	24.64	6,828	-4,412
Currency Exchange International, Corp.	Options	Stromme, Linda Anne	4	8/2/2018	51	25.52	5,039	-1,789
DC Acquisition Corp.	Common Shares	Dewdney, Johnathan	4, 5	7/3/2018	00		600,000	
DC Acquisition Corp.	Options	Dewdney, Johnathan	4, 5	7/3/2018	00			
DC Acquisition Corp.	Options	Dewdney, Johnathan	4, 5	7/31/2018	50	0.1	250,000	250,000
DC Acquisition Corp.	Common Shares	Goulet, Matthew Lawrence	5	7/31/2018	15	0.1	725,000	125,000
DC Acquisition Corp.	Options	Goulet, Matthew Lawrence	5	7/31/2018	50	0.1	250,000	250,000
DC Acquisition Corp.	Common Shares	Ross, Harrison Albert	4, 5	7/31/2018	15	0.1	800,000	200,000
DC Acquisition Corp.	Options	Ross, Harrison Albert	4, 5	7/31/2018	50	0.1	250,000	250,000
DC Acquisition Corp.	Common Shares	Stadnyk, Zachary Thomas	4, 5	7/31/2018	15	0.1	800,000	200,000

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DC Acquisition Corp.	Common Shares	Stadnyk, Zachary Thomas	4, 5	7/31/2018	15	0.1	800,000	200,000
DC Acquisition Corp.	Options	Stadnyk, Zachary Thomas	4, 5	7/31/2018	50	0.1	250,000	250,000
DC Acquisition Corp.	Options	Tsafalas, George	4	7/31/2018	50	0.1	250,000	250,000
Delphi Energy Corp.	Common Shares	Batteke, Hugo	5	7/31/2018	30	0.88	485,389	7,796
Delphi Energy Corp.	Common Shares	BEHR, John	5	7/31/2018	30	0.88	172,144	6,974
Delphi Energy Corp.	Common Shares	Behrman, Mark Dwayne	5	7/31/2018	30	0.88	99,972	9,072
Delphi Energy Corp.	Common Shares	Galvin, Michael	5	7/31/2018	30	0.88	176,490	8,165
Delphi Energy Corp.	Common Shares	Hume, Rod Allan	5	7/31/2018	30	0.88	457,919	9,072
Delphi Energy Corp.	Common Shares	Reid, David James	4, 5	7/31/2018	30	0.88	984,754	11,340
Detour Gold Corporation	Common Shares	Anwyll, Edward William Drew	5	7/30/2018	51		49,200	33,000
Detour Gold Corporation	Common Shares	Anwyll, Edward William Drew	5	7/30/2018	10	12.54	16,200	-33,000
Detour Gold Corporation	Options	Anwyll, Edward William Drew	5	7/30/2018	51		265,419	-33,000
Detour Gold Corporation	Rights Deferred Share Units	Colnett, Lisa	4	7/30/2018	56	12.94	46,248	9,660
Detour Gold Corporation	Rights Deferred Share Units	Dowling, Edward Camp	4	7/30/2018	56	12.94	24,248	9,660
Detour Gold Corporation	Common Shares	Doyle, Robert Emmet	4	7/31/2018	51	12.82	14,900	12,500
Detour Gold Corporation	Options	Doyle, Robert Emmet	4	7/31/2018	51	10.53	44,461	-12,500
Detour Gold Corporation	Rights Deferred Share Units	Doyle, Robert Emmet	4	7/30/2018	56	12.94	58,396	9,660
Detour Gold Corporation	Rights Deferred Share Units	Falzon, Andre Roger	4	7/30/2018	56	12.94	55,798	9,660
Detour Gold Corporation	Common Shares	Gaborit, Laurence	5	7/30/2018	51	10.53	38,565	6,000
Detour Gold Corporation	Common Shares	Gaborit, Laurence	5	7/30/2018	10	12.63	32,565	-6,000
Detour Gold Corporation	Options	Gaborit, Laurence	5	7/30/2018	51	10.53	78,921	-6,000
Detour Gold Corporation	Common Shares	Heredia, Alberto Francis	5	7/30/2018	51	3.93	27,000	27,000
Detour Gold Corporation	Common Shares	Heredia, Alberto Francis	5	7/30/2018	51	10.53	30,600	3,600
Detour Gold Corporation	Common Shares	Heredia, Alberto Francis	5	7/30/2018	10	12.58	3,600	-27,000
Detour Gold Corporation	Common Shares	Heredia, Alberto Francis	5	7/30/2018	10	12.57	0	-3,600
Detour Gold Corporation	Options	Heredia, Alberto Francis	5	7/30/2018	51		100,273	-27,000
Detour Gold Corporation	Options	Heredia, Alberto Francis	5	7/30/2018	51		96,673	-3,600
Detour Gold Corporation	Rights Deferred Share Units	Hibbard, Ingrid Jo-Ann	4	7/30/2018	56	12.94	41,553	9,660
Detour Gold Corporation	Rights Deferred Share Units	Kenyon, John Michael	4, 5	7/30/2018	56	12.94	29,274	9,660
Detour Gold Corporation	Rights Deferred Share Units	Morrison, Alexander	4	7/30/2018	56	12.94	41,553	9,660
Detour Gold Corporation	Rights Deferred Share Units	Rubenstein, Jonathan A.	4	7/30/2018	56	12.94	41,823	9,660
Detour Gold Corporation	Rights Restricted Share Units	Wallin, Ruben	5	7/30/2018	56	12.94	17,776	7,728
DiaMedica Therapeutics Inc.	Common Shares	Verdoorn, Todd	5	5/9/2016	00			
DiaMedica Therapeutics Inc.	Common Shares	Verdoorn, Todd	5	7/31/2018	10	0.45	10,000	10,000
DiaMedica Therapeutics Inc.	Common Shares	Verdoorn, Todd	5	8/1/2018	10	0.456	20,000	10,000

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DIRTT Environmental Solutions Ltd.	Common Shares	Baker, Tracy May	5	3/31/2018	30	5.5	310,678	2,311
DIRTT Environmental Solutions Ltd.	Common Shares	Baker, Tracy May	5	6/30/2018	30	5.97	312,808	2,130
DIRTT Environmental Solutions Ltd.	Common Shares	Gosling, Geoffrey William	5	3/31/2018	30	5.5	933,484	2,311
DIRTT Environmental Solutions Ltd.	Common Shares	Gosling, Geoffrey William	5	6/30/2018	30	5.97	935,614	2,130
DIRTT Environmental Solutions Ltd.	Common Shares	Tran, Ha Thi	5	3/31/2018	30	5.5	24,062	1,159
DIRTT Environmental Solutions Ltd.	Common Shares	Tran, Ha Thi	5	6/30/2018	30	6.02	25,138	1,076
Discovery One Investment Corp.	Common Shares	Brownlie, Leonard William	4, 5	7/26/2018	00		400,000	
Discovery One Investment Corp.	Options	Brownlie, Leonard William	4, 5	7/26/2018	00		300,000	
Discovery One Investment Corp.	Common Shares	McLeod, Christopher Raymond	4	7/26/2018	00		400,000	
Discovery One Investment Corp.	Options	McLeod, Christopher Raymond	4	7/26/2018	00		300,000	
Dollarama Inc.	Deferred Share Units (DSU)	Bekenstein, Joshua	4	7/30/2018	56	48.094	14,394	323
Dollarama Inc.	Deferred Share Units (DSU)	Bekenstein, Joshua	4	8/1/2018	35	47.246	14,406	12
Dollarama Inc.	Deferred Share Units (DSU)	David, Gregory	4	7/30/2018	56	48.094	9,856	145
Dollarama Inc.	Deferred Share Units (DSU)	David, Gregory	4	8/1/2018	35	47.246	9,865	9
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70			1
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70			1
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70			1
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70			1
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70			1
Dollarama Inc.	Equity Swap - Long Position (Exp. date: Jan. 26, 2018)	Dollarama Inc.	1	1/30/2017	70		1	1
Dollarama Inc.	Deferred Share Units (DSU)	Garcia C., Elisa D.	4	7/30/2018	56	48.094	12,936	307
Dollarama Inc.	Deferred Share Units (DSU)	Garcia C., Elisa D.	4	8/1/2018	35	47.246	12,947	11
Dollarama Inc.	Deferred Share Units (DSU)	Gunn, Stephen	4	8/1/2018	35	47.246	6,547	6
Dollarama Inc.	Deferred Share Units (DSU)	Mugford, Kristin Williams	4	7/30/2018	56	48.094	1,877	348

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dollarama Inc.	Deferred Share Units (DSU)	Mugford, Kristin Williams	4	8/1/2018	35	47.246	1,879	2
Dollarama Inc.	Deferred Share Units (DSU)	Nomicos, Nicholas George	4	7/30/2018	56	48.094	13,994	413
Dollarama Inc.	Deferred Share Units (DSU)	Nomicos, Nicholas George	4	8/1/2018	35	47.246		16
Dollarama Inc.	Deferred Share Units (DSU)	Nomicos, Nicholas George	4	8/1/2018	35	47.246	14,006	12
Dollarama Inc.	Deferred Share Units (DSU)	Roy, Richard G	4	7/30/2018	56	48.094	13,963	400
Dollarama Inc.	Deferred Share Units (DSU)	Roy, Richard G	4	8/1/2018	35	47.246	13,975	12
Dollarama Inc.	Deferred Share Units (DSU)	Thomas, John Huw	4	7/30/2018	56	48.094	14,020	291
Dollarama Inc.	Deferred Share Units (DSU)	Thomas, John Huw	4	8/1/2018	35	47.246	14,032	12
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/3/2018	38	6.9403	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/4/2018	38	6.9707	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/5/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/5/2018	38	6.9263	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/6/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/6/2018	38	6.987	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/9/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/9/2018	38	6.9664	13,100	6,100
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/10/2018	38		6,100	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/10/2018	38	6.9924	13,100	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/11/2018	38		7,000	-6,100
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/11/2018	38	6.9919	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/12/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/12/2018	38	6.9934	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/13/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/13/2018	38	6.9769	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/16/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/16/2018	38	6.9826	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/17/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/17/2018	38	6.983	14,000	7,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/18/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/18/2018	38	6.9833	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/19/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/19/2018	38	6.929	14,000	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/20/2018	38		7,000	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/20/2018	38	6.9163	11,900	4,900
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/23/2018	38		4,900	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/23/2018	38	6.9122	9,800	4,900
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/24/2018	38		4,900	-4,900
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/24/2018	38	6.952	11,900	7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/25/2018	38		7,000	-4,900
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/25/2018	38	6.9	7,400	400
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/26/2018	38		400	-7,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/26/2018	38	6.8997	6,400	6,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/27/2018	38		6,000	-400
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/27/2018	38	6.8508	12,000	6,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/30/2018	38		6,000	-6,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/30/2018	38	6.8963	10,300	4,300
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/31/2018	38		4,300	-6,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	7/31/2018	38	6.9	9,300	5,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	8/1/2018	38		5,000	-4,300
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	8/2/2018	38		0	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/3/2018	38	9.6894	13,400	3,100
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/4/2018	38	9.6531	90,100	87,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/5/2018	38		87,000	-3,100
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/5/2018	38	9.5604	102,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/6/2018	38		15,000	-87,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/6/2018	38	9.5257	30,000	15,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/9/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/9/2018	38	9.4899	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/10/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/10/2018	38	9.4372	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/11/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/11/2018	38	9.4365	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/12/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/12/2018	38	9.449	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/13/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/13/2018	38	9.4373	28,700	13,700
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/16/2018	38		13,700	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/16/2018	38	9.5427	28,700	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/17/2018	38		15,000	-13,700
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/17/2018	38	9.5048	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/18/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/18/2018	38	9.4557	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/19/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/19/2018	38	9.2625	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/20/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/20/2018	38	9.1216	29,100	14,100
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/23/2018	38		14,100	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/23/2018	38	9.0514	29,000	14,900
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/24/2018	38		14,900	-14,100
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/24/2018	38	9.1871	29,900	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/25/2018	38		15,000	-14,900
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/25/2018	38	9.1407	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/26/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/26/2018	38	9.0755	30,000	15,000

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DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/27/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/27/2018	38	9.0454	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/30/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/30/2018	38	8.9618	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/31/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/31/2018	38	8.9484		15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/31/2018	38	8.9484		15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/31/2018	38	8.9484		15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	7/31/2018	38	8.9484	30,000	15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	8/1/2018	38		15,000	-15,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	8/2/2018	38		0	-15,000
Dundee Corporation	Subordinate Voting Shares Class A	Goodman, Ned	3	2/20/2018	99			-209,283
Dundee Corporation	Subordinate Voting Shares Class A	Goodman, Ned	3	2/20/2018	99		2,369,988	-147,934
Duran Ventures Inc.	Warrants Issued in Private Placements	Brunelle, Steven Samuel	4	7/25/2018	55		300,000	-166,667
Duran Ventures Inc.	Warrants Issued in Private Placements	Hamilton, Daniel	5	7/25/2018	55		360,000	-600,000
Duran Ventures Inc.	Warrants Issued in Private Placements	Reeder, Jeffrey	4	7/25/2018	52		500,000	-531,000
Duran Ventures Inc.	Warrants Issued in Private Placements	Reeder, Jeffrey	4	7/25/2018	52		2,600,000	-250,000
Eagle Graphite Incorporated	Common Shares	Akdeniz, Sinan	6	8/3/2018	10	0.15	20,722,207	20,500
Eagle Graphite Incorporated	Common Shares	Deith, James Thomas	3, 4, 6, 5	8/3/2018	10	0.15	20,722,207	20,500
Eagle Graphite Incorporated	Common Shares	Latitude Minerals Inc.	3	8/3/2018	10	0.15	20,722,207	20,500
Eagle Graphite Incorporated	Common Shares	Logie, Timothy Douglas	6, 5	8/3/2018	10	0.15	20,722,207	20,500
East Coast Investment Grade Income Fund	Units	Arrow Capital Management Inc.	7	7/30/2018	10	9.85	26,100	-1,400
East Coast Investment Grade Income Fund	Units	Arrow Capital Management Inc.	7	7/31/2018	10	9.85	18,900	-7,200
East Coast Investment Grade Income Fund	Units	Arrow Capital Management Inc.	7	8/1/2018	10	9.8906	11,800	-7,100
East Coast Investment Grade Income Fund	Units	Arrow Capital Management Inc.	7	8/3/2018	10	9.85	7,800	-4,000
Ellipsiz Communications Ltd. (formerly NXA Inc.)	Common Shares	Lin, Fen-Lin Huang	3	6/12/2018	11	0.01	1,289,000	-2,000,000
Empire Company Limited	Non-Voting Shares Class A	Dickson, James Malcolm	4	8/3/2018	10	26.169	13,350	2,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Empire Company Limited	Common Shares Class B	Sobey, Frank C.	7	12/28/2017	97			41,875
Empire Company Limited	Common Shares Class B	Sobey, Frank C.	7	12/28/2017	97		8,755,047	42,051
Empire Company Limited	Non-Voting Shares Class A	Sobey, Frank C.	7	8/2/2018	51		32,987	9,242
Empire Company Limited	Options	Sobey, Frank C.	7	8/2/2018	51		19,866	-14,418
Empire Company Limited	Options	Sobey, Frank C.	7	8/2/2018	51		12,765	-7,101
Empire Company Limited	Options	Sobey, Frank C.	7	8/2/2018	51		5,454	-7,311
Empire Company Limited	Options	Sobey, Frank C.	7	8/2/2018	51		0	-5,454
Empire Company Limited	Common Shares Class B	Sobey, Karl Rolland	4, 6	12/28/2017	71			36,942
Empire Company Limited	Common Shares Class B	Sobey, Karl Rolland	4, 6	12/28/2017	97		7,723,625	37,097
Empire Company Limited	Non-Voting Shares Class A	Sood, Vivek	5	7/27/2018	90		1,707	573
Empire Company Limited	Non-Voting Shares Class A	Sood, Vivek	5	7/27/2018	90		0	-573
Empire Company Limited	Non-Voting Shares Class A	Vels, Michael Harold	5	7/24/2018	10	26.49	30,000	5,000
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Cazalot, Jr., Clarence Peter	4	3/1/2018	30		2,564	41
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Cazalot, Jr., Clarence Peter	4	3/16/2018	56		3,600	1,036
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Cazalot, Jr., Clarence Peter	4	6/1/2018	30		3,661	61
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Cazalot, Jr., Clarence Peter	4	6/8/2018	56		4,713	1,052
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	3/1/2018	30		11,177	179
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	3/16/2018	56		13,149	1,972
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	6/1/2018	30		13,373	224
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	6/8/2018	56			2,002
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	6/8/2018	56			2,002
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Coutu, Marcel R.	4	6/8/2018	56		15,375	2,002
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Ebel, Gregory Lorne	4	3/1/2018	30		5,402	86
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Ebel, Gregory Lorne	4	3/16/2018	56		7,474	2,072
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Ebel, Gregory Lorne	4	6/1/2018	30		7,601	127
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Ebel, Gregory Lorne	4	6/8/2018	56		9,704	2,103
Enbridge Inc.	Common Shares	England, James Herbert	4	3/1/2018	30	32.25	15,373	214
Enbridge Inc.	Common Shares	England, James Herbert	4	3/16/2018	30	40.89	16,926	1,553
Enbridge Inc.	Common Shares	England, James Herbert	4	6/1/2018	30	30.39	17,173	247
Enbridge Inc.	Common Shares	England, James Herbert	4	6/8/2018	30	40.09	18,750	1,577
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	England, James Herbert	4	3/1/2018	30		63,633	1,014

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	England, James Herbert	4	3/16/2018	56		64,251	618
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	England, James Herbert	4	6/1/2018	30		65,346	1,095
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	England, James Herbert	4	6/8/2018	56		65,973	627
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Fischer, Charles Wayne	4	3/1/2018	30		29,109	464
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Fischer, Charles Wayne	4	3/16/2018	56		30,205	1,096
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Fischer, Charles Wayne	4	6/1/2018	30		30,720	515
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Fischer, Charles Wayne	4	6/8/2018	56		31,832	1,112
Enbridge Inc.	Common Shares	Kempston Darkes, V. Maureen	4	3/1/2018	30	41.43	21,366	181
Enbridge Inc.	Common Shares	Kempston Darkes, V. Maureen	4	6/1/2018	30	39.37	21,560	194
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Kempston Darkes, V. Maureen	4	3/1/2018	30		25,467	406
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Kempston Darkes, V. Maureen	4	3/16/2018	56		27,518	2,051
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Kempston Darkes, V. Maureen	4	6/1/2018	30		27,987	469
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Kempston Darkes, V. Maureen	4	6/8/2018	56		30,070	2,083
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	McShane, Michael	4	3/1/2018	30		2,564	41
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	McShane, Michael	4	3/16/2018	56		4,536	1,972
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	McShane, Michael	4	6/1/2018	30		4,614	78
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	McShane, Michael	4	6/8/2018	56		6,616	2,002
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Phelps, Michael E.J.	4	3/1/2018	30		995	16
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Phelps, Michael E.J.	4	3/16/2018	56		1,563	568
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Phelps, Michael E.J.	4	6/1/2018	30		1,589	26
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Phelps, Michael E.J.	4	6/8/2018	56		2,166	577
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	3/1/2018	30	32.25		2,252
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	3/1/2018	30	32.25		2,252
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	3/1/2018	30	32.25	161,778	2,252
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	6/1/2018	30	30.39	164,051	2,273
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	3/1/2018	30	32.25	481,563	5,923
Enbridge Inc.	Common Shares	Tutcher, Dan Curtis	4	6/1/2018	30	30.39	487,534	5,971
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Tutcher, Dan Curtis	4	3/1/2018	30		92,302	1,471
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Tutcher, Dan Curtis	4	3/16/2018	56		94,354	2,052
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Tutcher, Dan Curtis	4	6/1/2018	30		95,962	1,608

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Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Tutcher, Dan Curtis	4	6/8/2018	56		98,045	2,083
Enbridge Inc.	Common Shares	Williams, Catherine L.	4	3/1/2018	30	41.43	46,305	642
Enbridge Inc.	Common Shares	Williams, Catherine L.	4	3/16/2018	30	40.89	47,241	936
Enbridge Inc.	Common Shares	Williams, Catherine L.	4	6/1/2018	30	39.37	47,944	703
Enbridge Inc.	Common Shares	Williams, Catherine L.	4	6/8/2018	30	40.09	48,894	950
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Williams, Catherine L.	4	3/1/2018	30		37,892	604
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Williams, Catherine L.	4	3/16/2018	56		38,460	568
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Williams, Catherine L.	4	6/1/2018	30		39,115	655
Enbridge Inc.	Rights - Deferred Share Units (DSUs)	Williams, Catherine L.	4	6/8/2018	56		39,692	577
Enbridge Income Fund	Trust Units	Enbridge Income Fund Holdings Inc.	3	7/30/2018	10	31.92	175,854,628	263,910
Enbridge Income Fund	Trust Units	Enbridge Income Fund Holdings Inc.	3	7/30/2018	10	32.57	175,854,677	49
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	5/25/2018	30	27.41	34,882,086	62,891
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	5/25/2018	30	27.97	34,882,107	21
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	6/29/2018	30	28.77	34,944,025	61,918
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	6/29/2018	30	29.36	34,944,061	36
Encana Corporation	Shareholder Appreciation Rights	Kimmit, Russell Paul	7	8/3/2018	59	11.35	73,829	-2,951
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	7/30/2018	38	0.4798	60,000	60,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	7/30/2018	38	0.4798	0	-60,000
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	7/2/2018	38		0	-7,802
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	8/2/2018	38		0	-13,201
Equitorial Exploration Corp.	Common Shares	Jassal, Brijender (Binny) Jassal	4, 5	7/30/2018	10	0.055	100,000	100,000
European Dividend Growth Fund	Units	Caranci, Mark A.	4, 5	7/27/2018	10	9.25	11,000	1,000
European Dividend Growth Fund	Units	Caranci, Mark A.	4, 5	7/27/2018	10	9.37	12,000	1,000
European Focused Dividend Fund	Trust Units	Brasseur, Jeremy	6	8/2/2018	10	8.8919	42,200	-28,800
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	7/27/2018	38	8.8643	594,500	1,400
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	7/30/2018	38	8.8354	600,600	6,100
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	7/31/2018	38	8.8943	605,000	4,400
Everton Resources Inc.	Common Shares	Audet, André	4, 5	8/2/2018	10	0.03	4,957,700	253,000
Excellon Resources Inc.	Restricted Share Units	Cahill, Brendan Thomas	4, 5	8/1/2018	56		659,888	19,531
Excellon Resources Inc.	Deferred Share Units	Curtis, Laurence Wilson	4	8/1/2018	56		171,010	13,086
Excellon Resources Inc.	Deferred Share Units	Farncomb, Andrew	4	8/1/2018	56		94,581	5,664
Excellon Resources Inc.	Deferred Share Units	Fernandez Mena, Oliver	4	8/1/2018	56		342,973	8,301
Excellon Resources Inc.	Common Shares	Flood, Denis P.	5	8/1/2018	57	1.25	50,000	30,000
Excellon Resources Inc.	Restricted Share Units	Flood, Denis P.	5	8/1/2018	57		250,000	-30,000

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Excellon Resources Inc.	Deferred Share Units	Fortier, André Y.	4	8/1/2018	56		506,786	7,324
Excellon Resources Inc.	Deferred Share Units	McMullen, Jacques	4	8/1/2018	56		99,718	5,469
Exchange Income Corporation	Common Shares	Exchange Income Corporation	1	7/31/2018	38		0	-44,100
eXeBlock Technology Corporation	Common Shares	Davison, James Roderick	5	7/16/2018	00			
eXeBlock Technology Corporation	Common Shares	Davison, James Roderick	5	7/16/2018	50	0.15	500,000	500,000
Exro Technologies Inc. (formerly BioDE Ventures Ltd.)	Common Shares	Meekison, William John	5	10/24/2017	00			
Exro Technologies Inc. (formerly BioDE Ventures Ltd.)	Common Shares	Meekison, William John	5	7/26/2018	16	0.25	20,000	20,000
Fairfax India Holdings Corporation	Multiple Voting Shares	Fairfax Financial Holdings Limited	3	7/31/2018	90	16.1	1,981,000	1,243,000
Fairfax India Holdings Corporation	Multiple Voting Shares	Fairfax Financial Holdings Limited	3	7/31/2018	90	16.1	3,716,400	-1,243,000
Fengro Industries Corp. (formerly DuSolo Fertilizers Inc.)	Common Shares	Tembo Capital Mining GP Limited	3	7/23/2018	11	0.14	25,289,091	6,316,072
Firm Capital Property Trust	Units	Goldfarb, Jeff	4	8/2/2018	00		8,668	
Firm Capital Property Trust	Units	Granovski, Victoria Shali	4	8/2/2018	00			
First Capital Realty Inc.	Common Shares	Mooallem, Jeffrey	4	8/1/2018	00			
First Capital Realty Inc.	Deferred Share Units	Mooallem, Jeffrey	4	8/1/2018	00			
First National Financial Corporation	Preferred Shares FN.PR.B	Inglis, Robert	7, 5	8/2/2018	10	14	1,150	450
First Quantum Minerals Ltd	Performance Share Units	Buck, Wyatt McKinlay	5	7/17/2018	57		56,533	-13,211
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Calder, Brendan	4	7/30/2018	10	107.1	5,082	-2,800
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Hennick, Jay Steward	3, 4, 6, 5	7/27/2018	47		1,342,526	-180,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Hennick, Jay Steward	3, 4, 6, 5	6/1/2015	00			
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Hennick, Jay Steward	3, 4, 6, 5	7/27/2018	47		180,000	180,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Natale, Michael	7	7/27/2018	10	110	5,000	-1,000
Five Star Diamonds Limited	Common Shares	Byambaa, Zolzaya	3	7/31/2018	30	0.2	16,869,912	2,729,912
Five Star Diamonds Limited	Convertible Debentures	R&R Venture Partners II LLC	3	4/20/2017	00			
Five Star Diamonds Limited	Convertible Debentures	R&R Venture Partners II LLC	3	8/2/2018	16		\$653,000	\$653,000
Five Star Diamonds Limited	Warrants	R&R Venture Partners II LLC	3	4/20/2017	00			
Five Star Diamonds Limited	Warrants	R&R Venture Partners II LLC	3	8/2/2018	16		326,500	326,500
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105		10,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105		10,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105		3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105		3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115		3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115		3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115		6,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115		6,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115	147,500	6,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115	150,500	3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105	153,500	3,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.105	163,500	10,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115	168,500	5,000
Five Star Diamonds Limited	Common Shares	South, Jeremy Thomas	5	8/3/2018	10	0.115	173,500	5,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	8/2/2018	10	0.12	15,000	5,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	8/3/2018	10	0.105	17,000	2,000
Forsys Metals Corp	Common Shares	Leonardo Trust	3	7/25/2018	10	0.23	74,186,501	-63,500
Forsys Metals Corp	Common Shares	Leonardo Trust	3	7/26/2018	10	0.23	74,179,501	-7,000
Forsys Metals Corp	Common Shares	Leonardo Trust	3	7/27/2018	10	0.23	74,029,001	-150,500
Forsys Metals Corp	Common Shares	Leonardo Trust	3	7/30/2018	10	0.23	74,010,001	-19,000
Fortune Minerals Limited	Options	McVey, John Wallace	6	8/10/2015	00		1,000,000	
Fox River Resources Corporation	Common Shares	Case, Stephen Dunbar	3, 4, 5	7/30/2018	10	0.06	8,133,838	2,000
Freegold Ventures Limited	Options	Downing, Taryn	5	7/23/2018	50	0.1	65,000	20,000
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	8/2/2018	15	30	15,711	63
Frontenac Mortgage Investment Corporation	Common Shares	REISER, DAWN	5	8/2/2018	15	30	1,583	20
Future Farm Technologies Inc.	Options	Stanley, Craig	4	7/26/2018	38		337,500	-112,500
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/10/2018	10	11.5	2,100	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/11/2018	10	11.5	2,200	100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/16/2018	10	11.5	4,300	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/17/2018	10	11.5	6,400	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/19/2018	10	11.499	8,500	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/20/2018	10	11.4	10,600	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/23/2018	10	11.5	12,700	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/24/2018	10	11.937	13,000	300
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/25/2018	10	12	15,100	2,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/30/2018	10	12.08	17,200	2,100

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Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/30/2018	38		2,100	-15,100
Gamehost Inc.	Common Shares	Gamehost Inc.	1	7/31/2018	10	12.1	3,300	1,200
GAR Limited	Common Shares	Binns, Mark Andrew	4	8/3/2018	10	0.125	378,500	5,000
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/2/2018	38	33.326		7,840
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/2/2018	38	33.326		7,840
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/2/2018	38	33.326	1,455,475	7,840
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/3/2018	38	33.199		117,838
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/3/2018	38	33.199		117,838
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/3/2018	38	33.199	1,573,313	117,838
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/4/2018	38	33.3	1,593,313	20,000
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/5/2018	38	33.186	1,596,313	3,000
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/9/2018	38	33.05	1,606,313	10,000
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/10/2018	38	33.03	1,631,174	24,861
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/11/2018	38	32.901	1,704,437	73,263
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/12/2018	38	32.762	1,723,085	18,648
Gazit-Globe Ltd.	Common Shares	Gazit-Globe Ltd.	1	7/23/2018	38		0	-1,723,085
Generic Gold Corp. (formerly, Wamco Technology Group Ltd.)	Common Shares	Malcolm, Kelly James	5	7/30/2018	10	0.23	115,000	3,000
Geodrill Limited	Common Shares	Geodrill Limited	1	7/1/2018	00			
Gitennes Exploration Inc.	Common Shares	booth, kenneth david	4, 5	7/26/2018	10	0.05	144,500	20,000
Glance Technologies Inc.	Options	Anciano, Lewisa	5	8/1/2018	50	0.34	400,000	200,000
Glance Technologies Inc.	Options	Burke, Laura	5	6/28/2018	50	0.52		150,000
Glance Technologies Inc.	Options	Burke, Laura	5	6/28/2018	50	0.5	213,000	150,000
Glance Technologies Inc.	Options	Burke, Laura	5	8/1/2018	50	0.34	338,000	125,000
Glance Technologies Inc.	Options	Herrington, Kirk	4	8/1/2018	50	0.34	412,500	387,500
Glance Technologies Inc.	Options	Hoyles, Jonathan	5	6/28/2018	38			-200,000
Glance Technologies Inc.	Options	Hoyles, Jonathan	5	6/28/2018	38			-200,000
Glance Technologies Inc.	Options	Hoyles, Jonathan	5	8/1/2018	50	0.34	400,000	200,000
Glance Technologies Inc.	Options	Steve, Cadigan	4	6/11/2018	00			
Glance Technologies Inc.	Options	Steve, Cadigan	4	8/1/2018	50	0.34	200,000	200,000
Glance Technologies Inc.	Options	Timlick, Larry	4	8/1/2018	50	0.34	400,000	200,000
Glance Technologies Inc.	Options	Topham, James Walter	4	8/1/2018	50	0.34	500,000	200,000
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	7/27/2018	38	9.875	187,600	3,200
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	7/30/2018	38	9.8903	193,700	6,100
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	7/31/2018	38	9.8754	203,700	10,000
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	8/1/2018	38	9.8551	207,400	3,700
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	8/2/2018	38	9.86	207,900	500
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	7/30/2018	10	0.19	1,410,723	500
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	7/30/2018	10	0.19	1,412,723	2,000
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	7/30/2018	10	0.19	1,415,723	3,000

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Globex Mining Enterprises Inc.	Common Shares	Globex Mining Enterprises Inc.	1	7/26/2018	38	0.37	35,500	15,500
Globex Mining Enterprises Inc.	Common Shares	Globex Mining Enterprises Inc.	1	7/31/2018	38		0	-35,500
Globex Mining Enterprises Inc.	Common Shares	Globex Mining Enterprises Inc.	1	7/31/2018	38	0.38	9,500	9,500
Globex Mining Enterprises Inc.	Common Shares	Globex Mining Enterprises Inc.	1	8/1/2018	38	0.38	19,000	9,500
Gluskin Sheff + Associates Inc.	Common Shares	Aubin, Amy Jean	5	8/1/2018	10	16.713	3,784	-321
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Appel, David Harry	4	7/31/2018	56	42.36	58,703	79
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Basian, Karen	4	7/31/2018	56	42.36	18,211	79
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Doniz, Susan	4	7/31/2018	56	42.36	3,256	79
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Johnson, Donald Kenneth	3, 4	7/31/2018	56	42.36	66,700	79
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Morrison, Sean	4	7/31/2018	56	42.36	5,764	79
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Thomson, David J.	4	7/31/2018	56	42.36	23,465	79
Goldcorp Inc.	Common Shares	Ellefson, Jenine	5	7/25/2018	00		7,512	
Goldcorp Inc.	Options	Ellefson, Jenine	5	7/25/2018	00		52,817	
Goldcorp Inc.	Performance Share Units (Cash Settled)	Ellefson, Jenine	5	7/25/2018	00			
Goldcorp Inc.	Rights	Ellefson, Jenine	5	7/25/2018	00		24,226	
Golden Dawn Minerals Inc.	Common Shares	Bender, Stefan	4	7/31/2018	10	0.18	0	-13,500
Golden Queen Mining Co. Ltd.	Common Shares	Clay, Jonathan	3	2/22/2018	97		0	-32,000
Golden Queen Mining Co. Ltd.	Common Shares	Clay, Jonathan	3	2/22/2018	10	0.1325	3,333,413	2,251,727
Golden Queen Mining Co. Ltd.	Common Shares	Clay, Jonathan	3	2/22/2018	10	0.1325	185,000	135,000
Golden Queen Mining Co. Ltd.	Common Shares	Clay, Jonathan	3	2/22/2018	10	0.1325	26,855,821	19,597,491
Golden Star Resources Ltd.	Common Shares	Coetzer, Samuel Theodorus	4, 5	8/3/2018	10	0.9323	448,715	43,500
Golden Star Resources Ltd.	Common Shares	Walsh, Karen Denise	5	8/3/2018	10	0.7292	475,500	30,000
Gran Colombia Gold Corp.	Common Shares	Gran Colombia Gold Corp.	1	7/26/2018	11	0.25		31,000,000
Gran Colombia Gold Corp.	Common Shares	Gran Colombia Gold Corp.	1	7/26/2018	11	0.25		31,000,000
Gran Colombia Gold Corp.	Warrants	Gran Colombia Gold Corp.	1	7/26/2016	00			
Gran Colombia Gold Corp.	Warrants	Gran Colombia Gold Corp.	1	7/26/2016	00			
Gran Colombia Gold Corp.	Warrants	Gran Colombia Gold Corp.	1	7/26/2018	11			16,000,000
Gran Colombia Gold Corp.	Warrants	Gran Colombia Gold Corp.	1	7/26/2018	11			16,000,000
Great Lakes Graphite Inc.	Common Shares	Coscia, Michael Anthony	5	8/3/2018	10	0.047	400,000	100,000
Great-West Lifeco Inc.	Common Shares	Great-West Lifeco Inc.	1	7/31/2018	38	32.29	203,364	203,364

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Great-West Lifeco Inc.	Common Shares	Great-West Lifeco Inc.	1	7/31/2018	38		0	-203,364
GrowMax Resources Corp.	Common Shares	Malhi, Kulwant	3	8/1/2018	00		1,500,000	
GrowMax Resources Corp.	Common Shares	Malhi, Kulwant	3	8/1/2018	00		21,656,500	
GrowMax Resources Corp.	Common Shares	Malhi, Kulwant	3	8/2/2018	10	0.135	22,130,500	474,000
Harte Gold Corp.	Common Shares	Howarth, Shawn	5	7/26/2018	10	0.3674	170,000	25,000
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/3/2018	38	3.8456	28,659	7,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/4/2018	38	3.8866	14,800	7,100
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/5/2018	38		7,100	-7,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/5/2018	38	3.8491	23,059	15,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/6/2018	38		15,959	-7,100
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/6/2018	38	3.8885	27,359	11,400
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/9/2018	38		11,400	-15,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/9/2018	38	3.8746	28,159	16,759
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/10/2018	38		16,759	-11,400
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/10/2018	38	3.85	37,718	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/11/2018	38		20,959	-16,759
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/11/2018	38	3.8282	41,859	20,900
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/12/2018	38		20,900	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/12/2018	38	3.783	41,859	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/13/2018	38		20,959	-20,900
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/13/2018	38	3.8385	32,759	11,800
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/16/2018	38		11,800	-20,959

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High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/16/2018	38	3.8697	32,759	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/17/2018	38		20,959	-11,800
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/17/2018	38	3.8698	32,659	11,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/18/2018	38		11,700	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/18/2018	38	3.8688	32,659	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/19/2018	38		20,959	-11,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/19/2018	38	3.817	41,918	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/20/2018	38		20,959	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/20/2018	38	3.822	35,959	15,000
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/23/2018	38		15,000	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/23/2018	38	3.8	22,100	7,100
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/24/2018	38		7,100	-15,000
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/24/2018	38	3.8	28,059	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/25/2018	38		20,959	-7,100
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/25/2018	38	3.7892	41,918	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/26/2018	38		20,959	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/26/2018	38	3.7916	41,918	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/27/2018	38		20,959	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/27/2018	38	3.8098	41,918	20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/30/2018	38		20,959	-20,959

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High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/30/2018	38	3.827	28,659	7,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/31/2018	38		7,700	-20,959
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	7/31/2018	38	3.8638	18,259	10,559
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	8/1/2018	38		10,559	-7,700
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	8/1/2018	38	3.8869	23,659	13,100
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	8/2/2018	38		13,100	-10,559
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Anderson, Bruce	5	7/24/2018	00		4,431,267	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Anderson, Bruce	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Anderson, Bruce	5	7/31/2018	50		1,036,054	1,036,054
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Bolshin, Brian	5	7/24/2018	00		3,189,008	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Bolshin, Brian	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Chambers, Hinta	5	7/24/2018	00		450,000	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Chambers, Hinta	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Chambers, Hinta	5	7/31/2018	50		690,702	690,702
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Warrants	Chambers, Hinta	5	7/24/2018	00		225,000	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Donnelly, Terence	4, 5	7/24/2018	00		142,875	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Donnelly, Terence	4, 5	7/24/2018	00		2,291,972	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Donnelly, Terence	4, 5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Donnelly, Terence	4, 5	7/31/2018	50		1,464,623	1,464,623
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Warrants	Donnelly, Terence	4, 5	7/24/2018	00		71,437	

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Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Fraser, John Charles	7	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Fraser, John Charles	7	7/31/2018	50		299,063	299,063
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	James Douglas, Taylor	5	7/24/2018	00		1,145,986	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	James Douglas, Taylor	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	James Douglas, Taylor	5	7/31/2018	50		1,036,054	1,036,054
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Nicholson, June	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Nicholson, June	5	7/31/2018	50		863,378	863,378
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Pullara, David	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Pullara, David	5	7/31/2018	50		690,702	690,702
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	rosen, paul	4	7/31/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	rosen, paul	4	7/31/2018	00		622,468	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	rosen, paul	4	7/31/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	rosen, paul	4	7/31/2018	00		469,957	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Warrants	rosen, paul	4	7/31/2018	00		285,720	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Ruddle, Kevin	4	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Ruddle, Kevin	4	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Ruddle, Kevin	4	7/24/2018	00		2,294,782	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Ruddle, Kevin	4	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Ruddle, Kevin	4	7/31/2018	50		469,957	469,957
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Sawatzky, Rudy	3, 7	7/24/2018	00		17,464,522	

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Sawatzky, Rudy	3, 7	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Sawatzky, Rudy	3, 7	7/31/2018	50		299,063	299,063
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Warrants	Sawatzky, Rudy	3, 7	7/24/2018	00		2,768,877	
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Common Shares	Shergill, Parvinder	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Shergill, Parvinder	5	7/24/2018	00			
Hill Street Beverage Company Inc. (formerly Avanco Capital Corp.)	Options	Shergill, Parvinder	5	7/31/2018	50		85,000	85,000
Hoist Capital Corp.	Options	Merani, Ashif	5	7/30/2018	00		112,000	
Holloway Lodging Corporation	Common Shares	Holloway Lodging Corporation	1	7/10/2018	30	6.05	300,900	175,100
Holloway Lodging Corporation	Common Shares	Holloway Lodging Corporation	1	7/31/2018	38		0	-300,900
HUSKY ENERGY INC.	Options	Hinkel, Robert Martin	7	7/30/2018	59	15.67	340,971	-25,709
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gaffney, Thomas Anthony	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gaffney, Thomas Anthony	4	7/30/2018	50	0.31		450,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gaffney, Thomas Anthony	4	7/30/2018	50	0.31	225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Gaffney, Thomas Anthony	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Gaffney, Thomas Anthony	4	7/23/2018	56		225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gibbs, Dayna	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gibbs, Dayna	4	7/30/2018	50	0.31		450,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Gibbs, Dayna	4	7/30/2018	50	0.31	225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Gibbs, Dayna	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Gibbs, Dayna	4	7/23/2018	56		225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	McGarrigle, Christopher Allen Browne	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	McGarrigle, Christopher Allen Browne	5	7/30/2018	50	0.31		1,800,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	McGarrigle, Christopher Allen Browne	5	7/30/2018	50	0.31	900,000	900,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	McGarrigle, Christopher Allen Browne	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	McGarrigle, Christopher Allen Browne	5	7/23/2018	56		900,000	900,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Segal, Robert Ian	4, 6, 5	1/25/2018	00			

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HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Segal, Robert Ian	4, 6, 5	7/30/2018	50	0.31		1,530,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Segal, Robert Ian	4, 6, 5	7/30/2018	50	0.31		1,530,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Segal, Robert Ian	4, 6, 5	7/30/2018	50	0.31	765,000	765,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Segal, Robert Ian	4, 6, 5	1/25/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Segal, Robert Ian	4, 6, 5	7/23/2018	56		765,000	765,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Smart, Timothy William	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Smart, Timothy William	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Smart, Timothy William	5	7/30/2018	50	0.31		2,750,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Smart, Timothy William	5	7/30/2018	50	0.31		1,375,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Smart, Timothy William	5	7/30/2018	50	0.31		1,375,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Smart, Timothy William	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Smart, Timothy William	5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Smart, Timothy William	5	7/23/2018	56			1,375,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Smart, Timothy William	5	7/23/2018	56			1,375,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	So, Eric	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	So, Eric	4	7/30/2018	50	0.31		1,000,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	So, Eric	4	7/30/2018	50	0.31	500,000	500,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	So, Eric	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	So, Eric	4	7/23/2018	56		500,000	500,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Spoehel, Ronald Ross	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Spoehel, Ronald Ross	4	7/30/2018	50	0.31		450,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Spoehel, Ronald Ross	4	7/30/2018	50	0.31	225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Spoehel, Ronald Ross	4	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Spoehel, Ronald Ross	4	7/23/2018	56		225,000	225,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Walsh, Sean Michael	4, 5	7/10/2018	00			
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Walsh, Sean Michael	4, 5	7/30/2018	50	0.31		900,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Options	Walsh, Sean Michael	4, 5	7/30/2018	50	0.31	450,000	450,000
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Walsh, Sean Michael	4, 5	7/10/2018	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
HyperBlock Inc. (formerly, CryptoGlobal Corp.)	Rights Restricted Share Units	Walsh, Sean Michael	4, 5	7/23/2018	56		450,000	450,000
ICESoft Technologies Canada Corp.(formerly Stinton Exploration Ltd.)	Common Shares	Francis, Steven Mark	4	7/27/2018	16	0.12	536,954	150,000
ICESoft Technologies Canada Corp.(formerly Stinton Exploration Ltd.)	Warrants	Francis, Steven Mark	4	7/27/2018	16		300,000	150,000
IGM Financial Inc.	Executive Performance Share Units	Asman, Todd	7	7/31/2018	30	39.05	8,480	120
IGM Financial Inc.	Restricted Share Units	Asman, Todd	7	7/31/2018	30	39.05	979	14
IGM Financial Inc.	Executive Performance Share Units	Carney, Jeffrey	4	7/31/2018	30	39.05	90,651	1,287
IGM Financial Inc.	Senior Executive Share Units	Carney, Jeffrey	4	7/31/2018	30	39.05	9,462	135
IGM Financial Inc.	Executive Performance Share Units	Dibden, Michael William	7	7/31/2018	30	39.05	6,977	99
IGM Financial Inc.	Restricted Share Units	Dibden, Michael William	7	7/31/2018	30	39.05	2,791	40
IGM Financial Inc.	Executive Performance Share Units	Elavia, Tony	7	7/31/2018	30	39.05	19,761	281
IGM Financial Inc.	Restricted Share Units	Elavia, Tony	7	7/31/2018	30	39.05	2,877	41
IGM Financial Inc.	Senior Executive Share Units	Elavia, Tony	7	7/31/2018	30	39.05	4,153	59
IGM Financial Inc.	Executive Performance Share Units	Gould, J. Luke	7	7/31/2018	30	39.05	6,303	89
IGM Financial Inc.	Restricted Share Units	Gould, J. Luke	7	7/31/2018	30	39.05	1,227	18
IGM Financial Inc.	Equity Forward - IGM 13	IGM Financial Inc.	1	7/31/2018	30	39.05	3	1
IGM Financial Inc.	Equity Forward - IGM7	IGM Financial Inc.	1	7/31/2018	30	39.05	6	1
IGM Financial Inc.	Equity Forward Contract - IGM 6	IGM Financial Inc.	1	7/31/2018	30	39.05	9	1
IGM Financial Inc.	Equity Swap - IGM 12	IGM Financial Inc.	1	7/31/2018	30	39.05	4	1
IGM Financial Inc.	Equity Swap - IGM 12	IGM Financial Inc.	1	7/31/2018	30	39.05	0	-4
IGM Financial Inc.	Equity Swap - IGM14	IGM Financial Inc.	1	7/31/2018	30	39.05	2	1
IGM Financial Inc.	Equity Swap - IGM15	IGM Financial Inc.	1	4/2/2004	00			
IGM Financial Inc.	Equity Swap - IGM15	IGM Financial Inc.	1	7/31/2018	70	39.05	1	1
IGM Financial Inc.	Executive Performance Share Units	Kinzel, Mark Richard	7	7/31/2018	30	39.05	12,114	172
IGM Financial Inc.	Restricted Share Units	Kinzel, Mark Richard	7	7/31/2018	30	39.05	1,403	20
IGM Financial Inc.	Executive Performance Share Units	Lawrence, Ian	7	7/31/2018	30	39.05	2,532	36
IGM Financial Inc.	Restricted Share Units	Lawrence, Ian	7	7/31/2018	30	39.05	942	14
IGM Financial Inc.	Executive Performance Share Units	MacDonald, Donald James	7	7/31/2018	30	39.05	4,107	59
IGM Financial Inc.	Restricted Share Units	MacDonald, Donald James	7	7/31/2018	30	39.05	752	11
IGM Financial Inc.	Executive Performance Share Units	McCullum, David	7	7/31/2018	30	39.05	5,998	86
IGM Financial Inc.	Restricted Share Units	McCullum, David	7	7/31/2018	30	39.05	1,072	15
IGM Financial Inc.	Senior Executive Share Units	McCullum, David	7	7/31/2018	30	39.05	10,760	153
IGM Financial Inc.	Executive Performance Share Units	McDevitt, Charles	7	7/31/2018	30	39.05	15,712	223

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IGM Financial Inc.	Executive Performance Share Units	McInerney, Barry	7	7/31/2018	30	39.05	49,316	700
IGM Financial Inc.	Executive Performance Share Units	Milne, Douglas	7	7/31/2018	30	39.05	2,320	33
IGM Financial Inc.	Restricted Share Units	Milne, Douglas	7	7/31/2018	30	39.05	928	13
IGM Financial Inc.	Executive Performance Share Units	Sen, Subhas	7	7/31/2018	30	39.05	3,088	44
IGM Financial Inc.	Restricted Share Units	Sen, Subhas	7	7/31/2018	30	39.05	918	13
IGM Financial Inc.	Senior Executive Share Units	Tretiak, Gregory Dennis	4, 6	7/31/2018	30	39.05	8,214	117
iLOOKABOUT Corp.	Common Shares	iLOOKABOUT Corp.	1	7/26/2018	00			
iLOOKABOUT Corp.	Common Shares	iLOOKABOUT Corp.	1	7/26/2018	38	0.175	84,000	84,000
Imagination Park Entertainment Inc.	Options	Skippen, James	4	7/12/2018	50	0.2	800,000	700,000
IMAX Corporation	Common Shares	IMAX Corporation	1	8/1/2018	30		218,473	-1,025
IMAX Corporation	Options 1:1	Pomeroy, JL	5	7/27/2018	50	21.75	38,438	26,215
IMAX Corporation	Rights Restricted Share Unit	Pomeroy, JL	5	7/27/2018	56		34,944	23,793
IMAX Corporation	Common Shares	Welton, Mark	5	7/27/2018	51	15.9	23,366	10,000
IMAX Corporation	Common Shares	Welton, Mark	5	7/27/2018	10	21.76	13,366	-10,000
IMAX Corporation	Options 1:1	Welton, Mark	5	7/27/2018	51	15.9	170,854	-10,000
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	7/26/2018	38	44.05	556,648,633	-112,001
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	7/27/2018	38	43.66	556,536,632	-112,001
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	7/30/2018	38	44.04	556,424,631	-112,001
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	7/31/2018	38	44.55	556,312,630	-112,001
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	8/1/2018	38	43.73	556,200,629	-112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/26/2018	38	43.428	48,920	48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/26/2018	38	43.428	0	-48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/26/2018	38	44.05	112,001	112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/26/2018	38	44.05	0	-112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/27/2018	38	43.409	48,920	48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/27/2018	38	43.409	0	-48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/27/2018	38	43.66	112,001	112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/27/2018	38	43.66	0	-112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/30/2018	38	44.29	48,920	48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/30/2018	38	44.29	0	-48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/30/2018	38	44.04	112,001	112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/30/2018	38	44.04	0	-112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/31/2018	38	44.235	48,923	48,923
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/31/2018	38	44.235	0	-48,923
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/31/2018	38	44.55	112,001	112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	7/31/2018	38	44.55	0	-112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	8/1/2018	38	44.061	48,920	48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	8/1/2018	38	44.061	0	-48,920
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	8/1/2018	38	43.73	112,001	112,001
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	8/1/2018	38	43.73	0	-112,001
IMV Inc. (formerly Immunovaccine Inc.)	Common Shares	Smithers, Alfred	4	7/10/2018	47	6.39	24,050	-7,200
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	8/2/2018	11	0.06	1,480,127	1,000

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Industrial Alliance Insurance and Financial Services inc.	Options	Potvin, Jacques	5	7/31/2018	50	52.66	53,166	4,166
Industrial Alliance Insurance and Financial Services inc.	Options	Ricard, Denis	5	7/31/2018	50	52.66	236,333	13,333
Infinite Lithium Corp.	Common Shares	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Common Shares	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Common Shares	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Options	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Options	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Options	Boily, Michel Boily	4	11/20/2017	00			
Infinite Lithium Corp.	Options	Boily, Michel Boily	4	7/24/2018	50	0.13	100,000	100,000
Infinite Lithium Corp.	Options	England, Michael Bruno John Franz	4, 5	8/1/2018	50	0.13	718,750	300,000
Infinite Lithium Corp.	Options	Masters, John Parker	4, 5	8/1/2018	50	0.13	355,000	150,000
Inner Spirit Holdings Ltd.	Common Shares	Auxly Cannabis Group Inc.	3	7/20/2018	00		18,882,353	
Inner Spirit Holdings Ltd.	Common Shares	Auxly Cannabis Group Inc.	3	7/31/2018	16	0.15	25,941,177	7,058,824
Inner Spirit Holdings Ltd.	Options	Auxly Cannabis Group Inc.	3	7/20/2018	00		450,000	
Inner Spirit Holdings Ltd.	Warrants	Auxly Cannabis Group Inc.	3	7/20/2018	00		661,765	
Inner Spirit Holdings Ltd.	Warrants	Auxly Cannabis Group Inc.	3	7/31/2018	16	0.15	4,191,177	3,529,412
Inner Spirit Holdings Ltd.	Common Shares	Gulka, Christopher David	4, 5	7/31/2018	15	0.15	1,416,666	666,666
Inner Spirit Holdings Ltd.	Warrants	Gulka, Christopher David	4, 5	7/20/2018	00			
Inner Spirit Holdings Ltd.	Warrants	Gulka, Christopher David	4, 5	7/31/2018	15		333,334	333,334
Inner Spirit Holdings Ltd.	Common Shares	MacDonald, William Colin	4	7/20/2018	00			
Inner Spirit Holdings Ltd.	Options	Wosk, Larry	4	7/20/2018	00		450,000	
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/18/2017	11	0.06	151,000	150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/19/2017	10	0.06		150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/19/2017	10	0.06		150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/19/2017	10	0.06		150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	1/17/2017	00			
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	1/17/2017	00			
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/18/2017	11	0.06	0	-150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/19/2017	10	0.06		-150,000
Inomin Mines Inc.	Common Shares	Winfield, William Douglas Bruce	4	12/19/2017	10	0.06		-150,000
iSIGN Media Solutions Inc.	Common Shares	DeCristofaro, Anthony	4	7/13/2018	46	0.08	154,583	106,666
Ivmet Inc.	Common Shares	Snell, David	4, 5	7/31/2018	10	0.025	14,422,427	50,000
Ivmet Inc.	Common Shares	Topolniski, Chris	5	8/1/2018	10	0.03	2,048,000	5,000

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Jewett-Cameron Trading Company Ltd.	Common Shares	Boone, Donald Mark	4, 5	7/26/2018	10	8.05	653,272	-13,600
Jewett-Cameron Trading Company Ltd.	Common Shares	Boone, Donald Mark	4, 5	8/1/2018	10	8.1816	641,801	-11,471
Jewett-Cameron Trading Company Ltd.	Common Shares	Nasser, Michael Charles	5	7/25/2018	10	7.9	227,500	-1,000
Jewett-Cameron Trading Company Ltd.	Common Shares	Nasser, Michael Charles	5	7/26/2018	10	7.75	217,500	-10,000
Jewett-Cameron Trading Company Ltd.	Common Shares	Nasser, Michael Charles	5	7/26/2018	10	8.0353	185,033	-32,467
Jourdan Resources Inc.	Common Shares	Lemieux, Maxime	4	8/2/2018	16	0.06		66,667
Jourdan Resources Inc.	Common Shares	Lemieux, Maxime	4	8/2/2018	16	0.075	109,167	66,667
Jourdan Resources Inc.	Warrants	Lemieux, Maxime	4	8/2/2018	16	0.6	53,333	33,333
KHAN RESOURCES INC.	Common Shares	HFT Strategies Inc	3	7/16/2018	10	0.07	10,439,000	1,000
KHAN RESOURCES INC.	Common Shares	HFT Strategies Inc	3	7/19/2018	10	0.07	10,440,000	1,000
KHAN RESOURCES INC.	Common Shares	HFT Strategies Inc	3	7/20/2018	10	0.0775	10,420,000	-20,000
KHAN RESOURCES INC.	Common Shares	HFT Strategies Inc	3	7/26/2018	10	0.08	10,419,000	-1,000
Kingsway Financial Services Inc.	Common Shares	Baqar, Hassan Raza	5	7/31/2018	10	2.8849	40,388	346
Kingsway Financial Services Inc.	Common Shares	Fitzgerald, John Taylor Maloney Fitzgerald	4, 5	7/31/2018	10	2.8849	375,107	578
Kingsway Financial Services Inc.	Common Shares	Hickey, William A.	5	7/31/2018	10	2.8849	79,620	520
Kingsway Financial Services Inc.	Common Shares	Swets, Jr., Larry Gene	3, 4, 5	7/31/2018	10	2.8849	714,201	722
Labrador Iron Mines Holdings Limited	Common Shares	Kearney, John Francis	4, 5	7/26/2018	10	0.015	299,901	3,500
Labrador Iron Mines Holdings Limited	Common Shares	Kearney, John Francis	4, 5	7/27/2018	10	0.015	385,001	85,100
Lakefield Marketing Corporation	Common Shares	Salna, Robert	3	8/1/2018	00		10,000	
Lakefield Marketing Corporation	Common Shares	Salna, Robert	3	8/1/2018	11	0.02	6,822,152	6,812,152
Lanebury Growth Capital Ltd.	Options	Baggio, Laurie Anthony	4	11/30/2015	00			
Lanebury Growth Capital Ltd.	Options	Baggio, Laurie Anthony	4	7/31/2018	50		100,000	100,000
Lanebury Growth Capital Ltd.	Options	Rempel, Sheri	4, 5	11/30/2015	00			
Lanebury Growth Capital Ltd.	Options	Rempel, Sheri	4, 5	7/31/2018	50		100,000	100,000
Lanebury Growth Capital Ltd.	Options	Schroeder, Gary	4	11/10/2017	00			
Lanebury Growth Capital Ltd.	Options	Schroeder, Gary	4	7/31/2018	50		100,000	100,000
Lanebury Growth Capital Ltd.	Options	TRACEY, LANCE	3	11/30/2015	00			
Lanebury Growth Capital Ltd.	Options	TRACEY, LANCE	3	7/31/2018	50		100,000	100,000
Largo Resources Ltd.	Common Shares	Beeck, Alberto Luis	4	7/24/2018	15	1.4	21,926,489	-29,491,882
Largo Resources Ltd.	Common Shares	Beeck, Alberto Luis	4	7/24/2018	15	1.4	0	-3,858,118

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Largo Resources Ltd.	Common Shares	Smith, Mark	5	7/24/2018	15	1.4	3,484,021	-2,300,000
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	Common Shares	Regan, John	3, 8, 4, 6, 7, 1, 5, 2	7/26/2018	10	0.9	5,541,800	8,500
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	Common Shares	Regan, John	3, 8, 4, 6, 7, 1, 5, 2	7/26/2018	10	0.83	5,554,300	12,500
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	Common Shares	Regan, John	3, 8, 4, 6, 7, 1, 5, 2	7/26/2018	10	0.88	5,555,800	1,500
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	Common Shares	Regan, John	3, 8, 4, 6, 7, 1, 5, 2	7/27/2018	10	0.9	5,562,800	7,000
Libero Copper Corporation (Formerly Libero Mining Corporation)	Common Shares	Eagle Mining Services Limited	3	8/2/2018	10	0.075	10,000	10,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	7/26/2018	10	0.43	239,000	10,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	7/26/2018	10	0.425	245,500	6,500
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	7/27/2018	10	0.425	249,000	3,500
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	7/27/2018	10	0.43	256,000	7,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	7/30/2018	10	0.42	259,000	3,000
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	7/27/2018	51	34.93	13,917	10,000
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	7/27/2018	10	69.594	3,917	-10,000
Loblaw Companies Limited	Options	Columb, Kieran Barry	5	7/27/2018	51	34.93	343,117	-10,000
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	7/30/2018	57	68.833	1,900	423
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	7/30/2018	10	68.833	1,477	-423
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	7/30/2018	57	68.833	1,775	298
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	7/30/2018	10	68.833	1,477	-298
Loblaw Companies Limited	Performance Share Units	Davis, Sarah Ruth	5	7/30/2018	56		42,643	125
Loblaw Companies Limited	Performance Share Units	Davis, Sarah Ruth	5	7/30/2018	57	68.833	42,220	-423
Loblaw Companies Limited	Restricted Share Units	Davis, Sarah Ruth	5	7/30/2018	57	68.833	32,912	-298
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6, 5	7/30/2018	57	68.833	99	99
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6, 5	7/30/2018	10	68.833	0	-99
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6, 5	7/30/2018	57	68.833	70	70
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6, 5	7/30/2018	10	68.833	0	-70
Loblaw Companies Limited	Performance Share Units	Dufresne, Richard	6, 5	7/30/2018	56		19,999	29
Loblaw Companies Limited	Performance Share Units	Dufresne, Richard	6, 5	7/30/2018	57	68.833	19,900	-99

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Loblaw Companies Limited	Restricted Share Units	Dufresne, Richard	6, 5	7/30/2018	57	68.833	10,592	-70
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/27/2018	51	32.47	52,947	10,000
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/27/2018	10	69.195	42,947	-10,000
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/30/2018	57	68.833	45,189	2,242
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/30/2018	10	68.833	42,947	-2,242
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/30/2018	57	68.833	44,527	1,580
Loblaw Companies Limited	Common Shares	Motz, Michael James	7	7/30/2018	10	68.833	42,947	-1,580
Loblaw Companies Limited	Options SDM Roll-over	Motz, Michael James	7	7/27/2018	51	32.47	41,576	-10,000
Loblaw Companies Limited	Performance Share Units	Motz, Michael James	7	7/30/2018	56		38,680	662
Loblaw Companies Limited	Performance Share Units	Motz, Michael James	7	7/30/2018	57	68.833	36,438	-2,242
Loblaw Companies Limited	Restricted Share Units	Motz, Michael James	7	7/30/2018	57	68.833	51,011	-1,580
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	7/31/2018	10	0.04	1,702,610	26,000
Madalena Energy Inc.	Common Shares	Maglan Capital LP	3	7/30/2018	10	0.22	80,521,873	501,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.41	7,538,717	-1,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.4	7,538,217	-500
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.35	7,536,017	-2,200
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.32	7,533,017	-3,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.31	7,530,017	-3,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.3	7,529,717	-300
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.26	7,521,317	-8,400
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/26/2018	10	3.25	7,514,717	-6,600
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/27/2018	10	3.31	7,513,717	-1,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/27/2018	10	3.3	7,503,217	-10,500
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/27/2018	10	3.25	7,499,717	-3,500
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/31/2018	10	3.25	7,489,717	-10,000
Madison Pacific Properties Inc.	Non-Voting Shares Class C	Heung, Raymond	3	7/31/2018	10	3.22	7,484,717	-5,000
Magna Gold Corp.	Common Shares	Diaz Nieves, Laura Cristina	4	7/4/2018	00		300,000	
Magna International Inc.	Common Shares	Magna International Inc.	1	8/1/2018	38		138,447	-37,971
Magna International Inc.	Rights Restricted Stock Units	Neeb, Marc Joseph	5	8/1/2018	56	63.11	25,639	2,228
Magna International Inc.	Common Shares	Palmer, Jeffrey Owen	5	8/1/2018	38	79.24	302,558	37,971

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Magna International Inc.	Rights Restricted Stock Units	Palmer, Jeffrey Owen	5	8/1/2018	38	79.24	37,971	-43,740
Magna International Inc.	Rights Restricted Stock Units	Palmer, Jeffrey Owen	5	8/1/2018	38	79.24	0	-37,971
Magna International Inc.	Rights Restricted Stock Units	Seguin, Francis	5	8/1/2018	56	63.11	28,957	4,356
Magna International Inc.	Rights Restricted Stock Units	Skudutis, Tommy Joseph	7, 5	8/1/2018	56	63.11	170,926	14,851
Magnum Goldcorp Inc.	Common Shares	Mason, Douglas Lawrence	3, 4, 5	7/27/2018	10	0.065	46,000	11,000
Magnum Goldcorp Inc.	Common Shares	Mason, Douglas Lawrence	3, 4, 5	7/30/2018	10	0.06	50,000	4,000
Mainstreet Equity Corp.	Common Shares	Amantea, Joe	4	7/27/2018	10	44.45	22,283	-200
Malbex Resources Inc.	Common Shares	Cubitt, Benedict Giles	4, 5	5/29/2018	00		1,562,200	
Malbex Resources Inc.	Common Shares	Cubitt, Benedict Giles	4, 5	7/31/2018	10	0.3	1,637,700	75,500
Manitou Gold Inc.	Common Shares	Dubreuil, Patrice Simon	5	7/31/2018	16	0.08	1,809,461	425,000
Manitou Gold Inc.	Warrants	Dubreuil, Patrice Simon	5	7/31/2018	16		244,500	212,500
Maple Leaf Foods Inc.	Options Employee	Frank, Curtis Eugene	5	8/1/2018	50		141,025	27,975
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Frank, Curtis Eugene	5	8/1/2018	56		12,035	3,360
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Frank, Curtis Eugene	5	8/1/2018	56		16,289	3,360
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/27/2018	38	31.952	40,000	40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/27/2018	38		0	-40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/30/2018	38	31.263	40,000	40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/30/2018	38		0	-40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/31/2018	38	30.954	40,000	40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	7/31/2018	38		0	-40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	8/1/2018	38	30.918	40,000	40,000
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	8/1/2018	38		0	-40,000
Maplewood International Real Estate Investment Trust	Trust Units	Kacira, Orhan	3, 4, 5	8/1/2018	10	0.73	33,000	-9,000
Maplewood International Real Estate Investment Trust	Trust Units	Kacira, Orhan	3, 4, 5	8/1/2018	10	0.73	31,500	-1,500
Maplewood International Real Estate Investment Trust	Trust Units	Kacira, Orhan	3, 4, 5	8/1/2018	10	0.72	16,500	-15,000
Marathon Gold Corporation	Options	Bache, Douglas	4	7/30/2018	50	0.9		160,000
Marathon Gold Corporation	Options	Bache, Douglas	4	7/30/2018	50	0.91	947,500	160,000
Marathon Gold Corporation	Common Shares	Borst, Robbert Hans	7	7/27/2018	10	0.89	10,000	4,000
Marathon Gold Corporation	Options	Borst, Robbert Hans	7	7/30/2018	50	0.91	250,000	50,000
Marathon Gold Corporation	Options	Borst, Robbert Hans	7	8/2/2018	50	0.86	450,000	200,000
Marathon Gold Corporation	Options	Dunsworth, Sheryl Mary	5	7/30/2018	50	0.91	1,691,000	305,000

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Marathon Gold Corporation	Options	Faught, George D.	4	7/30/2018	50	0.91	1,313,000	194,000
Marathon Gold Corporation	Options	Kemp, Julian	4	7/30/2018	50		811,500	160,000
Marathon Gold Corporation	Options	Kirke, James David	5	7/30/2018	50	0.91	1,376,000	240,000
Marathon Gold Corporation	Options	Spiteri, Joseph George	4	7/30/2018	50	0.91	838,500	160,000
Marathon Gold Corporation	Options	Stairs, Janice Alayne	4	7/30/2018	50		310,000	160,000
Marathon Gold Corporation	Options	Walford, Phillip Charles	4, 5	7/30/2018	50	0.91	2,768,000	525,000
Matachewan Consolidated Mines, Limited	Common Shares	McCloskey, Richard Duncan	4, 5	8/1/2018	10	0.2	3,926,000	25,000
Maxar Technologies Ltd.	Common Shares	Lance, Howard	5	8/3/2018	10	45.965	40,486	5,000
MBN Corporation	Units	MBN Corporation	1	7/27/2018	38	6.3128	2,500	2,500
MBN Corporation	Units	MBN Corporation	1	7/27/2018	38		0	-2,500
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/29/2018	30	17.69	3,353	68
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/29/2018	30	17.69	3,002	61
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/29/2018	30	17.69	5,145	105
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	5/15/2018	30	18.19	9,116	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	5/31/2018	30	18.05	9,148	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/18/2018	30	18.153	9,180	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/29/2018	30	17.979	9,212	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	6/29/2018	30	17.691	9,403	191
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	7/13/2018	30	18.138	9,435	32
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	6/29/2018	30	18.064	6,463	33
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	6/29/2018	30	18.064	6,524	61
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	6/29/2018	30	18.064	6,562	38
MCAN Mortgage Corporation	Restricted Share Units	Pinto, Sylvia	5	6/29/2018	30	18.064	1,589	32
Medicure Inc.	Common Shares	Friesen, Albert David	4, 5	8/1/2018	10	6.75	77,967	500
MedReleaf Corp.	Options	Gora, Robert	5	4/1/2018	00			
MedReleaf Corp.	Options	Gora, Robert	5	4/1/2018	00		50,789	
MedReleaf Corp.	Options	Latysh, Ivan	5	5/30/2017	00			
MedReleaf Corp.	Options	Latysh, Ivan	5	5/30/2017	00		116,090	
MedReleaf Corp.	Options	Latysh, Ivan	5	6/25/2018	50			29,022
MedReleaf Corp.	Options	Latysh, Ivan	5	6/25/2018	50			29,022
Metalla Royalty & Streaming Ltd.	Common Shares	Molyneux, Alexander	4	7/31/2018	22		303,000	45,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Metalla Royalty & Streaming Ltd.	Common Shares	Tucker, E.B.	4	7/31/2018	22		786,935	25,005
Metallis Resources Inc.	Common Shares	Aliperti, Fiore	4	7/30/2018	54	0.35	426,667	30,000
Metallis Resources Inc.	Common Shares Warrants - August 2, 2018 Expiry	Aliperti, Fiore	4	7/30/2018	54	0.35	0	-30,000
Metallis Resources Inc.	Common Shares	Lever, Jon Stacy	4, 5	8/2/2018	54	0.35	230,000	25,000
Metallis Resources Inc.	Common Shares Warrants - August 2, 2018 Expiry	Lever, Jon Stacy	4, 5	8/2/2018	54	0.35	0	-25,000
Metallis Resources Inc.	Common Shares	Sheikh, Sameen	5	8/1/2018	54	0.35	315,000	30,000
Metallis Resources Inc.	Common Shares Warrants - August 2, 2018 Expiry	Sheikh, Sameen	5	8/1/2018	54	0.35	0	-30,000
Metallis Resources Inc.	Common Shares	Sikich, Michael	4	8/1/2018	54	0.35	1,370,489	100,000
Metallis Resources Inc.	Common Shares Warrants - August 2, 2018 Expiry	Sikich, Michael	4	8/1/2018	54	0.35	0	-100,000
Metalco Manufacturing Inc. (formerly Muskrat Minerals Incorporated)	Common Shares	Hennigar, David John	4, 5	8/1/2018	45	0.3605	2,229,641	69,351
Metalco Manufacturing Inc. (formerly Muskrat Minerals Incorporated)	Common Shares	MacKenzie, Francis	4	8/2/2018	10	0.35	1,139,848	2,000
Metalore Resources Limited	Common Shares	Chilian, Carl	8	8/31/2017	97			458,454
Metalore Resources Limited	Common Shares	Chilian, Carl	8	8/31/2017	97		468,454	468,454
Methanex Corporation	Common Shares	Methanex Corporation	1	7/3/2018	38	93.59	25,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/4/2018	38	93.44	40,100	15,100
Methanex Corporation	Common Shares	Methanex Corporation	1	7/5/2018	38	93.6	75,000	34,900
Methanex Corporation	Common Shares	Methanex Corporation	1	7/6/2018	38	93.69	100,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/9/2018	38	94.92	125,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/10/2018	38	96.04	150,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/11/2018	38	93.51	175,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/12/2018	38	93.94	200,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/13/2018	38	95.59	225,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/16/2018	38	90.98	250,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/17/2018	38	93.17	275,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/18/2018	38	94.15	300,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/19/2018	38	93.67	325,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/20/2018	38	93.79	350,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/23/2018	38	91.59	375,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/24/2018	38	91.61	400,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/25/2018	38	91.59	425,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/26/2018	38	91.61	450,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/27/2018	38	90	475,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/30/2018	38	89.44	500,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/31/2018	38	89.39	525,000	25,000
Methanex Corporation	Common Shares	Methanex Corporation	1	7/31/2018	38		0	-525,000
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.72		-835
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.72		-835

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Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	90.1	7,854	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	90.02	7,754	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.94	7,654	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.9	7,638	-16
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.91	7,538	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.9	7,454	-84
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.88	7,354	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.79	7,254	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.79	7,154	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.51	7,054	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.5	6,903	-151
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.91	6,803	-100
Methanex Corporation	Common Shares	Price, Kevin	5	7/30/2018	10	89.73	6,703	-100
Methanex Corporation	Rights Share Appreciation Rights	RICHARDSON, DEAN	7	7/27/2018	59	31.73	40,125	-3,200
Methanex Corporation	Rights Share Appreciation Rights	RICHARDSON, DEAN	7	7/27/2018	59	31.73	36,125	-4,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	7/25/2018	10	0.91	657,500	-10,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	7/25/2018	10	0.89	652,500	-5,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	7/26/2018	11	0.92	650,500	-2,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	7/27/2018	10	0.9	641,000	-9,500
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	7/27/2018	10	0.92	633,000	-8,000
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Brasseur, Jeremy	6	7/30/2018	10	10.212	8,000	-10,000
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Lauzon, Robert	7	7/30/2018	10	10.212	15,300	-10,000
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	7/27/2018	38	10.404	1,025,400	500
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	7/30/2018	38	10.27	1,025,900	500
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	7/31/2018	38	10.26	1,026,300	400
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	8/1/2018	38	10.22	1,026,600	300
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Orrico, Dean	6	7/30/2018	10	10.212	22,000	-10,000
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	7/27/2018	38	10.347	5,150,159	6,600
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	7/30/2018	38	10.301	5,155,659	5,500
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	7/31/2018	38	10.276	5,157,559	1,900
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	8/1/2018	38	10.341	5,159,159	1,600
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	8/2/2018	38	10.374	5,161,859	2,700
Millennial Esports Corp.	Options	Igelman, Alex Abraham	4, 5	7/30/2018	38		4,000,000	-1,000,000

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Millennial Esports Corp.	Options	Schorr, Seth	4	7/30/2018	38	0.72	500,000	-250,000
Millennial Esports Corp.	Options	Shoemaker, Stephen	4, 5	7/30/2018	50	0.14	5,750,000	5,000,000
Millennial Esports Corp.	Options	Spoechel, Ronald Ross	4	7/30/2018	38	0.8	750,000	-500,000
MINT Income Fund	Trust Units	MINT Income Fund	1	7/31/2018	38	7.0863	71,574,251	2,700
MINT Income Fund	Trust Units	MINT Income Fund	1	8/1/2018	38	7.007	71,576,551	2,300
MINT Income Fund	Trust Units	MINT Income Fund	1	8/2/2018	38	7	71,577,151	600
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	8/1/2018	10	100.85	\$2,371,000	(\$83,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	8/2/2018	10	101.5	\$2,351,000	(\$20,000)
Mongolia Growth Group Ltd.	Common Shares	Scott, Robert James	4	7/27/2018	10	0.27	153,750	23,000
Mongolia Growth Group Ltd.	Common Shares	Walkden, Genevieve	5	7/25/2018	10	0.255	558,500	6,500
Mongolia Growth Group Ltd.	Common Shares	Walkden, Genevieve	5	7/25/2018	10	0.265	622,500	64,000
MONTAN MINING CORP.	Common Shares	Savard, André	6	5/2/2018	37		3,333,333	-6,666,667
Monument Mining Limited	Common Shares	Harun, Zaidi	4	6/25/2007	00			
Monument Mining Limited	Common Shares	Harun, Zaidi	4	5/28/2018	97		1,000,000	1,000,000
Monument Mining Limited	Common Shares	Harun, Zaidi	4	7/6/2018	11		500,000	-500,000
Monument Mining Limited	RSU	Harun, Zaidi	4	5/28/2018	38		2,271,833	-1,000,000
Monument Mining Limited	Common Shares	Lee, Kelvin Wah Chin	5	1/1/2018	00		6,000	
Monument Mining Limited	Common Shares	Lee, Kelvin Wah Chin	5	5/28/2018	97		106,000	100,000
Monument Mining Limited	RSU	Lee, Kelvin Wah Chin	5	1/1/2018	00		300,000	
Monument Mining Limited	RSU	Lee, Kelvin Wah Chin	5	5/28/2018	38		200,000	-100,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	7/5/2018	38	0.5	20,000	20,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	7/9/2018	38	0.5	21,500	1,500
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	7/11/2018	38	0.5	100,000	78,500
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	7/18/2018	38	0.51	105,000	5,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	7/20/2018	38	0.52	109,000	4,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	8/1/2018	38		89,000	-20,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	8/1/2018	38		87,500	-1,500
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	8/1/2018	38		9,000	-78,500
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	8/1/2018	38		4,000	-5,000
Morien Resources Corp.	Common Shares	Morien Resources Corp	1	8/1/2018	38		0	-4,000
MTY Food Group Inc.	Common Shares	O'Connor, Gary William	4	3/1/2018	00			
MTY Food Group Inc.	Common Shares	O'Connor, Gary William	4	7/26/2018	10	56.18	100	100
MYM Nutraceuticals Inc.	Common Shares	Factor, Erick	4, 5	8/2/2018	10	1.16	4,657,433	8,000
MYM Nutraceuticals Inc.	Options	Liu, Elizabeth Sharon	4	8/1/2018	00		100,000	
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	6/29/2018	38			-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	6/29/2018	38			-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/3/2018	38			-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/3/2018	38			-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/4/2018	38		150,000	-75,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/5/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/6/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/9/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/10/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/11/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/12/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/13/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/16/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/17/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/18/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/19/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/20/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/23/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.36	151,000	1,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.38	155,900	4,900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.39	157,000	1,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.4	157,600	600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.41	161,700	4,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.42	163,500	1,800
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.43	164,000	500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.44	168,400	4,400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.45	170,600	2,200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.46	173,800	3,200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.47	179,400	5,600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.475	179,500	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.48	179,900	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.49	181,200	1,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.5	183,900	2,700

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.51	185,000	1,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.52	187,000	2,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.53	187,200	200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.54	187,900	700
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.55	189,800	1,900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.56	190,000	200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.57	191,100	1,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.58	191,600	500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.59	193,100	1,500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.6	194,200	1,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.61	195,200	1,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.62	195,600	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.63	196,200	600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.64	196,800	600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.65	200,500	3,700
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.655	200,800	300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.66	201,700	900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.67	203,900	2,200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.68	205,200	1,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.69	210,300	5,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.7	215,400	5,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.705	215,600	200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.71	218,900	3,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.715	220,400	1,500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.72	221,400	1,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.725	221,800	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.73	222,800	1,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.74	223,700	900

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.755	223,900	200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.76	224,400	500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38	63.77	225,000	600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/24/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.21	150,200	200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.24	150,500	300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.28	150,900	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.3	151,600	700
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.31	152,000	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.32	152,400	400
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.33	153,400	1,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.34	154,300	900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.345	154,400	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.35	154,500	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.36	155,100	600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.37	156,400	1,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.38	157,300	900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.39	158,500	1,200
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.4	159,800	1,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.41	161,900	2,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.42	163,700	1,800
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.43	167,700	4,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.435	167,800	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.44	172,600	4,800
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.45	175,900	3,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.455	176,200	300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	37	63.46	180,200	4,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.47	181,600	1,400

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.48	187,200	5,600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.485	187,300	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	37	63.49	190,100	2,800
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.5	191,800	1,700
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.51	194,700	2,900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.52	197,700	3,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.53	202,200	4,500
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.54	209,800	7,600
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.545	209,900	100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.55	213,200	3,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.56	217,000	3,800
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.57	219,100	2,100
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.58	221,400	2,300
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.59	223,300	1,900
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38	63.6	225,000	1,700
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/25/2018	38		150,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/26/2018	38		75,000	-75,000
National Bank of Canada	Common Shares	Banque Nationale du Canada	1	7/27/2018	38		0	-75,000
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/3/2018	38		3,700	3,700
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/3/2018	38		0	-3,700
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/4/2018	38		1,400	1,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/4/2018	38		0	-1,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/5/2018	38		4,300	4,300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/5/2018	38		0	-4,300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/6/2018	38		3,900	3,900
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/6/2018	38		0	-3,900
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/9/2018	38		900	900
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/9/2018	38		0	-900

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/11/2018	38		7,400	7,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/11/2018	38		0	-7,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/13/2018	38		1,200	1,200
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/13/2018	38		0	-1,200
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/16/2018	38		3,300	3,300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/16/2018	38		0	-3,300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/17/2018	38		6,900	6,900
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/17/2018	38		0	-6,900
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/18/2018	38		300	300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/18/2018	38		0	-300
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/19/2018	38		2,000	2,000
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/19/2018	38		0	-2,000
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/20/2018	38		5,800	5,800
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/20/2018	38		0	-5,800
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/23/2018	38		4,700	4,700
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/23/2018	38		0	-4,700
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/24/2018	38		7,400	7,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/24/2018	38		0	-7,400
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/25/2018	38		3,200	3,200
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/25/2018	38		0	-3,200
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/26/2018	38		7,500	7,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/26/2018	38		0	-7,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/27/2018	38		6,800	6,800
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/27/2018	38		0	-6,800
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/31/2018	38		5,800	5,800
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	7/31/2018	38		0	-5,800
Nevada Sunrise Gold Corporation	Common Shares	Stanyer, Warren William	4, 5	8/3/2018	10	0.095	1,023,633	3,500
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	7/25/2018	10	0.1591	2,491,594	20,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	7/25/2018	10	0.1591		20,000
New Dimension Resources Ltd.	Warrants	Hewett, Frederic George	4	7/31/2018	55		0	-40,000
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Bennett, William John	4, 6, 5	6/29/2018	35	31.68	2,209	10
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Bennett, William John	4, 6, 5	6/29/2018	35	31.68	634,826	2,990
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	DeBono, Emanuel J.	5	6/29/2018	35	31.68	11,340	53
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Pearson, Charles Emmett	4, 6	6/29/2018	35	31.68	123,953	583
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Pearson, Charles Emmett	4, 6	6/29/2018	35	31.68	140,586	662
NexGen Energy Ltd.	Common Shares	Patricio, Richard J	4, 6	7/30/2018	10	2.5066	100,000	-175,500
NexJ Systems Inc.	Options	O'Donnell, John Paul	5	7/30/2018	50		275,000	125,000
NexJ Systems Inc.	Options	Sapra, Rajneesh	5	7/30/2018	50		110,625	100,000
NFI Group Inc. (formerly New Flyer Industries Inc.)	Common Shares	NFI Group Inc.	1	7/31/2018	38		0	-67,400
Norbord Inc.	Rights Restricted Share Units	Alexander, Bruce Lindsay	5	11/17/2017	00			
Norbord Inc.	Rights Restricted Share Units	Alexander, Bruce Lindsay	5	8/1/2018	56		2,500	2,500
Norbord Inc.	Rights Restricted Share Units	Banks, Nigel	5	11/1/2010	00			
Norbord Inc.	Rights Restricted Share Units	Banks, Nigel	5	8/1/2018	56		6,900	6,900
Norbord Inc.	Rights Restricted Share Units	Burke, Kevin John	5	2/9/2018	00			
Norbord Inc.	Rights Restricted Share Units	Burke, Kevin John	5	8/1/2018	56		5,600	5,600
Norbord Inc.	Rights Restricted Share Units	Lampard, Robin E.A.	5	7/23/2002	00			
Norbord Inc.	Rights Restricted Share Units	Lampard, Robin E.A.	5	8/1/2018	56		37,200	37,200
Norbord Inc.	Rights Restricted Share Units	McMeekin, Alan Gordon	5	5/3/2018	00			
Norbord Inc.	Rights Restricted Share Units	McMeekin, Alan Gordon	5	8/1/2018	56		10,500	10,500
Norbord Inc.	Rights Restricted Share Units	Wijnbergen, Peter Cornelius	5	7/7/2004	00			
Norbord Inc.	Rights Restricted Share Units	Wijnbergen, Peter Cornelius	5	8/1/2018	56		52,500	52,500
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	7/27/2018	10	8.4971	2,800	2,800
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	7/27/2018	38		0	-2,800
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	7/31/2018	10	8.5	2,000	2,000
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	7/31/2018	38		0	-2,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/5/2018	38	0.1	107,000	7,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/5/2018	38	0.1	109,000	2,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/6/2018	38	0.1	135,000	26,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/6/2018	38	0.1	141,000	6,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	141,500	500
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	142,000	500
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	149,500	7,500
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	211,000	61,500
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	261,000	50,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	262,000	1,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	267,000	5,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	269,000	2,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/20/2018	38	0.15	291,000	22,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/24/2018	38	0.12	301,000	10,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	7/24/2018	38	0.12	309,000	8,000
Nutrien Ltd.	Common Shares	Douglas, Steven James	5	8/3/2018	10	73.95	0	-25,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/2/2018	38	53.987	1,400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/3/2018	38	54.454	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/3/2018	38	71.222	600,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/5/2018	38		400,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/5/2018	38	53.739	600,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/6/2018	38		200,000	-400,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/6/2018	38	53.624	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/9/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/9/2018	38	54.206	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/10/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/10/2018	38	54.191	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/11/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/11/2018	38	52.733	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/12/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/12/2018	38	52.92	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/13/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/13/2018	38	53.081	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/16/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/16/2018	38	52.966	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/17/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/17/2018	38	52.808	400,000	200,000

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Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/18/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/18/2018	38	52.721	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/19/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/19/2018	38	52.045	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/20/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/20/2018	38	52.562	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/23/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/23/2018	38	52.155	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/24/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/24/2018	38	52.612	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/25/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/25/2018	38	52.57	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/26/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/26/2018	38	53.623	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/27/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/27/2018	38	53.87	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/30/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/30/2018	38	53.719	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/31/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	7/31/2018	38	54.034	400,000	200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	8/1/2018	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	8/2/2018	38		0	-200,000
Nutritional High International Inc.	Common Shares	Morrison, Billy A.	4	8/1/2018	10	0.26	2,017,500	-30,000
ONEX CORPORATION	Options	Feder, Yonah Elimelech	5	7/30/2018	00			
ONEX CORPORATION	Options	Feder, Yonah Elimelech	5	7/30/2018	50	96.32	6,000	6,000
ONEX CORPORATION	Subordinate Voting Shares	Munk, Anthony	7	7/30/2018	10	96.024	457,765	-7,900
ONEX CORPORATION	Subordinate Voting Shares	Munk, Anthony	7	7/31/2018	10	96.229	448,431	-9,334
Orca Gold Inc.	Options	Davidson, Alexander John	5	4/4/2013	00			
Orca Gold Inc.	Options	Davidson, Alexander John	5	8/1/2018	00			
Orca Gold Inc.	Warrants	Davidson, Alexander John	5	4/4/2013	00			
Orca Gold Inc.	Warrants	Davidson, Alexander John	5	8/1/2018	00			
Orezone Gold Corporation	Common Shares	Downey, Patrick	4	7/26/2018	10	0.7	1,629,700	5,500
Orezone Gold Corporation	Common Shares	Downey, Patrick	4	7/27/2018	10	0.7	1,630,700	1,000
Orezone Gold Corporation	Common Shares	Downey, Patrick	4	7/30/2018	10	0.7	1,633,200	2,500
Orford Mining Corporation	Common Shares	Dundee Corporation	3	7/31/2018	22		3,227,107	1,159,970
Orford Mining Corporation	Warrants	Dundee Corporation	3	1/7/2011	00			
Orford Mining Corporation	Warrants	Dundee Corporation	3	7/31/2018	22	0.29	289,992	289,992
ORTHO REGENERATIVE TECHNOLOGIES INC.	Common Shares	Buschmann, Michael Daro	3, 4, 5	8/1/2018	10	0.44	2,100,222	-5,000

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Osisko Mining Inc. (formerly Oban Mining Corporation)	Common Shares	Mance, Lili	5	7/27/2018	10	2.26	20,000	10,000
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/5/2018	38	14.422	100,000	100,000
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/6/2018	38	14.344	200,000	100,000
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/9/2018	38	14.164	300,000	100,000
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/10/2018	38	14.5	320,000	20,000
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/16/2018	38	14.5	722,100	402,100
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/17/2018	38	14.61	757,400	35,300
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/18/2018	38	14.531	806,500	49,100
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/19/2018	38	14.75	808,200	1,700
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/20/2018	38	14.843	809,700	1,500
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/23/2018	38	14.727	876,100	66,400
Paramount Resources Ltd.	Common Shares Class A	Paramount Resources Ltd.	1	7/31/2018	38		0	-876,100
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/3/2018	38	24.818	3,028,725	7,000
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/5/2018	38	24.818	3,048,860	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/6/2018	38	24.818	3,068,995	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/9/2018	38	24.818	3,089,130	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/10/2018	38	24.818	3,106,630	17,500
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/11/2018	38	24.818	3,126,765	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/12/2018	38	24.818	3,146,900	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/16/2018	38	24.818	3,167,035	20,135
Parex Resources Inc.	Common Shares	Parex Resources Inc.	1	7/17/2018	38	24.818	3,177,735	10,700
Partners Real Estate Investment Trust	Deferred Units	Anthony, Grant	3, 4	7/31/2018	46		48,255	3,714
Partners Real Estate Investment Trust	Deferred Units	Chapin, Colin Jay	4	7/30/2018	00			
Partners Real Estate Investment Trust	Deferred Units	Chapin, Colin Jay	4	7/31/2018	46		137	137
Partners Real Estate Investment Trust	Deferred Units	Domenico, Jane	5	7/31/2018	46		64,207	454
Partners Real Estate Investment Trust	Deferred Units	Kimberley, Allan Scott	4	7/31/2018	46		57,814	3,310
Partners Real Estate Investment Trust	Deferred Units	Ross, Charles Ian	4	7/31/2018	46		59,333	3,714
Partners Real Estate Investment Trust	Deferred Units	West, Derrick	5	7/31/2018	46		64,207	454
Partners Real Estate Investment Trust	Deferred Units	Woolcombe, Michael Douglas	4	7/31/2018	46		3,569	2,366
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	8/1/2018	38	8.15	11,149,998	300
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	8/2/2018	38	8.15	11,150,598	600

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Patriot One Technologies Inc.	Common Shares	Kandanchatha, Dinesh	4	7/30/2018	51	0.3	2,750,000	100,000
Patriot One Technologies Inc.	Common Shares	Kandanchatha, Dinesh	4	7/30/2018	51	0.185	2,915,000	165,000
Patriot One Technologies Inc.	Common Shares	Kandanchatha, Dinesh	4	11/9/2016	00			
Patriot One Technologies Inc.	Common Shares	Kandanchatha, Dinesh	4	7/30/2018	54	0.3	357,500	357,500
Patriot One Technologies Inc.	Options	Kandanchatha, Dinesh	4	7/30/2018	51	0.3	600,000	-100,000
Patriot One Technologies Inc.	Options	Kandanchatha, Dinesh	4	7/30/2018	51	0.185	435,000	-165,000
Patriot One Technologies Inc.	Warrants	Kandanchatha, Dinesh	4	7/30/2018	54	0.3	292,500	-357,500
Peloton Minerals Corporation	Common Shares	ELLWOOD, EDWARD LEITH	5	8/1/2018	10	0.095	3,623,796	-15,000
Pennine Petroleum Corporation	Common Shares	Gertz, Raymond H	4	8/3/2018	10	0.035	1,881,000	15,000
Pennine Petroleum Corporation	Common Shares	Harris, Jon	4	8/2/2018	10	0.03	115,200	33,000
Permex Petroleum Corporation	Common Shares	Ehsan, Mehran	4, 5	7/31/2018	10	0.405	39,500	4,500
Petrodorado Energy Ltd.	Options	Chapman, Lynn	5	7/18/2018	50	0.1	588,000	420,000
Petrodorado Energy Ltd.	Options	Reid, Christopher Donald	5	7/18/2018	50	0.1	986,000	660,000
Petrodorado Energy Ltd.	Options	Yates, Peter Wilson	4	7/28/2018	50		285,000	210,000
Phivida Holdings Inc.	Options	Piazza, Vito	4	7/5/2018	00			
Phivida Holdings Inc.	Options	Piazza, Vito	4	7/5/2018	50		200,000	200,000
PHX Energy Services Corp.	Common Shares	Brown, Craig	5	5/17/2018	10	1.98	67,737	300
PHX Energy Services Corp.	Common Shares	Brown, Craig	5	5/18/2018	10	2	90,737	23,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	7/27/2018	10	0.425	16,568,775	-25,000
Pivit Exploration Inc.	Common Shares	England, Michael Bruno John Franz	5	7/26/2018	10	0.135	2,440,000	25,000
Plateau Energy Metals Inc.	Common Shares	Disbrow, Robert	3	7/27/2018	10	1.3158	5,990,897	26,500
Plateau Energy Metals Inc.	Common Shares	Disbrow, Robert	3	7/30/2018	10	1.3299	6,021,063	30,166
Plateau Energy Metals Inc.	Common Shares	Disbrow, Robert	3	7/30/2018	10	1.3299	6,051,229	30,166
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	7/27/2018	10	1.3263	5,465,344	23,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	7/30/2018	10	1.3295	5,500,344	35,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	7/30/2018	10	1.3295	5,535,344	35,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	7/31/2018	10	1.4	5,538,944	3,600
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	7/31/2018	10	1.4	5,542,544	3,600
Power Financial Corporation	Equity Forward Contract	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.964	64	1
Power Financial Corporation	Equity Swap - Long Position PFC 2015-03	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	10	1

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Power Financial Corporation	Equity Swap - Long Position PFC 2015-03	POWER FINANCIAL CORPORATION	1	8/3/2018	70	30.5		1
Power Financial Corporation	Equity Swap - Long Position PFC 2015-08	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	12	1
Power Financial Corporation	Equity Swap - Long Position PFC 2016-03	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	8	1
Power Financial Corporation	Equity Swap - Long Position PFC 2017-03	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5		1
Power Financial Corporation	Equity Swap - Long Position PFC 2017-03	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	6	1
Power Financial Corporation	Equity Swap - Long Position PFC 2018-03	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	3	1
Power Financial Corporation	Equity Swap - Long Position PFC 9	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	3	1
Power Financial Corporation	Equity Swap - Long Position PFC1	POWER FINANCIAL CORPORATION	1	8/1/2018	70	30.5	21	1
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	8/1/2018	38	24.896	178,300	159,600
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	8/1/2018	38		13,500	-164,800
PreveCeutical Medical Inc. (formerly, Carrara Exploration Corp.)	Common Shares Without Par Value or Special Rights or Restrictions attached	Van Deventer, Kimberly	4	8/2/2018	10	0.035	8,500,000	1,500,000
Progressive Planet Solutions Inc.	Options	England, Michael Bruno John Franz	4	8/1/2018	38	0.14	300,000	-400,000
Prospero Silver Corp.	Common Shares	ALBINSON, TAWN DEWEY	4, 5	7/26/2018	97		225,000	150,000
Provenance Gold Corp. (formerly Sparx Energy Corp.)	Common Shares	Martin, Thomas Walter Joseph	4	7/24/2018	11	0.1	2,922,335	1,047,335
Puma Exploration Inc.	Common Shares	Cordick, Arness William Ross	4	7/31/2018	10	0.06	13,474,000	25,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	8/3/2018	10	0.06	2,517,465	10,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	8/3/2018	10	0.055	2,527,465	10,000
Quadron Cannatech Corporation (formerly Quadron Capital Corporation)	Options	MacDonald, Mike Robert	5	2/13/2018	00			
Quadron Cannatech Corporation (formerly Quadron Capital Corporation)	Options	MacDonald, Mike Robert	5	3/9/2018	50	0.4	200,000	200,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	HANSON, RANDY	7	7/12/2018	00			
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	HANSON, RANDY	7	8/1/2018	56		20,760	20,760
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	HANSON, RANDY	7	8/1/2018	57	1.46	13,840	-6,920
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	McEwan, Michael Shaun	5	7/1/2018	57	1.46	234,752	-93,655
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	Skippen, James	4, 5	7/1/2018	57	1.46	283,214	-143,217
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Restricted Share Unit	Watchmaker, Prashant	5	7/1/2018	57	1.46	159,442	-64,073
Questerre Energy Corporation	Options	Binnion, Michael Rupert	4, 6, 5	7/31/2018	50	0.48	8,177,500	684,000
Questerre Energy Corporation	Options	Brodylo, John Carter	5	7/31/2018	50	0.48	2,595,500	360,000

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Questerre Energy Corporation	Options	Cartier, Alain Sans	4	7/31/2018	50	0.48	857,250	81,000
Questerre Energy Corporation	Options	Coldham, Peter	5	7/31/2018	50	0.48	2,454,500	400,000
Questerre Energy Corporation	Options	D'Silva, Jason Dominic	5	7/31/2018	50	0.48	3,794,500	400,000
Questerre Energy Corporation	Options	Hickok, Earl Timothy	4	7/31/2018	50	0.48	827,500	99,000
Questerre Energy Corporation	Options	Holden, Hans Jacob	4	7/31/2018	50	0.48	463,500	88,500
Questerre Energy Corporation	Options	Tityk, Richard	5	7/31/2018	50	0.48	2,398,000	360,000
Questerre Energy Corporation	Options	Tonnessen, Bjorn Inge	4	7/31/2018	50	0.48	1,236,250	147,000
R&R Real Estate Investment Trust	Special Voting Units	Klingher, Michael	3, 5	8/1/2018	45	0.2	25,350,836	17,818,979
R&R Real Estate Investment Trust	Special Voting Units	Mangalji, Majid	3, 4	8/1/2018	45	0.2	104,683,025	54,179,232
Radiant Technologies Inc.	Common Shares	Ferlaino, Francesco	4	7/31/2018	16	1.2	4,250,000	500,000
Radiant Technologies Inc.	Warrants	Ferlaino, Francesco	4	7/31/2018	16		1,250,000	250,000
Radiant Technologies Inc.	Common Shares	Taschuk, Denis Michael	4, 5	7/31/2018	16	1.2	1,712,602	41,600
Radiant Technologies Inc.	Warrants	Taschuk, Denis Michael	4, 5	7/31/2018	16		145,800	20,800
Relay Medical Corp. (formerly, ChroMedX Corp).	Common Shares	Gil, Lahav Moshe	4, 5	7/27/2018	16	0.23	3,456,828	840,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Gil, Lahav Moshe	4, 5	1/5/2018	00			
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Gil, Lahav Moshe	4, 5	7/27/2018	16	0.4	420,000	420,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Gil, Lahav Moshe	4, 5	7/27/2018	16	0.5	840,000	420,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Common Shares	Invidx Corp	3	7/31/2018	10		19,086,552	-52,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Common Shares	Roytblat, iGAL	5	7/27/2018	16	0.23	343,263	200,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Roytblat, iGAL	5	1/15/2018	00			
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Roytblat, iGAL	5	7/27/2018	16	0.4	100,000	100,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Warrants	Roytblat, iGAL	5	7/27/2018	16	0.5	200,000	100,000
RepliCel Life Sciences Inc.	Options	Lewis, Peter Winston	4	8/2/2018	50	0.43	80,000	50,000
Resinco Capital Partners Inc.	Options Incentive Stock Options	beukman, eugene	5	1/25/2018	00			
Resinco Capital Partners Inc.	Options Incentive Stock Options	beukman, eugene	5	6/22/2018	50		100,000	100,000
Resinco Capital Partners Inc.	Options Incentive Stock Options	van der Linde, Theo	5	1/25/2018	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Resinco Capital Partners Inc.	Options Incentive Stock Options	van der Linde, Theo	5	6/22/2018	50		100,000	100,000
Richelieu Hardware Ltd.	Unités d'actions différées	Chicoyne, Denyse	4	8/2/2018	35	27.64	58,177	126
Richelieu Hardware Ltd.	Unités d'actions différées	Courteau, Robert	4, 5	8/2/2018	35	27.64	59,216	128
Richelieu Hardware Ltd.	Unités d'actions différées	Gauvin, Mathieu	4	8/2/2018	35	27.64	66,396	144
Richelieu Hardware Ltd.	Unités d'actions différées	Pomerleau, Pierre	4	8/2/2018	35	27.64	4,965	11
Richelieu Hardware Ltd.	Unités d'actions différées	Poulin, Marc	4	8/2/2018	35	27.64	14,985	32
Richelieu Hardware Ltd.	Unités d'actions différées	Proteau, Jocelyn	4	8/2/2018	35	27.64	34,191	74
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	7/12/2018	38	27.74	99,000	99,000
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	7/12/2018	38	27.74	0	-99,000
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	7/12/2018	38	27.79	3,709	3,709
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	7/12/2018	38	27.79	0	-3,709
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	8/1/2018	38	28.09	6,909	6,909
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	8/1/2018	38	28.09	0	-6,909
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	8/2/2018	38	28.27	7,909	7,909
Richelieu Hardware Ltd.	Common Shares	Quincaillerie Richelieu Ltée	1	8/2/2018	38	28.27	0	-7,909
Richelieu Hardware Ltd.	Unités d'actions différées	Vachon, Sylvie	4	8/2/2018	35	27.64	5,115	11
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	7/27/2018	10	15	7,672,762	206
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	7/27/2018	10	15	7,672,542	-220
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	7/30/2018	10	15	7,673,531	989
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	7/30/2018	10	15	7,673,365	-166
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	7/31/2018	10	15	7,674,365	1,000
Rift Valley Resources Corp.	Common Shares	Martin, Stephen Edward	3	8/1/2018	16	0.075	3,703,334	333,334
Rift Valley Resources Corp.	Warrants	Martin, Stephen Edward	3	12/19/2017	00			
Rift Valley Resources Corp.	Warrants	Martin, Stephen Edward	3	8/1/2018	16	0.15	166,667	166,667
Rio2 Limited	Common Shares	DiCapo, Pasquale	3	7/16/2018	22			-3,832,950
Rio2 Limited	Common Shares	DiCapo, Pasquale	3	7/16/2018	37			-3,832,950
Rio2 Limited	Common Shares	DiCapo, Pasquale	3	7/27/2018	37			-3,832,950

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Rio2 Limited	Common Shares	DiCapo, Pasquale	3	7/27/2018	37		7,667,050	-3,832,950
Route1 Inc.	Common Shares	Boensel, Mark Stephen	4	7/31/2018	10	0.055	1,493,889	45,454
Route1 Inc.	Common Shares	Fraser, David	4	7/31/2018	10	0.0523	1,488,523	52,500
Route1 Inc.	Common Shares	Harris, Michael Deane	4	7/31/2018	10	0.0523	4,354,561	71,591
Route1 Inc.	Common Shares	Marino, John	4	7/31/2018	10	0.055	541,450	52,500
Royal Bank of Canada	Common Shares	Yabuki, Jeffery William	4	8/2/2018	10	77.697	3,800	300
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	7/31/2018	10	1	19,853,200	17,000
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	7/31/2018	10	1.03	19,858,700	5,500
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	8/3/2018	10	0.98	19,798,800	-59,900
Rupert Resources Ltd.	Options	Karoly, Jeffrey Laszlo	5	8/1/2018	50		250,000	250,000
Rupert Resources Ltd.	Options	Milton, Susan	4	8/1/2018	50		560,000	60,000
Rupert Resources Ltd.	Options	Nilsson, Gunnar	4, 5	6/25/2018	00			
Rupert Resources Ltd.	Options	Nilsson, Gunnar	4, 5	8/1/2018	50		545,000	545,000
Rupert Resources Ltd.	Options	Suttie, Robert D.B.	4	8/1/2018	50		400,000	60,000
Rupert Resources Ltd.	Options	SUTTON, MICHAEL WILLIAM	4	8/1/2018	50	1	760,000	60,000
Rupert Resources Ltd.	Options	Withall, James	4, 5	8/1/2018	50		2,400,000	400,000
Sandspring Resources Ltd.	Common Shares	Bloomquist, De Lyle Wade	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Davies, Michael Monier	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	de Greiff, Monica	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	De La Campa, Miguel Angel	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Gran Colombia Gold Corp.	3	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Gran Colombia Gold Corp.	3	7/26/2018	11	0.25	31,000,000	31,000,000
Sandspring Resources Ltd.	Warrants	Gran Colombia Gold Corp.	3	7/26/2018	00			
Sandspring Resources Ltd.	Warrants	Gran Colombia Gold Corp.	3	7/26/2018	11		16,000,000	16,000,000
Sandspring Resources Ltd.	Common Shares	Iacono, Serafino	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Iacono, Serafino	6	7/26/2018	11	0.25	400,000	400,000
Sandspring Resources Ltd.	Warrants	Iacono, Serafino	6	7/26/2018	00			
Sandspring Resources Ltd.	Warrants	Iacono, Serafino	6	7/26/2018	11		400,000	400,000
Sandspring Resources Ltd.	Common Shares	Martinez, Hernan	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Martinez, Hernan	6	7/26/2018	11	0.25	400,000	400,000
Sandspring Resources Ltd.	Warrants	Martinez, Hernan	6	7/26/2018	00			
Sandspring Resources Ltd.	Warrants	Martinez, Hernan	6	7/26/2018	11		400,000	400,000
Sandspring Resources Ltd.	Common Shares	Metcalfe, Robert	6	7/26/2018	00			
Sandspring Resources Ltd.	Common Shares	Perez, Jaime	6	7/26/2018	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sanibel Ventures Corp.	Options	Zanic, Rose	4	10/24/2017	00			
Sarment Holding Limited	Common Shares	Chiaguri, Quentin Jean Ernest	4, 5	1/1/2017	00		16,380	
Sarment Holding Limited	Common Shares	Dauphin Estate, Claude	3	3/21/2018	00		4,372,680	
Sarment Holding Limited	Common Shares	Faure Beaulieu, Bertrand Didier	3, 4	1/1/2018	00		6,404,580	
Sarment Holding Limited	Common Shares	Irwin, Mark Joseph	3, 4	4/1/2018	00		4,474,080	
Sarment Holding Limited	Common Shares	Vino Ventures Limited	3	3/21/2018	00		8,468,460	
Scythian Biosciences Corp. (previously: Kitrinor Metals Inc.)	Common Shares	Cobb, Brady James	4	7/30/2018	00		40,000	
Seashore Resource Partners Corp.	Common Shares	Pierce, Toby Robert	3, 4	5/9/2018	00		800,000	
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Clark, Christina	4	6/28/2018	00			
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Clark, Christina	4	7/31/2018	56	27.06	55	55
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Estate of James R. Shaw	3	7/31/2018	30	25.918	286	8
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Pew, Paul Kenneth	4	7/31/2018	56	26.79	106,083	514
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	4,256	39
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	2,716	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	2,716	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	2,716	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	2,716	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	2,716	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Bradley	4, 5	7/31/2018	30	25.918	108	2
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Heather Ann	3	7/31/2018	30	25.918	2,819	48
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, JR	3, 4, 5	7/31/2018	30	25.918	1,151,240	4,557
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, JR	3, 4, 5	7/31/2018	30	25.918	57,830	321
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, JR	3, 4, 5	7/31/2018	30	25.918	19,150	73
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Julie	5	7/31/2018	30	25.918	28,352	117
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Julie	5	7/31/2018	30	25.918	5,086	57
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Julie	5	7/31/2018	30	25.918	4,442	55
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Julie	5	7/31/2018	30	25.918	4,442	55
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Sievert, Michael	4	7/31/2018	56	20.76	5,052	148
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Vogel, Carl E.	4	7/31/2018	56	20.65	39,318	287
SHAW COMMUNICATIONS INC.	Directors' Deferred Share Units (DDSU)	Weatherill, Sheila Christine	4	7/31/2018	56	26.88	62,441	448

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Finkelstein, Harley Michael	5	8/2/2018	51	0.16	2,226	2,226
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Finkelstein, Harley Michael	5	8/2/2018	36		0	-2,226
Shopify Inc.	Options	Finkelstein, Harley Michael	5	8/2/2018	51	0.16	260,306	-2,226
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	8/2/2018	36		2,443	2,226
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	8/2/2018	30	174.78	217	-2,226
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Lutke, Tobias Albin	4, 5	7/30/2018	30	151.18	66,000	-2,000
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Miller, Craig Stuart	5	7/30/2018	51	6.22	6,694	6,694
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Miller, Craig Stuart	5	7/30/2018	36		0	-6,694
Shopify Inc.	Options	Miller, Craig Stuart	5	7/30/2018	51	6.22	252,643	-6,694
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Miller, Craig Stuart	5	7/30/2018	36		6,806	6,694
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Miller, Craig Stuart	5	7/30/2018	30	159.28	112	-6,694
Sierra Wireless, Inc.	Common Shares	Levine, Charles E.	4	2/15/2018	47			-2,850
Sierra Wireless, Inc.	Common Shares	Levine, Charles E.	4	2/15/2018	47		44,948	-2,800
Silver Bear Resources Plc	Options	Hill, Graham David	5	7/25/2018	51	0.175		150,000
Silver Bear Resources Plc	Options	Hill, Graham David	5	7/25/2018	51	0.175	5,896,666	-150,000
Silver Bear Resources Plc	Ordinary Shares	Hill, Graham David	5	7/25/2018	51	0.175	150,000	150,000
Silver Bear Resources Plc	Ordinary Shares	Hill, Graham David	5	7/27/2018	10		0	-150,000
SilverCrest Metals Inc.	Common Shares	Rapsch, Michael Erwin	5	8/2/2018	10	3.18	0	-1,406
Sintana Energy Inc.	Common Shares	CP Sintana LLC	3	7/24/2018	00		416,666	
Sintana Energy Inc.	Debentures	CP Sintana LLC	3	7/24/2018	00		\$650,000	
Sintana Energy Inc.	Warrants	CP Sintana LLC	3	7/24/2018	00		5,720,000	
SIR Royalty Income Fund	Units	Luit, Peter	4	8/3/2018	10	15.36	5,000	5,000
Skyharbour Resources Ltd.	Common Shares	Cates, David Daniel	4, 6	8/2/2018	16	0.449	186,000	30,000
Skyharbour Resources Ltd.	Warrants	Cates, David Daniel	4, 6	8/2/2018	16	0.001	155,000	15,000
Skyharbour Resources Ltd.	Common Shares	Kusmirski, Rick T.	4	8/2/2018	16	0.45	517,500	30,000
Skyharbour Resources Ltd.	Warrants	Kusmirski, Rick T.	4	8/2/2018	53	0.6	477,500	15,000
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	7/30/2018	10	0.41	139,750	-377,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	8/2/2018	16	0.4	389,750	250,000
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	8/2/2018	16	0.45	519,750	130,000
Skyharbour Resources Ltd.	Warrants	Trimble, Jordan	4	8/2/2018	53	0.6	675,000	250,000
Skyharbour Resources Ltd.	Warrants	Trimble, Jordan	4	8/2/2018	53	0.6	740,000	65,000
Slate Retail REIT	Trust Units Class U Units	Stevenson, Gregory	5	8/3/2018	10	12.75	27,928	1,620
Slate Retail REIT	Trust Units Class U Units	Stevenson, Gregory	5	8/3/2018	10	12.75	9,562	3,470
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Class C Series 4 LP3 Units	Goldhar, Mitchell	3	7/30/2018	11	21.6	623,734	-22,935
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Class C Series 4 LP3 Units	Goldhar, Mitchell	3	7/30/2018	11	21.6	617,932	-5,802
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Limited Partnership Units Class B Series 4 LP3 Units	Goldhar, Mitchell	3	7/30/2018	11	30.43	16,280	16,280
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Limited Partnership Units Class B Series 4 LP3 Units	Goldhar, Mitchell	3	7/30/2018	90	30.43	0	-16,280
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Limited Partnership Units Class B Series 4 LP3 Units	Goldhar, Mitchell	3	7/30/2018	90	30.43	664,214	16,280
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Special Voting Units	Goldhar, Mitchell	3	7/30/2018	11	30.43	23,196	16,280
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Special Voting Units	Goldhar, Mitchell	3	7/30/2018	90	30.43	6,916	-16,280
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Special Voting Units	Goldhar, Mitchell	3	7/30/2018	90	30.43	7,748,229	16,280
Solarvest BioEnergy Inc.	Common Shares	Greenham, Garth Percival	5	8/1/2018	10	0.22	1,856,400	10,000
Solarvest BioEnergy Inc.	Common Shares	Greenham, Garth Percival	5	8/1/2018	10	0.2	1,857,400	1,000
SOLITARIO ZINC CORP.	Common Shares	Herald, Christopher	4	7/30/2018	10	0.3875	1,575,000	5,000
Spey Resources Corp.	Common Shares	Berka, Dusan	4	6/28/2018	00		200,000	
Spey Resources Corp.	Options	Berka, Dusan	4	6/28/2018	00		140,000	
Spey Resources Corp.	Common Shares	Farris, Marshall	3, 4, 5	6/28/2018	00		2,500,000	
Spey Resources Corp.	Options	Farris, Marshall	3, 4, 5	6/28/2018	00		275,000	
Spey Resources Corp.	Common Shares	Jamal, Aly Salman	3	8/3/2018	00		650,000	
Spey Resources Corp.	Common Shares	Jamal, Aly Salman	3	8/3/2018	00		200,000	
Spey Resources Corp.	Common Shares	Mabone, Tracy	4, 5	6/28/2018	00		400,000	
Spey Resources Corp.	Options	Mabone, Tracy	4, 5	6/28/2018	00		200,000	

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Spey Resources Corp.	Common Shares	Waddell, Alistair	3, 4	6/28/2018	00		1,000,000	
Spey Resources Corp.	Options	Waddell, Alistair	3, 4	6/28/2018	00		175,000	
Spin Master Corp.	Performance Share Units	Beardall, Chris	5	7/30/2018	57	53.22	11,195	-2,200
Spin Master Corp.	Subordinate Voting Shares	Beardall, Chris	5	7/30/2018	57	53.22	316,286	3,761
Spin Master Corp.	Performance Share Units	Beder, Adam	5	7/30/2018	57	53.22	8,396	-1,650
Spin Master Corp.	Subordinate Voting Shares	Beder, Adam	5	7/30/2018	57	53.22	329,866	2,821
Spin Master Corp.	Performance Share Units	DiBerardino, Krista	5	7/30/2018	00		1,503	
Spin Master Corp.	Subordinate Voting Shares	DiBerardino, Krista	5	7/30/2018	00		38,941	
Spin Master Corp.	Performance Share Units	Gadbois, Benoit	4, 5	7/30/2018	57	53.22	80,561	-15,831
Spin Master Corp.	Subordinate Voting Shares	Gadbois, Benoit	4, 5	7/30/2018	57	53.22	1,049,887	27,070
Spin Master Corp.	Performance Share Units	Harary, Ronnen	3, 4, 5	7/30/2018	57	53.22	41,977	-8,247
Spin Master Corp.	Subordinate Voting Shares	Harary, Ronnen	3, 4, 5	7/30/2018	57	53.22	14,102	14,102
Spin Master Corp.	Performance Share Units	Harrs, Chris	5	7/30/2015	56	18		3,519
Spin Master Corp.	Performance Share Units	Harrs, Chris	5	7/30/2015	56	18	4,654	4,654
Spin Master Corp.	Performance Share Units	Harrs, Chris	5	7/30/2018	57	53.22	9,524	-2,327
Spin Master Corp.	Subordinate Voting Shares	Harrs, Chris	5	7/30/2018	57	53.22	95,354	3,979
Spin Master Corp.	Performance Share Units	Hess, William	5	7/30/2018	57	53.22	21,966	-4,318
Spin Master Corp.	Subordinate Voting Shares	Hess, William	5	7/30/2018	57	53.22	189,215	7,383
Spin Master Corp.	Performance Share Units	Rabie, Anton	3, 4, 5	7/30/2018	57	53.22	41,977	-8,247
Spin Master Corp.	Subordinate Voting Shares	Rabie, Anton	3, 4, 5	7/30/2018	57	53.22	14,102	14,102
Spin Master Corp.	Performance Share Units	Segal, Mark	5	7/30/2018	57	53.22	29,104	-5,718
Spin Master Corp.	Subordinate Voting Shares	Segal, Mark	5	7/30/2018	57	53.22	243,635	9,778
Spin Master Corp.	Performance Share Units	Varadi, Ben	3, 4, 2	7/30/2018	57	53.22	44,064	-8,247
Spin Master Corp.	Subordinate Voting Shares	Varadi, Ben	3, 4, 2	7/30/2018	57	53.22	14,102	14,102
Spin Master Corp.	Deferred Share Units	Yuen, Chi Wah	7	3/27/2017	56	37.64		1,242
Spin Master Corp.	Deferred Share Units	Yuen, Chi Wah	7	3/27/2017	56	37.64		1,244
Spin Master Corp.	Performance Share Units	Yuen, Chi Wah	7	3/26/2018	56	52.2	6,636	865
Spin Master Corp.	Restricted Share Units	Yuen, Chi Wah	7	3/27/2017	56	37.64	5,771	1,244
Spin Master Corp.	Restricted Share Units	Yuen, Chi Wah	7	3/26/2018	56	52.2	6,636	865
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	7/30/2018	10	0.14	1,936,458	1,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	8/1/2018	10	0.13	1,937,958	1,500
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	8/2/2018	10	0.125	1,939,458	1,500
Sprott Inc.	Rights Deferred Share Units	Lee, Jack Chuck	4	7/13/2018	46	3.07	325,041	16,287
Sprott Inc.	Rights Deferred Share Units	Ranson, Sharon Margaret	4	7/13/2018	46	3.07		16,287
Sprott Inc.	Rights Deferred Share Units	Ranson, Sharon Margaret	4	7/13/2018	46	3.07		16,287
Sprott Inc.	Rights Deferred Share Units	Ranson, Sharon Margaret	4	7/13/2018	46	3.07	239,556	6,554
Sprott Resource Holdings Inc. (formerly Adriana Resources Inc.)	EPSP Designation	Yuzpe, Stephen Mark	4, 5	7/31/2018	56	0.1	3,652,824	33,985
Sproutly Canada, Inc. (formerly, Stone Ridge Exploration Corp.)	Common Shares	Bains, Amanjit	3, 4	8/1/2018	11		1,500,000	1,000,000
Sproutly Canada, Inc. (formerly, Stone Ridge Exploration Corp.)	Common Shares	Dolo, Keith Russell	5	7/25/2018	11		750,000	500,000
Sproutly Canada, Inc. (formerly, Stone Ridge Exploration Corp.)	Common Shares	Infusion Biosciences Canada Inc.	7	8/1/2018	00		36,857,676	
Sproutly Canada, Inc. (formerly, Stone Ridge Exploration Corp.)	Common Shares	Sen, Arup	4, 5	8/1/2018	00		11,057,303	
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	4	7/26/2018	97		3,536,520	-22,200
Squire Mining Ltd.	Common Shares	King, Owen	4	3/16/2018	10	0.3	1,850,000	-50,000
St-Georges Eco-Mining Corp.	Common Shares	Tally, Neha Edah	5	8/2/2018	54	0.06	1,318,381	450,000
St-Georges Eco-Mining Corp.	Warrants	Tally, Neha Edah	5	8/2/2018	54	0.06	450,000	-450,000
St-Georges Eco-Mining Corp.	Common Shares	Vilhjalmsson, Vilhjalmur Thor	4	3/16/2017	00			
St-Georges Eco-Mining Corp.	Common Shares	Vilhjalmsson, Vilhjalmur Thor	4	8/1/2018	10	0.23	9,000	9,000
St-Georges Eco-Mining Corp.	Common Shares	Vilhjalmsson, Vilhjalmur Thor	4	3/16/2017	00		4,350,328	
St-Georges Eco-Mining Corp.	Common Shares	Vilhjalmsson, Vilhjalmur Thor	4	7/13/2018	36		6,168,996	1,818,668
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class U	Liddell, Martin	5	10/15/2016	22	10		100
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class U	Liddell, Martin	5	10/15/2016	22	10	140	140
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/4/2018	30	8.75	5,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/5/2018	30	8.75	10,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/9/2018	30	8.75	15,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/11/2018	30	8.73	16,000	1,000

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Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/12/2018	30	8.75	21,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/13/2018	30	8.75	26,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/20/2018	30	8.75	31,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/23/2018	30	8.75	36,000	5,000
Starlight U.S. Multi-Family (No. 5) Core Fund	Units Class A	Starlight U.S. Multi-Family (No. 5) Core Fund	1	7/31/2018	38		0	-36,000
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/2/2018	10	1.3	482,134	300
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/2/2018	10	1.294	482,484	350
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/3/2018	10	1.34	482,884	400
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/3/2018	10	1.353	483,284	400
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/3/2018	11	1.34	483,464	180
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/3/2018	10	1.39	483,714	250
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	8/3/2018	10	1.343	484,139	425
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	7/23/2018	10	1.268	2,346,916	250
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	7/23/2018	10	1.28	2,347,166	250
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	7/23/2018	10	1.2714	2,347,516	350
Steppe Gold Ltd.	Common Shares	Waraich, Aneel Singh	4, 5	7/26/2018	10	1.2956	2,347,744	228
Stornoway Diamond Corporation	Common Shares	Poirier, Ghislain	5	7/31/2018	10	0.425	95,750	3,000
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	7/27/2018	90		575,370	113,460
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	7/27/2018	90		18,931	-113,460
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	8/1/2018	46	8.7639	21,608	2,677
Sunvest Minerals Corp.	Common Shares	England, Michael Bruno John Franz	4	7/30/2018	10	0.055	2,098,500	4,000
Surge Energy Inc.	Common Shares	Bye, Murray	5	5/16/2018	30	2.59	39,685	513
Surge Energy Inc.	Common Shares	Bye, Murray	5	5/31/2018	30	2.48	40,106	421
Taiga Gold Corp.	Common Shares	Campbell, Jesse	4, 5	7/31/2018	10	0.115	172,000	3,500
Tajiri Resources Corp.	Options	Keevil, Graham	4, 5	10/25/2012	50	0.1		250,000
Tajiri Resources Corp.	Options	Keevil, Graham	4, 5	10/25/2012	50	0.1		250,000
Tajiri Resources Corp.	Options	Keevil, Graham	4, 5	2/14/2017	50	0.2		1,000,000
Tajiri Resources Corp.	Options	Keevil, Graham	4, 5	2/14/2017	50	0.2		1,000,000
Tajiri Resources Corp.	Warrants	Keevil, Graham	4, 5	4/19/2013	16	0.05		400,000
Tajiri Resources Corp.	Warrants	Keevil, Graham	4, 5	4/19/2013	16	0.05		400,000
Tajiri Resources Corp.	Common Shares	Power, Robert	4	11/24/2016	00			
Tajiri Resources Corp.	Common Shares	Power, Robert	4	11/24/2016	00		5,000,000	
Tajiri Resources Corp.	Warrants	Power, Robert	4	5/23/2018	55		0	-1,000,000
Taku Gold Corp.	Options	Bures, Peter	4	12/20/2017	00			
Taku Gold Corp.	Options	Bures, Peter	4	12/22/2017	50		200,000	200,000
Tamarack Valley Energy Ltd.	Common Shares	Christensen, David Keith	5	7/30/2018	30	4.07	131,360	2,038

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Tamarack Valley Energy Ltd.	Common Shares	Cruikshank, Ken	5	7/30/2018	30	4.07	225,322	908
Tamarack Valley Energy Ltd.	Common Shares	Reimond, Scott William	5	7/30/2018	30	4.07	209,897	1,816
Tamarack Valley Energy Ltd.	Common Shares	Schmidt, Brian Leslie	5	7/30/2018	30	4.07	481,634	2,779
Tamarack Valley Energy Ltd.	Common Shares	Screen, Kevin	5	7/30/2018	30	4.07	298,562	2,038
TFI International Inc.	Common Shares	ABI-KARAM, LESLIE	4	7/26/2018	00			
TFI International Inc.	Deferred Share Units	ABI-KARAM, LESLIE	4	7/26/2018	00			
TFI International Inc.	Common Shares	Bédard, Alain	4, 5	7/31/2018	51	14.28	4,154,191	130,000
TFI International Inc.	Common Shares	Bédard, Alain	4, 5	7/31/2018	10	43.46	4,024,191	-130,000
TFI International Inc.	Options	Bédard, Alain	4, 5	7/31/2018	51		3,082,262	-130,000
TFI International Inc.	Common Shares	TFI International Inc.	1	7/3/2018	38	40.484	117,896	18,900
TFI International Inc.	Common Shares	TFI International Inc.	1	7/24/2018	38	40.449	157,896	40,000
TFI International Inc.	Common Shares	TFI International Inc.	1	7/25/2018	38	39.988	197,896	40,000
TFI International Inc.	Common Shares	TFI International Inc.	1	7/26/2018	38	39.539	237,896	40,000
TFI International Inc.	Common Shares	TFI International Inc.	1	8/1/2018	38		0	-237,896
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Bennett, William E.	4, 7	7/31/2018	46	76.85	99,922	81
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Brinkley, Amy Woods	4, 7	7/31/2018	46	76.85	44,303	464
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Brinkley, Amy Woods	4, 7	7/31/2018	46	76.85	44,482	179
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Ferguson, Brian Charles	4	7/31/2018	46	76.85	13,886	415
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Goggins, Colleen	4	7/31/2018	46	76.85	28,963	415
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Haddad, Mary Jo	4	7/31/2018	46	76.85	12,474	210
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Halde, Jean-Rene	4	7/31/2018	46	76.85	9,990	382
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Levitt, Brian	4	7/31/2018	46	76.85	86,201	724
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	MacGibbon, Alan	4, 7	7/31/2018	46	76.85	24,826	431
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Maidment, Karen	4	7/31/2018	46	76.85	36,876	561
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Miller, Irene Ruth	4	7/31/2018	46	76.85	77,024	415
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Mohamed, Nadir	4	7/31/2018	46	76.85	53,063	350
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Mongeau, Claude	4	7/31/2018	46	76.85	13,137	382
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	7/3/2018	38	76.05	211,700	211,700
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	7/3/2018	38	76.05	0	-211,700
Theralase Technologies Inc.	Common Shares	Perraton, Matthew Thomas	4	4/6/2018	10	0.22	0	-14,632
Theralase Technologies Inc.	Common Shares	Perraton, Matthew Thomas	4	6/20/2018	10	0.35	21,000	21,000
Theratechnologies Inc.	Unités d'actions différées (DSU)	Svoronos, Dawn	4	4/10/2013	00			

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Theratechnologies Inc.	Unités d'actions différées (DSU)	Svoronos, Dawn	4	8/1/2018	56	9.646	855	855
Theratechnologies Inc.	Unités d'actions différées (DSU)	Talon, Jean-Denis	4	8/1/2018	56	9.646		3,449
Theratechnologies Inc.	Unités d'actions différées (DSU)	Talon, Jean-Denis	4	8/1/2018	56	9.646	6,449	1,555
Theratechnologies Inc.	Unités d'actions différées (DSU)	Weil, Dale	4	8/1/2018	56	9.646		3,449
Theratechnologies Inc.	Unités d'actions différées (DSU)	Weil, Dale	4	8/1/2018	56	9.646	3,449	1,555
Tidal Royalty Corp.	Common Shares	rosen, paul	4, 5	7/27/2018	10	0.415	12,855,000	20,500
Tidal Royalty Corp.	Common Shares	rosen, paul	4, 5	7/27/2018	10	0.425	12,880,000	25,000
Tidal Royalty Corp.	Common Shares	rosen, paul	4, 5	7/27/2018	10	0.43	12,926,000	46,000
Tidal Royalty Corp.	Common Shares	rosen, paul	4, 5	7/27/2018	10	0.42	12,943,500	17,500
Tidal Royalty Corp.	Common Shares	rosen, paul	4, 5	7/30/2018	10	0.375	12,963,500	20,000
Titanium Transportation Group Inc.	Options Third Party Option	BILLAU, Douglas Alan	5	7/30/2018	52		12,500	-52,500
TMX Group Limited	Rights Restricted Share Units	Rajaratnam, Jayakumar	5	7/31/2018	59	84.45	5,443	-1,964
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	7/27/2018	11		58,892,873	10,148,850
Toromont Industries Ltd.	Common Shares	Casson, Randall	7, 2	7/31/2018	51	23.4	130,000	10,000
Toromont Industries Ltd.	Common Shares	Casson, Randall	7, 2	7/31/2018	10	66.15	120,000	-10,000
Toromont Industries Ltd.	Options	Casson, Randall	7, 2	7/24/2018	50			33,500
Toromont Industries Ltd.	Options	Casson, Randall	7, 2	7/24/2018	50		253,500	33,500
Toromont Industries Ltd.	Options	Casson, Randall	7, 2	7/31/2018	51	23.4	243,500	-10,000
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	24,880	200
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	10	66.33	24,680	-200
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	25,150	470
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	10	66.296	24,680	-470
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	24,880	200
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	10	66.29	24,680	-200
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	25,480	800
Toromont Industries Ltd.	Common Shares	Chisholm, Jeffrey Scott	4	7/27/2018	10	66.28	24,680	-800
Toromont Industries Ltd.	Options	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	5,470	-200
Toromont Industries Ltd.	Options	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	5,000	-470
Toromont Industries Ltd.	Options	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	4,800	-200
Toromont Industries Ltd.	Options	Chisholm, Jeffrey Scott	4	7/27/2018	51	17.1	4,000	-800
Toromont Industries Ltd.	Options	Cochrane, Jennifer	5	7/24/2018	50			20,000
Toromont Industries Ltd.	Options	Cochrane, Jennifer	5	7/24/2018	50		103,500	20,000
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	51	17.1	119,504	4,000
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.86	118,804	-700
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.84	118,704	-100
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.83	117,904	-800
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.79	117,504	-400
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.76	117,304	-200
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.71	116,704	-600
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.7	116,604	-100
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.69	116,104	-500

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Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.64	115,904	-200
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	7/31/2018	10	66.61	115,504	-400
Toromont Industries Ltd.	Options	Cuddy, Mike	5	7/24/2018	50			35,000
Toromont Industries Ltd.	Options	Cuddy, Mike	5	7/24/2018	50		196,500	35,000
Toromont Industries Ltd.	Options	Cuddy, Mike	5	7/31/2018	51	17.1	192,500	-4,000
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	65.95	3,963	-800
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	65.98	3,263	-700
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	65.985	2,763	-500
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	65.99	2,263	-500
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	66	1,163	-1,100
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	66.01	663	-500
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	66.02	300	-363
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	7/27/2018	10	66.03	0	-300
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	8/2/2018	51	23.4	5,000	5,000
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	8/2/2018	10	66.53	4,400	-600
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	8/2/2018	10	66.51	4,300	-100
Toromont Industries Ltd.	Common Shares	Jewer, Paul Randolph	5	8/2/2018	10	66.5	0	-4,300
Toromont Industries Ltd.	Options	Jewer, Paul Randolph	5	7/24/2018	50			50,000
Toromont Industries Ltd.	Options	Jewer, Paul Randolph	5	7/24/2018	50		260,000	50,000
Toromont Industries Ltd.	Options	Jewer, Paul Randolph	5	8/2/2018	51	23.4	255,000	-5,000
Toromont Industries Ltd.	Options	Korbak, Lynn Margaret	5	7/24/2018	50			10,000
Toromont Industries Ltd.	Options	Korbak, Lynn Margaret	5	7/24/2018	50		10,000	10,000
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	7/31/2018	51	23.4	1,670	1,600
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	7/31/2018	10	66.2	70	-1,600
Toromont Industries Ltd.	Options	Malinauskas, David Allan	7	7/24/2018	50			25,000
Toromont Industries Ltd.	Options	Malinauskas, David Allan	7	7/24/2018	50		102,600	25,000
Toromont Industries Ltd.	Options	Malinauskas, David Allan	7	7/31/2018	51	23.4	101,000	-1,600
Toromont Industries Ltd.	Options	Medhurst, Scott	4, 5	7/24/2018	50			100,000
Toromont Industries Ltd.	Options	Medhurst, Scott	4, 5	7/24/2018	50		600,000	100,000
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	51	23.4	5,400	5,400
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	51	26.52	10,800	5,400
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	51	36.65	16,200	5,400
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.23	15,600	-600
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.47	15,500	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.4	12,000	-3,500
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.2	4,200	-7,800
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.46	3,900	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.39	3,200	-700
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.38	2,900	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.3	2,800	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.29	2,500	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.21	1,800	-700
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.17	1,100	-700
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.19	1,000	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.18	900	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.11	800	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.1	300	-500

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Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.09	200	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66.05	100	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	7/30/2018	10	66	0	-100
Toromont Industries Ltd.	Options	Wetherald, David	5	7/30/2018	51	23.4	55,000	-5,400
Toromont Industries Ltd.	Options	Wetherald, David	5	7/30/2018	51	26.52	49,600	-5,400
Toromont Industries Ltd.	Options	Wetherald, David	5	7/30/2018	51	36.65	44,200	-5,400
Torq Resources Inc.	Common Shares	Bebek, Ivan	4	7/26/2018	10	0.45	5,257,400	15,000
Torq Resources Inc.	Common Shares	Bebek, Ivan	4	8/1/2018	10	0.45	5,292,900	35,500
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	7/31/2018	38		0	-50,000
Touchstone Exploration Inc.	Common Shares	Baay, Paul Raymond	4, 5	8/2/2018	30	0.345	1,141,995	11,219
Touchstone Exploration Inc.	Common Shares	Budau, Christopher Scott	5	8/2/2018	30	0.345	196,755	4,343
Touchstone Exploration Inc.	Common Shares	Shipka, James	5	8/2/2018	30	0.345	262,814	8,686
TransAlta Corporation	Common Shares	Lora-Lei, Brenan	5	7/24/2018	00		1,693	
TransAlta Corporation	PSU (Performance Share Units)	Lora-Lei, Brenan	5	7/24/2018	00		18,992	
TransAlta Corporation	RSU (Restricted Share Units)	Lora-Lei, Brenan	5	7/24/2018	00		9,497	
TransAlta Corporation	Common Shares	Millard, Robert Otis	5	7/24/2018	00		300	
TransAlta Corporation	PSU (Performance Share Units)	Millard, Robert Otis	5	7/24/2018	00		11,638	
TransAlta Corporation	RSU (Restricted Share Units)	Millard, Robert Otis	5	7/24/2018	00		5,820	
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Drinkwater, David William	4	7/31/2018	56	12.01	19,744	128
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Hagerman, Allen R.	4	7/31/2018	56	12.01	18,338	119
TransAlta Renewables Inc.	Common Shares	Lora-Lei, Brenan	6	7/24/2018	00			
TransAlta Renewables Inc.	DSU (Deferred Share Units)	McQuade, Kathryn Ann Bova	4	7/31/2018	56	12.01	22,948	148
TransAlta Renewables Inc.	Common Shares	Millard, Robert Otis	6	7/24/2018	00		375	
TransAlta Renewables Inc.	DSU (Deferred Share Units)	TAYLOR, PAUL H. E.	4	7/31/2018	56	12.01	24,161	157
Transat A.T. inc.	Unités d'actions différées (UAD)	Bachand, Raymond	4	7/31/2018	56	9.03	35,599	1,523
Transat A.T. inc.	Unités d'actions différées (UAD)	Beaulieu, Louis-Marie	4	7/31/2018	56	9.03	24,480	734
Transat A.T. inc.	Unités d'actions différées (UAD)	Chabot, Lucie	4	7/31/2018	56	9.03	10,784	1,523
Transat A.T. inc.	Unités d'actions différées (UAD)	Edwards, Brian	4	7/31/2018	56	9.03	42,408	914
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.88	75,787	-3,900
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.89	75,487	-300
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.87	74,787	-700
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.86	74,587	-200

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.85	58,287	-16,300
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.73	56,187	-2,100
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.74	55,787	-400
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.69	54,887	-900
Transat A.T. inc.	Action à droit de vote de catégorie B	Godbout, Daniel	7, 5	7/31/2018	10	8.7	54,687	-200
Transat A.T. inc.	Unités d'actions différées (UAD)	Kudzman, Susan	4	7/31/2018	56	9.03	37,456	1,550
Transat A.T. inc.	Action à droit de vote de catégorie B	Lemay, Jean-François	7	7/30/2018	51	9.01		5,000
Transat A.T. inc.	Action à droit de vote de catégorie B	Lemay, Jean-François	7	7/30/2018	51	7.48	53,450	5,000
Transat A.T. inc.	Action à droit de vote de catégorie B	Lemay, Jean-François	7	7/30/2018	10	9.01	48,450	-5,000
Transat A.T. inc.	Action à droit de vote de catégorie B	Lemay, Jean-François	7	7/30/2018	51	6.01	48,889	439
Transat A.T. inc.	Action à droit de vote de catégorie B	Lemay, Jean-François	7	7/30/2018	10	9.01	48,450	-439
Transat A.T. inc.	Options	Lemay, Jean-François	7	7/30/2018	51	9.01		-5,000
Transat A.T. inc.	Options	Lemay, Jean-François	7	7/30/2018	51	7.48	81,196	-5,000
Transat A.T. inc.	Options	Lemay, Jean-François	7	7/30/2018	51	6.01	80,757	-439
Transat A.T. inc.	Unités d'actions différées (UAD)	St-Pierre, Louise	4	7/31/2018	56	9.03	2,606	692
TransCanada PipeLines Limited	Common Shares	TransCanada Corporation	3	7/31/2018	11	57.73	883,067,727	3,585,657
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Cote, Jacynthe	4	7/30/2018	56	30.52		672
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Cote, Jacynthe	4	7/30/2018	56	30.52		672
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Cote, Jacynthe	4	7/30/2018	56	30.52	9,577	672
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Fortin, Richard	4	7/30/2018	56	30.52	88,632	671
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Leduc, Yves	4	7/30/2018	56	30.52	2,922	671
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Martini, Anna	4	7/30/2018	56	30.52	41,295	721
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Plourde, Mario	4	7/30/2018	56	30.52	14,107	672

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Raymond, Jean	4	7/30/2018	56	30.52	4,952	672
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Thabet, Annie	4	7/30/2018	56	30.52	9,595	360
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	6/28/2018	38	2.8872	5,400	5,400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	6/29/2018	38	3.0229	8,500	3,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/3/2018	38	2.9066	9,100	600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/4/2018	38	2.8021	12,300	3,200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/6/2018	38	2.8	13,300	1,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/9/2018	38	2.8821	17,000	3,700
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/10/2018	38	2.83	18,400	1,400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/11/2018	38	2.8625	20,800	2,400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/12/2018	38	2.7947	25,400	4,600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/13/2018	38	2.7084	26,700	1,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/16/2018	38	2.6451	30,000	3,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/17/2018	38	2.6646	34,500	4,500
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/18/2018	38	2.8	37,400	2,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/19/2018	38	2.78	37,700	300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/20/2018	38	2.75	42,969	5,269

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/23/2018	38	2.7476	44,269	1,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/24/2018	38	2.7163	46,169	1,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/25/2018	38	2.7028	47,569	1,400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/26/2018	38	2.6965	50,469	2,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/30/2018	38	2.5976	51,769	1,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	7/31/2018	38	2.612	52,769	1,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	8/2/2018	38		0	-52,769
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Common Shares	McConnell, Gerald James	4	7/27/2018	57		6,629	1,020
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights NovaGold DSUs	McConnell, Gerald James	4	7/27/2018	57		0	-6,122
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	7/31/2018	10	0.415	14,056,333	5,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	7/31/2018	10	0.413	14,061,333	5,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/1/2018	10	0.415	14,071,333	10,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/1/2018	10	0.42	14,074,333	3,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/3/2018	10	0.463	14,079,333	5,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/3/2018	10	0.45	14,093,833	14,500
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/3/2018	10	0.46	14,098,833	5,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	8/3/2018	10	0.455	14,108,833	10,000
True Leaf Medicine International Ltd.	Common Shares	Bottomley, Kevin	4	8/1/2018	10	0.5	811,700	-25,000
TSO3 inc.	Common Shares	Kayll, Glen	5	7/31/2018	30	0.75	39,717	1,433
TSO3 inc.	Common Shares	Rumble, Richard Mark	4, 5	7/31/2018	30	0.75	214,910	2,483
TSO3 inc.	Common Shares	Tessman, Harold Robert	5	7/31/2018	30	0.75	87,219	2,306
TWC Enterprises Limited	Common Shares	TWC Enterprises Limited	1	7/16/2018	38	12.61	500	500
TWC Enterprises Limited	Common Shares	TWC Enterprises Limited	1	7/16/2018	38	12.61	0	-500
United Corporations Limited	Common Shares	E-L Financial Corporation Limited	3	7/27/2018	10	99	6,342,959	500

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
United Corporations Limited	Common Shares	E-L Financial Corporation Limited	3	8/2/2018	10	99	6,343,059	100
Ur-Energy Inc.	Common Shares	Walker, Kathy E.	4	9/7/2017	00			
Ur-Energy Inc.	Common Shares	Walker, Kathy E.	4	8/3/2018	10	0.82	24,000	24,000
Uranium Energy Corp.	Common Shares	ABRAHAM, Edward Spencer	4	8/1/2018	46	1.65	112,003	1,970
Uranium Energy Corp.	Common Shares	ABRAHAM, Edward Spencer	4	8/1/2018	46	1.65	112,912	909
Uranium Energy Corp.	Common Shares	Della Volpe, Vincent	4	8/1/2018	46	1.65	145,141	909
Uranium Energy Corp.	Common Shares	Kong, David	4	8/1/2018	46	1.65	67,973	908
Uranium Energy Corp.	Common Shares	MANI, GANPAT	4	8/1/2018	46	1.65	72,097	909
Uranium Energy Corp.	Common Shares	Melbye, Scott Eric	5	8/1/2018	46	1.65	218,008	2,486
Uranium Energy Corp.	Common Shares	Obara, Pat	5	8/1/2018	46	1.65	337,793	1,178
Uranium Energy Corp.	Common Shares	Obolensky, Ivan	4	8/1/2018	46	1.65	131,335	909
UrtheCast Corp.	Rights Restricted Share Units	Evans, William MacDonald ('Mac')	4	6/28/2018	56	0.23	410,438	260,870
UrtheCast Corp.	Rights Restricted Share Units	Topham, James Walter	4	6/28/2018	56	0.23	392,599	260,870
VBI Vaccines Inc.	Common Shares	Baxter, Jeffrey Royston	4, 5	7/31/2018	10	2.279	211,405	16,800
VBI Vaccines Inc.	Common Shares	Beattie, Nell	5	8/3/2018	10	2.0057	14,500	2,000
Vista Gold Corp.	Common Shares	Clark, John	4	7/28/2018	57		150,667	6,400
Vista Gold Corp.	RSU	Clark, John	4	7/28/2018	57		64,800	-6,400
Vista Gold Corp.	Common Shares	Earnest, Frederick H.	5	7/28/2018	57		1,056,906	24,248
Vista Gold Corp.	Phantom Stock	Earnest, Frederick H.	5	9/22/2006	00			
Vista Gold Corp.	Phantom Stock	Earnest, Frederick H.	5	7/24/2018	56		114,000	114,000
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	7/28/2018	38	0.6092	463,314	-19,052
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	7/28/2018	57		439,066	-24,248
Vista Gold Corp.	Common Shares	Engele, John	5	7/28/2018	57		382,570	13,048
Vista Gold Corp.	Phantom Stock	Engele, John	5	5/29/2012	00			
Vista Gold Corp.	Phantom Stock	Engele, John	5	7/24/2018	56		49,000	49,000
Vista Gold Corp.	RSU	Engele, John	5	7/28/2018	38			-10,252
Vista Gold Corp.	RSU	Engele, John	5	7/28/2018	38	0.6092	201,914	-10,252
Vista Gold Corp.	RSU	Engele, John	5	7/28/2018	57		188,866	-13,048
Vista Gold Corp.	Common Shares	Eppler, W. Durand	7	7/28/2018	57		357,078	6,400
Vista Gold Corp.	RSU	Eppler, W. Durand	7	7/28/2018	57		64,800	-6,400
Vista Gold Corp.	Common Shares	Ogryzlo, Charles Thomas	4	7/28/2018	57		239,974	6,400
Vista Gold Corp.	RSU	Ogryzlo, Charles Thomas	4	7/28/2018	57		64,800	-6,400
Vista Gold Corp.	Common Shares	Richings, Michael	4	7/28/2018	57		309,291	6,400
Vista Gold Corp.	RSU	Richings, Michael	4	7/28/2018	57		64,800	-6,400
Vista Gold Corp.	Common Shares	Rozelle, John W.	5	7/28/2018	57		326,616	10,650
Vista Gold Corp.	Phantom Stock	Rozelle, John W.	5	8/1/2012	00			
Vista Gold Corp.	Phantom Stock	Rozelle, John W.	5	7/24/2018	56		32,000	32,000
Vista Gold Corp.	RSU	Rozelle, John W.	5	7/28/2018	38	0.062	132,450	-4,350
Vista Gold Corp.	RSU	Rozelle, John W.	5	7/28/2018	57		121,800	-10,650
Vista Gold Corp.	Common Shares	Stevenson, Tracy	4	7/28/2018	57		291,798	6,400
Vista Gold Corp.	RSU	Stevenson, Tracy	4	7/28/2018	57		64,800	-6,400
WAVERLEY PHARMA INC.	Options	Odlaug, Theron Edwin	5	8/1/2018	00			

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
WAVERLEY PHARMA INC.	Options	Odlaug, Theron Edwin	5	8/1/2018	50	0.26	370,000	370,000
WAVERLEY PHARMA INC.	Options	Towner, Christopher John Nicholas	7	8/1/2018	00			
WAVERLEY PHARMA INC.	Options	Towner, Christopher John Nicholas	7	8/1/2018	50	0.26	30,000	30,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	8/1/2018	10	0.05	5,594,779	15,000
West African Resources Limited	Ordinary Shares	Connelly, Mark	4	6/23/2015	00			
West African Resources Limited	Ordinary Shares	Connelly, Mark	4	7/10/2018	10	0.37	60,000	60,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	7/27/2018	38	82.88	284,512	71,128
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	7/30/2018	38	80.926	355,640	71,128
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	7/31/2018	38	79.8	417,540	61,900
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	8/1/2018	38	80.52	488,668	71,128
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	8/2/2018	38	81.899	559,796	71,128
Western Energy Services Corp.	Options	Balkwill, Peter John	5	8/3/2018	50		366,560	138,810
Western Energy Services Corp.	Restricted Share Unit	Balkwill, Peter John	5	8/3/2018	56		22,819	17,020
Western Energy Services Corp.	Options	Bowers, Jeffrey Keith	5	8/3/2018	50		1,077,180	265,580
Western Energy Services Corp.	Restricted Share Unit	Bowers, Jeffrey Keith	5	8/3/2018	56		48,684	32,550
Western Energy Services Corp.	Options	Copeland, Donald Darrell	4	8/3/2018	50	0.86	69,300	21,850
Western Energy Services Corp.	Restricted Share Unit	Copeland, Donald Darrell	4	8/3/2018	56		26,836	17,470
Western Energy Services Corp.	Options	Gartner, Lorne	4	8/3/2018	50		69,300	21,850
Western Energy Services Corp.	Restricted Share Unit	Gartner, Lorne	4	8/3/2018	56		26,836	17,470
Western Energy Services Corp.	Options	Harrison, Richard Merle	5	8/3/2018	50		568,100	181,300
Western Energy Services Corp.	Restricted Share Unit	Harrison, Richard Merle	5	8/3/2018	56		33,284	22,220
Western Energy Services Corp.	Options	MacAusland, Alexander Roland Neil	4, 5	8/3/2018	50		1,374,410	371,810
Western Energy Services Corp.	Restricted Share Unit	MacAusland, Alexander Roland Neil	4, 5	8/3/2018	56		67,966	45,580
Western Energy Services Corp.	Options	Mathison, Ronald	4	8/3/2018	50	0.86	69,300	21,850
Western Energy Services Corp.	Restricted Share Unit	Mathison, Ronald	4	8/3/2018	56		35,612	23,290
Western Energy Services Corp.	Options	Reinboldt, Darcy Donald	5	8/3/2018	50		668,100	181,300
Western Energy Services Corp.	Restricted Share Unit	Reinboldt, Darcy Donald	5	8/3/2018	56		33,284	22,220
Western Energy Services Corp.	Options	Trann, David George	5	8/3/2018	50		420,810	138,810

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Western Energy Services Corp.	Restricted Share Unit	Trann, David George	5	8/3/2018	56		24,873	17,020
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Cimino, Matthew James	5	4/26/2013	00			
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Cimino, Matthew James	5	7/31/2018	45		159,326	159,326
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Frederiksen, Scott	4, 5	4/26/2013	00			
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Frederiksen, Scott	4, 5	7/31/2018	45		204,848	204,848
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Gilats, Judd Korey	5	7/1/2017	00			
WPT Industrial Real Estate Investment Trust	Class B Partnership Units	Gilats, Judd Korey	5	7/31/2018	45		45,412	45,412
Xtierra Inc.	Common Shares	Gallagher, Timothy David	3, 4, 5	8/1/2018	10	0.06	3,858,000	5,000
YDreams Global Interactive Technologies Inc.	Common Shares	YDreams Med, SL	3	7/30/2018	11	0.17	12,650,000	-2,000,000
Yellowhead Mining Inc.	Common Shares	Mathison, Ronald	4	6/9/2017	37		3,987,212	-43,859,233
Yellowhead Mining Inc.	Common Shares	Mathison, Ronald	4	8/1/2018	57	0.12	8,531,375	4,544,163
Zargon Oil & Gas Ltd.	Common Shares	Burden, Leslie Edward	5	7/31/2018	30	0.4361	35,644	1,100
Zargon Oil & Gas Ltd.	Common Shares	Burden, Leslie Edward	5	7/31/2018	30	0.4361	131,313	2,566
Zargon Oil & Gas Ltd.	Common Shares	Doetzel, Randolph John	5	7/31/2018	30	0.4361	8,794	4,163
Zargon Oil & Gas Ltd.	Common Shares	Hansen, Craig Henry	4, 5	7/31/2018	30	0.4361	1,095,000	4,681
Zargon Oil & Gas Ltd.	Common Shares	Hustad, Christopher Michael	5	7/31/2018	30	0.4361	191,060	3,809
Zenith Capital Corp.	Units Restricted Share Units	McNeill, Kelly Bret	4	7/31/2018	46		312,260	8,873
Zenith Capital Corp.	Common Shares	NGN BioMed Opportunity II LP	3	7/30/2018	16	2	12,893,004	100,000
Zenith Capital Corp.	Common Shares	NGN BioMed Opportunity II LP	3	8/1/2018	57		13,017,692	124,688
Zenith Capital Corp.	Units Restricted Share Units	NGN BioMed Opportunity II LP	3	8/1/2018	57		0	-124,688
Zenith Capital Corp.	Warrants	NGN BioMed Opportunity II LP	3	7/30/2018	53		50,000	50,000
Zenith Capital Corp.	Units Restricted Share Units	Smith, Eldon	4	7/31/2018	46		390,315	20,961
Zenith Capital Corp.	Units Restricted Share Units	WONG, NORMAN C.W.	4	7/31/2018	46		117,074	11,402
Zenith Capital Corp.	Units Restricted Share Units	Zuerblis, Kenneth	4	7/31/2018	46		124,399	8,273
Zenyatta Ventures Ltd.	Warrants	Dubé, Francis Jacques	4	5/11/2018	00			
Zenyatta Ventures Ltd.	Warrants	Dubé, Francis Jacques	4	5/11/2018	00		38,000	

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Chapter 11

IPOs, New Issues and Secondary Financings

INVESTMENT FUNDS

Issuer Name:

BMO Tactical Global Asset Allocation ETF Fund
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Simplified Prospectus dated July 30, 2018

Received on August 2, 2018

Offering Price and Description:

T4 Series

Underwriter(s) or Distributor(s):

BMO Investments Inc.

Promoter(s):

BMO Investments Inc.

Project #2744768

Issuer Name:

Purpose Conservative Income Fund
Principal Regulator – Ontario

Type and Date:

Amendment #3 to Final Simplified Prospectus and
Amendment #4 to Annual Information Form dated August 2, 2018

Received on August 2, 2018

Offering Price and Description:

Series XUA and XUF Shares

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Purpose Investments Inc.

Project #2674554

Issuer Name:

Balanced Portfolio
Conservative Portfolio
Growth Portfolio
High Growth Portfolio
Invesco Active Multi-Sector Credit Fund (formerly, Invesco Advantage Bond Fund)
Invesco Allocation Fund
Invesco Canada Money Market Fund
Invesco Canadian Premier Balanced Fund (formerly, Invesco Canadian Balanced Fund)
Invesco Canadian Core Plus Bond Class (formerly, Invesco Canadian Bond Class)
Invesco Canadian Core Plus Bond Fund (formerly, Invesco Canadian Bond Fund)
Invesco Canadian Premier Growth Class
Invesco Canadian Premier Growth Fund
Invesco Core Canadian Balanced Class
Invesco European Growth Class
Invesco Floating Rate Income Fund
Invesco Global Bond Fund
Invesco Global Dividend Income Fund
Invesco Global Growth Class
Invesco Global High Yield Bond Fund
Invesco Global Monthly Income Fund
Invesco Global Real Estate Fund
Invesco Indo-Pacific Fund
Invesco Intactive 2023 Portfolio
Invesco Intactive 2028 Portfolio
Invesco Intactive 2033 Portfolio
Invesco Intactive 2038 Portfolio
Invesco Intactive Balanced Growth Portfolio
Invesco Intactive Balanced Growth Portfolio Class
Invesco Intactive Balanced Income Portfolio
Invesco Intactive Balanced Income Portfolio Class
Invesco Intactive Diversified Income Portfolio
Invesco Intactive Diversified Income Portfolio Class
Invesco Intactive Growth Portfolio
Invesco Intactive Growth Portfolio Class
Invesco Intactive Maximum Growth Portfolio
Invesco Intactive Maximum Growth Portfolio Class
Invesco International Growth Class
Invesco International Growth Fund
Invesco Monthly Income ETF Portfolio (formerly, PowerShares Monthly Income Fund)
Invesco Select Canadian Equity Fund
Invesco Canadian Short-Term Bond Fund (formerly, Invesco Short-Term Bond Fund)
Invesco Short-Term Income Class
Invesco Strategic Yield Fund
Moderate Portfolio
Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund (formerly, PS 1-5 Year Laddered Corporate Bond Index Fund)

Invesco Canadian Dividend Index ETF Class (formerly, PowerShares Canadian Dividend Index Class)
Invesco S&P/TSX Composite Low Volatility Index ETF Class (formerly, PowerShares Canadian Low Volatility Index Class)
Invesco Canadian Preferred Share Index ETF Class (formerly, PowerShares Canadian Preferred Share Index Class)
Invesco FTSE RAFI Canadian Index ETF Class (formerly, PowerShares FTSE RAFI® Canadian Fundamental Index Class)
Invesco FTSE RAFI Emerging Markets ETF Class (formerly, PowerShares FTSE RAFI® Emerging Markets Fundamental Class)
Invesco FTSE RAFI Global+ ETF Fund (formerly, PowerShares FTSE RAFI® Global+ Fundamental Fund)
Invesco FTSE RAFI U.S. ETF Fund (formerly, PowerShares FTSE RAFI® U.S. Fundamental Fund)
Invesco Global Dividend Achievers ETF Fund (formerly, PowerShares Global Dividend Achievers Fund)
Invesco U.S. High Yield Bond Index Fund (formerly, PowerShares High Yield Corporate Bond Index Fund)
Invesco Canadian Real Return Bond Index Fund (formerly, PowerShares Real Return Bond Index Fund)
Invesco Tactical Bond ETF Fund (formerly, PowerShares Tactical Bond Fund)
Invesco S&P 500 Low Volatility Index ETF Fund (formerly, PowerShares U.S. Low Volatility Index Fund)
Invesco Canadian Class (formerly, Trimark Canadian Class)
Invesco Canadian Endeavour Fund (formerly, Trimark Canadian Endeavour Fund)
Invesco Canadian Fund (formerly, Trimark Canadian Fund)
Invesco Canadian Opportunity Class (formerly, Trimark Canadian Opportunity Class)
Invesco Canadian Opportunity Fund (formerly, Trimark Canadian Opportunity Fund)
Invesco Canadian Plus Dividend Class (formerly, Trimark Canadian Plus Dividend Class)
Invesco Canadian Small Companies Fund (formerly, Trimark Canadian Small Companies Fund)
Invesco Diversified Yield Class (formerly, Trimark Diversified Yield Class)
Invesco Emerging Markets Class (formerly, Trimark Emerging Markets Class)
Invesco Energy Class (formerly, Trimark Energy Class)
Invesco Europlus Fund (formerly, Trimark Europlus Fund)
Invesco Global Companies Fund (formerly, Trimark Fund)
Invesco Global Balanced Class (formerly, Trimark Global Balanced Class)
Invesco Global Balanced Fund (formerly, Trimark Global Balanced Fund)
Invesco Global Diversified Income Fund (formerly, Trimark Global Diversified Income Fund)
Invesco Global Dividend Class (formerly, Trimark Global Dividend Class)
Invesco Global Endeavour Class (formerly, Trimark Global Endeavour Class)
Invesco Global Endeavour Fund (formerly, Trimark Global Endeavour Fund)
Invesco Global Diversified Companies Class (formerly, Trimark Global Fundamental Equity Class)

Invesco Global Diversified Companies Fund (formerly, Trimark Global Fundamental Equity Fund)
Invesco Global Small Companies Class (formerly, Trimark Global Small Companies Class)
Invesco Income Growth Fund (formerly, Trimark Income Growth Fund)
Invesco Canadian Interest Fund (formerly, Trimark Interest Fund)
Invesco International Companies Class (formerly, Trimark International Companies Class)
Invesco International Companies Fund (formerly, Trimark International Companies Fund)
Invesco Resources Fund (formerly, Trimark Resources Fund)
Invesco Select Balanced Fund (formerly, Trimark Select Balanced Fund)
Invesco U.S. Companies Class (formerly, Trimark U.S. Companies Class)
Invesco U.S. Companies Fund (formerly, Trimark U.S. Companies Fund)
Invesco U.S. Money Market Fund (formerly, Trimark U.S. Money Market Fund)
Invesco U.S. Small Companies Class (formerly, Trimark U.S. Small Companies Class)
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 27, 2018

NP 11-202 Receipt dated August 2, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2784274

Issuer Name:

Black Creek Global Balanced Corporate Class	CI Income Fund (formerly CI Income Advantage Fund and Select Income Advantage Managed Fund)
Black Creek Global Balanced Fund (formerly Castlerock Global Balanced Fund)	CI International Value Corporate Class
Black Creek Global Leaders Corporate Class	CI International Value Fund
Black Creek Global Leaders Fund (formerly Castlerock Global Leaders Fund)	CI Investment Grade Bond Fund
Black Creek International Equity Corporate Class	CI Money Market Fund
Black Creek International Equity Fund (formerly Castlerock International Equity Fund)	CI Pacific Corporate Class
Cambridge American Equity Corporate Class (formerly CI American Equity Corporate Class)	CI Pacific Fund
Cambridge American Equity Fund (formerly CI American Equity Fund)	CI Short-Term Corporate Class
Cambridge Asset Allocation Corporate Class	CI Short-Term US\$ Corporate Class
Cambridge Asset Allocation Fund	CI U.S. Income US\$ Pool
Cambridge Balanced Yield Pool	CI US Money Market Fund
Cambridge Canadian Dividend Corporate Class	Harbour Canadian Dividend Fund (formerly CI Canadian Dividend Fund)
Cambridge Canadian Dividend Fund (formerly CI Canadian Dividend Growth Fund and Castlerock Canadian Dividend Growth)	Harbour Corporate Class
Cambridge Canadian Equity Corporate Class	Harbour Fund
Cambridge Canadian Equity Fund	Harbour Global Analyst Fund
Cambridge Canadian Growth Companies Fund (formerly Castlerock Canadian Growth Companies Fund)	Harbour Global Equity Corporate Class (formerly Harbour Foreign Equity Corporate Class)
Cambridge Canadian Short-Term Bond Pool	Harbour Global Equity Fund
Cambridge Global Dividend Corporate Class	Harbour Global Growth & Income Corporate Class (formerly Harbour Foreign Growth & Income Corporate Class)
Cambridge Global Dividend Fund	Harbour Global Growth & Income Fund
Cambridge Global Equity Corporate Class	Harbour Growth & Income Corporate Class
Cambridge Global Equity Fund	Harbour Growth & Income Fund
Cambridge Global High Income Fund (formerly Cambridge High Income Fund)	Harbour Voyageur Corporate Class (formerly Harbour All Cap Corporate Class)
Cambridge Growth Companies Corporate Class	Lawrence Park Strategic Income Fund
Cambridge Growth Companies Fund	Marret High Yield Bond Fund
Cambridge Monthly Income Corporate Class (formerly Cambridge Income Corporate Class)	Marret Short Duration High Yield Fund
Cambridge Monthly Income Fund (formerly Cambridge Income Fund)	Portfolio Series Balanced Fund
Cambridge Premium Yield Pool	Portfolio Series Balanced Growth Fund
Cambridge Pure Canadian Equity Corporate Class	Portfolio Series Conservative Balanced Fund
Cambridge Pure Canadian Equity Fund (formerly Castlerock Pure Canadian Equity Fund)	Portfolio Series Conservative Fund
Cambridge Stock Selection Fund	Portfolio Series Growth Fund
Cambridge U.S. Dividend Fund (formerly CI U.S. Dividend Growth Fund and Castlerock U.S. Dividend Growth Fund)	Portfolio Series Income Fund
Cambridge U.S. Dividend Registered Fund	Portfolio Series Maximum Growth Fund
Cambridge U.S. Dividend US\$ Fund	Select 100e Managed Portfolio Corporate Class
CI American Managers Corporate Class	Select 20i80e Managed Portfolio Corporate Class
CI American Small Companies Corporate Class	Select 30i70e Managed Portfolio Corporate Class
CI American Small Companies Fund	Select 40i60e Managed Portfolio Corporate Class
CI American Value Corporate Class	Select 50i50e Managed Portfolio Corporate Class
CI American Value Fund	Select 60i40e Managed Portfolio Corporate Class
CI Canadian Investment Corporate Class	Select 70i30e Managed Portfolio Corporate Class
CI Canadian Investment Fund	Select 80i20e Managed Portfolio Corporate Class
CI Canadian Small/Mid Cap Fund	Select Canadian Equity Managed Corporate Class
CI Can-Am Small Cap Corporate Class	Select Income Managed Corporate Class (formerly Select Income Advantage Managed Corporate Class)
CI Global Health Sciences Corporate Class	Select International Equity Managed Corporate Class
CI Global High Dividend Advantage Corporate Class	Select Staging Fund
CI Global High Dividend Advantage Fund	Select U.S. Equity Managed Corporate Class
CI Global Small Companies Corporate Class	Signature Canadian Balanced Fund
CI Global Small Companies Fund	Signature Canadian Bond Corporate Class (formerly CI Canadian Bond Corporate Class)
CI Global Value Corporate Class	Signature Canadian Bond Fund (formerly CI Canadian Bond Fund)
CI Global Value Fund	Signature Corporate Bond Corporate Class
	Signature Corporate Bond Fund
	Signature Diversified Yield Corporate Class
	Signature Diversified Yield II Fund (formerly Signature Enhanced Yield Fund)
	Signature Dividend Corporate Class

Signature Dividend Fund
Signature Emerging Markets Corporate Class (formerly CI Emerging Markets Corporate Class)
Signature Emerging Markets Fund (formerly CI Emerging Markets Fund)
Signature Floating Rate Income Pool
Signature Global Resource Corporate Class (formerly Signature Canadian Resource Corporate Class)
Signature Global Resource Fund (formerly Signature Canadian Resource Fund)
Signature Global Bond Corporate Class (formerly CI Global Bond Corporate Class)
Signature Global Bond Fund (formerly CI Global Bond Fund)
Signature Global Dividend Corporate Class
Signature Global Dividend Fund
Signature Global Energy Corporate Class (formerly CI Global Energy Corporate Class)
Signature Global Equity Corporate Class (formerly CI Global Corporate Class)
Signature Global Equity Fund (formerly CI Global Fund)
Signature Global Income & Growth Corporate Class
Signature Global Income & Growth Fund
Signature Global Technology Corporate Class (formerly Signature Global Science & Technology Corporate Class)
Signature Gold Corporate Class
Signature High Income Corporate Class
Signature High Income Fund
Signature High Yield Bond Corporate Class
Signature High Yield Bond II Fund (formerly Signature High Yield Bond Trust)
Signature Income & Growth Corporate Class
Signature Income & Growth Fund
Signature Preferred Share Pool
Signature Real Estate Pool
Signature Select Canadian Corporate Class
Signature Select Canadian Fund
Signature Short-Term Bond Fund (formerly CI Short-Term Bond Fund)
Signature Tactical Bond Pool
Synergy American Corporate Class
Synergy American Fund
Synergy Canadian Corporate Class
Synergy Global Corporate Class
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 30, 2018
NP 11-202 Receipt dated August 2, 2018

Offering Price and Description:

A, A1, A2, A3, A4, A5, AT5, A1T5, A2T5, A3T5, AT8, A1T8, A2T8, A3T8, A4T8, A5T8, E, ET5, ET8, EF, EFT5, EFT8, F, F1, F2, F3, F4, F5, FT5, F1T5, FT8, F1T8, F2T8, F3T8, F4T8, F5T8, I, IT8, O, OT5, OT8, P, PT5 and PT8 shares; Class A, A1, A2, A3, AT6, D, E, EF, F, F1, F2, F3, F4, I, O and P units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

CI Investments Inc.

Project #2777804

Issuer Name:

BMO Tactical Global Asset Allocation ETF Fund
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Simplified Prospectus dated July 30, 2018

NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

T4 Series

Underwriter(s) or Distributor(s):

BMO Investments Inc.

Promoter(s):

BMO Investments Inc.

Project #2744768

Issuer Name:

Canadian Dollar Cash Management Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 27, 2018

NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

Corporate Series units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Invesco Canada Ltd.

Project #2784366

Issuer Name:

Canadian Dollar Cash Management Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 27, 2018

NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

The Northern Trust Canada Series units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Invesco Canada Ltd.

Project #2784440

Issuer Name:

Canadian Dollar Cash Management Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 27, 2018

NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

Institutional Series units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Invesco Canada Ltd.

Project #2784405

Issuer Name:

Canadian Dollar Cash Management Fund
U.S. Dollar Cash Management Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 27, 2018
NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

Series I units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Invesco Canada Ltd.

Project #2784338

Issuer Name:

Dynamic Active Core Bond Private Pool
Dynamic Active Credit Strategies Private Pool
Dynamic Alternative Managed Risk Private Pool Class
Dynamic Asset Allocation Private Pool
Dynamic Canadian Equity Private Pool Class
Dynamic Conservative Yield Private Pool
Dynamic Global Equity Private Pool Class
Dynamic Global Yield Private Pool
Dynamic Global Yield Private Pool Class
Dynamic International Dividend Private Pool
Dynamic North American Dividend Private Pool
Dynamic Tactical Bond Private Pool
Dynamic U.S. Equity Private Pool Class
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Annual Information Form dated July 26, 2018
NP 11-202 Receipt dated August 1, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

1832 Asset Management L.P.

Promoter(s):

1832 Asset Management L.P.

Project #2757005

Issuer Name:

Evolve Automobile Innovation Index ETF
Evolve Cyber Security Index ETF
Evolve Global Healthcare Enhanced Yield ETF
Evolve North American Gender Diversity Index ETF
Evolve US Banks Enhanced Yield ETF
Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated July 30, 2018
NP 11-202 Receipt dated July 31, 2018

Offering Price and Description:

Hedged, Unhedged and US Dollar Unhedged units @ net asset value

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2792902

Issuer Name:

Manulife Asia Equity Class
Manulife Balanced Equity Private Pool
Manulife Balanced Income Private Trust
Manulife Balanced Portfolio
Manulife Bond Fund
Manulife Canadian Balanced Fund
Manulife Canadian Balanced Private Pool
Manulife Canadian Dividend Growth Class
Manulife Canadian Dividend Growth Fund
Manulife Canadian Equity Private Pool
Manulife Canadian Growth and Income Private Trust
Manulife Canadian Investment Class
Manulife Canadian Investment Fund
Manulife Canadian Unconstrained Bond Fund (formerly Manulife Canadian Bond Plus Fund)
Manulife China Class
Manulife Conservative Portfolio
Manulife Corporate Bond Fund
Manulife Corporate Fixed Income Private Trust
Manulife Covered Call U.S. Equity Class
Manulife Covered Call U.S. Equity Fund
Manulife Diversified Alpha Portfolio
Manulife Diversified Investment Fund
Manulife Dividend Income Class
Manulife Dividend Income Fund
Manulife Dividend Income Plus Class (formerly Manulife Canadian Focused Class)
Manulife Dividend Income Plus Fund (formerly Manulife Canadian Focused Fund)
Manulife Dividend Income Private Pool
Manulife Dollar-Cost Averaging Fund
Manulife Emerging Markets Fund
Manulife Floating Rate Income Fund
Manulife Fundamental Balanced Class (formerly Manulife Canadian Equity Balanced Class)
Manulife Fundamental Dividend Class (formerly Manulife Canadian Dividend Income Class)
Manulife Fundamental Dividend Fund (formerly Manulife Canadian Dividend Income Fund)
Manulife Fundamental Equity Class (formerly Manulife Canadian Stock Class)

Manulife Fundamental Equity Fund (formerly Manulife Canadian Stock Fund)
Manulife Fundamental Income Class (formerly Manulife Canadian Monthly Income Class)
Manulife Fundamental Income Fund (formerly Manulife Canadian Monthly Income Fund)
Manulife Global All Cap Focused Fund
Manulife Global Balanced Fund
Manulife Global Balanced Private Trust
Manulife Global Dividend Class
Manulife Global Dividend Fund
Manulife Global Dividend Growth Class
Manulife Global Dividend Growth Fund
Manulife Global Equity Class
Manulife Global Equity Private Pool
Manulife Global Equity Unconstrained Class
Manulife Global Equity Unconstrained Fund
Manulife Global Fixed Income Private Trust
Manulife Global Infrastructure Class
Manulife Global Infrastructure Fund
Manulife Global Real Estate Unconstrained Fund
Manulife Global Small Cap Balanced Fund
Manulife Global Small Cap Fund
Manulife Global Strategic Balanced Yield Fund
Manulife Global Unconstrained Bond Fund (formerly Manulife Global Tactical Credit Fund)
Manulife Growth Opportunities Class
Manulife Growth Opportunities Fund
Manulife Growth Portfolio
Manulife Income Fund 2022
Manulife Income Fund 2027
Manulife Income Fund 2032
Manulife Income Fund 2037
Manulife Income Fund 2042
Manulife Income Fund 2047
Manulife Income Fund 2052
Manulife Income Fund 2057
Manulife Income Fund 2062
Manulife Income Fund 2067
Manulife International Equity Private Trust
Manulife International Focused Fund
Manulife International Value Equity Fund
Manulife Moderate Portfolio
Manulife Money Market Fund (formerly Manulife Money Market Private Trust)
Manulife Monthly High Income Class
Manulife Monthly High Income Fund
Manulife Quantitative Fixed Income Fund 2022
Manulife Quantitative Fixed Income Fund 2027
Manulife Quantitative Fixed Income Fund 2032
Manulife Quantitative Fixed Income Fund 2037
Manulife Quantitative Fixed Income Fund 2042
Manulife Simplicity Balanced Portfolio
Manulife Simplicity Conservative Portfolio
Manulife Simplicity Global Balanced Portfolio
Manulife Simplicity Growth Portfolio
Manulife Simplicity Moderate Portfolio
Manulife Strategic Balanced Yield Fund
Manulife Strategic Dividend Bundle
Manulife Strategic Income Fund
Manulife Strategic Investment Grade Global Bond Fund
Manulife Tactical Income Fund
Manulife U.S. All Cap Equity Class

Manulife U.S. All Cap Equity Fund
Manulife U.S. Balanced Private Trust
Manulife U.S. Balanced Value Private Trust
Manulife U.S. Dividend Income Class
Manulife U.S. Dividend Income Fund
Manulife U.S. Dollar Strategic Balanced Yield Fund
Manulife U.S. Dollar Strategic Income Fund
Manulife U.S. Dollar U.S. All Cap Equity Fund
Manulife U.S. Equity Fund
Manulife U.S. Equity Private Pool
Manulife U.S. Monthly High Income Fund
Manulife U.S. Opportunities Fund
Manulife U.S. Unconstrained Bond Fund (formerly Manulife U.S. Tactical Credit Fund)
Manulife Value Balanced Class
Manulife Value Balanced Fund
Manulife World Investment Class
Manulife World Investment Fund
Manulife Yield Opportunities Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated August 2, 2018
NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

ADVISOR SERIES, SERIES B, SERIES C, SERIES CT6, SERIES D, SERIES F, SERIES FT6, SERIES GA, SERIES GF, SERIES H, SERIES HE, SERIES HH, SERIES I, SERIES J, SERIES K6, SERIES L, SERIES LT6, SERIES N AND SERIES T6 SECURITIES @ net asset value

Underwriter(s) or Distributor(s):

Manulife Asset Management Investments Inc.
Manulife Securities Incorporated
Manulife Securities Investment Services Inc.

Promoter(s):

N/A

Project #2783412

Issuer Name:

Ninepoint UIT Alternative Health Fund
Ninepoint Enhanced Equity Class
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Simplified Prospectus dated July 26, 2018

NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Ninepoint Partners LP

Project #2745066

Issuer Name:

Ninepoint Core Bond Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 30, 2018
NP 11-202 Receipt dated July 31, 2018

Offering Price and Description:

Series A, Series F, Series PF, Series QF and Series I Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Ninepoint Partners L.P.

Project #2793186

Issuer Name:

PIMCO Balanced Income Fund (Canada)
PIMCO Canadian Total Return Bond Fund
PIMCO Flexible Global Bond Fund (Canada) (formerly,
PIMCO Global Advantage Strategy Bond Fund (Canada))
PIMCO Investment Grade Credit Fund (Canada)
PIMCO Monthly Income Fund (Canada)
PIMCO Unconstrained Bond Fund (Canada)
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated July 30, 2018
NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

Series A, Series F, Series I, Series M and Series O units,
Series A(US\$), Series F(US\$), Series I(US\$), Series
M(US\$), Series O(US\$), Series H, ETF Series and
ETF(US\$) Series units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

PIMCO Canada Corp.

Project #2789669

NON-INVESTMENT FUNDS

Issuer Name:

CLS Holdings USA, Inc.
Principal Regulator – Ontario

Type and Date:

Preliminary Long Form Prospectus dated August 2, 2018
NP 11-202 Preliminary Receipt dated August 3, 2018

Offering Price and Description:

30,421,670 Common Shares and 30,421,670 Warrants
issuable upon deemed exercise of 30,421,670 Special
Warrants

Price Per Special Warrant: CAD\$0.45

Underwriter(s) or Distributor(s):

Canaccord Genuity Corp.

Promoter(s):

–

Project #2802520

Issuer Name:

Crestview Exploration Inc.
Principal Regulator – Alberta (ASC)

Type and Date:

Preliminary Long Form Prospectus dated July 31, 2018
Received on July 31, 2018

Offering Price and Description:

No Securities are being offered pursuant to this Prospectus

Underwriter(s) or Distributor(s):

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Promoter(s):

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Project #2801291

Issuer Name:

First Division Ventures Inc.
Principal Regulator – British Columbia

Type and Date:

Preliminary Long Form Prospectus dated August 2, 2018
NP 11-202 Preliminary Receipt dated August 2, 2018

Offering Price and Description:

Minimum: \$250,000.00 (1,250,000 Common Shares)

Maximum: \$400,000.00 (2,000,000 Common Shares)

Price: \$0.20 per Common Share

Underwriter(s) or Distributor(s):

Mackie Research Capital Corporation

Promoter(s):

Michael Mulberry

Project #2802130

Issuer Name:

Perihelion Capital Ltd.
Principal Regulator – British Columbia

Type and Date:

Preliminary CPC Prospectus (TSX-V) dated August 2, 2018
NP 11-202 Preliminary Receipt dated August 2, 2018

Offering Price and Description:

\$250,000.00

2,500,000 Common Shares

Price: \$0.10 per Common Share

Underwriter(s) or Distributor(s):

Mackie Research Capital Corporation

Promoter(s):

Alexandros Tziliou

Project #2802323

Issuer Name:

Skarb Exploration Corp.
Principal Regulator – Ontario

Type and Date:

Preliminary Long Form Prospectus dated August 1, 2018
NP 11-202 Preliminary Receipt dated August 1, 2018

Offering Price and Description:

1,401,500 Common Shares on Exercise of 1,401,500

Outstanding Special Warrants

Price Per Special Warrant: \$0.10

Underwriter(s) or Distributor(s):

–

Promoter(s):

Craig Parry

Project #2801515

Issuer Name:

Spirit Banner II Capital Corp.
Principal Regulator – Ontario

Type and Date:

Amendment dated August 1, 2018 to Final CPC

Prospectus (TSX-V) dated May 7, 2018

Received on August 1, 2018

Offering Price and Description:

Minimum Offering: \$500,000.00 (5,000,000 Common
Shares)

Maximum Offering: \$1,000,000.00 (10,000,000 Common
Shares)

Price: \$0.10 per Offered Share

Underwriter(s) or Distributor(s):

Canaccord Genuity Corp.

Promoter(s):

Ali Haji

Project #2728130

Issuer Name:

Tempus Capital Inc.
Principal Regulator – Ontario

Type and Date:

Preliminary Long Form Prospectus dated August 1, 2018
NP 11-202 Preliminary Receipt dated August 1, 2018

Offering Price and Description:

No securities are being offered pursuant to this Prospectus

Underwriter(s) or Distributor(s):

–

Promoter(s):

Russell Tanz
Project #2801631

Issuer Name:

Theralase Technologies Inc.
Principal Regulator – Ontario

Type and Date:

Preliminary Shelf Prospectus dated July 30, 2018
NP 11-202 Preliminary Receipt dated July 31, 2018

Offering Price and Description:

\$100,000,000.00

Common Shares

Warrants

Units

Subscription Receipts

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2800145

Issuer Name:

Cassowary Capital Corporation Limited
Principal Regulator – Alberta (ASC)

Type and Date:

Final CPC Prospectus (TSX-V) dated July 30, 2018
NP 11-202 Receipt dated August 1, 2018

Offering Price and Description:

\$400,000.00 or 4,000,000 Common Shares

Price: \$0.10 per Common Share

Underwriter(s) or Distributor(s):

Richardson GMP Limited

Promoter(s):

Stuart M. Olley
Project #2784321

Issuer Name:

InterRent Real Estate Investment Trust
Principal Regulator – Ontario

Type and Date:

Final Short Form Prospectus dated August 1, 2018
NP 11-202 Receipt dated August 1, 2018

Offering Price and Description:

\$100,003,500.00 – 9,390,000 trust units

Price: \$10.65 per Offered Unit

Underwriter(s) or Distributor(s):

Desjardins Securities Inc.

Scotia Capital Inc.

BMO Nesbitt Burns Inc.

RBC Dominion Securities Inc.

TD Securities Inc.

Canaccord Genuity Corp.

National Bank Financial Inc.

CIBC World Markets Inc.

Echelon Wealth Partners Inc.

Raymond James Ltd.

GMP Securities L.P.

Industrial Alliance Securities Inc.

Promoter(s):

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Project #2797117

Issuer Name:

Kalytera Therapeutics, Inc.
Principal Regulator – British Columbia

Type and Date:

Final Short Form Prospectus dated August 1, 2018
NP 11-202 Receipt dated August 1, 2018

Offering Price and Description:

Maximum: \$10,000,000.00 (90,909,091 Units)

Minimum: \$3,000,000.00 (27,272,728 Units)

Price: \$0.11 Per Unit

Underwriter(s) or Distributor(s):

Echelon Wealth Partners Inc.

Promoter(s):

–

Project #2794205

Issuer Name:

Shopify Inc.
Principal Regulator – Ontario

Type and Date:

Final Shelf Prospectus dated August 3, 2018
NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

\$5,000,000,000.00
Class A Subordinate Voting Shares
Preferred Shares
Debt Securities
Warrants
Subscription Receipts
Units

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2799922

Issuer Name:

Wolf Acquisition Corp.
Principal Regulator – Ontario

Type and Date:

Final CPC Prospectus (TSX-V) dated August 2, 2018
NP 11-202 Receipt dated August 3, 2018

Offering Price and Description:

\$300,000.00 (3,000,000 Common Shares)
Price: \$0.10 per Common Share

Underwriter(s) or Distributor(s):

Richardson GMP Limited

Promoter(s):

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Project #2794518

Chapter 12

Registrations

12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
Change in Registration Category	Gemini Asset Management Inc.	From: Portfolio Manager, Exempt Market Dealer and Investment Fund Manager To: Portfolio Manager	July 31, 2018
New Registration	Sona Wealth Counsel Inc.	Portfolio Manager	August 1, 2018
Consent to Suspension (Pending Surrender)	Cockfield Porretti Cunningham Investment Counsel Inc.	Portfolio Manager	August 1, 2018
Change in Registration Category	StoneCastle Investment Management Inc.	From: Portfolio Manager, and Exempt Market Dealer To: Investment Fund Manager, Portfolio Manager and Exempt Market Dealer	August 1, 2018
New Registration	Amuka Capital Corp.	Exempt Market Dealer	August 2, 2018

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Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1 SROs

13.1.1 IIROC – Amendments Respecting the Reporting of Certain Trades to Acceptable Foreign Trade Reporting Facilities – Notice of Commission Approval

NOTICE OF COMMISSION APPROVAL

INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

AMENDMENTS RESPECTING THE REPORTING OF CERTAIN TRADES TO ACCEPTABLE FOREIGN TRADE REPORTING FACILITIES

The Ontario Securities Commission has approved IIROC's proposed amendments to the Universal Market Integrity Rules respecting the reporting of certain trades to acceptable foreign trade reporting facilities (the "Amendments").

The Amendments were described in [IIROC Notice 17-0111 – Re-Publication of Proposed Amendments Respecting the Reporting of Certain Trades to Acceptable Foreign Trade Reporting Facilities](#) that was published on May 25, 2017, and available at <http://www.iiroc.ca>.

The effective date for the Amendments is November 7, 2018.

In addition, the Alberta Securities Commission, the Autorité des marchés financiers, the British Columbia Securities Commission, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission of New Brunswick, the Manitoba Securities Commission, the Nova Scotia Securities Commission, the Office of the Superintendent of Securities, Service Newfoundland and Labrador, and the Prince Edward Island Office of the Superintendent of Securities Office have approved or not objected to the Amendments.

13.1.2 IIROC – Proposed Amendments to Dealer Member Rules and Form 1 Regarding the Securities Concentration Test and Designated Rating Organizations – Request for Comment

REQUEST FOR COMMENT

**INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA
(IIROC)**

**PROPOSED AMENDMENTS TO DEALER MEMBER RULES AND FORM 1 REGARDING
THE SECURITIES CONCENTRATION TEST AND DESIGNATED RATING ORGANIZATIONS**

IIROC is publishing for public comment proposed amendments to its Dealer Member Rules (DMRs) and Form 1 regarding the securities concentration test and designated rating organizations (the Proposed Amendments). The Proposed Amendments introduce debt securities with a normal margin rate of 10% or less (debt securities margined at $\leq 10\%$) into the existing securities concentration test. The Proposed Amendments also update the use of credit ratings, and references to credit rating agencies, in the DMRs and Form 1. The primary objective of the Proposed Amendments is to prevent undue concentrations in certain debt securities margined at $\leq 10\%$.

A copy of the IIROC Notice including the Proposed Amendments is published on our website at <http://www.osc.gov.on.ca>. The comment period ends on Thursday, November 8, 2018.

13.2 Marketplaces

13.2.1 CSE – Amendments to Trading System Functionality and Features – Significant Change Subject to Public Comment – Notice and Request for Comment

CANADIAN SECURITIES EXCHANGE
SIGNIFICANT CHANGE SUBJECT TO PUBLIC COMMENT
AMENDMENTS TO TRADING SYSTEM FUNCTIONALITY & FEATURES
NOTICE AND REQUEST FOR COMMENT

CNSX Markets Inc., (CSE or the Exchange) is filing this Notice in accordance with the Process for the Review and Approval of Rules and Information Contained in Form 21-101F1 and the Exhibits Thereto attached as Appendix B to the Exchange's recognition order ("Protocol"). The Exchange intends to implement enhancements to its trading system in response to customer feedback. The enhancements, including new order types and additional features for existing order types, are described below.

A. Description of the Proposed Changes

CSE At The Touch Pegged Orders

At The Touch pegged orders are dark orders that will be pegged to the same side of the Protected National Best Bid and Offer (NBBO) at the NBBO price.

- Buy orders will be pegged to the national best bid and sell orders will be pegged to the national best offer.
- At The Touch pegged orders will not participate with contra orders unless the contra order is at least 50 board lots or \$100,000.
- At The Touch pegged orders will trade only after all visible orders in the CSE book at the NBBO have traded.
- If the NBBO is locked or crossed the At The Touch pegged order will not trade. Orders can be entered when the NBBO is locked or crossed but will not be priced or placed into the book until the condition is cleared.
- At The Touch pegged orders are available on Equity and Exchange Traded Funds (ETF) instrument types only.
- At The Touch pegged orders will expire at the end of each day.
- At The Touch pegged orders can be entered in any session where order entry is permitted but are only tradeable in continuous trading and only when the pegged order matching session has been enabled. (Peg Session is 9:30am - 4:00pm)
- At The Touch Orders will not interact with contra Seek Dark orders if there are visible orders in the book at the same price or if the contra Seek Dark orders are marked as Always Seek Price Improvement.

CSE Limit On Open (LOO) Order

LOO orders are orders entered during the pre-opening period or during trade halts that when the market opens will only trade during the opening match.

- LOO orders will only be accepted during a pre-open or halted state.
- LOO orders will not be included in the opening price calculation and will have no effect on the Calculated Opening Price (COP).
- LOO orders will trade as Limit priced orders at the end of the open match.
- LOO orders will only trade with remaining tradeable volume at the opening price and only after any other tradeable orders in the opening match have traded.

- LOO order having any remaining volume following the opening match will be cancelled and not booked.
- LOO orders will expire at the end of each day (i.e. if there is no continuous trading).
- LOO orders will trade in the order of firm and time priority.
- LOO orders appear in the book at the COP.
- LOO orders may trade with other LOO orders at the COP.
- LOO orders can participate in the Guaranteed Minimum Fill (GMF) if marked eligible and do not exceed the GMF volume.

CSE Seek Dark – Price Improved Only

The Price Improved Only option on a CSE Seek Dark order will inhibit the order from interacting with any pegged orders that do not offer price improvement, specifically At The Touch orders.

- The option is only available on CSE Seek Dark orders.
- If the option is not present on a Seek Dark order, the order will interact with any tradeable pegged orders in the book, including orders at the NBBO (At The Touch orders) if the order qualifies.

Pegged Order – Max Qty

Max Qty will be introduced as an option allowing pegged orders to have a maximum trade volume.

- Max Qty will only be supported on pegged order types.
- Max QTY pegged orders will only interact with contra orders when the volume of the contra order is equal to or less than the maximum volume specified in the Max Qty pegged order. Contra orders with greater volume will be allowed to trade through the Max Qty order to interact with other pegged or lit orders in the book.
- On receipt, (while acting as an active order) Max Qty orders will interact with existing tradeable contra dark orders in the book regardless of volume.
- The Max Qty value will be specified on the pegged order using a new order tag. The tag will be populated with an integer multiplier value that will define the maximum quantity as the order's volume times the multiplier. (e.g. an order with volume of 200 shares having a multiplier value of 2 would define the maximum quantity value as 400 shares).
- The Max Qty option will be available in combination with the Post Only option.
- The Max Qty option will be available in combination with the Min QTY option.
- Notwithstanding the above stated behaviour, orders with the Max QTY option will maintain the same behaviour, restrictions and trading rules as the pegged order type to which the Max Qty option is applied.

Broker Preferencing – for Non-attributed Dark orders

The behaviour of pegged orders will be amended such that broker preferencing will be available and applied on active or passive dark orders including dark orders that are non-attributed (anonymous.)

- The addition of broker preferencing to anonymous dark orders will not change how the resulting trades are publicly reported. The unattributed side of the trade will remain unattributed.

Examples can be found on the CSE website:

<http://www.thecse.com/en/support/dealers/order-types-and-functionality>

B. Expected Implementation Date: Q3 2018 / Q1 2019

C. Rationale and Analysis

CSE is offering the At The Touch, Market on Open, Price Improved Only to Seek Dark and Broker Preferencing for Non-attributed Dark orders in response to customer demand. The demand is for the functionality as well as consistency with the other marketplaces in Canada that have offered similar order types and options. CSE is offering the Maximum Quantity option to provide customers with additional risk mitigation tools.

The use of these order type is optional for participants.

D. Expected Impact

The expected impact is a more seamless and simplified workflow for Industry stakeholders. The changes respond to customer demand, and provide competition with the offerings of other market places. The use of these order types is optional.

E. Compliance with Ontario Securities Law

There will be no impact on the CSE's compliance with Ontario securities law. The changes do not alter any of the requirements for fair access or the maintenance of fair and orderly markets.

F. Technology Changes

Clients already support most of these features on other Canadian marketplaces and therefore we do not anticipate there to be a material effort. The new order types are optional but would require minimal effort to implement.

G. Other Markets or Jurisdictions

The table below identifies on which markets the proposed functionality is currently available.

ORDER TYPE	MARKETS AVAILABLE
At The Touch	MatchNow, TMX
Price Improved Only Option for Seek Dark Order	MatchNow, TMX
Limit On Open	TMX
Pegged Order – Max Qty	None
Broker Preferencing – for Non-attributed Dark orders	MatchNow

Comments

Please submit comments on the proposed amendments no later than September 10, 2018 to:

Mark Faulkner

Vice President, Listings and Regulation
CNSX Markets Inc.
220 Bay Street, 9th Floor
Toronto, ON, M5J 2W4
Fax: 416.572.4160
Email: Mark.Faulkner@thecse.com

Market Regulation Branch

Ontario Securities Commission
20 Queen Street West, 20th Floor
Toronto, ON, M5H 3S8
Fax: 416.595.8940
Email: marketregulation@osc.gov.on.ca

13.2.2 CSE – Application of Continued Listing Requirements – Notice of Approval

CANADIAN SECURITIES EXCHANGE

NOTICE OF APPROVAL

APPLICATION OF CONTINUED LISTING REQUIREMENTS

INTRODUCTION

In accordance with the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 (the "Protocol"), CNSX Markets Inc. ("CSE") has adopted, and the Ontario Securities Commission (OSC) has approved, significant changes to CSE Policy 3 – *Suspensions and Disqualification*; Policy 1 – *Interpretation and General Provisions*; Policy 6 – *Distributions*.

On January 5, 2017, CSE proposed certain continued listing requirements ("CLR") in Notice 2017-001 *Request for Comments – Continued Listing Requirements* ("CLR Notice"). Also included in Notice 2017-001 were proposed public interest amendments to Policy 3 – *Suspensions, Halts and Disqualifications*. Specifically, section 5 of Policy 3 was added to support the CLR.

On April 26, 2018, CSE published Notice 2018-004 – *Public Interest Rule Amendment – Application of Continued Listing Requirements – Notice and Request for Comments*. CSE proposed to introduce specific restrictions on companies not meeting the CLR.

DESCRIPTION OF THE AMENDMENTS

CLR are intended to promote investor confidence by providing a threshold below which companies will not qualify for continued listing on an exchange. The amendments also provide clarity and transparency in the application of the CLR, and include additional measures, such as the restrictions proposed in section 5.2 of Policy 3, to ensure that an issuer's resources are directed towards developing or pursuing a business, rather than promoting a company without one or preparing the issuer as a listed shell company.

The full text of the amendments is available in Notice 2018-0004:

<http://thecse.com/en/about/publications/notices/notice-2018-004-public-interest-rule-amendment-application-of-continued>

The amended policies are available on the CSE website under <http://thecse.com/support/listed-companies/policies>

IMPLEMENTATION

The amendments are effective immediately.

Questions about this notice may be directed to:

Mark Faulkner, Vice President Listings & Regulation,
Mark.Faulkner@thecse.com, or 416-367-7341

13.2.3 Aequitas NEO Exchange – Proposed Trading of Foreign-Listed Structured Products – OSC Staff Notice of Request for Comment

REQUEST FOR COMMENTS

AEQUITAS NEO EXCHANGE

PROPOSED TRADING OF FOREIGN-LISTED STRUCTURED PRODUCTS

Aequitas NEO Exchange Inc. (“**NEO**” or the “**NEO Exchange**”) is seeking to trade certain foreign-listed structured products (“**Foreign-Listed Structured Product Notes**”) on an unlisted basis (“**Cross-trading**”).

The Foreign-Listed Structured Product Notes will be foreign exchange-traded securities within the definition in National Instrument 21-101 *Marketplace Operation* (“**NI 21-101**”). As foreign exchange-traded securities, the trading of Foreign-Listed Structured Product Notes on NEO Exchange will be subject to section 5.9 of NI 21-101 with respect to providing a risk disclosure statement to, and prior to accepting the first order obtaining acknowledgement from, market participants, and sections 7.3 and 7.4 on NI 21-101 with respect to order and trade transparency requirements.

A copy of the NEO Exchange notice is published on our website at www.osc.gov.on.ca. The NEO Exchange notice includes the universe of Foreign-Listed Structured Product Notes that will be considered initially for Cross-trading, and the conditions NEO proposes to apply, given the nature of the securities, to ensure the Foreign-Listed Structured Product Notes are meaningfully traded in the foreign markets and to assess the issuers’ creditworthiness, and operational considerations.

13.3 Clearing Agencies

13.3.1 CDS – Technical Amendments to CDS Procedures – Housekeeping Changes – Notice of Effective Date

NOTICE OF EFFECTIVE DATE

TECHNICAL AMENDMENTS TO CDS PROCEDURES – HOUSEKEEPING CHANGES

JULY 2018

[Editor's Note: The above date was corrected online on August 9, 2018.]

The Ontario Securities Commission is publishing *Notice of Effective Date – Technical Amendments to CDS Procedures – Housekeeping Changes – July 2018*. The CDS procedure amendments were reviewed and non-disapproved by CDS's strategic development review committee (SDRC) on July 26, 2018.

[Editor's Note: The date in the notice title above was corrected online on August 9, 2018.]

A copy of the CDS Notice is on our website <http://www.osc.gov.on.ca>

Chapter 25

Other Information

25.1 Consents

25.1.1 Montan Mining Corp. – s. 4(b) of Ont. Reg. 289/00 under the OBCA

Headnote

Consent given to an offering corporation under the Business Corporations Act (Ontario) to continue under the British Columbia Business Corporations Act.

Statutes Cited

Business Corporations Act, R.S.O. 1990, c. B.16, as am., s. 181.
Securities Act, R.S.O. 1990, c. S.5, as am.

Regulations Cited

Ont. Reg. 289/00, as am., s. 4(b), made under the Business Corporations Act, R.S.O. 1990, c. B.16, as am.

**IN THE MATTER OF
R.R.O. 1990, REGULATION 289/00, AS AMENDED
(the REGULATION) UNDER
THE BUSINESS CORPORATIONS ACT (ONTARIO),
R.S.O. 1990 c. B.16, AS AMENDED
(the OBCA)**

AND

**IN THE MATTER OF
MONTAN MINING CORP.**

**CONSENT
(Subsection 4(b) of the Regulation)**

UPON the application of Montan Mining Corp. (the **Applicant**) to the Ontario Securities Commission (the **Commission**) requesting the Commission's consent to the Applicant continuing in another jurisdiction pursuant to section 181 of the OBCA (the **Continuance**);

AND UPON considering the application and the recommendation of staff of the Commission;

AND UPON the Applicant having represented to the Commission that:

1. The Applicant is an offering corporation under the OBCA.
2. The Applicant's common shares are listed and posted for trading on the TSX Venture Exchange (the **TSXV**) under the symbol "MNY", and on the Frankfurt Stock Exchange and the Santiago Stock Exchange (together with the TSXV, the **Exchanges**) under the symbols "S5GN" and "MNYCL", respectively. As at July 11, 2018, the Applicant had 61,132,586 issued and outstanding common shares.
3. The Applicant intends to apply to the Director pursuant to section 181 of the OBCA (the **Application for Continuance**) for authorization to continue as a corporation under the *Business Corporations Act* (British Columbia), S.B.C. 2002, c.57 (the **BCBCA**).
4. The Application for Continuance is being made to move the Applicant's jurisdiction of incorporation to the jurisdiction in which its business is being operated, as the Applicant's management and head office are in British Columbia. Following the proposed Continuance, the Applicant's registered office, which is currently located in Ontario, will be relocated to British Columbia.

Other Information

5. The material rights, duties and obligations of a corporation governed by the BCBCA are substantially similar to those of a corporation governed by the OBCA.
6. The Applicant is a reporting issuer under the *Securities Act*, R.S.O. 1990, c.S.5, as amended (the **Act**) and the *Securities Act* (British Columbia), R.S.B.C. 1996, c.418 (together with the Act, the **Legislation**). It will remain a reporting issuer in Ontario and British Columbia following the proposed Continuance.
7. The Applicant is not in default of any of the provisions of the OBCA or the Legislation, including the regulations made thereunder.
8. The Applicant is not subject to any proceeding under the OBCA or the Legislation.
9. The Applicant is not in default of any provision of the rules, regulations or policies of the Exchanges.
10. The British Columbia Securities Commission is the principal regulator of the Applicant and will continue to be its principal regulator after the proposed Continuance.
11. The Applicant's information circular dated May 7, 2018 for its annual general and special meeting of shareholders, held on June 7, 2018 (the **Shareholders Meeting**), disclosed the reasons for, and the implications of, the proposed Continuance. It also disclosed full particulars of the dissent rights of the Applicant's shareholders under section 185 of the OBCA.
12. The Applicant's shareholders approved the proposed Continuance at the Shareholders Meeting by a special resolution that was approved by 99.50% of the votes cast; no shareholder exercised dissent rights pursuant to section 185 of the OBCA.
13. Subsection 4(b) of the Regulation requires the Application for Continuance to be accompanied by a consent from the Commission.

AND UPON the Commission being satisfied that to do so would not be prejudicial to the public interest;

THE COMMISSION CONSENTS to the continuance of the Applicant under the BCBCA.

DATED at Toronto, Ontario this 1st day of August, 2018.

"Lawrence P. Haber"
Commissioner
Ontario Securities Commissioner

"Peter W. Currie"
Commissioner
Ontario Securities Commissioner

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