



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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Toronto ON M5H 3S8

FILE NO.: 2018-19

**IN THE MATTER OF
HARALD SEEMANN, JENS BRANDT AND KARL PAWLOWICZ**

D. Grant Vingoe, Vice-Chair and Chair of the Panel

May 7, 2018

ORDER

(Subsection 127(1) and 127.1 of the
Securities Act, RSO 1990, c S.5)

WHEREAS on May 7, 2018, the Ontario Securities Commission held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario, or in writing, to consider the Application made jointly by Harald Seemann (the **Respondent**) and Staff of the Commission for approval of a settlement agreement dated May 3, 2018 (the **Settlement Agreement**);

ON READING the Joint Request for a Settlement Hearing, including the Statement of Allegations dated May 3, 2018, and on hearing the submissions of the representatives of each of the parties;

IT IS ORDERED THAT:

1. the Settlement Agreement is approved;
2. the Respondent be reprimanded, pursuant to paragraph 6 of subsection 127(1) of the *Securities Act*, RSO 1990, c S.5 (the **Act**);
3. the Respondent pay an administrative penalty of \$100,000, pursuant to paragraph 9 of subsection 127(1) of the Act, which amount is designated for allocation or use by the Commission in accordance with subsection 3.4(2)(b)(i) or (ii) of the Act;
4. trading by the Respondent in any securities cease for a period of 5 years, pursuant to paragraph 2 of subsection 127(1) of the Act;
5. the acquisition by the Respondent of any securities be prohibited for a period of 5 years, pursuant to paragraph 2.1 of subsection 127(1) of the Act;
6. any exemptions contained in Ontario securities law do not apply to the Respondent for a period of 5 years, pursuant to paragraph 3 of subsection 127(1) of the Act;
7. the Respondent be prohibited from becoming or acting as a director or officer of any issuer for a period of 5 years commencing on the date of this Order approving the Settlement Agreement, pursuant to paragraph 8 of subsection 127(1) of the Act; and

8. the Respondent pay costs in the amount of \$25,000, pursuant to section 127.1 of the Act.

"D. Grant Vingoe"

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