

Chapter 13

SROs, Marketplaces and Clearing Agencies

13.3 Clearing Agencies

13.3.1 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Network Managed Services Implementation – Notice of Effective Date

CDS CLEARING AND DEPOSITORY SERVICES INC. (CDS®)

TECHNICAL AMENDMENTS TO CDS PROCEDURES

CDS NETWORK MANAGED SERVICES IMPLEMENTATION

NOTICE OF EFFECTIVE DATE

A. DESCRIPTION OF THE CDS PROCEDURE AMENDMENT

Background

CDS is transitioning to a new network service provider, Bell Canada. As part of this transition, the network service offerings will be changed.

- a. The Network Services Application Form will be revised.
- b. The current dialup service will no longer be offered and will be replaced with an SSL VPN service. New procedures for the SSL VPN service will be provided.

The CDS Procedures marked for the amendments may be accessed at the CDS website at:

<http://www.cds.ca/cdsclearinghome.nsf/Pages/-EN-blacklined?Open>.

Description of Proposed Amendments

The proposed amendments describe the new Participant forms, removing the dial-up documentation and adding the new SSL VPN procedures. Changes will be required to:

Participating in CDS Services (Release 6.2)

- Ch 2: Using CDS systems (update)
 - o s 2.1 Dialing to CDS systems (update)
 - o s 2.1.1 Setting up access to CDS systems using the MTS Allstream dial-up (removed)
 - o s 2.1.2 Connecting to CDS using the MTS Allstream dial-up (update)
 - o s 2.1.3 Selecting personal identification numbers (removed)
 - o s.2.2 Setting up passwords (updated)
- Ch 7: Registering and withdrawing from CDS services
 - o s 7.26 TCP/IP Network Connectivity service (update)

CDS Procedure Amendments are reviewed and approved by CDS's Strategic Development Review Committee ("SDRC"). The SDRC determines or reviews, prioritizes and oversees CDS-related systems development and other changes proposed by participants and CDS. The SDRC's membership includes representatives from the CDS Participant community and it meets on a monthly basis.

These amendments were reviewed and approved by the SDRC on March 18, 2010

B. REASONS FOR TECHNICAL CLASSIFICATION

The amendments proposed pursuant to this Notice are considered technical amendments as matters of a technical nature in routine operating procedures and administrative practices relating to the settlement services.

C. EFFECTIVE DATE OF THE CDS PROCEDURE AMENDMENT

Pursuant to Appendix A ("Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC") of the Recognition and Designation Order, as amended on November 1, 2006, and Annexe A ("Protocole d'examen et d'approbation des Règles de Services de Dépôt et de Compensation CDS Inc. par l'Autorité des marchés financiers") of AMF Decision 2006-PDG-0180, made effective on November 1, 2006, CDS has determined that the proposed amendments will become effective on a date subsequently determined by CDS, and as stipulated in the related CDS Bulletin.

D. QUESTIONS

Questions regarding this notice may be directed to:

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