

# OSC Bulletin

## Cumulative Index 2021

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December 23, 2021

The Ontario Securities Commission administers the  
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*Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

**The Ontario Securities Commission**  
Cadillac Fairview Tower  
22nd Floor, Box 55  
20 Queen Street West  
Toronto, Ontario  
M5H 3S8

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M1T 3V4  
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Contact Centre – Inquiries, Complaints:  
416-593-8314 or Toll Free 1-877-785-1555  
Fax: 416-593-8122  
Email: inquiries@osc.gov.on.ca

Office of the Secretary:  
Fax: 416-593-2318



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#### Address

One Corporate Plaza  
2075 Kennedy Road  
Toronto, Ontario  
M1T 3V4

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# **Introduction to the OSC Bulletin Cumulative Index**

This publication is a cumulative index of documents published in the OSC Bulletin (Volume 44) for the period January – December 2021, inclusive.

The index includes material found in Chapters 1 (Notices), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters of the Bulletin are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Main index entries are arranged alphabetically by a corporate or personal last name in boldface font. Sub-entries include a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date, and page number. Citations are to the *Securities Act*, unless otherwise specified.

## **Supplements in 2021**

Five (5) Supplements were published in 2021:

1. **Proposed Amendments to NI 33-109 and Related Instruments** – Volume 44, Issue 5, Supplement 1 (February 4, 2021).
2. **Multilateral Instrument 25-102 Designated Benchmarks and Benchmark Administrators and Companion Policy** – Volume 44, Issue 17, Supplement 2 (April 29, 2021).
3. **CSA Notice of Amendments – Reducing Regulatory Burden for Investment Fund Issuers – Phase 2, Stage 1** – Volume 44, Issue 40, Supplement 3 (October 7, 2021).
4. **Amendments to NI 33-109 and Related Instruments** – Volume 44, Issue 50, Supplement 4 (December 16, 2021).
5. **Notice of Coming into Force – Reducing Regulatory Burden for Investment Fund Issuers** – Volume 44, Issue 51, Supplement 4 (December 23, 2021).

Particular Instruments, Policies and Forms affected in the Supplements appear in the Cumulative Index.

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Cease Trading Order .....	#18 (May 6) 3787
Cease Trading Order .....	#18 (May 6) 3788
Cease Trading Order .....	#19 (May 13) 3980
Cease Trading Order .....	#20 (May 20) 4203
Cease Trading Order .....	#21 (May 27) 4575
Cease Trading Order .....	#22 (June 3) 4816
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<b>BMO Global Growth &amp; Income Fund</b>	
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Decision .....	#11 (March 18) 2302
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**Bridging Finance Inc.**

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Notice from the Office of the Secretary .....	.#39 (September 30) 8127
Notice from the Office of the Secretary .....	.#44 (November 4) 8960
Notice from the Office of the Secretary .....	.#45 (November 11) 9052
Notice from the Office of the Secretary .....	.#46 (November 18) 9225
Notice from the Office of the Secretary .....	.#51 (December 23) 10344
Notice from the Office of the Secretary .....	.#51 (December 23) 10345
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**Bridging Income Fund LP**

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Temporary Order – ss. 127(1), 127(5) .....	#18 (May 6) 3781
Notice of Hearing – ss. 127(8), 127(1) .....	#19 (May 13) 3880
Notice from the Office of the Secretary .....	#19 (May 13) 3882
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Notice from the Office of the Secretary .....	.#39 (September 30) 8127
Notice from the Office of the Secretary .....	.#44 (November 4) 8960
Notice from the Office of the Secretary .....	.#45 (November 11) 9052
Notice from the Office of the Secretary .....	.#46 (November 18) 9225
Notice from the Office of the Secretary .....	.#51 (December 23) 10344
Notice from the Office of the Secretary .....	.#51 (December 23) 10345
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**Bridging Income RSP Fund**

Notice from the Office of the Secretary .....	#18 (May 6) 3748
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Notice from the Office of the Secretary .....	#19 (May 13) 3882
Notice from the Office of the Secretary .....	#20 (May 20) 4174
Order – ss. 127(8), 127(1).....	#20 (May 20) 4187
Notice from the Office of the Secretary .....	#28 (July 15) 6024
Notice from the Office of the Secretary .....	. #32 (August 12) 6846
Order – ss. 127(8), 127(2), 127(1) .....	. #32 (August 12) 6878
Notice from the Office of the Secretary .....	.#39 (September 30) 8125
Notice from the Office of the Secretary .....	.#39 (September 30) 8127
Notice from the Office of the Secretary .....	.#44 (November 4) 8960
Notice from the Office of the Secretary .....	.#45 (November 11) 9052
Notice from the Office of the Secretary .....	.#46 (November 18) 9225
Notice from the Office of the Secretary .....	.#51 (December 23) 10344
Notice from the Office of the Secretary .....	.#51 (December 23) 10345
Order – s. 2(2) of the Tribunal Adjudicative Records Act and Rule 22(4) of the Commission's Rules of Procedure and Forms .....	#51 (December 23) 10378
Order – ss. 127(8), 127(2) and 127(1) .....	#51 (December 23) 10379

**Bridging Indigenous Impact Fund,**

Notice from the Office of the Secretary .....	#18 (May 6) 3748
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Notice from the Office of the Secretary .....	#39 (September 30) 8125
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Notice from the Office of the Secretary .....	#44 (November 4) 8960
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**Bridging Infrastructure Fund LP**

Notice from the Office of the Secretary .....	#18 (May 6) 3748
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Notice from the Office of the Secretary .....	#20 (May 20) 4174
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Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
Notice from the Office of the Secretary .....	#51 (December 23) 10344
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**Bridging Mid-Market Debt Fund LP**

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Notice of Hearing – ss. 127(8), 127(1) .....	#19 (May 13) 3880
Notice from the Office of the Secretary .....	#19 (May 13) 3882
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Order – ss. 127(8), 127(1).....	#20 (May 20) 4187
Notice from the Office of the Secretary .....	#28 (July 15) 6024
Notice from the Office of the Secretary .....	#32 (August 12) 6846
Order – ss. 127(8), 127(2), 127(1) .....	#32 (August 12) 6878
Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
Notice from the Office of the Secretary .....	#51 (December 23) 10344
Notice from the Office of the Secretary .....	#51 (December 23) 10345
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**Bridging Mid-Market Debt RSP Fund**

Notice from the Office of the Secretary .....	#18 (May 6) 3748
Temporary Order – ss. 127(1), 127(5) .....	#18 (May 6) 3781
Notice of Hearing – ss. 127(8), 127(1) .....	#19 (May 13) 3880
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Notice from the Office of the Secretary .....	#20 (May 20) 4174
Order – ss. 127(8), 127(1).....	#20 (May 20) 4187
Notice from the Office of the Secretary .....	#28 (July 15) 6024
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Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
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Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
Notice from the Office of the Secretary .....	#51 (December 23) 10344
Notice from the Office of the Secretary .....	#51 (December 23) 10345
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**Bridging Real Estate Lending Fund LP**

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Notice from the Office of the Secretary .....	#20 (May 20) 4174
Order – ss. 127(8), 127(1).....	#20 (May 20) 4187
Notice from the Office of the Secretary .....	#28 (July 15) 6024
Notice from the Office of the Secretary .....	#32 (August 12) 6846
Order – ss. 127(8), 127(2), 127(1) .....	#32 (August 12) 6878
Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
Notice from the Office of the Secretary .....	#51 (December 23) 10344
Notice from the Office of the Secretary .....	#51 (December 23) 10345
Order – s. 2(2) of the Tribunal Adjudicative Records Act and Rule 22(4) of the Commission's Rules of Procedure and Forms .....	#51 (December 23) 10378
Order – ss. 127(8), 127(2) and 127(1) .....	#51 (December 23) 10379

**Bridging SMA 1 LP**

Notice from the Office of the Secretary .....	#18 (May 6) 3748
Temporary Order – ss. 127(1), 127(5) .....	#18 (May 6) 3781
Notice of Hearing – ss. 127(8), 127(1) .....	#19 (May 13) 3880
Notice from the Office of the Secretary .....	#19 (May 13) 3882
Notice from the Office of the Secretary .....	#20 (May 20) 4174
Order – ss. 127(8), 127(1).....	#20 (May 20) 4187
Notice from the Office of the Secretary .....	#28 (July 15) 6024
Notice from the Office of the Secretary .....	#32 (August 12) 6846
Order – ss. 127(8), 127(2), 127(1) .....	#32 (August 12) 6878
Notice from the Office of the Secretary .....	#39 (September 30) 8125
Notice from the Office of the Secretary .....	#39 (September 30) 8127
Notice from the Office of the Secretary .....	#44 (November 4) 8960
Notice from the Office of the Secretary .....	#45 (November 11) 9052
Notice from the Office of the Secretary .....	#46 (November 18) 9225
Notice from the Office of the Secretary .....	#51 (December 23) 10344
Notice from the Office of the Secretary .....	#51 (December 23) 10345
Order – s. 2(2) of the Tribunal Adjudicative Records Act and Rule 22(4) of the Commission's Rules of Procedure and Forms .....	#51 (December 23) 10378
Order – ss. 127(8), 127(2) and 127(1) .....	#51 (December 23) 10379

**Briko Energy Corp.**

Order.....	#42 (October 21) 8745
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**Broderick Capital Corp.**

New Registration.....	#7 (February 18) 1449
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**Brookfield Asset Management Inc.**

Decision .....	#48 (December 2) 9723
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**Brookfield Asset Management Reinsurance Partners Ltd.**

Decision .....	#21 (May 27) 4561
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**Brookfield Business Corporation**

Decision .....	#41 (October 14) 8488
Decision .....	#41 (October 14) 8497
Decision .....	#48 (December 2) 9723

**Brookfield Business Partners L.P.**

Decision .....	#41 (October 14) 8488
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**Brookfield Office Properties Exchange LP**

Order.....	#34 (August 26) 7279
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**Brookfield Property Partners L.P.**

Decision .....	#41 (October 14) 8497
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**Brookfield Property Preferred L.P.**

Decision .....	#41 (October 14) 8497
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**Brooks & West Inc.**

New Registration.....	#48 (December 2) 9895
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**BRP Inc.**

Decision .....	#26 (June 30) 5594
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**BT Global Growth Inc.**

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**BT Global Growth Trust**

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**Buffalo Grand Hotel Inc.**

Notice from the Office of the Secretary .....	#5 (February 4) 908
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Notice from the Office of the Secretary .....	#46 (November 18) 9228
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**Bullet Exploration Inc.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#27 (July 8) 5879
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**ByBit Fintech Limited**

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Notice from the Office of the Secretary .....	#28 (July 15) 6027
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**BYT Holdings Ltd.**

Cease Trading Order .....	#49 (December 9) 9953
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**C.S.T. Spark Inc.**

Change in Registration Category .....	#46 (November 18) 9393
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**Cache Exploration Inc.**

Cease Trading Order .....	#14 (April 8) 2993
Cease Trading Order .....	#15 (April 15) 3221
Cease Trading Order .....	#23 (June 10) 4973

**Cadence Investment Management Inc.**

New Registration.....	#47 (November 25) 9623
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**Cadillac Ventures Inc.**

Cease Trading Order .....	#40 (October 7) 8353
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**Calasset Bond Corp.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#16 (April 22) 3464
Notice from the Office of the Secretary .....	#16 (April 22) 3474
Notice from the Office of the Secretary .....	#28 (July 15) 6025
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**Caldwell Growth Opportunities Fund**

Decision .....	#19 (May 13) 3929
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**Caldwell ICM Market Strategy Trust**

Decision .....	#19 (May 13) 3929
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**Caldwell Investment Management Ltd.**

Decision .....	#19 (May 13) 3929
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**Caldwell Securities Ltd.**

Decision .....	#50 (December 16) 10126
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**Calfrac Well Services Ltd.**

Notice of Hearing – ss. 8, 21.7 .....	#19 (May 13) 3881
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Notice from the Office of the Secretary .....	#21 (May 27) 4554
Notice from the Office of the Secretary .....	#21 (May 27) 4555
Order .....	#21 (May 27) 4565
Notice from the Office of the Secretary .....	#22 (June 3) 4773
Notice from the Office of the Secretary .....	#24 (June 17) 5125
Order .....	#24 (June 17) 5138
Notice from the Office of the Secretary .....	#28 (July 15) 6025
Notice from the Office of the Secretary .....	#28 (July 15) 6027
Order .....	#28 (July 15) 6041
Notice from the Office of the Secretary .....	#41 (October 14) 8478
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**Calgary Airport Authority (The)**

Decision .....	#41 (October 14) 8486
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**Camber Capital Corp**

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**Cambridge Capital Management Corporation**

New Registration.....	#32 (August 12) 7009
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**Cambridge Monthly Income Corporate Class**

Decision .....	#28 (July 15) 6029
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**Canaccord Asset Management Inc.**

Voluntary Surrender.....	#47 (November 25) 9623
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**Canada Cannabis Corporation**

Notice from the Office of the Secretary .....	#12 (March 25) 2534
Notice from the Office of the Secretary .....	#21 (May 27) 4554
Reasons for Decision .....	#21 (May 27) 4569
Notice from the Office of the Secretary .....	#23 (June 10) 4933

**Canada Jetlines Operations Ltd.**

Decision .....	#47 (November 25) 9440
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**Canada Life Canadian Dividend Fund (Laketon)**

Decision .....	#16 (April 22) 3477
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**Canada Life Canadian Dividend Fund**

Decision .....	#19 (May 13) 3907
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**Canada Life Canadian Equity Fund (Beutel Goodman)**

Decision .....	#16 (April 22) 3477
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**Canada Life Canadian Focused Growth Fund**

Decision .....	#19 (May 13) 3907
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**Canada Life Canadian Low Volatility Fund (London Capital)**

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**Canada Life Canadian Value Fund (FHP)**

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**Canada Life Emerging Markets Equity Fund**

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<b>Canada Life Floating Rate Income Fund</b>	
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<b>Canada Life Global Balanced Fund</b>	
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<b>Canada Life Global Growth Opportunities Fund</b>	
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<b>Canada Life U.S. Small-Mid Cap Growth Fund</b>	
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<b>CanaFarma Hemp Products Corp.</b>	
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**Carta Capital Markets, LLC**

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**Cartu, David**

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**Cartu, Jonathan**

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**Cartu, Joshua**

Notice from the Office of the Secretary .....	#2 (January 14) 197
Order.....	#2 (January 14) 220
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**CBD Global Sciences Inc.**

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**Cboe SEF, LLC**

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**CBRE Caledon Capital Management Inc.**

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**CBRE Investment Management Infrastructure Inc.**

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**CC&L Alternative Canadian Equity Fund**

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**CC&L Alternative Global Equity Fund**

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**CC&L Alternative Income Fund**

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**CDCC**

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**CDS Clearing and Depository Services Inc.**

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**Cervus Equipment Corporation**

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**CIBC Asset Management Inc.**

Decision .....	#30 (July 29) 6563
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**CIBC Investor Services Inc.**

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**CIBC World Markets Inc.**

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**Cidel Asset Management Inc.**

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**Cidron Aida Limited**

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**CIM International Group Inc.**

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**Clean TEQ Holdings Limited**

Order.....	#22 (June 3) 4798
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**Clear Skies Investment Management Inc.**

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**Clear Street LLC**

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**Clearbridge Rare Infrastructure (North America) PTY Limited**

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**ClearStream Energy Services Inc.**

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**Clearwater Seafoods Incorporated**

Order.....	#11 (March 18) 2340
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**Cluny Capital Corp.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#15 (April 15) 3459
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**CME Amsterdam B.V.**

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**CMX Gold & Silver Corp.**

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**CO2 Solutions Inc.**

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**Coinberry Limited**

Decision .....	#34 (August 26) 7248
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**CoinSmart**

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**Colonial Hotel (Grand Bend) Limited**

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**Colossus Minerals Inc.**

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**Columbus Point LLP**

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**Colwill, Matthew**

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**Commodity Exchange Inc.**

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**Companion Policy 25-102 Designated Benchmarks and Benchmark Administrators**

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**Companion Policy 25-501 (Commodity Futures Act) Designated Benchmarks and Benchmark Administrators**

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Notice of Amendments.....	#28 (July 15) 5887
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Supplement 4.....	#50 (December 16) 1

**Companion Policy 33-506 (Commodity Futures Act) Registration Information**

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**Companion Policy 41-101 General Prospectus Requirements**

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**Companion Policy 44-101 Short Form Prospectus Distributions**

Request for Comments .....	#20 (May 20) 4205
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**Companion Policy 45-106 Prospectus Exemptions**

Notice of Ministerial Approval .....	#7 (February 18) 1297
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CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525

**Companion Policy 45-108 Crowdfunding**

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**Companion Policy 52-110 Audit Committees**

Request for Comments .....	#20 (May 20) 4205
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<b>Companion Policy 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers</b>	
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<b>Companion Policy 81-101 Mutual Fund Prospectus Disclosure</b>	
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<b>Companion Policy 81-102 Investment Funds</b>	
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Supplement 5.....	#51 (December 23) 1
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<b>Companion Policy 81-106 Investment Fund Continuous Disclosure</b>	
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<b>Connor, Clark &amp; Lunn Funds Inc.</b>	
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<b>Continuum Private Wealth Partners Inc.</b>	
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<b>Convergence Blended Finance, Inc.</b>	
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<b>Converium Capital Inc.</b>	
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<b>Co-operation Agreement Concerning Innovative Fintech Businesses with the Hong Kong Securities and Futures Commission</b>	
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<b>Co-operation Agreement Concerning Innovative Fintech Businesses with the Financial Services Commission, Mauritius</b>	
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<b>Coral Gold Resources Ltd.</b>	
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<b>Core Canadian Dividend Trust</b>	
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<b>Coriolis Capital Limited</b>	
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<b>Cornerstone Capital Resources Inc.</b>	
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<b>Counsel Portfolio Services Inc.</b>	
Decision .....	#26 (June 30) 5579
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<b>Cowan Asset Management Limited</b>	
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Cease Trading Order .....	#49 (December 9) 9953
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<b>Crown Capital Partners Inc.</b>	
Consent to Suspension (Pending Surrender).....	#29 (July 22) 6507
<b>Crown Private Credit Partners Inc.</b>	
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<b>Cryptobontix Inc.</b>	
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Notice from the Office of the Secretary .....	#38 (September 23) 7883
Order – ss. 127(8), 127(1).....	#38 (September 23) 7917
Notice from the Office of the Secretary .....	#39 (September 30) 8126
Reasons for Decision – ss. 127(1), (8).....	#39 (September 30) 8203
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<b>CSA Multilateral Staff Notice 58-313 Review of Disclosure Regarding Women on Boards and in Executive Officer Positions</b>	
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<b>CSA Staff Notice 41-307 (Revised) Concerns Regarding an Issuer's Financial Condition and the Sufficiency of Proceeds from a Prospectus Offering</b>	
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<b>CSA Staff Notice 51-363 – Observations on Disclosure by Crypto Assets Reporting Issuers</b>	
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<b>CSA Staff Notice 95-302 – Margin and Collateral Requirements for Non-Centrally Cleared Derivatives</b>	
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<b>Cuda Oil and Gas Inc.</b>	
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<b>Cuspis Capital Ltd.</b>	
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<b>CWB Wealth Management Ltd.</b>	
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Notice from the Office of the Secretary .....	#38 (September 23) 7883
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Notice from the Office of the Secretary .....	#39 (September 30) 8126
Reasons for Decision – ss. 127(1), (8).....	#39 (September 30) 8203
Notice from the Office of the Secretary .....	#43 (October 28) 8855
Order – ss. 127(8), 127(1).....	#43 (October 28) 8863
Notice from the Office of the Secretary .....	#44 (November 4) 8959
Order – ss. 127(8), 127(1).....	#44 (November 4) 8973
Notice from the Office of the Secretary .....	#47 (November 25) 9426
Reasons for Decision – ss. 127(1), (8).....	#47 (November 25) 9485

**Daimler Canada Finance Inc.**

Decision .....	#2 (January 14) 205
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**Daley, Sean**

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Notice from the Office of the Secretary .....	#15 (April 15) 3187
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Notice from the Office of the Secretary .....	#29 (July 22) 6345
Order – ss. 127(8), 127(1).....	#29 (July 22) 6384
Notice from the Office of the Secretary .....	#38 (September 23) 7883
Order – ss. 127(8), 127(1).....	#38 (September 23) 7917
Notice from the Office of the Secretary .....	#39 (September 30) 8126
Reasons for Decision – ss. 127(1), (8).....	#39 (September 30) 8203
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Notice from the Office of the Secretary .....	#43 (October 28) 8855
Order – ss. 127(8), 127(1).....	#43 (October 28) 8863
Notice from the Office of the Secretary .....	#44 (November 4) 8959
Order – ss. 127(8), 127(1).....	#44 (November 4) 8973
Notice from the Office of the Secretary .....	#46 (November 18) 9224
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Reasons for Decision – ss. 127(1), (8).....	#47 (November 25) 9485
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**Dealflow Solutions Ltd.**

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New Registration.....	#28 (July 15) 6319

**Debus, Joseph**

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**Debut Diamonds Inc.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#18 (May 6) 3871
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**Designed Securities Ltd.**

New Registration.....	#26 (June 30) 5735
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**Desjardins Global Asset Management Inc.**

Decision .....	#46 (November 18) 9231
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**Desjardins Investments Inc.**

Decision .....	#46 (November 18) 9231
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**Desjardins Québec Balanced Fund**

Decision .....	#46 (November 18) 9231
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**Desjardins Securities Inc.**

Decision .....	#34 (August 26) 7235
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**DGTL Holdings Inc.**

Cease Trading Order .....	#40 (October 7) 8353
Cease Trading Order .....	#41 (October 14) 8547
Cease Trading Order .....	#42 (October 21) 8757
Cease Trading Order .....	#43 (October 28) 8869
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Cease Trading Order .....	#45 (November 11) 9091
Cease Trading Order .....	#46 (November 18) 9283

**DGW Capital Corp.**

Consent to Suspension (Pending Surrender).....	#45 (November 11) 9213
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**Diaz, Omar Enrique Rojas**

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Notice from the Office of the Secretary .....	#17 (April 29) 3605
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Notice from the Office of the Secretary .....	#25 (June 24) 5386
Notice from the Office of the Secretary .....	#41 (October 14) 8477
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**Distinction Balanced Class**

Decision .....	#35 (September 2) 7403
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**Distinction Bold Class**

Decision .....	#35 (September 2) 7403
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<b>Distinction Conservative Class</b>	
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Decision .....	#35 (September 2) 7403
<b>Distinction Prudent Class</b>	
Decision .....	#35 (September 2) 7403
<b>DMAT Capital Management Inc.</b>	
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<b>Drake Financial Ltd.</b>	
New Registration.....	#19 (May 13) 4125
<b>DW SEF LLC</b>	
Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
<b>Dynamic Active Canadian Dividend ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Crossover Bond ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Global Dividend ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Global Financial Services ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Investment Grade Floating Rate ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Preferred Shares ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active Tactical Bond ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active U.S. Dividend ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Active U.S. Mid-Cap ETF</b>	
Decision .....	#47 (November 25) 9467
<b>Dynamic Energy Evolution Fund</b>	
Decision .....	#40 (October 7) 8313
<b>Dynamic Precious Metals Fund</b>	
Decision .....	#11 (March 18) 2281
<b>Dynamic Strategic Gold Class</b>	
Decision .....	#11 (March 18) 2281
<b>Eagle Energy Inc.</b>	
Cease Trading Order .....	#1 (January 7) 11
Order.....	#7 (February 18) 1305
<b>East Coast Asset Management ECZC</b>	
Change in Registration Category .....	#10 (March 11) 2269
Change in Registration Category .....	#22 (June 3) 4915
<b>Eastmain Resources Inc.</b>	
Order.....	#2 (January 14) 220
Order – s. 1(6) of the OBCA.....	#6 (February 11) 1057

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<b>Eclipse Gold Mining Corporation</b>	
Order.....	#13 (April 1) 2801
<b>Edgehill Partners</b>	
Decision .....	#16 (April 22) 3480
Change in Registration Category .....	#43 (October 28) 8951
<b>Edgepoint Wealth Management Inc.</b>	
Decision .....	#45 (November 11) 9065
<b>Edgewater Wireless Systems Inc.</b>	
Cease Trading Order .....	#3 (January 21) 567
<b>EHP Advantage Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Advantage International Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Foundation Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Foundation International Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Funds Inc.</b>	
Decision .....	#16 (April 22) 3480
Decision .....	#39 (September 30) 8180
<b>EHP Global Arbitrage Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Select Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>EHP Strategic Income Alternative Fund</b>	
Decision .....	#39 (September 30) 8180
<b>Elementos Ltd.</b>	
Order.....	#39 (September 30) 8191
<b>Eley, Douglas John</b>	
Notice from the Office of the Secretary .....	#10 (March 11) 1971
Order – ss. 8, 21.7 .....	#10 (March 11) 1994
Notice from the Office of the Secretary .....	#11 (March 18) 2279
Order – s. 144 .....	#11 (March 18) 2313
Notice from the Office of the Secretary .....	#34 (August 26) 7234
Reasons for Decision – s. 21.7 .....	#34 (August 26) 7281
<b>Ely Gold Royalties Inc.</b>	
Order – s. 1(1)(b) .....	#4 (January 28) 720
Order.....	#40 (October 7) 8348
<b>Emerge Canada Inc.</b>	
Decision .....	#12 (March 25) 2535
<b>Emergia Inc.</b>	
Cease Trading Order .....	#19 (May 13) 3979
Cease Trading Order .....	#22 (June 3) 4815
<b>EMJ Capital Ltd.</b>	
Change in Registration Category .....	#22 (June 3) 4915

**Empower Clinics Inc.**

Cease Trading Order .....	#19 (May 13) 3979
Cease Trading Order .....	#19 (May 13) 3980
Cease Trading Order .....	#20 (May 20) 4204
Cease Trading Order .....	#21 (May 27) 4576
Cease Trading Order .....	#22 (June 3) 4816
Cease Trading Order .....	#23 (June 10) 4973
Cease Trading Order .....	#24 (June 17) 5154
Cease Trading Order .....	#25 (June 24) 5457
Cease Trading Order .....	#26 (June 30) 5643
Cease Trading Order .....	#27 (July 8) 5775
Cease Trading Order .....	#28 (July 15) 6051
Cease Trading Order .....	#29 (July 22) 6407

**Enablence Technologies Inc.**

Revocation Order .....	#32 (August 12) 6876
Cease Trading Order .....	#32 (August 12) 6879

**Encanto Potash Corp.**

Cease Trading Order .....	#28 (July 15) 6051
Cease Trading Order .....	#34 (August 26) 7297

**Endeavour Mining Corporation**

Order.....	#31 (August 5) 6786
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**EnerSpar Corp.**

Cease Trading Order .....	#19 (May 13) 3979
Cease Trading Order .....	#22 (June 3) 4815

**ENMAX Corporation**

Decision .....	#6 (February 11) 1047
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**Ensoleillement Inc.**

Variation Order – ss. 21, 144 .....	#49 (December 9) 9952
Marketplaces – Variation of the Recognition Order – Notice of Commission Variation Order.....	#49 (December 9) 10101

**Equifaira Private Securities Inc.**

New Registration.....	#41 (October 14) 8723
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**ESG Capital 1 Inc.**

Cease Trading Order .....	#49 (December 9) 9953
Cease Trading Order .....	#50 (December 16) 10153

**ESI Energy Services Inc.**

Order.....	#26 (June 30) 5605
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**Espresso Capital EMD Ltd.**

New Registration.....	#48 (December 2) 9895
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**Espresso Capital Ltd.**

Voluntary Surrender.....	#48 (December 2) 9895
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**Esrey Resources Inc.**

Cease Trading Order .....	#47 (November 25) 9489
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**ESW Capital, LLC**

Notice from the Office of the Secretary .....	#9 (March 4) 1713
Reasons for Decision – s. 104 .....	#9 (March 4) 1755

**Eurogas International Inc.**

Cease Trading Order .....	#36 (September 9) 7605
Cease Trading Order .....	#38 (September 23) 7925

<b>Evolve Funds Group Inc.</b>	
Decision .....	#18 (May 6) 3751
<b>exactEarth Ltd.</b>	
Order.....	#50 (December 16) 10151
<b>Execution Access, LLC</b>	
Decision – s. 15.1 of NI 21-101, s. 12.1 of NI 23-101, s. 10 of NI 23-103 .....	#30 (July 29) 6568
Marketplaces – Application for Exemptive Relief – Notice of Commission Order .....	#30 (July 29) 6682
<b>Exemplar Investment Grade Fund</b>	
Decision .....	#22 (June 3) 4781
<b>EXFO Inc.</b>	
Order.....	#44 (November 4) 8968
<b>EXMceuticals Inc.</b>	
Cease Trading Order .....	#2 (January 14) 229
Cease Trading Order .....	#5 (February 4) 923
Cease Trading Order .....	#45 (November 11) 9091
<b>Fadine Securities Inc.</b>	
Voluntary Surrender.....	#30 (July 29) 6679
<b>Fakhry, Frank</b>	
Notice from the Office of the Secretary .....	#9 (March 4) 1713
Order.....	#9 (March 4) 1753
Notice from the Office of the Secretary .....	#23 (June 10) 4934
Order – s. 2(2) of the TARA and Rule 22(4) of OSC Rules of Procedure and Forms .....	#23 (June 10) 4953
<b>Fauth, Vernon Ray</b>	
Notice from the Office of the Secretary .....	#4 (January 28) 709
Order – ss. 127(1), 127(10).....	#4 (January 28) 722
Reasons and Decision – ss. 127(1), 127(10).....	#4 (January 28) 739
<b>Feng, Jiubin</b>	
Notice of Hearing with Related Statement of Allegations – ss.127, 127.1 .....	#30 (July 29) 6556
Notice from the Office of the Secretary .....	#30 (July 29) 6560
Notice from the Office of the Secretary .....	#32 (August 12) 6846
Notice from the Office of the Secretary .....	#34 (August 26) 7233
Notice from the Office of the Secretary .....	#36 (September 9) 7576
Order.....	#36 (September 9) 7593
Notice from the Office of the Secretary .....	#50 (December 16) 10118
Order.....	#50 (December 16) 10152
<b>Fidelity (Canada) Asset Management ULC</b>	
Amalgamation .....	#3 (January 21) 693
<b>Fidelity Advantage Bitcoin ETF</b>	
Decision .....	#47 (November 25) 9427
<b>Fidelity Advantage Bitcoin ETF™</b>	
Decision .....	#47 (November 25) 9478
<b>Fidelity Clearing Canada ULC</b>	
Decision .....	#47 (November 25) 9427
Decision .....	#48 (December 2) 9705
<b>Fidelity Funds</b>	
Decision .....	#49 (December 9) 9939

**Fidelity Investments Canada ULC**

Amalgamation .....	#3 (January 21) 693
Decision .....	#25 (June 24) 5402
Decision .....	#25 (June 24) 5435
Decision .....	#29 (July 22) 6350
Decision .....	. #34 (August 26) 7245
Decision .....	#45 (November 11) 9073
Decision .....	#47 (November 25) 9427
Decision .....	#47 (November 25) 9453
Decision .....	#47 (November 25) 9478
Order – s. 80 of the CFA.....	#48 (December 2) 9759
Decision .....	#49 (December 9) 9939

**Fidelity Long-Term Leaders Currency Neutral Fund**

Decision .....	#12 (March 25) 2539
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**Fidelity Long-Term Leaders Fund**

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**Fielding, John**

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**Fiera Core Global Equity Class**

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**Fiera Intrinsic Balanced Class**

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**Fiera Intrinsic Balanced Registered Fund**

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**Fiera Investments LP**

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**Fiera Strategic Balanced Class**

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<b>Glass House Brands Inc.</b>	
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**Global Alpha Capital Management Ltd.**

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**Global Bioenergy Resources Inc.**

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Notice from the Office of the Secretary .....	#33 (August 19) 7017
Notice from the Office of the Secretary .....	#44 (November 4) 8959
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**Global Crossing Airlines Group Inc.**

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**Global Health Clinics Ltd.**

Cease Trading Order .....	#49 (December 9) 9953
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**Global Reach Financial Solutions Inc.**

Decision .....	#32 (August 12) 6847
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**Globeflex Capital, LP**

Voluntary Surrender .....	#1 (January 7) 185
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**GMG Private Counsel Inc.**

Change in Registration Category .....	#35 (September 2) 7569
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**GMO Canada LLC**

Voluntary Surrender .....	#15 (April 15) 3455
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**Gold X Mining Corp.**

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**Golden Predator Mining Corp.**

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**GoldHaven Resources Corp.**

Cease Trading Order .....	#49 (December 9) 9953
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**Goncalves, Victor**

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**Goss, Donald Alexander (Sandy)**

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**Grafton Asset Management Inc.**

Voluntary Surrender .....	#45 (November 11) 9213
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**Graham Income Trust**

Decision .....	#40 (October 7) 8310
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**Graham, Dmitri**

Notice from the Office of the Secretary .....	#8 (February 25) 1487
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Order.....	#37 (September 16) 7754
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Order.....	#46 (November 18) 9273
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**Gran Tierra Energy Inc.**

Decision .....	#35 (September 2) 7410
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**Great Canadian Gaming Corporation**

Order.....	#45 (November 11) 9085
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**Green Bay Packers, Inc.**

Decision .....	#48 (December 2) 9680
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**GreenBank Capital Inc.**

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Cease Trading Order .....	#6 (February 11) 1075
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Cease Trading Order .....	#50 (December 16) 10153
Cease Trading Order .....	#51 (December 23) 10389

<b>Greenchip Financial Corp.</b>	
Amalgamation .....	#3 (January 21) 693
<b>Greenfield Investment Management Limited</b>	
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<b>Greenfields Petroleum Corporation</b>	
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<b>Greypoint Capital Inc.</b>	
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<b>Gross Securities Corp.</b>	
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<b>Grossman, Allan</b>	
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Notice from the Office of the Secretary .....	#18 (May 6) 3748
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<b>Growth &amp; Income Class</b>	
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<b>Grupo4X Inc.</b>	
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<b>GS Investment Strategies Canada Inc.</b>	
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<b>Guardian Capital LP</b>	
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Decision .....	#43 (October 28) 8857
Notice of Correction .....	#45 (November 11) 9051
Decision .....	#45 (November 11) 9067
<b>Guardian Partners Inc.</b>	
Name Change.....	#12 (March 25) 2711
<b>GURU Organic Energy Corp.</b>	
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<b>GVIC Communications Corp.</b>	
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<b>Haltain Developments Corp.</b>	
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Cease Trading Order .....	#41 (October 14) 8547
Cease Trading Order .....	#43 (October 28) 8869
<b>Hamilton Lane (Canada) LLC</b>	
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**Handa, Rakesh**

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Notice from the Office of the Secretary .....	#20 (May 20) 4175
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**Hanfeng Evergreen Inc.**

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**Harvest Health & Recreation Inc.**

Order.....	#47 (November 25) 9482
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**Hatley Capital Partners Inc.**

New Registration.....	#16 (April 22) 3597
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**Hazelview Securities Inc.**

Decision – s. 15.1 of NI 31-103 .....	#37 (September 16) 7741
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**Heirloom Investment Management (Canada) Inc.**

Voluntary Surrender.....	#51 (December 23) 10559
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**Helix BioPharma Corp.**

Cease Trading Order .....	#44 (November 4) 8975
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Cease Trading Order .....	#46 (November 18) 9283
Cease Trading Order .....	#47 (November 25) 9489
Cease Trading Order .....	#48 (December 2) 9769
Cease Trading Order .....	#49 (December 9) 9953
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**Hemisphere Capital Management Inc.**

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**Henderson, Tim**

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**HEXO Corp.**

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**Hi Ho Silver Resources Inc.**

Cease Trading Order .....	#28 (July 15) 6051
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**High Fusion Inc.**

Cease Trading Order .....	#49 (December 9) 9953
Cease Trading Order .....	#51 (December 23) 10389

**High Tide Wealth Management Inc.**

Consent to Suspension (Pending Surrender).....	#4 (January 28) 865
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**HMW Capital Inc.**

Consent to Suspension (Pending Surrender).....	#48 (December 2) 9895
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**Hogg, Troy Richard James**

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**Hollister Biosciences Inc.**

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**Holmes, Alexander Francis Cuthbert**

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Notice from the Office of the Secretary .....	#38 (September 23) 7884
Order.....	#38 (September 23) 7920
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**Horizons Absolute Return Global Currency ETF**

Decision .....	#8 (February 25) 1513
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**Horizons Active Emerging Markets Divided ETF**

Decision .....	#18 (May 6) 3765
Decision .....	#19 (May 13) 3963

**Horizons Active US Dividend ETF**

Decision .....	#18 (May 6) 3765
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**Horizons ETFs Management (Canada) Inc.**

Decision .....	#8 (February 25) 1502
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Decision .....	#8 (February 25) 1525
Decision .....	#13 (April 1) 2791
Decision .....	#16 (April 22) 3475
Decision .....	#18 (May 6) 3765
Order.....	#18 (May 6) 3785
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Decision .....	#19 (May 13) 3954
Decision .....	#19 (May 13) 3963
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**Horizons Global Risk Parity ETF**

Decision .....	#8 (February 25) 1502
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**Horizons Marijuana Life Sciences Index ETF**

Decision .....	#16 (April 22) 3475
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**Horizons Morningstar Hedge Fund Index ETF**

Decision .....	#8 (February 25) 1513
Decision .....	#47 (November 25) 9471

**Horizons Psychedelic Stock Index ETF**

Decision .....	#19 (May 13) 3947
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**Horizons Tactical Absolute Return Bond Fund**

Decision .....	#13 (April 1) 2791
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**Horizons US 7-10 Year Treasury Bond CAD Hedged ETF**

Order.....	#18 (May 6) 3785
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<b>Horizons US Marijuana Index ETF</b>	
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<b>HSBC Global Asset Management (Canada) Limited</b>	
Decision .....	#15 (April 15) 3189
Decision .....	#23 (June 10) 4935
<b>HSBC U.S. Equity Index Fund</b>	
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<b>HSBC U.S. Equity Pooled Fund</b>	
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<b>H-Source Holdings Ltd.</b>	
Cease Trading Order .....	#32 (August 12) 6879
<b>I.G. Investment Management Inc.</b>	
Decision .....	#26 (June 30) 5579
<b>I.G. Investment Management, Ltd.</b>	
Decision .....	#19 (May 13) 3885
Decision .....	#22 (June 3) 4775
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<b>I-80 Gold Corp.</b>	
Decision .....	#31 (August 5) 6749
<b>IA Clarington Canadian Balanced Class</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Canadian Conservative Equity Class</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Canadian Conservative Equity Fund</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Focused Balanced Class</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Global Bond Fund</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Global Opportunities Class</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Investments Inc.</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Real Return Bond Fund</b>	
Decision .....	#35 (September 2) 7403
<b>IA Clarington Strategic U.S. Growth &amp; Income Fund</b>	
Decision .....	#35 (September 2) 7403
<b>ICAP Global Derivatives Limited</b>	
Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
<b>ICE Futures U.S., Inc.</b>	
Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
<b>ICE Futures</b>	
Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
<b>ICE Swap Trade LLC</b>	
Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315

**IG Irish Life Global Equity Fund**

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**IG Mackenzie Low Volatility Canadian Equity Fund**

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**Jefferies International Limited**

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**JGL Capital Ltd.**

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**Kalytera Therapeutics, Inc.**

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**Kameran, Michelle**

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**Katebian, Morteza**

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**Kersia Investment**

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**KetamineOne Capital Limited**

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**Kicking Horse Capital Inc.**

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**King Global Ventures Inc.**

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Cease Trading Order .....	#22 (June 3) 4816
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**Koios Beverage Corp.**

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**Lake Winn Resources Corp.**

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<b>Lifestyle Global Brands Limited</b>	
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**Mackenzie Gold Bullion Fund**

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**Mackenzie Greenchip Global Balanced Fund**

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**Mackenzie Ivy Foreign Equity Fund II**

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<b>Mackenzie Multi-Strategy Absolute Return Fund</b>	
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**Matson Money, Inc.**

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**MDC Partners Inc.**

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**Medalist Capital Ltd.**

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**Mek Global Limited**

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**MEMBERS Capital Advisors, Inc.**

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**Memorandum of Understanding Respecting the Oversight of Designated Benchmarks and Designated Benchmark Administrators**

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**Merrill Lynch Canada Inc.**

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**Merrill Lynch Professional Clearing Corp.**

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**Merrill Lynch, Pierce, Fenner, & Smith Incorporated**

Voluntary Surrender .....	#26 (June 30) 5735
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**Metalo Manufacturing Inc.**

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**Metamaterial Inc.**

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SROs – Amendments to MFDA Policy No. 9 Continuing Education (CE) Requirements – Notice of Commission Approval .....	#29 (July 22) 6509
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**Middlefield Limited**

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**Midway Gold Corp.**

Cease Trading Order .....	#47 (November 25) 9489
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**Miller, David Randall**

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**Miner Edge Inc.**

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**Mistakidis, Aristotelis**

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**MLD Core Fund**

Decision .....	#26 (June 30) 5599
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**MMCAP Management Inc.**

Consent to Suspension (Pending Surrender).....	#5 (February 4) 1019
Voluntary Surrender.....	#38 (September 23) 8101

**Modi, Arti Rajeev**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#16 (April 22) 3464
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**Modi, Jay Rasik**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#16 (April 22) 3464
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Order .....	#28 (July 15) 6037
Reasons and Decision – ss. 127(1), 127(10) .....	#28 (July 15) 6043

**MogoTrade Inc.**

New Registration.....	#51 (December 23) 10559
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**Monarch Gold Corporation**

Order.....	#8 (February 25) 1532
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**Money Gate Corp.**

Notice from the Office of the Secretary .....	#14 (April 8) 2951
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**Money Gate Mortgage Investment Corporation**

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**Morgan Stanley & Co. LLC**

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**Morneau Shepell Asset & Risk Management Ltd.**

Name Change.....	#23 (June 10) 5115
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**Mosaic Capital Corporation**

Order.....	#34 (August 26) 7269
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**Moskowitz Capital Management Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#8 (February 25) 1484
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Notice from the Office of the Secretary .....	#8 (February 25) 1489
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**Moskowitz, Brian**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#8 (February 25) 1484
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**Mughal Asset Management Corporation**

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**Multilateral Instrument 11-103 Failure-To-File Cease Trade Orders in Multiple Jurisdictions**

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**Multilateral Instrument 13-102 System Fees for SEDAR and NRD**

Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1

**Multilateral Instrument 25-102 Designated Benchmarks and Benchmark Administrators**

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Notice of Coming into Force .....	#28 (July 15) 5886
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**Multilateral Instrument 45-108 Crowdfunding**

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Notice.....	#21 (May 27) 4506
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**Multilateral Instrument 51-105 Issuers Quoted in the U.S. Over-The-Counter Markets**

Request for Comments .....	#20 (May 20) 4205
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**Multilateral Instrument 61-101 Protection of Minority Security Holders in Special Transactions**

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Recognition Order (Variation and Restatement) – s. 144 .....	#12 (March 25) 2569
SROs – Variation and Restatement of Recognition Order – Notice of Commission Approval .....	#12 (March 25) 2714
SROs – Memorandum of Understanding Regarding the Oversight of the Mutual Fund Dealers Association of Canada – Notice of Coming into Effect.....	#13 (April 1) 2934
Notice of Hearing – ss. 8, 21.7 .....	#16 (April 22) 3463
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SROs – Amendments to MFDA Policy No. 9 Continuing Education (CE) Requirements –	
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SROs – Client Focused Reforms Rule Amendments – Notice of Commission Approval.....	#35 (September 2) 7571
Notice from the Office of the Secretary .....	#41 (October 14) 8477
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Notice from the Office of the Secretary .....	#46 (November 18) 9226
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SROs – Proposed New MFDA Policy No. 11 – Proficiency Standards for the Sale of Alternative Mutual Funds – Request for Comment .....	#47 (November 25) 9625
SROs – Proposed Amendments to MFDA Rule 1.2.5 (Misleading Business Titles Prohibited) –	
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SROs – Proposed Amendments to MFDA Rules 2.3.1(b) (Discretionary Trading) and 2.2.5 (Relationship Disclosure) – Notice of Withdrawal .....	#51 (December 23) 10562

**Mutual Fund Dealers Association of Canada Investor Protection Corporation**

SROs – MOU regarding the Oversight of MFDA IPC – Notice of Coming into Effect .....	#1 (January 7) 188
Notice of Correction – MOU regarding the Oversight of MFDA IPC – Notice of Coming into Effect .....	#2 (January 14) 194
SROs – MOU regarding the Oversight of MFDA IPC – Notice of Coming into Effect .....	#2 (January 14) 522
SROs – Amendments to MFDA Rule 1.1.1(a) (Business Structures – Members) – Notice of Approval .....	#3 (January 21) 695

**MYM Nutraceuticals Inc.**

Order.....	#32 (August 12) 6874
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**Namburi, Radhakrishna**

Notice from the Office of the Secretary .....	#6 (February 11) 1033
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**Nanotech Security Corp.**

Order .....	#45 (November 11) 9089
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**Nasdaq CXC Limited**

Marketplaces – Housekeeping Amendments to Trading Rules and Policies – Notice of Housekeeping Rule Amendments.....	#2 (January 14) 524
Marketplaces – Notice of Proposed Changes and Request for Comment .....	#3 (January 21) 697
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Variation Order – ss. 21, 144 .....	#49 (December 9) 9952
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**Nass Valley Gateway Ltd.**

Cease Trading Order .....	#19 (May 13) 3979
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Cease Trading Order .....	#24 (June 17) 5154
Cease Trading Order .....	#25 (June 24) 5457
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Decision .....	#19 (May 13) 3965
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**National Bank Conservative Diversified Fund**

Decision .....	#19 (May 13) 3965
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**National Bank Financial Inc.**

Decision .....	#34 (August 26) 7235
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**National Bank Growth Diversified Fund**

Decision .....	#19 (May 13) 3965
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**National Bank Investments Inc.**

Decision .....	#19 (May 13) 3965
Decision .....	#29 (July 22) 6368
Decision .....	#46 (November 18) 9243

**National Bank Moderate Diversified Fund**

Decision .....	#19 (May 13) 3965
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**National Bank Secure Diversified Fund**

Decision .....	#19 (May 13) 3965
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**National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)**

Request for Comments .....	#20 (May 20) 4205
CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1

**National Instrument 14-101 Definitions**

CSA Notice and Request for Comment .....	#17 (April 29) 3627
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**National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations**

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Notice of Ministerial Approval .....	#7 (February 18) 1297
Rules and Policies .....	#7 (February 18) 1311
CSA Notice and Request for Comment .....	#17 (April 29) 3627
OSC Notice of Amendments – Prohibition of Deferred Sales Charges for Mutual Funds .....	#22 (June 3) 4719
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Rules and Policies .....	#26 (June 30) 5652
Notice of Amendments.....	#28 (July 15) 5887
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Rules and Policies .....	#42 (October 21) 8759
Supplement 3.....	#40 (October 7) 1
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Supplement 5.....	#51 (December 23) 1

**National Instrument 33-109 Registration Information**

Supplement 1.....	#5 (February 4) 1
Supplement 4.....	#50 (December 16) 1

**National Instrument 41-101 General Prospectus Requirements**

Request for Comments .....	#20 (May 20) 4205
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1

**National Instrument 43-101 Standards of Disclosure for Mineral Projects**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 44-101 Short Form Prospectus Distributions**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 44-102 Shelf Distributions**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 45-102 Resale of Securities**

CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525
Notice of Ministerial Approval.....	#38 (September 23) 7881
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**National Instrument 45-106 Prospectus Exemptions**

Notice of Ministerial Approval .....	#7 (February 18) 1297
Rules and Policies .....	#7 (February 18) 1313
CSA Notice and Request for Comment .....	#17 (April 29) 3627
Request for Comments .....	#20 (May 20) 4205
CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1

**National Instrument 45-110 Start-up Crowdfunding Registration and Prospectus Exemptions**

CSA Notice of Publication .....	#25 (June 24) 5303
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7927

**National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 51-102 Continuous Disclosure Obligations**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 52-109 Certification of Disclosure In Issuers' Annual And Interim Filings**

Request for Comments .....	#20 (May 20) 4205
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**National Instrument 52-110 Audit Committees**

Request for Comments .....	#20 (May 20) 4205
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<b>National Instrument 52-112 Non-GAAP and Other Financial Measures Disclosure</b>	
Notice .....	#21 (May 27) 4506
Notice .....	#32 (August 12) 6845
Rules and Policies .....	#32 (August 12) 6881
<b>National Instrument 54-101 Communication with Beneficial Owners of Securities of a Reporting Issuer</b>	
Request for Comments .....	#20 (May 20) 4205
<b>National Instrument 55-104 Insider Reporting Requirements and Exemptions</b>	
Request for Comments .....	#20 (May 20) 4205
<b>National Instrument 58-101 Disclosure of Corporate Governance Practices</b>	
Request for Comments .....	#20 (May 20) 4205
<b>National Instrument 62-103 The Early Warning System and Related Take-Over Bid and Insider Reporting Issues</b>	
CSA Notice and Request for Comment .....	#17 (April 29) 3627
Request for Comments .....	#20 (May 20) 4205
<b>National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers</b>	
Request for Comments .....	#20 (May 20) 4205
<b>National Instrument 81-101 Mutual Fund Prospectus Disclosure</b>	
Request for Comments .....	#20 (May 20) 4205
OSC Notice of Amendments – Prohibition of Deferred Sales Charges for Mutual Funds .....	#22 (June 3) 4719
Notice of Ministerial Approval.....	#26 (June 30) 5578
Rules and Policies .....	#26 (June 30) 5647
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1
<b>National Instrument 81-102 Investment Funds</b>	
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1
<b>National Instrument 81-105 Mutual Fund Sales Practices</b>	
OSC Notice of Amendments – Prohibition of Deferred Sales Charges for Mutual Funds .....	#22 (June 3) 4719
Notice of Ministerial Approval.....	#26 (June 30) 5578
Rules and Policies .....	#26 (June 30) 5645
<b>National Instrument 81-106 Investment Fund Continuous Disclosure</b>	
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1
<b>National Instrument 81-107 Independent Review Committee for Investment Funds</b>	
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1
<b>National Instrument 94-102 Derivatives: Customer Clearing and Protection of Customer Collateral and Positions</b>	
CSA Notice and Request for Comment .....	#17 (April 29) 3627
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Notice of Coming into Force – Amendments.....	#35 (September 2) 7399
Rules and Policies .....	#35 (September 2) 7459
<b>National Policy 11-201 Electronic Delivery of Documents</b>	
Request for Comments .....	#20 (May 20) 4205
<b>National Policy 11-202 Process for Prospectus Reviews in Multiple Jurisdictions</b>	
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1
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<b>National Policy 11-207 Failure-To-File Cease Trade Orders and Revocations in Multiple Jurisdictions</b>	
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<b>National Policy 12-202 Revocation of Certain Cease Trade Orders</b>	
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Marketplaces – Notice of Housekeeping Rule Amendments to the Trading Policies .....	#30 (July 29) 6688
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New Registration.....	#5 (February 4) 1019
<b>Nepra Foods Inc.</b>	
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<b>NetCents Technology Inc.</b>	
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<b>New Look Vision Group Inc.</b>	
Order.....	#29 (July 22) 6387
<b>New Oroperu Resources Inc.</b>	
Order.....	#46 (November 18) 9277
<b>New Wave Holdings Corp.</b>	
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Cease Trading Order .....	#33 (August 19) 7057
Cease Trading Order .....	#34 (August 26) 7297
Cease Trading Order .....	#35 (September 2) 7457
Cease Trading Order .....	#36 (September 9) 7605
Cease Trading Order .....	#37 (September 16) 7761
Cease Trading Order .....	#38 (September 23) 7925
Cease Trading Order .....	#39 (September 30) 8207
Cease Trading Order .....	#40 (October 7) 8353
Cease Trading Order .....	#41 (October 14) 8547
Cease Trading Order .....	#42 (October 21) 8757
Cease Trading Order .....	#43 (October 28) 8869
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**New Wave Ventures Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#16 (April 22) 3464
Notice from the Office of the Secretary .....	#16 (April 22) 3474
Notice from the Office of the Secretary .....	#28 (July 15) 6025
Order.....	#28 (July 15) 6037
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**New York Mercantile Exchange, Inc.**

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**Newlox Gold Ventures Corp.**

Cease Trading Order .....	#32 (August 12) 6879
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**NEX SEF Limited**

Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
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**Next Edge Biotech and Life Sciences Opportunities Fund**

Decision .....	#38 (September 23) 7891
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**Next Edge Capital Corp.**

Decision .....	#38 (September 23) 7891
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**Next Edge Strategic Metals and Commodities Fund**

Decision .....	#38 (September 23) 7891
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**NextPoint Acquisition Corp.**

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**NextPoint Financial Inc.**

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**Nexus Real Estate Investment Trust**

Decision .....	#33 (August 19) 7036
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**Ninepoint Bitcoin ETF**

Decision .....	#20 (May 20) 4183
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**Ninepoint Concentrated Canadian Equity Fund**

Decision .....	#6 (February 11) 1043
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**Ninepoint Focused Global Dividend Fund**

Decision .....	#46 (November 18) 9265
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**Ninepoint Partners LP**

Decision .....	#6 (February 11) 1043
Decision .....	#20 (May 20) 4183
Decision .....	#27 (July 8) 5765
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**Ninepoint Resource Fund**

Decision .....	#46 (November 18) 9265
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**Ninepoint Return Advantaged U.S. Equity Index Fund**

Decision .....	#46 (November 18) 9265
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**Ninepoint Risk Advantaged U.S. Equity Index Fund**

Decision .....	#46 (November 18) 9265
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**Ninepoint Silver Equities Fund**

Decision .....	#46 (November 18) 9265
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**Nodal Exchange, LLC**

Variation of Exemption Orders – s. 144 of the OSA and s. 78 of the CFA .....	#11 (March 18) 2315
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**Norbord Inc.**

Order .....	#16 (April 22) 3500
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**Nordea Investment Management North America, Inc.**

Voluntary Surrender .....	#1 (January 7) 185
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**Northern Alliance Financial Corporation**

New Registration .....	#34 (August 26) 7393
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**Northview Canadian High Yield Residential Fund**

Decision .....	#6 (February 11) 1050
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**Northwest & Ethical Investments L.P.**

Decision .....	#19 (May 13) 3926
Decision .....	#37 (September 16) 7747

**Novamind Inc.**

Cease Trading Order .....	#45 (November 11) 9091
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**Novoheart Holdings Inc.**

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**Nutritional High International Inc.**

Cease Trading Order .....	#1 (January 7) 11
Cease Trading Order .....	#2 (January 14) 229
Cease Trading Order .....	#3 (January 21) 567
Cease Trading Order .....	#4 (January 28) 745
Cease Trading Order .....	#5 (February 4) 923
Cease Trading Order .....	#6 (February 11) 1075
Cease Trading Order .....	#7 (February 18) 1309
Cease Trading Order .....	#8 (February 25) 1559
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**Oakhaven Wealth Advisors Inc.**

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**Oakmark International Equity Class**

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**Oakmark International Equity Registered Fund**

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**Oakmark U.S. Equity Class**

Decision ..... #19 (May 13) 3943

**Oakmark U.S. Equity Registered Fund**

Decision ..... #19 (May 13) 3943

**OceanFront Investment Counsel Inc.**

New Registration ..... #49 (December 9) 10099

**Old API Wind-down Ltd.**

Cease Trading Order ..... #48 (December 2) 9769

**OmniArch Capital Group Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) ..... #16 (April 22) 3464

Notice from the Office of the Secretary ..... #16 (April 22) 3474

Notice from the Office of the Secretary ..... #28 (July 15) 6025

Order ..... #28 (July 15) 6037

Reasons and Decision – ss. 127(1), 127(10) ..... #28 (July 15) 6043

**OmniArch Global Bond Corp.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) ..... #16 (April 22) 3464

Notice from the Office of the Secretary ..... #16 (April 22) 3474

Notice from the Office of the Secretary ..... #28 (July 15) 6025

Order ..... #28 (July 15) 6037

Reasons and Decision – ss. 127(1), 127(10) ..... #28 (July 15) 6043

**OmniArch Global Secured Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) ..... #16 (April 22) 3464

Notice from the Office of the Secretary ..... #16 (April 22) 3474

Notice from the Office of the Secretary ..... #28 (July 15) 6025

Order ..... #28 (July 15) 6037

Reasons and Decision – ss. 127(1), 127(10) ..... #28 (July 15) 6043

**OmniArch Ventures Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) ..... #16 (April 22) 3464

Notice from the Office of the Secretary ..... #16 (April 22) 3474

Notice from the Office of the Secretary ..... #28 (July 15) 6025

Order ..... #28 (July 15) 6037

Reasons and Decision – ss. 127(1), 127(10) ..... #28 (July 15) 6043

**ONEnergy Inc.**

Revocation Order ..... #34 (August 26) 7271

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**OneSixtyTwo Capital Ltd.**

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**OneVest Management Inc.**

New Registration ..... #38 (September 23) 8101

**Ontario Genomics Institute**

Order – s. 74(1) ..... #5 (February 4) 920

**Ontario Instrument 13-508 Extension of Moratorium on Outside Activities Late Filing Fees**

Notice of General Order ..... #50 (December 16) 10115

General Order ..... #50 (December 16) 10144

**Ontario Instrument 13-509 Extension of Moratorium on Outside Activities Late Filing Fees (Commodity Futures Act)**

Notice of General Order ..... #50 (December 16) 10116

General Order ..... #50 (December 16) 10146

**Ontario Instrument 31-514 – Transitional Relief Related to the Elimination of the Deferred Sales Charge Option in respect of Client Focused Reforms Enhanced Conflicts of Interest and Client First Suitability Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations**

Notice of General Order .....	#25 (June 24) 5374
General Order .....	#25 (June 24) 5445

**Ontario Instrument 32-507 (Commodity Futures Act) Exemptions for International Dealers, Advisers and Sub-Advisers (Interim Class Order)**

Notice of General Order .....	#15 (April 15) 3179
General Order .....	#15 (April 15) 3205

**Ontario Instrument 33-507 Exemption from Underwriting Conflicts Disclosure Requirements (Interim Class Order)**

Notice of General Order .....	#8 (February 25) 1455
General Order .....	#8 (February 25) 1528

**Ontario Instrument 44-501 Exemption from Certain Prospectus Requirements for Well-known Seasoned Issuers (Interim Class Order)**

Notice of General Order .....	#49 (December 9) 9916
General Order .....	#49 (December 9) 9947

**Ontario Instrument 45-506 Start-Up Crowdfunding Registration and Prospectus Exemptions (Interim Class Order)**

Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7978

**Ontario Instrument 52-502 Exemption from National Instrument 52-112 Non-GAAP and Other Financial Measures Disclosure (Interim Class Order)**

Notice.....	#48 (December 2) 9671
General Order .....	#48 (December 2) 9754

**Ontario Instrument 91-505 Exemptions from the Options Proficiency Requirement for International Dealers, Advisers and Sub-Advisers (Interim Class Order)**

Notice of General Order .....	#15 (April 15) 3181
General Order .....	#15 (April 15) 3216

**Ontario Securities Commission**

Notice of Agreement .....	#5 (February 4) 903
Notice of Agreement .....	#15 (April 15) 3185

**Ontario Securities Commission Notice 11-792 – Notice of Statement of Priorities Year to end March 31, 2022**

Notice.....	#26 (June 30) 5575
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**Ontario Securities Commission Notice 11-794 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2023**

Notice.....	#46 (November 18) 9223
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**Ontario Securities Commission Policy 51-601 Reporting Issuer Defaults**

Request for Comments .....	#20 (May 20) 4205
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**Ontario Securities Commission Policy 51-604 Defence for Misrepresentations in Forward-Looking Information**

Request for Comments .....	#20 (May 20) 4205
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**Ontario Securities Commission Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission**

Notice of Coming into Force .....	#28 (July 15) 5886
Rules and Policies .....	#28 (July 15) 6155
CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7974

**Ontario Securities Commission Rule 13-502 Fees**

Request for Comments .....	#20 (May 20) 4205
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7975
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Supplement 5.....	#51 (December 23) 1

<b>Ontario Securities Commission Rule 14-501 Definitions</b>	
Request for Comments .....	#20 (May 20) 4205
<b>Ontario Securities Commission Rule 25-501 (Commodity Futures Act) Designated Benchmarks and Benchmark Administrators</b>	
Supplement 2.....	#17 (April 29) 1
Notice of Coming into Force .....	#28 (July 15) 5886
Rules and Policies .....	#28 (July 15) 6105
<b>Ontario Securities Commission Rule 33-506 (Commodity Futures Act) Registration Information</b>	
Supplement 1.....	#5 (February 4) 1
Supplement 4.....	#50 (December 16) 1
<b>Ontario Securities Commission Rule 45-501 Ontario Prospectus and Registration Exemptions</b>	
Notice of Ministerial Approval .....	#7 (February 18) 1297
Rules and Policies .....	#7 (February 18) 1324
Request for Comments .....	#20 (May 20) 4205
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7976
<b>Ontario Securities Commission Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions</b>	
Notice of Amendments.....	#10 (March 11) 1945
Notice of Coming into Force.....	#19 (May 13) 3879
Rules and Policies .....	#19 (May 13) 3981
Rules and Policies .....	#19 (May 13) 3983
<b>Ontario Securities Commission Rule 51-801 Implementing National Instrument 51-102 Continuous Disclosure Obligations</b>	
Request for Comments .....	#20 (May 20) 4205
<b>Ontario Securities Commission Rule 56-501 Restricted Shares</b>	
Request for Comments .....	#20 (May 20) 4205
<b>Ontario Securities Commission Rule 71-802 Implementing National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers</b>	
Request for Comments .....	#20 (May 20) 4205
<b>Open Access Limited</b>	
Decision .....	#3 (January 21) 539
<b>Optiva Inc.</b>	
Notice from the Office of the Secretary .....	#9 (March 4) 1713
Reasons for Decision – s. 104 .....	#9 (March 4) 1755
<b>Orchid Ventures, Inc.</b>	
Cease Trading Order .....	#2 (January 14) 229
Cease Trading Order .....	#4 (January 28) 745
<b>OSC Notice 11-792 – Notice of Statement of Priorities Year to end March 31, 2022</b>	
Notice.....	#26 (June 30) 5575
<b>OSC Notice 11-794 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2023</b>	
Notice.....	#46 (November 18) 9223
<b>OSC Policy 51-601 Reporting Issuer Defaults</b>	
Request for Comments .....	#20 (May 20) 4205
<b>OSC Policy 51-604 Defence for Misrepresentations in Forward-Looking Information</b>	
Request for Comments .....	#20 (May 20) 4205

**OSC Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission**

Notice of Coming into Force .....	#28 (July 15) 5886
Rules and Policies .....	#28 (July 15) 6155
CSA Notice and Request for Comment – Proposed Amendments .....	#30 (July 29) 6525
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7974

**OSC Rule 13-502 Fees**

Request for Comments .....	#20 (May 20) 4205
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7975
Supplement 3.....	#40 (October 7) 1
Supplement 5.....	#51 (December 23) 1

**OSC Rule 14-501 Definitions**

Request for Comments .....	#20 (May 20) 4205
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**OSC Rule 25-501 (Commodity Futures Act) Designated Benchmarks and Benchmark Administrators**

Supplement 2.....	#17 (April 29) 1
Notice of Coming into Force .....	#28 (July 15) 5886
Rules and Policies .....	#28 (July 15) 6105

**OSC Rule 33-506 (Commodity Futures Act) Registration Information**

Supplement 1.....	#5 (February 4) 1
Supplement 4.....	#50 (December 16) 1

**OSC Rule 45-501 Ontario Prospectus and Registration Exemptions**

Notice of Ministerial Approval .....	#7 (February 18) 1297
Rules and Policies .....	#7 (February 18) 1324
Request for Comments .....	#20 (May 20) 4205
Notice of Ministerial Approval.....	#38 (September 23) 7881
Rules and Policies .....	#38 (September 23) 7976

**OSC Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions**

Notice of Amendments.....	#10 (March 11) 1945
Notice of Coming into Force.....	#19 (May 13) 3879
Rules and Policies .....	#19 (May 13) 3981
Rules and Policies .....	#19 (May 13) 3983

**OSC Rule 51-801 Implementing National Instrument 51-102 Continuous Disclosure Obligations**

Request for Comments .....	#20 (May 20) 4205
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**OSC Rule 56-501 Restricted Shares**

Request for Comments .....	#20 (May 20) 4205
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**OSC Rule 71-802 Implementing National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers**

Request for Comments .....	#20 (May 20) 4205
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**OSC Staff Notice 11-737 (Revised) – Securities Advisory Committee – Vacancies**

Notice.....	#42 (October 21) 8734
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**OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments**

Notice.....	#3 (January 21) 529
Notice.....	#15 (April 15) 3183
Notice.....	#29 (July 22) 6343

**OSC Staff Notice 11-793 Hierarchy of Regulatory Instruments in Securities Law**

Notice.....	#41 (October 14) 8473
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**OSC Staff Notice 15-707 Enforcement Investigation Guidance**

Notice.....	#29 (July 22) 6340
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**OSC Staff Notice 15-708 Document Production Guidance**

Notice ..... #29 (July 22) 6342

**OSC Staff Notice 33-752 Summary Report for Dealers, Advisers and Investment Fund Managers**

Notice ..... #33 (August 19) 7015

**OSC Staff Notice 33-753 OSC Consultation on Tied Selling and other Anti-Competitive Practices in the Capital Markets**  
Notice ..... #49 (December 9) 9918

**OSC Staff Notice 51-732 Corporate Finance Branch 2021 Annual Report**

Notice ..... #47 (November 25) 9422

**OSC Staff Notice 81-731 – Next Steps on Deferred Sales Charges**

Notice ..... #19 (May 13) 3877

**OSC Staff Notice 81-732 Investment Fund Survey**

Notice ..... #28 (July 15) 5883

**OTO.Money**

Notice from the Office of the Secretary ..... #29 (July 22) 6345

Order – ss. 127(8), 127(1) ..... #29 (July 22) 6384

Notice from the Office of the Secretary ..... #38 (September 23) 7883

Order – ss. 127(8), 127(1) ..... #38 (September 23) 7917

Notice from the Office of the Secretary ..... #39 (September 30) 8126

Reasons for Decision – ss. 127(1), (8) ..... #39 (September 30) 8203

Notice from the Office of the Secretary ..... #43 (October 28) 8855

Order – ss. 127(8), 127(1) ..... #43 (October 28) 8863

Notice from the Office of the Secretary ..... #44 (November 4) 8959

Order – ss. 127(8), 127(1) ..... #44 (November 4) 8973

Notice from the Office of the Secretary ..... #47 (November 25) 9426

Reasons for Decision – ss. 127(1), (8) ..... #47 (November 25) 9485

**OTT Financial Canada Inc.**

Change in Registration Category ..... #20 (May 20) 4479

**Outback Goldfields Corp.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA ..... #3 (January 21) 703

**Ovintiv Inc.**

Decision ..... #50 (December 16) 10119

**Pacgen Life Science Corporation**

Order ..... #3 (January 21) 549

Order ..... #9 (March 4) 1737

**Palos Wealth Management Inc.**

Change in Registration Category ..... #44 (November 4) 9045

**Pembina Pipeline Corporation**

Decision ..... #38 (September 23) 7888

**PenderFund Capital Management Ltd.**

Change in Registration Category ..... #31 (August 5) 6841

**Penn National Gaming, Inc.**

Decision ..... #47 (November 25) 9436

**Pennant Capital Partners Inc.**

Consent to Suspension (Pending Surrender) ..... #33 (August 19) 7187

Voluntary Surrender ..... #47 (November 25) 9623

**Pennine Petroleum Corporation**

Cease Trading Order ..... #22 (June 3) 4815  
Revocation Order ..... #26 (June 30) 5607

**People Corporation**

Order ..... #35 (September 2) 7412  
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Notice of Correction ..... #39 (September 30) 8124  
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Order – s. 1(6) of the OBCA ..... #40 (October 7) 8345

**PepCap Resources, Inc.**

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**Performance Sports Group Ltd.**

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Cease Trading Order .....	#2 (January 14) 229
Cease Trading Order .....	#3 (January 21) 567
Cease Trading Order .....	#4 (January 28) 745
Cease Trading Order .....	#5 (February 4) 923
Cease Trading Order .....	#6 (February 11) 1075
Cease Trading Order .....	#7 (February 18) 1309
Cease Trading Order .....	#8 (February 25) 1559
Cease Trading Order .....	#9 (March 4) 1771
Cease Trading Order .....	#10 (March 11) 2001
Cease Trading Order .....	#11 (March 18) 2345
Cease Trading Order .....	#12 (March 25) 2591
Cease Trading Order .....	#13 (April 1) 2811
Cease Trading Order .....	#14 (April 8) 2993
Cease Trading Order .....	#15 (April 15) 3221
Cease Trading Order .....	#16 (April 22) 3511
Cease Trading Order .....	#17 (April 29) 3625
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Cease Trading Order .....	#20 (May 20) 4203
Cease Trading Order .....	#21 (May 27) 4575
Cease Trading Order .....	#22 (June 3) 4815
Cease Trading Order .....	#23 (June 10) 4973
Cease Trading Order .....	#24 (June 17) 5153
Cease Trading Order .....	#25 (June 24) 5457
Cease Trading Order .....	#26 (June 30) 5643
Cease Trading Order .....	#27 (July 8) 5775
Cease Trading Order .....	#28 (July 15) 6051
Cease Trading Order .....	#29 (July 22) 6407
Cease Trading Order .....	#30 (July 29) 6587
Cease Trading Order .....	#31 (August 5) 6789
Cease Trading Order .....	#32 (August 12) 6879
Cease Trading Order .....	#33 (August 19) 7057
Cease Trading Order .....	#34 (August 26) 7297
Cease Trading Order .....	#35 (September 2) 7457
Cease Trading Order .....	#36 (September 9) 7605
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Cease Trading Order .....	#41 (October 14) 8547
Cease Trading Order .....	#42 (October 21) 8757
Cease Trading Order .....	#43 (October 28) 8869
Cease Trading Order .....	#44 (November 4) 8975
Cease Trading Order .....	#45 (November 11) 9091
Cease Trading Order .....	#46 (November 18) 9283
Cease Trading Order .....	#47 (November 25) 9489
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Cease Trading Order .....	#29 (July 22) 6407
Cease Trading Order .....	#30 (July 29) 6587
Cease Trading Order .....	#31 (August 5) 6789
Cease Trading Order .....	#32 (August 12) 6879
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Cease Trading Order .....	#24 (June 17) 5154
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Cease Trading Order .....	#33 (August 19) 7057
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Cease Trading Order .....	#36 (September 9) 7605
Cease Trading Order .....	#37 (September 16) 7761
Cease Trading Order .....	#38 (September 23) 7925
Cease Trading Order .....	#39 (September 30) 8207
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Cease Trading Order .....	#41 (October 14) 8547
Cease Trading Order .....	#42 (October 21) 8757
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Cease Trading Order .....	#44 (November 4) 8975
Cease Trading Order .....	#45 (November 11) 9091
Cease Trading Order .....	#46 (November 18) 9283
Cease Trading Order .....	#47 (November 25) 9489
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**Richardson Wealth Limited**

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**Sheehan, Daniel**

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**SilentVault**

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**Silk Road Energy Inc.**

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**Silver Heights Capital Management Inc.**

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**Simply Digital Technologies Inc.**

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**Singh, Arti Rajeev**

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**Singh, Rajeev Jagdish**

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**Sire Bioscience Inc.**

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**Skyon Financial Corp.**

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**SLGI Asset Management Inc.**

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**Snelson, Jon**

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**Société Générale Capital Canada Inc.**

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**SOL Global Investments Corp.**

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**Solar Income Fund Inc.**

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Notice from the Office of the Secretary .....	#18 (May 6) 3748
Notice from the Office of the Secretary .....	#27 (July 8) 5752

**SOPerior Fertilizer Corp.**

Cease Trading Order .....	#15 (April 15) 3221
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**SouthGobi Resources Ltd.**

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Cease Trading Order .....	#6 (February 11) 1075
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**Springbok Ventures Inc.**

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**Sprott Asset Management LP**

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**Sprott Physical Uranium Trust**

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**Sproutly Canada, Inc.**

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Cease Trading Order .....	#27 (July 8) 5776
Cease Trading Order .....	#28 (July 15) 6052
Cease Trading Order .....	#29 (July 22) 6407
Cease Trading Order .....	#30 (July 29) 6587

**Sprucegrove Investment Management Ltd.**

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**SRAI Capital Corp.**

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**Stableview Asset Management Inc.**

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**Ward, Benjamin**

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**WD Capital Markets Inc.**

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**Wealth Distributed Corp.**

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Cease Trading Order .....	#21 (May 27) 4576
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Cease Trading Order .....	#25 (June 24) 5457
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**Welch Capital Partners Corporate Finance Inc.**

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**Westcore Energy Ltd.**

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**Westwood International Advisors Inc.**

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**White Falcon Capital Management Ltd.**

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**Willow RET Financial Services Inc.**

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**Wingate Investment Management Ltd**

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