



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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FILE NO.: 2018-24

**IN THE MATTER OF
WAYNE LODERICK BENNETT**

Robert P. Hutchison, Commissioner and Chair of the Panel

June 13, 2018

ORDER

Subsections 127(1) and 127(10) of the
Securities Act, RSO 1990, c S.5

WHEREAS the Ontario Securities Commission held a hearing, in writing, to consider a request by Staff of the Commission (**Staff**) for an order imposing sanctions against Wayne Loderick Bennett (**Bennett**) pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the **Act**);

ON READING the findings of the Alberta Securities Commission (the **ASC**) dated November 22, 2017 in the matter of Wayne Loderick Bennett (the **ASC Decision**) and on reading the materials filed by Staff, Bennett not having filed any materials, although properly served;

IT IS ORDERED THAT:

1. pursuant to paragraph 2 of subsection 127(1) of the Act, trading in securities or derivatives by Bennett cease permanently, except that this Order does not preclude Bennett from trading in securities or derivatives through a registrant (who has first been given copies of the ASC Decision, the Agreed Statement of Facts and Admissions dated July 21, 2017 (the **Agreed Statement**), and a copy of this Order), in one registered retirement savings plan, one registered retirement income fund, one tax-free savings account (as defined in the *Income Tax Act* (Canada)) and one locked-in retirement account, each for the benefit of one or more of Bennett and his spouse;
2. pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Bennett cease permanently, except that this Order does not preclude Bennett from purchasing securities through a registrant (who has first been given copies of the ASC Decision, the Agreed Statement, and a copy of this Order), in one registered retirement savings plan, one registered retirement income fund, one tax-free savings account (as defined in the *Income Tax Act* (Canada)) and one locked-in retirement account, each for the benefit of one or more of Bennett and his spouse;
3. pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law do not apply to Bennett permanently;

4. pursuant to paragraphs 7, 8.1 and 8.3 of subsection 127(1) of the Act, Bennett resign any positions that he holds as a director or officer of any issuer, registrant or investment fund manager;
5. pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, Bennett is prohibited permanently from becoming or acting as a director or officer of any issuer, registrant or investment fund manager; and
6. pursuant to paragraph 8.5 of subsection 127(1) of the Act, Bennett is prohibited permanently from becoming or acting as a registrant, investment fund manager or promoter.

"Robert P. Hutchison"

Robert P. Hutchison