1.1.2 OSC Staff Notice 12-704 - Materials to be Provided on a Compact Disc or 3.25" Disk on Exemptive Relief Applications

Ontario Securities Commission

Staff Notice 12-704

Materials to be Provided on a Compact Disc or 3.25" Disk on Exemptive Relief Applications

In addition to materials filed in paper format, applicants for exemptive relief are asked to provide the following materials on a compact disc or a 3.25" disk in Microsoft Word format:

a copy of the application; and

a draft form of the decision document.

Filers do not need to provide these materials if the Commission is a non-principal regulator.

Filers are reminded to review National Policy 12-201 Mutual Reliance Review System for Exemptive Relief Applications and O.S.C. Policy 2.1 Applications to the Ontario Securities Commission for additional guidance relating to application filings.

For further information, please contact:

David Mattacott Applications Administrator Corporate Finance Branch Ontario Securities Commission 416-593-8325

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