



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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20 Queen Street West  
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**IN THE MATTER OF THE *SECURITIES ACT*,  
R.S.O. 1990, c. S.5, AS AMENDED**

**-AND -**

**IN THE MATTER OF VINCENZO (VINCENT) SIRIANNI**

**ORDER  
(Subsections 127(1) and 127(10))**

**WHEREAS** on June 25, 2013, the Ontario Securities Commission (the “Commission”) issued a Notice of Hearing in this matter pursuant to sections 127(1) and 127(10) of the *Securities Act*, R.S.O. 1990, c. S.5, as amended (the “Act”) in respect of Vincenzo (Vincent) Sirianni (the “Respondent” or “Sirianni”);

**AND WHEREAS** on June 24, 2013, Staff of the Commission (“Staff”) filed a Statement of Allegations in this matter;

**AND WHEREAS** in November 2011, Sirianni entered into a Statement of Admissions and Joint Recommendation as to Sanction with the Alberta Securities Commission (the “ASC”);

**AND WHEREAS** the Respondent is subject to an order dated December 8, 2011 made by the ASC that imposes sanctions, conditions, restrictions or requirements upon him within the meaning of paragraph 4 of subsection 127(10) of the Act (the “ASC Order”);

**AND WHEREAS** on July 9, 2013, the Commission granted Staff’s application to convert this matter to a written hearing in accordance with Rule 11.5 of Commission’s *Rules of Procedure* (2012), 35 OSCB 10071 and section 5.1(2) of the *Statutory Powers Procedure Act*, R.S.O. 1990, c. S.22, as amended;

**AND WHEREAS** Staff filed written submissions, a hearing brief and a brief of authorities;

**AND WHEREAS** Sirianni did not appear and did not file any materials;

**AND WHEREAS** I find that it is in the public interest to issue this order pursuant to subsection 127(1) of the Act in reliance upon subsection 127(10) of the Act;

**IT IS HEREBY ORDERED THAT:**

- (a) pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities by Sirianni cease permanently, except that this order does not preclude Sirianni from trading in or purchasing mutual funds or exchange-

traded funds through a registrant (who has first been given a copy of the ASC Order) in a registered retirement savings plan, tax-free savings account or registered education savings plan (each as defined in the *Income Tax Act* (Canada)) for the benefit of one or more of Sirianni, his spouse and his children;

- (b) pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law do not apply to Sirianni permanently;
- (c) pursuant to paragraph 7 of subsection 127(1) of the Act, Sirianni resign any positions that he holds as a director or officer of an issuer;
- (d) pursuant to paragraph 8 of subsection 127(1) of the Act, Sirianni be prohibited permanently from becoming or acting as an officer or director of an issuer;
- (e) pursuant to paragraph 8.1 of subsection 127(1) of the Act, Sirianni resign any positions that he holds as a director or officer of a registrant;
- (f) pursuant to paragraph 8.2 of subsection 127(1) of the Act, Sirianni be prohibited permanently from becoming or acting as an officer or director of a registrant;
- (g) pursuant to paragraph 8.3 of subsection 127(1) of the Act, Sirianni resign any positions that he holds as a director or officer of an investment fund manager; and
- (h) pursuant to paragraph 8.4 of subsection 127(1) of the Act, Sirianni be prohibited permanently from becoming or acting as an officer or director of an investment fund manager.

**DATED** at Toronto this 5<sup>th</sup> day of November, 2013.

*“James E. A. Turner”*

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James E. A. Turner