13.2.3 Omega ATS – Notice of OSC Approval of Proposed Changes and Notice of Withdrawal of the Self-Trade Prevention Across Multiple Brokers

OMEGA ATS

NOTICE OF OSC APPROVAL OF PROPOSED CHANGES AND NOTICE OF WITHDRAWAL OF THE SELF-TRADE PREVENTION ACROSS MULTIPLE BROKERS

In accordance with the OSC's "Process for the Review and Approval of the Information Contained in Form 21-101F2 and the Exhibits Thereto", a notice outlining and requesting feedback on the proposed changes was published in the OSC Bulletin on October 23, 2014 at (2014), 37 OSCB 9657. Two comment letters were received.

The OSC has approved the following proposed changes to Omega's Form 21-101F2 (F2):

- Suppress self-trades from the consolidated tape;
- Enable decrement of orders;
- Cancel newest and oldest.

Withdrawn Proposed Changes

Omega has withdrawn its proposed self-trades prevention across multiple brokers functionality.