

Chapter 13

SROs, Marketplaces and Clearing Agencies

13.1 SROs

13.1.1 IIROC – Proposed Continuance under Canada Not-for-Profit Corporations Act – Notice of Commission Approval

INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

PROPOSED CONTINUANCE UNDER CANADA NOT-FOR-PROFIT CORPORATIONS ACT

NOTICE OF COMMISSION APPROVAL

The Ontario Securities Commission approved amendments regarding IIROC's proposed continuance under the new *Canada Not-for-Profit Corporations Act*. The intent of the changes to IIROC By-Law Number 1 and related Articles of Continuance is to conform to the requirements of the new Act.

In addition, the British Columbia Securities Commission did not object to, and the Alberta Securities Commission, the Autorité des marchés financiers, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission of New Brunswick, the Manitoba Securities Commission, the Nova Scotia Securities Commission, the Office of the Superintendent of Securities, Service Newfoundland and Labrador, and the Prince Edward Island Office of the Superintendent of Securities Office have approved the amendments.

The amendments were published for comment on October 31, 2013. No public comments were received. A copy of the IIROC Notice including the proposed amendments can be found at <http://www.osc.gov.on.ca>.