5.1.2 Amendments to Ontario Securities Commission Rule 72-503 Distributions Outside Canada

AMENDMENTS TO ONTARIO SECURITIES COMMISSION RULE 72-503 DISTRIBUTIONS OUTSIDE CANADA

- 1. Ontario Securities Commission Rule 72-503 Distributions Outside Canada is amended by this Instrument.
- 2. Part 4 is amended by adding the following section:

Distributions by more than one issuer of a single security

- 4.4 An issuer is not required to file a report of trade under section 4.1 for a distribution of a security if a report has been filed by another issuer for the distribution of the same security.
- 3. Form 72-503F Report of Distributions Outside Canada is amended
 - (a) by replacing the table under the heading "Instructions:" with the following:

Security code	Security type
BND	Bonds
CER	Certificates (including pass-through certificates, trust certificates)
CMS	Common shares
CVD	Convertible debentures
CVN	Convertible notes
CVP	Convertible preferred shares
DCT	Digital coins or tokens
DEB	Debentures
DRS	Depository receipts (such as American or Global depository receipts/shares)
FTS	Flow-through shares
FTU	Flow-through units
LPU	Limited partnership units and limited partnership interests (including capital commitments)
MTG	Mortgages (other than syndicated mortgages)
NOT	Notes (include all types of notes except convertible notes)
OPT	Options
PRS	Preferred shares
RTS	Rights
SMG	Syndicated mortgages
SUB	Subscription receipts
UBS	Units of bundled securities (such as a unit consisting of a common share and a warrant)
UNT	Units (exclude units of bundled securities, include trust units and mutual fund units)
WNT	Warrants (including special warrants)
ОТН	Other securities not included above (if selected, provide details of security type in Item 7d)

Distributions by more than one issuer of a single security: If two or more issuers distributed a single security, provide the full legal name(s) of the co-issuer(s) in section 1c) other than the issuer named in section 1a).

(b) in section 1, by adding the following:

c) Full legal name(s) of co-issuer(s) (if applicable)

October 4, 2018 (2018), 41 OSCB 7738

- (c) in section 2, under the row entitled "Types of securities distributed", by deleting "2.2,";
- (d) in section 2, under the row entitled "Details of rights and convertible/exchangeable securities", by replacing "Security code" in the first column with "Convertible/exchangeable security code";
- (e) in section 5, by adding "If the report is being certified by an agent on behalf of the issuer, provide the applicable information for the agent in the boxes below." immediately following "The certification may be delegated, but only to an agent that has been authorized by an officer or director of the issuer to prepare and certify the report on behalf of the issuer.";
- 4. This Instrument comes into force on October 5, 2018.

October 4, 2018 (2018), 41 OSCB 7739