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The Ontario Securities Commission

Cadillac Fairview Tower
22nd Floor, Box 55
20 Queen Street West
Toronto, Ontario
M5H 3S8

416-593-8314 or Toll Free 1-877-785-1555

Contact Centre – Inquiries, Complaints:

Office of the Secretary:

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Thomson Reuters
One Corporate Plaza
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M1T 3V4

416-609-3800 or 1-800-387-5164

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TTY: 1-866-827-1295

Fax: 416-593-2318



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Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 43) for the period January – December 2020, inclusive.

The index includes material found in Chapters 1 (Notices), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes a corporate or personal name, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

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Canoe Global All Cap Class

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Canso Investment Counsel Ltd.

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Cantor Fitzgerald & Co.

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Cantor Fitzgerald Canada, Corporation

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Champignon Brands Inc.

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Desjardins Securities Inc.

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Detour Gold Corporation

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Ellipsiz Communications Ltd.

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Empower Clinics Inc.

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Enablence Technologies Inc.

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Enfield Exploration Corp.

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Engine Media Holdings, Inc.

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Epix Resource Finance Corporation

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Equivesto Canada Inc.	
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Cease Trading Order	#6 (February 6) 1207
ESW Capital, LLC	
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Evolve Funds Group Inc.	
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Notice from the Office of the Secretary	#44 (October 29) 8365
Notice from the Office of the Secretary	#45 (November 5) 8471
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Notice from the Office of the Secretary	#49 (December 3) 9051
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Fisher, Colin

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Florence Wealth Management Inc.

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FNB Capital Asset Management Inc.

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Forbes Energy Services Ltd.

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Forest Gate Financial Corp.

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Fort, L.P.

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Fortive Corporation

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Fortrade Canada Limited

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Frontenac Mortgage Investment Corporation	
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Generation Advisors Inc.	
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Generation IACP Inc.	
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Notice from the Office of the Secretary	#42 (October 15) 8042
Notice from the Office of the Secretary	#43 (October 22) 8198
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Global Gaming Technologies Corp.	
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Global Growth Assets Inc.	
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Global Maxfin Capital Inc.	
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Global Reach Financial Solutions Inc.	
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Globevest Capital Ltd.

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Globevest Capital Secured Put Writing Fund

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GloRes Securities Inc.

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GMO Canada LLC

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GMP Securities L.P. / GMP Valeurs mobilières S.E.C.

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Golden Queen Mining Consolidated Ltd.

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Goldenwise Capital Management Inc.

Notice from the Office of the Secretary	#31 (July 30) 6063
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Goldman Sachs Asset Management International

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Goldman Sachs Asset Management, L.P.

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Goodman & Company, Investment Counsel Inc.

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Goss, Donald Alexander (Sandy)	
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Notice from the Office of the Secretary	#5 (January 30) 1026
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Reasons and Decision on a Motion	#24 (June 11) 4910
Notice from the Office of the Secretary	#26 (June 25) 5313
Notice from the Office of the Secretary	#32 (August 6) 6229
Order	#32 (August 6) 6266
Notice from the Office of the Secretary	#33 (August 13) 6367
Order	#33 (August 13) 6390
Notice from the Office of the Secretary	#35 (August 27) 6700
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Notice from the Office of the Secretary	#44 (October 29) 8365
Notice from the Office of the Secretary	#45 (November 5) 8471
Notice from the Office of the Secretary	#50 (December 10) 9246
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Graham, Dmitri	
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Great Lakes Graphite Inc.	
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Green 2 Blue Energy Corp.	
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Green Court Capital Management Limited	
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Green Growth Brands Inc.	
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Green Rise Capital Corporation	
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Greenbank Capital Inc.	
Revocation Order	#6 (February 6) 1199
Cease Trading Order	#6 (February 6) 1207
Cease Trading Order	#50 (December 10) 9273
Cease Trading Order	#51 (December 17) 9483
Cease Trading Order	#52 (December 24) 9727
Greenleaf Group Inc.	
Voluntary Surrender	#2 (January 9) 613
GreenSpace Brands Inc.	
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Grossman, Allan

Notice from the Office of the Secretary	#7 (February 13) 1339
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Notice from the Office of the Secretary	#33 (August 13) 6369
Order	#33 (August 13) 6394
Notice from the Office of the Secretary	#45 (November 5) 8471
Notice from the Office of the Secretary	#48 (November 30) 8888
Notice from the Office of the Secretary	#51 (December 17) 9437
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Group RMC Advisors Inc.

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Grown Rogue International Inc.

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Growth Works Capital Ltd.

Consent to Suspension (Pending Surrender)	#2 (January 9) 613
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Notice from the Office of the Secretary	#13 (March 26) 2988
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Reasons and Decision	#22 (May 28) 4475
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Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
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GTA Private Capital Income Limited Partnership

Notice from the Office of the Secretary	#6 (February 6) 1185
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Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
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Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764
Notice from the Office of the Secretary	#13 (March 26) 2988
Order	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a).....	#13 (March 26) 3056
Notice from the Office of the Secretary	#22 (May 28) 4439
Reasons and Decision	#22 (May 28) 4475
Notice from the Office of the Secretary	#24 (June 11) 4880
Order	#24 (June 11) 4905
Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
Notice from the Office of the Secretary	#31 (July 30) 6063
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Notice from the Office of the Secretary	#38 (September 17) 7321
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Guardian Capital LP

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Guardian Directed Equity Path ETF

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Guardian Directed Premium Yield ETF

Decision	#30 (July 23) 5945
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Guardian i3 Global Quality Growth ETF

Decision	#30 (July 23) 5945
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Guardian i3 Global REIT ETF

Decision	#30 (July 23) 5945
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Guardian i3 US Quality Growth ETF

Decision	#30 (July 23) 5945
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Guardian Strategic Income Fund

Decision	#23 (June 4) 4647
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Guyana Goldfields Inc.

Order	#39 (September 24) 7600
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H & H Securities Limited

Voluntary Surrender	#31 (July 30) 6171
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Haber, Lawrence P.

Authorization Order – s. 3.5(3).....	#1 (January 3) 27
Authorization Order – s. 3.5(3).....	#5 (January 30) 1053
Authorization Order – s. 3.5(3).....	#36 (September 3) 6913

Hamilton Australian Bank Equal-Weight Index ETF

Decision	#22 (May 28) 4456
Decision	#24 (June 11) 4881

Hamilton Australian Financials Yield ETF	
Decision	#24 (June 11) 4881
Hamilton Canadian Bank 1.25x Leverage ETF	
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Hamilton Canadian Bank Mean Reversion Index ETF	
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Hamilton Capital Partners Inc.	
Decision	#22 (May 28) 4456
Decision	#24 (June 11) 4881
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Hamilton Global Bank ETF	
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Hamilton Global Financials ETF	
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Decision	#24 (June 11) 4881
Hamilton Lane (Canada) LLC	
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Hamilton U.S. Mid/Small-Cap Financials ETF	
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Hamilton U.S. Mid-Cap Financials ETF (USD)	
Decision	#24 (June 11) 4881
Hamilton, Matthew John	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10)	#6 (February 6) 1180
Notice from the Office of the Secretary	#6 (February 6) 1186
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Handa, Rakesh	
Notice from the Office of the Secretary	#4 (January 23) 875
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Notice from the Office of the Secretary	#21 (May 21) 4313
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Notice from the Office of the Secretary	#30 (July 23) 5922
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Notice from the Office of the Secretary	#51 (December 17) 9439
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Hanover Investments (Luxembourg) S.A.	
Notice from the Office of the Secretary	#1 (January 3) 9
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Hansberger Growth Investors, LP	
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Harborside Inc.	
Cease Trading Order	#24 (June 11) 4929
Cease Trading Order	#26 (June 25) 5335
Cease Trading Order	#26 (June 25) 5336
Cease Trading Order	#27 (July 2) 5461
Cease Trading Order	#28 (July 9) 5615
Cease Trading Order	#29 (July 16) 5805
Cease Trading Order	#30 (July 23) 5991
Cease Trading Order	#36 (September 3) 6915
Harrington MacMillan Fund Management Limited	
Voluntary Surrender	#19 (May 7) 4181
Harris, Johnathan	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1	#23 (June 4) 4640
Notice from the Office of the Secretary	#23 (June 4) 4645
Notice from the Office of the Secretary	#23 (June 4) 4646
Order with Related Settlement Agreement – ss. 127, 127.1	#23 (June 4) 4704
Reasons and Decision – ss. 127, 127.1	#23 (June 4) 4721
Harvest Health & Recreation Inc.	
Decision	#9 (February 27) 1757
Harvest Operations Corp.	
Order	#27 (July 2) 5453
Hayman, Craig	
Authorization Order – s. 3.5(3)	#1 (January 3) 27
Authorization Order – s. 3.5(3)	#5 (January 30) 1053
Authorization Order – s. 3.5(3)	#36 (September 3) 6913
Hazelview Securities inc.	
Name Change	#47 (November 19) 8875
Hello Pal International Inc.	
Cease Trading Order	#39 (September 24) 7603
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Jensen, Maureen

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J.P. Morgan Securities Canada Inc.

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J.P. Morgan Securities LLC

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Kalsa Capital Management Inc.

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Kalytera Therapeutics, Inc.

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Katanga Mining Limited

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Kayak Capital Management Inc.

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Kinaxis Inc.

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Kindiak, Raymond

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King Global Ventures Inc.

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Kordyback, Frances

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KVB Kunlun Financial Canada Inc.

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Landry Global Equity Fund

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Landry Investment Management Inc.

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Landry U.S. Equity Fund

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LaurelCrest Partners Inc.

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Laverty, Matthew

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NBI Sustainable Canadian Equity ETF

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NBI Sustainable Global Equity ETF

Decision	#40 (October 1) 7717
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NBI Unconstrained Fixed Income ETF

Decision	#40 (October 1) 7717
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NCM Asset Management Ltd.

Decision	#15 (April 9) 3441
Decision	#21 (May 21) 4321
Decision	#22 (May 28) 4447
Decision	#24 (June 11) 4888
Decision	#43 (October 22) 8206

NCM Energy Plus Class

Decision	#15 (April 9) 3441
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NCM Entrepreneurs Class

Decision	#43 (October 22) 8206
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NCM Small Companies Class

Decision	#15 (April 9) 3441
Decision	#43 (October 22) 8206

Neibart, Lee

Notice from the Office of the Secretary	#1 (January 3) 9
Order – s. 127	#1 (January 3) 28
Notice from the Office of the Secretary	#9 (February 27) 1737
Reasons and Decision – s. 127	#9 (February 27) 1793

Nemaska Lithium Inc.

Cease Trading Order	#46 (November 12) 8605
Cease Trading Order	#51 (December 17) 9483
Order	#52 (December 24) 9698

NEO Exchange Inc.

Marketplaces – Listing Manual and Listing Forms Amendments (February 6, 2020) – Notice of Approval	#6 (February 6) 1285
Notice – Variation of Exchange Recognition Orders, ATS Protocol, and Revocation of OSC Staff Notice 21-706	#37 (September 10) 7032
Order – ss. 21, 144	#37 (September 10) 7075
Marketplaces – Request for Comments on Proposed Significant Change to the Treatment of Weighted Closing Price Eligible Securities – Trading Notice	#39 (September 24) 7701
Marketplaces – Significant Change to the Treatment of Weighted Closing Price Eligible Securities – Notice of Approval	#46 (November 12) 8714
Marketplaces – Listing Manual and Listing Forms Amendments (December 3, 2020) – Notice of Approval	#49 (December 3) 9214
Marketplaces – Proposed Amendment to the Listing Manual December 3, 2020 – Request for Comments	#49 (December 3) 9217

Nerium Biotechnology, Inc.

Decision	#47 (November 19) 8741
Decision	#47 (November 19) 8746

Neurocords Corporation

Order	#4 (January 23) 897
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New Jersey Mining Company

Order	#23 (June 4) 4682
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New Zealand Energy Corp.

Cease Trading Order	#26 (June 25) 5335
Cease Trading Order	#36 (September 3) 6915

Nexia Health Technologies Inc.

Cease Trading Order	#33 (August 13) 6401
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Next Edge Biotech and Life Sciences Opportunities Fund

Decision	#51 (December 17) 9449
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Next Edge Bio-Tech Plus Fund

Decision	#44 (October 29) 8370
Decision	#51 (December 17) 9449

Next Edge Capital Corp.

Decision	#44 (October 29) 8370
Decision	#51 (December 17) 9449

Nextgen Financial Planning Inc.

New Registration	#31 (July 30) 6171
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Nexus Investment Management ULC

Name Change	#8 (February 20) 1725
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Ninepoint Alternative Credit Opportunities Fund

Decision	#48 (November 30) 8896
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Ninepoint Enhanced Balanced Fund	
Decision	#31 (July 30) 6084
Ninepoint Partners LP	
Decision	#31 (July 30) 6084
Decision	#34 (August 20) 6565
Decision	#45 (November 5) 8485
Decision	#45 (November 5) 8490
Decision	#48 (November 30) 8896
Ninepoint Return Advantaged U.S. Equity Index Class	
Decision	#48 (November 30) 8896
Nord Gold S.E.	
Decision	#37 (September 10) 7043
North Bud Farms Inc.	
Cease Trading Order	#15 (April 9) 3449
Cease Trading Order	#18 (April 30) 3977
Cease Trading Order	#19 (May 7) 4107
Cease Trading Order	#20 (May 14) 4205
Cease Trading Order	#21 (May 21) 4329
Cease Trading Order	#22 (May 28) 4483
Cease Trading Order	#23 (June 4) 4727
Cease Trading Order	#24 (June 11) 4929
NorthPoint Investment Partners Limited	
Name Change	#35 (August 27) 6839
Change in Registration Category	#47 (November 19) 8875
Northview Apartment Real Estate Investment Trust	
Order	#46 (November 12) 8604
Northwater Capital Management Inc.	
Consent to Suspension (Pending Surrender)	#2 (January 9) 614
Voluntary Surrender	#30 (July 23) 6057
Northwest & Ethical Investments L.P.	
Decision	#52 (December 24) 9676
Novelion Therapeutics Inc.	
Order	#31 (July 30) 6089
NSR Resources Inc.	
Order	#2 (January 9) 428
Nubian Resources Ltd.	
Cease Trading Order	#16 (April 16) 3663
Nutritional High International Inc.	
Cease Trading Order	#50 (December 10) 9273
Cease Trading Order	#51 (December 17) 9483
Cease Trading Order	#52 (December 24) 9727
Nvestry Capital Inc.	
Name Change	#29 (July 16) 5899
Oak Bay Capital Incorporated	
New Registration	#8 (February 20) 1725
Oakmark International Equity Class	
Decision	#29 (July 16) 5761

Oakmark International Equity Registered Fund	
Decision	#29 (July 16) 5761
Oakmark U.S. Equity Class	
Decision	#29 (July 16) 5761
Oakmark U.S. Equity Registered Fund	
Decision	#29 (July 16) 5761
Oberon Capital Corporation	
Change in Registration Category	#5 (January 30) 1151
Oei, Paul Se Hui	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10)	#3 (January 16) 619
Notice from the Office of the Secretary	#3 (January 16) 624
Notice from the Office of the Secretary	#6 (February 6) 1186
Notice from the Office of the Secretary	#9 (February 27) 1737
Order	#9 (February 27) 1782
Notice from the Office of the Secretary	#24 (June 11) 4879
Order – ss. 127(1), 127(10)	#24 (June 11) 4898
Reasons and Decision – ss. 127(1), 127(10)	#24 (June 11) 4918
Omega Securities Inc.	
Name Change	#31 (July 30) 6171
Notice – Variation of Exchange Recognition Orders, ATS Protocol, and Revocation of OSC	
Staff Notice 21-706	#37 (September 10) 7032
Order – s. 144	#37 (September 10) 7145
One Global Equity ETF	
Decision	#5 (January 30) 1043
One North American Core Plus Bond ETF	
Decision	#5 (January 30) 1043
ONECHICAGO, LLC	
Revocation Order – s. 144	#45 (November 5) 8501
Order – s. 8.1 of OSC Rule 13-502 Fees	#45 (November 5) 8502
Marketplaces – Notice of Revocation Order	#45 (November 5) 8586
OneSixtyTwo Capital Inc.	
Name Change	#35 (August 27) 6839
Ontario Instrument 13-504 Temporary Relief from Accrual of Late Fees Charged under Ontario Securities Commission Rule 13-502 Fees	
Notice of General Order	#17 (April 23) 3821
General Order	#17 (April 23) 3847
Ontario Instrument 13-505 Temporary Relief from Accrual of Late Fees Charged under Ontario Securities Commission Rule 13-503 (Commodity Futures Act) Fees	
Notice of General Order	#17 (April 23) 3822
General Order	#17 (April 23) 3849
Ontario Instrument 13-506 Temporary Relief from Accrual of Late Fees Charged under Ontario Securities Commission Rule 13-502 Fees, No. 2	
Notice of General Order	#23 (June 4) 4561
General Order	#23 (June 4) 4685
Ontario Instrument 13-507 Temporary Relief from Accrual of Late Fees Charged under Ontario Securities Commission Rule 13-503 (Commodity Futures Act) Fees, No. 2	
Notice of General Order	#23 (June 4) 4562
General Order	#23 (June 4) 4687

Ontario Instrument 25-502 Temporary Exemption from Certain reporting Requirements for Regulated Entities carrying on Business in Ontario

Notice of General Order.....	#13 (March 26) 2981
General Order.....	#13 (March 26) 3029

Ontario Instrument 31-510 Temporary Exemption from Certain Financial Statement and Information Delivery Requirements for Registrants and Unregistered Capital Markets Participants

Notice of General Order.....	#13 (March 26) 2983
General Order.....	#13 (March 26) 3034

Ontario Instrument 31-511 Relief in respect of Client Focused Reforms Conflict of Interest Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations

Notice of General Order.....	#16 (April 16) 3637
General Order.....	#16 (April 16) 3659

Ontario Instrument 31-512 Relief in respect of Client Focused Reforms Relationship Disclosure Information Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations

Notice of General Order.....	#16 (April 16) 3638
General Order.....	#16 (April 16) 3661

Ontario Instrument 45-505 Relief in Respect of the Distribution of Securities Through a Funding Portal Operated by Silver Maple Ventures Inc.

Notice of General Order.....	#23 (June 4) 4577
General Order.....	#23 (June 4) 4690

Ontario Instrument 45-506 Start-Up Crowdfunding Registration and Prospectus Exemptions (Interim Class Order)

Notice of General Order.....	#32 (August 6) 6227
General Order.....	#32 (August 6) 6237

Ontario Instrument 48-503 Temporary Exemption from Certain Provisions of Ontario Securities Commission Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions

Notice of General Order.....	#49 (December 3) 9042
General Order.....	#49 (December 3) 9073

Ontario Instrument 51-502 Temporary Exemption from Certain Corporate Finance Requirements

Notice of General Order.....	#13 (March 26) 2984
General Order.....	#13 (March 26) 3037

Ontario Instrument 51-504 Temporary Exemptions from Certain Requirements to File or Send Securityholder Materials

Notice of General Order.....	#19 (May 7) 4050
General Order.....	#19 (May 7) 4087

Ontario Instrument 51-505 Temporary Exemption from Certain Corporate Finance Requirements with Deadlines during the Period from June 2 to August 31, 2020

Notice of General Order.....	#22 (May 28) 4433
General Order.....	#22 (May 28) 4460

Ontario Instrument 81-503 Extension of Certain Filing, Delivery and Prospectus Renewal Requirements of Investment Funds

Notice of General Order.....	#13 (March 26) 2982
Order.....	#13 (March 26) 3031

Ontario Instrument 81-504 Temporary Exemption from Borrowing Limit to Accommodate Redemption Requests of Mutual Funds Investing in Fixed Income Securities

Notice of General Order.....	#17 (April 23) 3823
General Order.....	#17 (April 23) 3851

Ontario Instrument 81-505 Extension of Certain Filing, Delivery and Prospectus Renewal Requirements of Investment Funds with Deadlines during the Period from June 2 to September 30, 2020

Notice of General Order.....	#22 (May 28) 4434
General Order.....	#22 (May 28) 4467

Ontario Securities Commission Notice and Request for Comment – Proposed Ontario Securities Commission Rule 81-502 Restrictions on the Use of the Deferred Sales Charge Option for Mutual Funds and Proposed Companion Policy 81-502 to Ontario Securities Commission Rule 81-502 Restrictions on the Use of the Deferred Sales Charge Option for Mutual Funds and Related Consequential Amendments	
Notice.....	#8 (February 20) 1575
Ontario Securities Commission Tribunal	
Notice from the Office of the Secretary – Update on Proceedings.....	#49 (December 3) 9052
Ontario Wealth Management Corporation	
Director’s Decision (Agreement to Resolve an Opportunity to be Heard)	#2 (January 9) 444
Consent to Suspension (Pending Surrender).....	#2 (January 9) 613
Voluntary Surrender.....	#29 (July 16) 5899
Oppenheimer & Co. Inc.	
Decision	#29 (July 16) 5779
Optiva Inc.	
Notice of Hearing – s. 104.....	#34 (August 20) 6533
Notice from the Office of the Secretary	#34 (August 20) 6534
Notice from the Office of the Secretary	#35 (August 27) 6701
Order – s. 104	#35 (August 27) 6713
Notice from the Office of the Secretary	#36 (September 3) 6850
Notice from the Office of the Secretary	#38 (September 17) 7323
Order – s. 104	#38 (September 17) 7348
Optometric Services (OPT) Inc.	
Decision	#51 (December 17) 9445
Organigram Holdings Inc.	
Order.....	#8 (February 20) 1606
Decision.....	#19 (May 7) 4079
Origin House	
Order.....	#5 (January 30) 1060
Order – s. 1(6) of the OBCA.....	#9 (February 27) 1779
OSC Notice 11-788 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2021	
Notice.....	#18 (April 30) 3929
OSC Notice 11-789 Notice Statement of Priorities for Financial Year to end March 31, 2021	
Notice.....	#26 (June 25) 5303
OSC Notice 11-791 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2022	
Notice.....	#47 (November 19) 8730
OSC Notice 13-708 – Fees Under OSC Rule 13-502 Fees and OSC Rule 13-503 (Commodity Futures Act) Fees	
Notice.....	#46 (November 12) 8589
OSC Rule 32-506 (Under the Commodity Futures Act) Exemptions for International Dealers, Advisers and Sub-Advisers – Proposed Amendment to OSC Rule 91-502 Trades in Recognized Options under the Securities Act	
Request for Comments	#49 (December 3) 9101
OSC Rule 45-501 Ontario Prospectus and Registration Exemptions relating to Syndicated Mortgages	
Notice of Amendments.....	#50 (December 10) 9227
OSC Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions	
Request for Comments	#32 (August 6) 6273
OSC Staff Notice 11-737 (Revised) – Securities Advisory Committee – Vacancies	
Notice	#41 (October 8) 7832

OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments	
Notice.....	#5 (January 30) 1023
Notice.....	#29 (July 16) 5752
Notice.....	#43 (October 22) 8191
OSC Staff Notice 11-742 (Revised) – Securities Advisory Committee	
Notice.....	#3 (January 16) 618
Notice.....	#52 (December 24) 9643
OSC Staff Notice 11-790 Protecting Aging Investors through Behavioural Insights	
Notice.....	#46 (November 12) 8590
OSC Staff Notice 33-751 Summary Report for Dealers, Advisers and Investment Fund Managers	
Notice.....	#38 (September 17) 7297
OSC Staff Notice 45-717 Ontario’s Exempt Market	
Notice.....	#50 (December 10) 9242
OSC Staff Notice 51-731 Corporate Branch 2020 Annual Report	
Notice.....	#47 (November 19) 8729
Otis Gold Corp.	
Order.....	#22 (May 28) 4474
OTO.Money	
Notice from the Office of the Secretary.....	#8 (February 20) 1594
Order – ss. 127(8), 127(1).....	#8 (February 20) 1625
Notice from the Office of the Secretary.....	#13 (March 26) 2987
Order – ss. 127(8), 127(1).....	#13 (March 26) 3021
Notice from the Office of the Secretary.....	#22 (May 28) 4441
Order – ss. 127(8), 127(1).....	#22 (May 28) 4473
Notice from the Office of the Secretary.....	#29 (July 16) 5755
Order – ss. 127(8), 127(1).....	#29 (July 16) 5786
Notice from the Office of the Secretary.....	#38 (September 17) 7322
Order – ss. 127(8), 127(1).....	#38 (September 17) 7347
Notice from the Office of the Secretary.....	#43 (October 22) 8199
Order – ss. 127(8), 127(1).....	#43 (October 22) 8236
Reasons and Decision – ss. 127(8), 127(1).....	#43 (October 22) 8239
OTT Financial Canada Inc.	
Decision.....	#30 (July 23) 5925
Outcome Global Tactical Asset Allocation Fund	
Decision.....	#52 (December 24) 9672
Outcome Metric Asset Management Limited Partnership	
Decision.....	#52 (December 24) 9672
Outcome Wealth Management Inc.	
Voluntary Surrender.....	#45 (November 5) 8581
Ovintiv Inc.	
Decision.....	#7 (February 13) 1354
Decision.....	#8 (February 20) 1617

PACC Ainslie Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Costigan Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Crystallina Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Dacey Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Goulais Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Harriet Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Major Mack Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Maple Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Mulcaster Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Regent Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Scugog Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Sechelt Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Shaver Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Simcoe Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Thorold Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACC Wilson Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

PACE Securities Corp.

Suspended (Regulatory Action)	#24 (June 11) 5043
Director’s Decision	#25 (June 18) 5193

Painted Pony Energy Ltd.

Order	#43 (October 22) 8237
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PanVest Capital Corporation

Voluntary Surrender	#40 (October 1) 7823
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Paolucci, Dino

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10)	#33 (August 13) 6356
Notice from the Office of the Secretary	#33 (August 13) 6366
Notice from the Office of the Secretary	#49 (December 3) 9050
Notice from the Office of the Secretary	#52 (December 24) 9664
Order – ss. 127(1), 127(10)	#52 (December 24) 9701
Reasons and Decision – ss. 127(1), 127(10)	#52 (December 24) 9724

Papernick, Arie

Director’s Decision	#10 (March 5) 1959
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Paramount Alternative Capital Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764

Paramount Equity Financial Corporation

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764
Notice from the Office of the Secretary	#13 (March 26) 2988
Order	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a)	#13 (March 26) 3056
Notice from the Office of the Secretary	#22 (May 28) 4439
Reasons and Decision	#22 (May 28) 4475
Notice from the Office of the Secretary	#24 (June 11) 4880
Order	#24 (June 11) 4905
Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
Notice from the Office of the Secretary	#31 (July 30) 6063
Order	#31 (July 30) 6097
Notice from the Office of the Secretary	#38 (September 17) 7321
Order	#38 (September 17) 7346

Park Lawn Company Limited

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Partner Jet Corp.

Cease Trading Order	#15 (April 9) 3449
Cease Trading Order	#16 (April 16) 3663

Partners Real Estate Investment Trust

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Pasyk, Stanislaw A.

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#24 (June 11) 4874
Notice from the Office of the Secretary	#24 (June 11) 4880
Notice from the Office of the Secretary	#29 (July 16) 5756
Order – ss. 127(1), 127(10)	#29 (July 16) 5788
Reasons and Decision – ss. 127(1), 127(10)	#29 (July 16) 5801

Pattern Energy Group Inc.

Order	#19 (May 7) 4090
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Pavilion Advisory Group Ltd.

Amalgamation	#4 (January 23) 1015
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PBY Capital Limited

Firm Name Change	#30 (July 23) 6057
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Peer Securities Corporation

New Registration	#5 (January 30) 1151
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Pembroke Concentrated Fund

Decision	#40 (October 1) 7713
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Pembroke Private Wealth Management Ltd.

Decision	#40 (October 1) 7713
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Pengrowth Energy Corporation

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Pennine Petroleum Corporation

Cease Trading Order#26 (June 25) 5335

PepCap Resources, Inc.

Cease Trading Order#5 (January 30) 1075

Performance Sports Group Ltd.

Cease Trading Order#1 (January 3) 69
 Cease Trading Order#2 (January 9) 447
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 Cease Trading Order#4 (January 23) 915
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 Cease Trading Order#6 (February 6) 1207
 Cease Trading Order#7 (February 13) 1373
 Cease Trading Order#8 (February 20) 1629
 Cease Trading Order#9 (February 27) 1813
 Cease Trading Order#10 (March 5) 1965
 Cease Trading Order#11 (March 12) 2439
 Cease Trading Order#12 (March 19) 2795
 Cease Trading Order#13 (March 26) 3061
 Cease Trading Order#14 (April 2) 3299
 Cease Trading Order#15 (April 9) 3449
 Cease Trading Order#16 (April 16) 3663
 Cease Trading Order#17 (April 23) 3857
 Cease Trading Order#18 (April 30) 3977
 Cease Trading Order#19 (May 7) 4107
 Cease Trading Order#20 (May 14) 4205
 Cease Trading Order#21 (May 21) 4329
 Cease Trading Order#22 (May 28) 4483
 Cease Trading Order#23 (June 4) 4727
 Cease Trading Order#24 (June 11) 4929
 Cease Trading Order#25 (June 18) 5195
 Cease Trading Order#26 (June 25) 5336
 Cease Trading Order#27 (July 2) 5461
 Cease Trading Order#28 (July 9) 5615
 Cease Trading Order#29 (July 16) 5805
 Cease Trading Order#30 (July 23) 5991
 Cease Trading Order#31 (July 30) 6111
 Cease Trading Order#32 (August 6) 6271
 Cease Trading Order#33 (August 13) 6401
 Cease Trading Order#34 (August 20) 6581
 Cease Trading Order#35 (August 27) 6727
 Cease Trading Order#36 (September 3) 6915
 Cease Trading Order#37 (September 10) 7155
 Cease Trading Order#38 (September 17) 7357
 Cease Trading Order#39 (September 24) 7603
 Cease Trading Order#40 (October 1) 7741
 Cease Trading Order#41 (October 8) 7869
 Cease Trading Order#42 (October 15) 8075
 Cease Trading Order#43 (October 22) 8243
 Cease Trading Order#44 (October 29) 8381
 Cease Trading Order#45 (November 5) 8505
 Cease Trading Order#46 (November 12) 8605
 Cease Trading Order#47 (November 19) 8795
 Cease Trading Order#48 (November 30) 8931
 Cease Trading Order#49 (December 3) 9081
 Cease Trading Order#50 (December 10) 9273
 Cease Trading Order#51 (December 17) 9483
 Cease Trading Order#52 (December 24) 9727

Perimeter Markets Inc.	
Notice – Variation of Exchange Recognition Orders, ATS Protocol, and Revocation of OSC	
Staff Notice 21-706	#37 (September 10) 7032
Order – s. 144	#37 (September 10) 7145
Phivida Holdings Inc.	
Order	#43 (October 22) 8216
Picton Mahoney Asset Management	
Decision	#3 (January 16) 629
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Active Extension Alternative Fund	
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Arbitrage Alternative Fund	
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Arbitrage Plus Alternative Fund	
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Income Alternative Fund	
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Market Neutral Alternative Fund	
Decision	#34 (August 20) 6537
Picton Mahoney Fortified Multi Strategy Alternative Fund	
Decision	#34 (August 20) 6537
Pillarfour Securities Inc.	
Consent to Suspension (Pending Surrender).....	#50 (December 10) 9421
Voluntary Surrender	#52 (December 24) 9925
PIMCO Canada Corp.	
Decision	#47 (November 19) 8777
PineBridge Investments Canada Inc.	
Consent to Suspension (Pending Surrender).....	#2 (January 9) 613
Voluntary Surrender	#25 (June 18) 5297
Pivot Technology Solutions, Inc	
Order – s. 1(6) of the OBCA.....	#50 (December 10) 9262
PKM Canada Limited	
Order	#2 (January 9) 429
Placemore Capital Inc.	
New Registration	#19 (May 7) 4181
Point Loma Resources Ltd.	
Cease Trading Order	#24 (June 11) 4929
Portage Biotech Inc.	
Revocation Order	#17 (April 23) 3843
Cease Trading Order	#17 (April 23) 3857
Portage Capital Corporation	
New Registration	#25 (June 18) 5297
Portfolio HiWay Inc.	
New Registration	#49 (December 3) 9211
Portfolio Strategies Corporation	
Decision	#48 (November 30) 8905

Portland 15 of 15 Fund	
Decision	#12 (March 19) 2747
Portland Advantage Fund	
Decision	#12 (March 19) 2747
Portland Canadian Focused Fund	
Decision	#12 (March 19) 2747
Portland Investment Counsel Inc.	
Decision	#1 (January 3) 23
Decision	#12 (March 19) 2747
Portland Value Fund	
Decision	#12 (March 19) 2747
Posera Ltd.	
Order	#11 (March 12) 2421
PPX Mining Corp.	
Cease Trading Order	#22 (May 28) 4483
Cease Trading Order	#31 (July 30) 6111
Predictive Health Analytics Inc.	
Cease Trading Order	#26 (June 25) 5335
Preferred Dental Technologies Inc.	
Cease Trading Order	#6 (February 6) 1207
Price Street, Inc.	
New Registration	#40 (October 1) 7823
Prime Meridian Resources Corp.	
Cease Trading Order	#26 (June 25) 5335
Cease Trading Order	#28 (July 9) 5615
Prime Minerals Corp.	
Cease Trading Order	#39 (September 24) 7603
Primeline Energy Holdings Inc.	
Cease Trading Order	#37 (September 10) 7155
Primo Nutraceuticals Inc.	
Cease Trading Order	#10 (March 5) 1965
Cease Trading Order	#50 (December 10) 9273
Prinster, Patrick K.	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10)	#33 (August 13) 6360
Notice from the Office of the Secretary	#33 (August 13) 6368
Notice from the Office of the Secretary	#40 (October 1) 7709
Order – ss. 127(1), 127(10)	#40 (October 1) 7720
Reasons and Decision – ss. 127(1), 127(10)	#40 (October 1) 7729
Private Pension Partners Investments Inc.	
New Registration	#26 (June 25) 5415
Priviti Capital Corporation	
Decision	#18 (April 30) 3955
ProSmart Enterprises Inc.	
Cease Trading Order	#49 (December 3) 9081
PSC Investment Counsel Ltd.	
Firm Name Change	#34 (August 20) 6687

Pure Global Cannabis Inc.	
Cease Trading Order	#29 (July 16) 5805
Puri, Poonam	
Authorization Order – s. 3.5(3).....	#1 (January 3) 27
Purpose Investments Inc.	
Decision	#41 (October 8) 7839
Purpose Structured Equity Yield Portfolio	
Decision	#41 (October 8) 7839
Pushfor Investments Inc.	
Cease Trading Order	#22 (May 28) 4483
Cease Trading Order	#24 (June 11) 4929
Qtrade Asset Management Inc.	
Change in Registration Category	#44 (October 29) 8465
Quad/Graphics, Inc.	
Order.....	#5 (January 30) 1058
Quadriga Coin Exchange	
Notice from the Office of the Secretary	#25 (June 18) 5168
Order – s. 17(1).....	#25 (June 18) 5191
Quadriga CX	
Notice from the Office of the Secretary	#25 (June 18) 5168
Order – s. 17(1).....	#25 (June 18) 5191
Quadriga Fintech Solutions Corp.	
Notice from the Office of the Secretary	#25 (June 18) 5168
Order – s. 17(1).....	#25 (June 18) 5191
Queensville Global Securities Inc.	
Consent to Suspension (Pending Surrender).....	#47 (November 19) 8875
Questrade, Inc.	
Decision	#49 (December 3) 9053
Qwick Media Inc.	
Cease Trading Order	#20 (May 14) 4205
Rally Assets Inc.	
Change in Registration Category	#5 (January 30) 1151
Rapid Dose Therapeutics Corp.	
Cease Trading Order	#35 (August 27) 6727
Cease Trading Order	#48 (November 30) 8931
Rare Infrastructure (North America) Pty. Ltd.	
Name Change.....	#4 (January 23) 1015
Ravenquest Biomed Inc.	
Cease Trading Order	#24 (June 11) 4929
Raymond James Ltd.	
Decision	#18 (April 30) 3933
RBC Capital Markets, LLC	
Decision	#18 (April 30) 3933
Decision	#28 (July 9) 5593

RBC Dominion Securities Inc.	
Decision	#18 (April 30) 3933
Decision	#28 (July 9) 5593
RBC Global Asset Management (UK) Limited	
Order – ss. 78(1), 80 of the CFA.....	#9 (February 27) 1775
RBC Global Asset Management Inc.	
Order – ss. 78(1), 80 of the CFA.....	#9 (February 27) 1775
Decision	#17 (April 23) 3840
Decision	#36 (September 3) 6865
Real Crowd Capital Inc.	
Voluntary Surrender.....	#18 (April 30) 4037
Red Cloud Klondike Strike Inc.	
Voluntary Surrender.....	#6 (February 6) 1283
Red Cloud Securities Inc.	
New Registration.....	#7 (February 13) 1569
Red Trust	
Notice from the Office of the Secretary	#1 (January 3) 9
Order – s. 127	#1 (January 3) 28
Notice from the Office of the Secretary	#9 (February 27) 1737
Reasons and Decision – s. 127	#9 (February 27) 1793
Redplug Inc.	
New Registration.....	#48 (November 30) 9031
Regulus Capital Management Inc.	
Change in Registration Category	#50 (December 10) 9421
Relevance Wealth Management Inc.	
New Registration.....	#27 (July 2) 5561
Remgro Limited	
Decision	#28 (July 9) 5577
Reservoir Capital Corp.	
Cease Trading Order	#26 (June 25) 5336
Cease Trading Order	#27 (July 2) 5461
Cease Trading Order	#28 (July 9) 5615
Cease Trading Order	#29 (July 16) 5805
Resources Auxico Canada	
Cease Trading Order	#9 (February 27) 1813
Responsive Capital Management Inc.	
Consent to Suspension (Pending Surrender).....	#2 (January 9) 613
Voluntary Surrender	#41 (October 8) 8033
Restoration Funding Corporation	
Notice from the Office of the Secretary	#13 (March 26) 2989
Temporary Order – ss. 127(1), 127(5)	#13 (March 26) 3027
Notice of Hearing – s. 127(8)	#14 (April 2) 3267
Notice from the Office of the Secretary	#14 (April 2) 3268
Notice from the Office of the Secretary	#15 (April 9) 3427
Order – ss. 127(8), 127(1).....	#15 (April 9) 3447
Return On Innovation Advisors Ltd.	
Decision	#30 (July 23) 5932
Consent to Suspension (Pending Surrender).....	#45 (November 5) 8581
Voluntary Surrender	#50 (December 10) 9421

Return On Innovation Fund Inc.	
Decision	#30 (July 23) 5932
RF Securities Clearing LP / Compensation de titres RF S.E.C.	
Name Change.....	#3 (January 16) 843
Richardson GMP Limited / Richardson GMP Limitée	
Name Change.....	#50 (December 10) 9421
Richardson GMP Limited	
Decision	#31 (July 30) 6076
Decision	#31 (July 30) 6081
Decision	#41 (October 8) 7847
Richardson Wealth Limited / Patrimoine Richardson Limitée	
Name Change.....	#50 (December 10) 9421
R.J. O'Brien & Associates Canada Inc.	
Decision	#2 (January 9) 420
RMB Holdings Limited	
Decision	#28 (July 9) 5573
Robert C. Baker Trust for Grandchildren	
Notice from the Office of the Secretary	#1 (January 3) 9
Order – s. 127	#1 (January 3) 28
Notice from the Office of the Secretary	#9 (February 27) 1737
Reasons and Decision – s. 127	#9 (February 27) 1793
Robson Capital Partners Corp.	
Name Change.....	#29 (July 16) 5899
Rocaton Investment Advisors, LLC	
Voluntary Surrender.....	#17 (April 23) 3917
RockCreek (Canada) Adviser, Inc.	
New Registration.....	#52 (December 24) 9925
Rosborough, Trevor	
Director's Decision	#19 (May 7) 4099
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1	#47 (November 19) 8733
Notice from the Office of the Secretary	#47 (November 19) 8739
Notice from the Office of the Secretary	#50 (December 10) 9245
Order.....	#50 (December 10) 9260
Rostand, Virgile	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1.....	#30 (July 23) 5915
Notice from the Office of the Secretary	#30 (July 23) 5922
Notice from the Office of the Secretary	#30 (July 23) 5924
Order with Related Settlement Agreement – ss. 127, 127.1	#30 (July 23) 5951
Notice from the Office of the Secretary	#32 (August 6) 6229
Oral Reasons for Approval of a Settlement – ss. 127, 127.1	#32 (August 6) 6267
Roth Canada, ULC	
New Registration.....	#23 (June 4) 4835
Roundtable Capital Partners Inc.	
Amalgamation.....	#20 (May 14) 4297
Royal Bank of Canada	
Ruling and Exemption – s. 38 of the CFA and s. 6.1 of Rule 91-502	#50 (December 10) 9263
Royal Coal Corp.	
Cease Trading Order	#31 (July 30) 6111

RSM Canada Corporate Finance Inc.

Consent to Suspension (Pending Surrender).....	#2 (January 9) 613
Voluntary Surrender.....	#20 (May 14) 4297

Rupert Acquisition LLC

Notice from the Office of the Secretary.....	#1 (January 3) 9
Order – s. 127.....	#1 (January 3) 28
Notice from the Office of the Secretary.....	#9 (February 27) 1737
Reasons and Decision – s. 127.....	#9 (February 27) 1793

Russell Investments Canada Limited

Decision.....	#41 (October 8) 7837
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Ruttenberg, Marc

Notice from the Office of the Secretary.....	#6 (February 6) 1185
Notice from the Office of the Secretary.....	#6 (February 6) 1187
Notice from the Office of the Secretary.....	#10 (March 5) 1930
Order.....	#10 (March 5) 1945
Notice from the Office of the Secretary.....	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms.....	#11 (March 12) 2431
Notice of Withdrawal.....	#12 (March 19) 2625
Notice from the Office of the Secretary.....	#12 (March 19) 2714
Order.....	#12 (March 19) 2764
Notice from the Office of the Secretary.....	#13 (March 26) 2988
Order.....	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a).....	#13 (March 26) 3056
Notice from the Office of the Secretary.....	#22 (May 28) 4439
Reasons and Decision.....	#22 (May 28) 4475
Notice from the Office of the Secretary.....	#24 (June 11) 4880
Order.....	#24 (June 11) 4905
Notice from the Office of the Secretary.....	#28 (July 9) 5569
Notice from the Office of the Secretary.....	#28 (July 9) 5570
Notice from the Office of the Secretary.....	#28 (July 9) 5571
Notice from the Office of the Secretary.....	#30 (July 23) 5924
Notice from the Office of the Secretary.....	#31 (July 30) 6063
Order.....	#31 (July 30) 6097
Notice from the Office of the Secretary.....	#38 (September 17) 7321
Order.....	#38 (September 17) 7346

RWS Capital Services Inc

Director’s Decision.....	#11 (March 12) 2435
Suspension.....	#12 (March 19) 2977

Ryan Mortgage Income Fund Inc.

Consent to Suspension (Pending Surrender).....	#1 (January 3) 345
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RYU Apparel Inc.

Cease Trading Order.....	#26 (June 25) 5336
Cease Trading Order.....	#27 (July 2) 5461
Cease Trading Order.....	#28 (July 9) 5615
Cease Trading Order.....	#29 (July 16) 5805
Cease Trading Order.....	#30 (July 23) 5991
Cease Trading Order.....	#31 (July 30) 6111
Cease Trading Order.....	#32 (August 6) 6271
Cease Trading Order.....	#33 (August 13) 6401
Cease Trading Order.....	#34 (August 20) 6581
Cease Trading Order.....	#36 (September 3) 6915
Cease Trading Order.....	#37 (September 10) 7155

Saguenay Strathmore Capital Inc.

Change in Registration Category.....	#38 (September 17) 7569
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SAIS Limited	
Order.....	#19 (May 7) 4095
Sceptre Ventures Inc.	
Cease Trading Order	#46 (November 12) 8605
Scotia Capital Inc.	
Decision	#18 (April 30) 3933
Decision	#28 (July 9) 5593
Decision	#48 (November 30) 8899
Scotia Capital (USA) Inc.	
Decision	#18 (April 30) 3933
Decision	#28 (July 9) 5593
Decision	#48 (November 30) 8899
Securities Act, R.S.O. 1990, c. S.5	
Notice of Amendments.....	#1 (January 3) 1
Legislation.....	#1 (January 3) 331
SEI Investments Canada Company	
Decision	#31 (July 30) 6076
Decision	#31 (July 30) 6081
Decision	#41 (October 8) 7847
Semafo Inc.	
Order.....	#33 (August 13) 6389
Sentinel Financial Management Corp.	
New Registration.....	#50 (December 10) 9421
Serrano, Silvio	
Notice from the Office of the Secretary	#5 (January 30) 1027
Order.....	#5 (January 30) 1056
Notice from the Office of the Secretary	#11 (March 12) 2394
Order.....	#11 (March 12) 2422
Notice from the Office of the Secretary	#18 (April 30) 3931
Order.....	#18 (April 30) 3959
Notice of Hearing – s. 144.....	#19 (May 7) 4051
Notice from the Office of the Secretary	#19 (May 7) 4052
Notice from the Office of the Secretary	#30 (July 23) 5923
Notice from the Office of the Secretary	#31 (July 30) 6064
Notice from the Office of the Secretary	#33 (August 13) 6366
Order.....	#33 (August 13) 6387
Notice from the Office of the Secretary	#34 (August 20) 6535
Notice from the Office of the Secretary	#36 (September 3) 6849
Order.....	#36 (September 3) 6897
Notice from the Office of the Secretary	#38 (September 17) 7322
Notice from the Office of the Secretary	#42 (October 15) 8043
Seven Aces Limited	
Order.....	#36 (September 3) 6896
Shandong Gold Mining (HongKong) Co., Limited	
Decision.....	#38 (September 17) 7334
Shariaportfolio Canada, Inc.	
New Registration.....	#5 (January 30) 1151
Sheehan, Daniel	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1	#46 (November 12) 8591
Notice from the Office of the Secretary	#46 (November 12) 8595
Notice from the Office of the Secretary	#49 (December 3) 9050
Order.....	#49 (December 3) 9072

Sidders, David Paul George

Notice from the Office of the Secretary	#2 (January 9) 386
Order – ss. 127(1), 127.1	#2 (January 9) 423
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1	#2 (January 9) 431

Sigma Analysis & Management Ltd.

Change in Registration Category	#15 (April 9) 3627
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SilentVault

Notice from the Office of the Secretary	#8 (February 20) 1594
Order – ss. 127(8), 127(1)	#8 (February 20) 1625
Notice from the Office of the Secretary	#13 (March 26) 2987
Order – ss. 127(8), 127(1)	#13 (March 26) 3021
Notice from the Office of the Secretary	#22 (May 28) 4441
Order – ss. 127(8), 127(1)	#22 (May 28) 4473
Notice from the Office of the Secretary	#29 (July 16) 5755
Order – ss. 127(8), 127(1)	#29 (July 16) 5786
Notice from the Office of the Secretary	#38 (September 17) 7322
Order – ss. 127(8), 127(1)	#38 (September 17) 7347
Notice from the Office of the Secretary	#43 (October 22) 8199
Order – ss. 127(8), 127(1)	#43 (October 22) 8236
Reasons and Decision – ss. 127(8), 127(1)	#43 (October 22) 8239

Silver Maple Ventures Inc.

Consent to Suspension (Pending Surrender)	#50 (December 10) 9421
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Silvercove Fund Management Ltd.

Voluntary Surrender	#46 (November 12) 8697
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Silverfern GP Inc.

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764
Notice from the Office of the Secretary	#13 (March 26) 2988
Order	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a)	#13 (March 26) 3056
Notice from the Office of the Secretary	#22 (May 28) 4439
Reasons and Decision	#22 (May 28) 4475
Notice from the Office of the Secretary	#24 (June 11) 4880
Order	#24 (June 11) 4905
Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
Notice from the Office of the Secretary	#31 (July 30) 6063
Order	#31 (July 30) 6097
Notice from the Office of the Secretary	#38 (September 17) 7321
Order	#38 (September 17) 7346

Silverfern Secured Mortgage Fund

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764
Notice from the Office of the Secretary	#13 (March 26) 2988
Order	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a).....	#13 (March 26) 3056
Notice from the Office of the Secretary	#22 (May 28) 4439
Reasons and Decision	#22 (May 28) 4475
Notice from the Office of the Secretary	#24 (June 11) 4880
Order	#24 (June 11) 4905
Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
Notice from the Office of the Secretary	#31 (July 30) 6063
Order	#31 (July 30) 6097
Notice from the Office of the Secretary	#38 (September 17) 7321
Order	#38 (September 17) 7346

Silverfern Secured Mortgage Limited Partnership

Notice from the Office of the Secretary	#6 (February 6) 1185
Notice from the Office of the Secretary	#6 (February 6) 1187
Notice from the Office of the Secretary	#10 (March 5) 1930
Order	#10 (March 5) 1945
Notice from the Office of the Secretary	#11 (March 12) 2395
Reasons for Decision on a Motion to Adjourn – Rule 29 of the OSC Rules of Procedure and Forms	#11 (March 12) 2431
Notice of Withdrawal	#12 (March 19) 2625
Notice from the Office of the Secretary	#12 (March 19) 2714
Order	#12 (March 19) 2764
Notice from the Office of the Secretary	#13 (March 26) 2988
Order	#13 (March 26) 3026
Reasons for Decision – s. 25.0.1(a).....	#13 (March 26) 3056
Notice from the Office of the Secretary	#22 (May 28) 4439
Reasons and Decision	#22 (May 28) 4475
Notice from the Office of the Secretary	#24 (June 11) 4880
Order	#24 (June 11) 4905
Notice from the Office of the Secretary	#28 (July 9) 5569
Notice from the Office of the Secretary	#28 (July 9) 5570
Notice from the Office of the Secretary	#28 (July 9) 5571
Notice from the Office of the Secretary	#30 (July 23) 5924
Notice from the Office of the Secretary	#31 (July 30) 6063
Order	#31 (July 30) 6097
Notice from the Office of the Secretary	#38 (September 17) 7321
Order	#38 (September 17) 7346

Singer, Cathy

Authorization Order – s. 3.5(3).....	#36 (September 3) 6913
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Slate Office REIT

Decision	#25 (June 18) 5169
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Slate Retail REIT

Decision	#25 (June 18) 5179
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SLGI Asset Management Inc. / Gestion d'actifs PMSL Inc.

Name Change.....	#32 (August 6) 6351
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SLGI Asset Management Inc.	
Decision	#51 (December 17) 9455
Sniper Resources Ltd.	
Cease Trading Order	#15 (April 9) 3449
Societe En Commandite Gestion D'Actifs Outcome Metriques / Outcome Metric Asset Management LP	
Name Change.....	#23 (June 4) 4835
Solar Income Fund Inc.	
Notice from the Office of the Secretary	#7 (February 13) 1339
Order.....	#7 (February 13) 1365
Notice from the Office of the Secretary	#17 (April 23) 3825
Order.....	#17 (April 23) 3855
Notice from the Office of the Secretary	#33 (August 13) 6369
Order.....	#33 (August 13) 6394
Notice from the Office of the Secretary	#45 (November 5) 8471
Notice from the Office of the Secretary	#48 (November 30) 8888
Notice from the Office of the Secretary	#51 (December 17) 9437
Order.....	#51 (December 17) 9461
SOPerior Fertilizer Corp.	
Cease Trading Order	#22 (May 28) 4483
Cease Trading Order	#26 (June 25) 5336
Sophiris Bio Inc.	
Cease Trading Order	#16 (April 16) 3663
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