

Ontario Securities Commission

Please note

The default status of a reporting issuer shown on the list is as of the date of the last update indicated. More current information may be obtained by calling the Contact Centre at 416-593-8314 or 1-877-785-1555 (Toll Free).

Last Updated on Jun 19, 2018 at 08:03:52 am

| Legend | |
|------------|--|
| 1a. | Failure to file annual financial statements. |
| 1b. | Failure to file interim financial statements. |
| 1c. | Failure to file an annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP). |
| 1d. | Failure to file an Annual Information Form (AIF). |
| 1e. | Failure to file a certification of annual or interim filings required by Multilateral Instrument 52 -109 <i>Certification of Disclosure in Issuers' Annual and Interim Filings</i> (MI 52-109). |
| 1f. | Failure to file required proxy materials or a required information circular. |
| 1g. | Failure to file an issuer profile supplement on the System for Electronic Disclosure by Insiders (SEDI) . |
| 1h. | Failure to file a material change report. |
| 1i. | Failure to provide a written update after filing a confidential report of a material change. |
| 1j. | Failure to file a business acquisition report. |
| 1k. | Failure to file annual oil and gas disclosure prescribed by National Instrument 51 -101 <i>Standards of Disclosure of Oil and Gas Activities</i> (NI 51-101) or technical reports for a mineral project required under NI 43-101 <i>Standards of Disclosure for Mineral Projects</i> (NI 43-101). |
| 1l. | Failure to file a mandatory news release. |
| 1m. | Failure to file corporate governance disclosure as required by National Instrument 58 -101 <i>Disclosure of Corporate Governance Practices</i> . |
| 1n. | Failure to file audit committee disclosure as required by Multilateral Instrument 52-110 <i>Audit Committees</i> or BC Instrument 52-509 <i>Audit Committees</i> . |
| 1o. | Failure to include disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109. |
| 2a. | Financial statements of the reporting issuer, or the auditors' report accompanying the financial statements, do not comply with the requirements of NI 51-102 <i>Continuous Disclosure Obligations</i> (NI 51-102), National Instrument 81-106 <i>Investment Fund Continuous Disclosure</i> (NI 81-106) or National Instrument 52-107 <i>Acceptable Accounting Principles, Auditing Standards and Reporting Currency</i> . |
| 2b. | The reporting issuer has acknowledged that its financial statements, or the auditors' report accompanying the financial statements, may no longer be relied upon. |
| 2c. | The reporting issuer's AIF, MD&A, MRFP, information circular, or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106. |
| 2d. | The reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101. |
| 3. | Failure to pay a fee required by the Act or the regulations. |
| 4. | Failure to comply with any other requirement related to continuous disclosure. |

Commission des valeurs mobilières de l'Ontario

Veillez noter

La qualité d'un émetteur assujetti en défaut figurant sur la liste s'applique à la date de la dernière mise à jour indiquée. Vous pouvez obtenir de plus amples renseignements en communiquant avec l'InfoCentre au 416-593-8314 ou au 1 877-785-1555 (sans frais).

Dernière mise à jour 19 Jun 2018 à 08:03:52 am

| Légende | |
|----------------|--|
| 1a. | Omission de déposer les états financiers annuels. |
| 1b. | Omission de déposer les états financiers périodiques. |
| 1c. | Omission de déposer un rapport de gestion annuel ou périodique ou un rapport annuel ou périodique de la direction sur le rendement du fonds. |
| 1d. | Omission de déposer les notices annuelles. |
| 1e. | Omission de déposer une attestation relative aux documents annuels ou périodiques exigée en vertu du Règlement 52-109 sur l'attestation de l'information présentée dans les documents annuels et intermédiaires des émetteurs. |
| 1f. | Omission de déposer les documents de procuration ou la circulaire d'information exigés. |
| 1g. | Omission de déposer un supplément de profil d'émetteur sur le Système électronique de déclaration des initiés (SEDI). |
| 1h. | Omission de déposer un rapport de modification importante. |
| 1i. | Omission de fournir une mise à jour écrite après le dépôt d'un rapport confidentiel de modification importante. |
| 1j. | Omission de déposer une déclaration d'acquisition d'entreprise. |
| 1k. | Omission de déposer les documents d'information annuels sur le pétrole et le gaz exigés en vertu du Règlement 51-101 sur l'information concernant les activités pétrolières et gazières ou les rapports techniques relatifs à un projet minier exigés en vertu du Règlement 43-101 – <i>Information concernant les projets miniers</i> . |
| 1l. | Omission de déposer un communiqué obligatoire. |
| 1m. | Omission de déposer le document d'information sur la gouvernance d'entreprise exigé en vertu du Règlement 58-101 sur l'information concernant les pratiques en matière de gouvernance d'entreprise. |
| 1n. | Omission de déposer le document d'information relatif au comité de vérification exigé en vertu du Règlement 52-110 ou du Règlement de la Colombie-Britannique 52-509 sur les comités de vérification. |
| 1o. | Omission de présenter dans le rapport de gestion d'un émetteur l'information relative aux contrôles et aux mécanismes d'information et à l'efficacité de ces derniers dont il est fait mention dans une attestation déposée en vertu du Règlement 52-109. |
| 2a. | Les états financiers de l'émetteur assujetti ou le rapport du vérificateur joint aux états financiers ne sont pas conformes aux exigences du Règlement 51-102 sur les obligations d'information continue, du Règlement 81-106 sur l'information continue des fonds d'investissement ou du Règlement 52-107 sur les principes comptables, les normes de vérification et les monnaies de présentation acceptables. |
| 2b. | L'émetteur assujetti a reconnu que ses états financiers ou le rapport du vérificateur qui y est joint ne sont peut-être plus fiables. |
| 2c. | La notice annuelle, le rapport de gestion, le rapport de la direction sur le rendement du fonds, la circulaire d'information ou les déclarations d'acquisition d'entreprise déposés par l'émetteur assujetti ne renferment pas de renseignements pour chacun des points exigés en vertu des Règlements 51-102 ou 81-106. |
| 2d. | Le document d'information technique ou d'autres rapports de l'émetteur assujetti ne sont pas conformes aux exigences d'information des Règlements 43-101 ou 51-101. |
| 3. | Omission de verser les droits exigés par la Loi ou les règlements d'application. |
| 4. | Omission de se conformer à toute autre exigence en matière d'information continue. |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|-------------------------|---------------------------------------|--|--|
| # | | | | |
| 01 Communique Laboratory Inc. | -- | -- | -- | -- |
| 0944460 B.C. Ltd. | -- | -- | -- | -- |
| 1080854 Ontario Limited | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/01/03 |
| 1608557 Ontario Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/12/10 |
| 161671 Canada Inc. | -- | -- | Yes/Oui | 1991/10/28 |
| 1832 AM Canadian Dividend LP | -- | -- | -- | -- |
| 1832 AM Canadian Growth LP | -- | -- | -- | -- |
| 1832 AM Canadian Preferred Share LP | -- | -- | -- | -- |
| 1832 AM Global Completion LP | -- | -- | -- | -- |
| 1832 AM North American Preferred Share LP | -- | -- | -- | -- |
| 1832 AM Tactical Asset Allocation LP | -- | -- | -- | -- |
| 22 Capital Corp. | -- | -- | -- | -- |
| 2242749 Ontario Limited | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/09/08 |
| 360 Blockchain Inc. | -- | -- | -- | -- |
| 360networks Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/07/19 |
| 37 Capital Inc. | -- | -- | -- | -- |
| 389575 Alberta Ltd. | -- | -- | Yes/Oui | 1989/09/19 |
| 389585 Alberta Ltd. | -- | -- | Yes/Oui | 1989/09/20 |
| 389590 Alberta Ltd. | -- | -- | Yes/Oui | 1989/09/20 |
| 389593 Alberta Ltd. | -- | -- | Yes/Oui | 1989/09/20 |
| 3MV Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |
| 407 International Inc. | -- | -- | -- | -- |
| 4504020 Canada Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/07/21 |
| 48North Cannabis Corp. | -- | -- | -- | -- |
| 49 North Resources Inc. | -- | -- | -- | -- |
| 5N Plus Inc. | -- | -- | -- | -- |
| 66 Resources Corp. | -- | -- | -- | -- |
| 700459 Ontario Limited | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| 800 Kennedy Road Limited | -- | -- | Yes/Oui | 1995/01/12 |
| 855 Burrard Capital Limited Partnership No. 2 | -- | -- | Yes/Oui | 1988/05/18 |
| 866299 Ontario Inc. | -- | -- | Yes/Oui | 1990/09/27 |
| 9342-8530 Québec Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/03/07 |
| @ | | | | |
| @rgentum Canadian Equity Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum Canadian L/S Equity Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum Canadian Performance Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum Discovery Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum Income Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum International Master Portfolio | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| @rgentum Short Term Asset Portfolio | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| @rgentum U.S. Market Neutral Portfolio | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| @rgentum U.S. Master Portfolio | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| A | | | | |
| A Man Called Intrepid | -- | -- | Yes/Oui | 1993/07/23 |
| AB Svensk Exportkredit (Swedish Export Credit Corporation) | -- | -- | -- | -- |
| Abacan Resource Corporation | -- | -- | Yes/Oui | 2000/06/30 |
| Abacus Canadian Equity Fund | Yes/Oui | 1a, 1b, 1d, 3 | -- | -- |
| Abacus Mining & Exploration Corp. | -- | -- | -- | -- |
| Abacus Software Group Inc. | -- | -- | Yes/Oui | 1998/08/04 |
| Abattis Bioceuticals Corp. | -- | -- | -- | -- |
| Abbey Exploration Inc. | -- | -- | Yes/Oui | 1991/04/26 |
| ABcann Global Corporation | -- | -- | -- | -- |
| Abcourt Mines Inc. | -- | -- | -- | -- |
| Aberdeen Asia-Pacific Income Investment Company Limited | -- | -- | -- | -- |
| Aberdeen International Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Abitibi Royalties Inc. | -- | -- | -- | -- |
| Aboriginal Global Investment Fund | Yes/Oui | 1a, 3 | -- | -- |
| AbraPlata Resource Corp. | -- | -- | -- | -- |
| Absolute Software Corporation | -- | -- | -- | -- |
| AC Energy Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/12/30 |
| Academy Explorations Limited | -- | -- | -- | -- |
| Acadian Core International Equity Fund | -- | -- | -- | -- |
| Acadian Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/06 |
| Acadian Timber Corp. | -- | -- | -- | -- |
| Acasta Enterprises Inc. | -- | -- | -- | -- |
| Acasti Pharma Inc. | -- | -- | -- | -- |
| Accord Financial Corp. | -- | -- | -- | -- |
| ACE Aviation Holdings Inc. | -- | -- | -- | -- |
| ACEnetx Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/02/26 |
| Acerus Pharmaceuticals Corporation | -- | -- | -- | -- |
| ACE/Security Laminates Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/01/31 |
| ACGT DNA Technologies Corporation | -- | -- | -- | -- |
| Ackroo Inc. | -- | -- | -- | -- |
| ACME Metals Incorporated | -- | -- | Yes/Oui | 2001/07/26 |
| ACME Resources Corp. | -- | -- | -- | -- |
| Acorn Energy, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Action Energy Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/10/04 |
| ACTIVEnergy Income Fund | -- | -- | -- | -- |
| AcuityAds Holdings Inc. | -- | -- | -- | -- |
| Acuma International Inc. | -- | -- | Yes/Oui | 1996/06/18 |
| Adaltis Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/08/26 |
| Added Capital Inc. | -- | -- | -- | -- |
| Adex Mining Inc. | -- | -- | -- | -- |
| ADF Group Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| ADI Technologies Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/06/04 |
| ADL Ventures Inc. | -- | -- | -- | -- |
| Admax American Performance Fund | Yes/Oui | 1a, 1d | -- | -- |
| Admiral Inc. | Yes/Oui | 1d | Yes/Oui | 2002/02/22 |
| Advanced Education Savings Plan | -- | -- | -- | -- |
| Advanced Explorations Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/05/08 |
| Advanced Folio Fund | -- | -- | -- | -- |
| Advanced Micro Devices, Inc. | -- | -- | -- | -- |
| Advanced New Frontier Oil & Gas Recovery Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/01/08 |
| Advanced Pultrusion Technologies Inc. | -- | -- | Yes/Oui | 1996/09/18 |
| Advantage Lithium Corp. | -- | -- | -- | -- |
| Advantage Oil & Gas Ltd. | -- | -- | -- | -- |
| Advantaged Canadian High Yield Bond Fund | -- | -- | -- | -- |
| Advantagewon Oil Corp. | -- | -- | -- | -- |
| AdvantEdge International Inc. | -- | -- | Yes/Oui | 2001/02/16 |
| Advantex Marketing International Inc. | -- | -- | -- | -- |
| AdvanteXCEL.com Communications Corp. | Yes/Oui | 1a, 1d | Yes/Oui | 2001/11/23 |
| Adventure Electronics Inc. | -- | -- | Yes/Oui | 1999/03/08 |
| Adventus Zinc Corporation | -- | -- | -- | -- |
| Advest Capital Inc. | -- | -- | Yes/Oui | 1989/07/13 |
| Advocat Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Advocate Mines Limited | -- | -- | Yes/Oui | 1982/06/29 |
| ADYA INC. | -- | -- | -- | -- |
| Aecon Group Inc. | -- | -- | -- | -- |
| Aequus Pharmaceuticals Inc. | -- | -- | -- | -- |
| Aerocast Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/08/17 |
| AEterna Zentaris Inc. | -- | -- | -- | -- |
| Aethon Minerals Corporation | -- | -- | -- | -- |
| AEX Gold Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Affinor Growers Inc. | -- | -- | -- | -- |
| AFG Flameguard Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/13 |
| Afitex Financial Services Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| AFM Hospitality Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/04/08 |
| Africa Energy Corp. | -- | -- | -- | -- |
| Africa Oil Corp. | -- | -- | -- | -- |
| African Copper PLC | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/07/03 |
| African Gold Group, Inc. | -- | -- | -- | -- |
| African Queen Mines Ltd. | -- | -- | -- | -- |
| African Selection Mining Corporation | -- | -- | Yes/Oui | 2002/05/24 |
| Ag Growth International Inc. | -- | -- | -- | -- |
| Agassiz Resources Ltd. | -- | -- | Yes/Oui | 1991/07/11 |
| Agate Venture (Northern and Eastern) Inc. | -- | -- | Yes/Oui | 1990/07/20 |
| Agellan Commercial Real Estate Investment Trust | -- | -- | -- | -- |
| Agency | -- | -- | Yes/Oui | 1986/07/04 |
| AGF American Growth Class | -- | -- | -- | -- |
| AGF American Growth Fund | -- | -- | -- | -- |
| AGF Asian Growth Class | -- | -- | -- | -- |
| AGF Asian Growth Fund | -- | -- | -- | -- |
| AGF Canadian Asset Allocation Fund | -- | -- | -- | -- |
| AGF Canadian Growth Equity Class | -- | -- | -- | -- |
| AGF Canadian Large Cap Dividend Class | -- | -- | -- | -- |
| AGF Canadian Large Cap Dividend Fund | -- | -- | -- | -- |
| AGF Canadian Money Market Fund | -- | -- | -- | -- |
| AGF Canadian Small Cap Fund | -- | -- | -- | -- |
| AGF Canadian Stock Fund | -- | -- | -- | -- |
| AGF China Focus Class | -- | -- | -- | -- |
| AGF Diversified Income Class | -- | -- | -- | -- |
| AGF Diversified Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| AGF Dividend Income Fund | -- | -- | -- | -- |
| AGF EAFE Equity Fund | -- | -- | -- | -- |
| AGF Elements Balanced Portfolio | -- | -- | -- | -- |
| AGF Elements Balanced Portfolio Class | -- | -- | -- | -- |
| AGF Elements Conservative Portfolio | -- | -- | -- | -- |
| AGF Elements Conservative Portfolio Class | -- | -- | -- | -- |
| AGF Elements Global Portfolio | -- | -- | -- | -- |
| AGF Elements Global Portfolio Class | -- | -- | -- | -- |
| AGF Elements Growth Portfolio | -- | -- | -- | -- |
| AGF Elements Growth Portfolio Class | -- | -- | -- | -- |
| AGF Elements Yield Portfolio | -- | -- | -- | -- |
| AGF Elements Yield Portfolio Class | -- | -- | -- | -- |
| AGF Emerging Markets Balanced Fund | -- | -- | -- | -- |
| AGF Emerging Markets Bond Fund | -- | -- | -- | -- |
| AGF Emerging Markets Class | -- | -- | -- | -- |
| AGF Emerging Markets Fund | -- | -- | -- | -- |
| AGF Equity Income Focus Fund | -- | -- | -- | -- |
| AGF European Equity Class | -- | -- | -- | -- |
| AGF European Equity Fund | -- | -- | -- | -- |
| AGF Fixed Income Plus Class | -- | -- | -- | -- |
| AGF Fixed Income Plus Fund | -- | -- | -- | -- |
| AGF Flex Asset Allocation Fund | -- | -- | -- | -- |
| AGF Floating Rate Income Fund | -- | -- | -- | -- |
| AGF Global Aggregate Bond Fund | -- | -- | -- | -- |
| AGF Global Convertible Bond Fund | -- | -- | -- | -- |
| AGF Global Dividend Class | -- | -- | -- | -- |
| AGF Global Dividend Fund | -- | -- | -- | -- |
| AGF Global Equity Class | -- | -- | -- | -- |
| AGF Global Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| AGF Global Resources Class | -- | -- | -- | -- |
| AGF Global Resources Fund | -- | -- | -- | -- |
| AGF Global Select Fund | -- | -- | -- | -- |
| AGF Global Sustainable Growth Equity Fund | -- | -- | -- | -- |
| AGF High Yield Bond Fund | -- | -- | -- | -- |
| AGF Income Focus Fund | -- | -- | -- | -- |
| AGF Management Limited | -- | -- | -- | -- |
| AGF Monthly High Income Fund | -- | -- | -- | -- |
| AGF Precious Metals Fund | -- | -- | -- | -- |
| AGF Short-Term Income Class | -- | -- | -- | -- |
| AGF Tactical Fund | -- | -- | -- | -- |
| AGF Tactical Income Fund | -- | -- | -- | -- |
| AGF Total Return Bond Class | -- | -- | -- | -- |
| AGF Total Return Bond Fund | -- | -- | -- | -- |
| AGF Traditional Income Fund | -- | -- | -- | -- |
| AGF U.S. Sector Class | -- | -- | -- | -- |
| AGF U.S. Small-Mid Cap Fund | -- | -- | -- | -- |
| AGF World Balanced Fund | -- | -- | -- | -- |
| AGFiQ Enhanced Core Canadian Equity ETF | -- | -- | -- | -- |
| AGFiQ Enhanced Core Emerging Markets Equity ETF | -- | -- | -- | -- |
| AGFiQ Enhanced Core Global Multi-Sector Bond ETF | -- | -- | -- | -- |
| AGFiQ Enhanced Core International Equity ETI | -- | -- | -- | -- |
| AGFiQ Enhanced Core US Equity ETF | -- | -- | -- | -- |
| AGFiQ Enhanced Global ESG Factors ETF | -- | -- | -- | -- |
| AGFiQ Enhanced Global Infrastructure ETF | -- | -- | -- | -- |
| AGFiQ Global Equity Rotation ETF | -- | -- | -- | -- |
| AGFiQ MultiAsset Allocation ETF | -- | -- | -- | -- |
| AGFiQ MultiAsset Income Allocation ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Aggressive Folio Fund | -- | -- | -- | -- |
| Agility Health, Inc. | Yes/Oui | 1b, 1c, 1e, 3 | -- | -- |
| AgJunction Inc. | -- | -- | -- | -- |
| Agnico Eagle Mines Limited | -- | -- | -- | -- |
| Agora Government Securities Fund | -- | -- | Yes/Oui | 1989/10/31 |
| Agora U.S. Growth Stock Fund | -- | -- | Yes/Oui | 1989/10/31 |
| Agora U.S. Increasing Dividend Fund | -- | -- | Yes/Oui | 1989/10/31 |
| AgriMinco Corp. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2016/02/03 |
| AGT Food and Ingredients Inc. | -- | -- | -- | -- |
| AH Capital Corp. | -- | -- | -- | -- |
| AIM1 Ventures Inc. | -- | -- | -- | -- |
| AIM2 Ventures Inc. | -- | -- | -- | -- |
| AimGlobal Technologies Company Inc. | Yes/Oui | 1b | Yes/Oui | 2002/12/20 |
| Aimia Inc. | -- | -- | -- | -- |
| AIP Canadian Enhanced Income Class | -- | -- | -- | -- |
| AIP Global Macro Class | -- | -- | -- | -- |
| Air Canada | -- | -- | -- | -- |
| Air Niagara Express Inc. | -- | -- | Yes/Oui | 1999/12/10 |
| AirBoss of America Corp. | -- | -- | -- | -- |
| Airgen Corporation | -- | -- | Yes/Oui | 1998/09/15 |
| AirIQ Inc. | -- | -- | -- | -- |
| Airline Training International Ltd. | Yes/Oui | 1a, 3 | Yes/Oui | 2003/11/20 |
| AJ Perron Gold Corp. | -- | -- | Yes/Oui | 1996/12/20 |
| AJN Resources Inc. | -- | -- | -- | -- |
| Akela Pharma Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2012/11/26 |
| Akita Drilling Ltd. | -- | -- | -- | -- |
| Akrokeri-Ashanti Gold Mines Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2004/05/27 |
| Akumin Inc. | -- | -- | -- | -- |
| Alacer Gold Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Aladin International Inc. | -- | -- | Yes/Oui | 1990/05/31 |
| Alamos Gold Inc. | -- | -- | -- | -- |
| Alaris Royalty Corp. | -- | -- | -- | -- |
| Alaska Hydro Corporation | -- | -- | -- | -- |
| Alba Minerals Ltd. | -- | -- | -- | -- |
| Albert Mining Inc. | -- | -- | -- | -- |
| Alcanna Inc. | -- | -- | -- | -- |
| Alchemist Mining Incorporated | -- | -- | -- | -- |
| Alderon Iron Ore Corp. | -- | -- | -- | -- |
| Alert Care 87-1 Limited Partnership | -- | -- | Yes/Oui | 1993/06/06 |
| Alexandra Capital Corp. | -- | -- | -- | -- |
| Alexandria Minerals Corporation | -- | -- | -- | -- |
| Alexco Resource Corp. | -- | -- | -- | -- |
| Algae Biosciences Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/02/07 |
| Algo Group Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/04/03 |
| Algold Resources Ltd. | -- | -- | -- | -- |
| Algoma Central Corporation | -- | -- | -- | -- |
| Algoma Finance Corp. | -- | -- | -- | -- |
| Algonquin Power & Utilities Corp. | -- | -- | -- | -- |
| Alianza Minerals Ltd. | -- | -- | -- | -- |
| Alignvest Acquisition II Corporation | -- | -- | -- | -- |
| Alignvest Top 20 Fund | -- | -- | -- | -- |
| Alimentation Couche-Tard Inc. | -- | -- | -- | -- |
| Alio Gold Inc. | -- | -- | -- | -- |
| AlkaLi3 Resources Inc. | -- | -- | -- | -- |
| All-Canadian Compound Fund | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| All-Canadian ConsumerFund | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| All-Canadian Resources Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| All-Equity Fund (formerly, Global Growth 100 Fund) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| All in West! Capital Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/11 |
| Allbanc Split Corp. II | -- | -- | -- | -- |
| Allegiant Gold Ltd. | -- | -- | -- | -- |
| Allegro Aggressive Portfolio | -- | -- | -- | -- |
| Allegro Balanced Growth Canada Focus Portfolio Class | -- | -- | -- | -- |
| Allegro Balanced Growth Portfolio Class | -- | -- | -- | -- |
| Allegro Balanced Portfolio Class | -- | -- | -- | -- |
| Allegro Conservative Portfolio | -- | -- | -- | -- |
| Allegro Growth Canada Focus Portfolio Class | -- | -- | -- | -- |
| Allegro Growth Portfolio Class | -- | -- | -- | -- |
| Allegro Income Balanced Portfolio Class | -- | -- | -- | -- |
| Allegro Moderate Aggressive Portfolio | -- | -- | -- | -- |
| Allegro Moderate Conservative Portfolio | -- | -- | -- | -- |
| Allegro Moderate Portfolio | -- | -- | -- | -- |
| Alliance Growers Corp. | -- | -- | -- | -- |
| Alliance Mining Corp. | -- | -- | -- | -- |
| Alliance Pipeline Limited Partnership | -- | -- | -- | -- |
| Alliance Resources plc | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Alican Resources Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2004/06/01 |
| Allied Canadian Limited Partnership (91-1) | -- | -- | Yes/Oui | 1997/10/02 |
| Allied Properties Real Estate Investment Trust | -- | -- | -- | -- |
| Allnet Secom Inc. | -- | -- | Yes/Oui | 2003/02/19 |
| Allont Limited | -- | -- | Yes/Oui | 1983/05/31 |
| Almaden Minerals Ltd. | -- | -- | -- | -- |
| Almadex Minerals Ltd. | -- | -- | -- | -- |
| Almonty Industries Inc. | -- | -- | -- | -- |
| Aloro Mining Corp. | -- | -- | -- | -- |
| Alpha Group Industries Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/05/06 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| AlphaDelta Canadian Focused Equity Class | -- | -- | -- | -- |
| AlphaDelta Growth of Dividend Income Class | -- | -- | -- | -- |
| AlphaDelta Tactical Growth Class | -- | -- | -- | -- |
| AlphaNet Telecom Inc. | -- | -- | Yes/Oui | 1999/06/25 |
| AlphaNorth Growth Fund | -- | -- | -- | -- |
| AlphaNorth Resource Fund | -- | -- | -- | -- |
| ALQ Gold Corp. | -- | -- | -- | -- |
| Alset Minerals Corp. | -- | -- | -- | -- |
| ALSTOM | -- | -- | -- | -- |
| AltaGas Ltd. | -- | -- | -- | -- |
| Altai Resources Inc. | -- | -- | -- | -- |
| Altair Nanotechnologies Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Altair Resources Inc. | -- | -- | -- | -- |
| Altalink, L.P. | -- | -- | -- | -- |
| Altaur Gold Explorations Inc. | -- | -- | Yes/Oui | 2001/02/06 |
| Alternate Health Corp. | -- | -- | -- | -- |
| Alterra Resources Inc. | Yes/Oui | 1a | Yes/Oui | 1999/10/01 |
| Alterrus Systems Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/03/13 |
| Altiplano Metals Inc. | -- | -- | -- | -- |
| Altitude Resources Inc. | -- | -- | -- | -- |
| Altius Minerals Corporation | -- | -- | -- | -- |
| Alto Conservative Portfolio | -- | -- | -- | -- |
| Alto Monthly Income and Enhanced Growth Portfolio | -- | -- | -- | -- |
| Alto Monthly Income and Global Growth Portfolio | -- | -- | -- | -- |
| Alto Monthly Income and Growth Portfolio | -- | -- | -- | -- |
| Alto Monthly Income Portfolio | -- | -- | -- | -- |
| Altruista Fund Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Altura Energy Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Alturas Minerals Corp. | -- | -- | -- | -- |
| Altus Group Limited | -- | -- | -- | -- |
| Altus Strategies Plc | -- | -- | -- | -- |
| Aludra Inc. | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2003/03/03 |
| Alvopetro Energy Ltd. | -- | -- | -- | -- |
| Ambrilia Biopharma Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2011/07/06 |
| Amcan Industries Corporation | -- | -- | Yes/Oui | 1982/04/22 |
| Amercoeur Energy (Canada) Limited | -- | -- | Yes/Oui | 1988/06/22 |
| American Core Sectors Dividend Fund | -- | -- | -- | -- |
| American Creek Resources Ltd. | -- | -- | -- | -- |
| American Eco Corporation | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2004/02/12 |
| American Ethanol (International) Corporation | -- | -- | Yes/Oui | 1997/10/09 |
| American Express Canada Credit Corporation | -- | -- | -- | -- |
| American Express Company | -- | -- | -- | -- |
| American Health Services Corp. | -- | -- | Yes/Oui | 1997/10/09 |
| American Hotel Income Properties REIT LP | -- | -- | -- | -- |
| American Manganese Inc. | -- | -- | -- | -- |
| American Natural Energy Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/22 |
| American Pacific Minerals Ltd. | -- | -- | Yes/Oui | 1997/10/02 |
| American Pacific Mining Corp. | -- | -- | -- | -- |
| American Quasar Petroleum Co. | -- | -- | Yes/Oui | 1985/09/06 |
| American Sensors Inc. | -- | -- | Yes/Oui | 1998/01/15 |
| American Transportation Television Network, Inc. | -- | -- | Yes/Oui | 1994/01/24 |
| Americas Silver Corporation | -- | -- | -- | -- |
| Amerigo Resources Ltd. | -- | -- | -- | -- |
| AMG Bioenergy Resources Holdings Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/10/07 |
| AML International Limited | -- | -- | Yes/Oui | 1990/01/12 |
| Amnicon Corporation | -- | -- | Yes/Oui | 1989/09/08 |
| Ampal-American Israel Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Amstock Fund | -- | -- | Yes/Oui | 1986/09/11 |
| AMT International Mining Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2002/05/24 |
| Anaconda Mining Inc. | -- | -- | -- | -- |
| ANB Canada Inc. | -- | -- | -- | -- |
| Anchor Capital Corporation | -- | -- | -- | -- |
| Anconia Resources Corp. | -- | -- | -- | -- |
| AndeanGold Ltd. | -- | -- | Yes/Oui | 2015/10/05 |
| Andrew Peller Limited | -- | -- | -- | -- |
| Anglo Canadian Mining Corporation | -- | -- | Yes/Oui | 1992/01/16 |
| Anglo Pacific Group PLC | -- | -- | -- | -- |
| Angus Mining Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/05/08 |
| Angus Ventures Inc. | -- | -- | -- | -- |
| ANI Pharmaceuticals, Inc. | -- | -- | -- | -- |
| Anjamin Mines Limited | -- | -- | Yes/Oui | 1975/01/27 |
| Annidis Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Ansar Financial and Development Corporation | -- | -- | -- | -- |
| Antares Small Business Development Limited | -- | -- | Yes/Oui | 1981/05/06 |
| Antelope Resources Inc. | -- | -- | Yes/Oui | 1991/01/31 |
| Anterra Energy Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2017/06/05 |
| Antibe Therapeutics Inc. | -- | -- | -- | -- |
| Antioquia Gold Inc. | -- | -- | -- | -- |
| Antler Gold Inc. | -- | -- | -- | -- |
| Anvil Range Mining Corporation | -- | -- | Yes/Oui | 1998/05/25 |
| Anyox Metals Limited | -- | -- | Yes/Oui | 1992/06/17 |
| Aon plc | -- | -- | -- | -- |
| Aphria Inc. | -- | -- | -- | -- |
| Apiva Ventures Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/06/23 |
| Apolo II Acquisition Corp. | -- | -- | -- | -- |
| Apolo III Acquisition Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Appia Energy Corp. | -- | -- | -- | -- |
| Applause Corporation | Yes/Oui | 1b | Yes/Oui | 2002/03/08 |
| Applied Inventions Management Corp. | -- | -- | -- | -- |
| Aptose Biosciences Inc. | -- | -- | -- | -- |
| Aqua-Pure Ventures Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/13 |
| Aquarius Surgical Technologies Inc. | -- | -- | -- | -- |
| Aquila Resources Inc. | -- | -- | -- | -- |
| Aquinox Pharmaceuticals, Inc. | -- | -- | -- | -- |
| Aquitaine Shores Apartment Project - Phase I | -- | -- | Yes/Oui | 1993/10/13 |
| Aquitane Shores Apartment Project - Phase II | -- | -- | Yes/Oui | 1994/03/02 |
| Aralez Pharmaceuticals Inc. | -- | -- | -- | -- |
| Arborscience Inc. | -- | -- | Yes/Oui | 2001/07/30 |
| Arbour Energy Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/05/10 |
| Arbutus Biopharma Corporation | -- | -- | -- | -- |
| ARC International Corporation | -- | -- | Yes/Oui | 2000/12/12 |
| ARC Resources Ltd. | -- | -- | -- | -- |
| Arcamatrix Corporation | Yes/Oui | 1a, 3 | Yes/Oui | 2004/05/28 |
| Arch Biopartners Inc. | -- | -- | -- | -- |
| ArcPacific Resources Corp. | -- | -- | -- | -- |
| Arcs of Fire Tactical Balanced Fund | -- | -- | -- | -- |
| Arctic Glacier Income Fund | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Arctic Hunter Energy Inc. | -- | -- | -- | -- |
| Arctic Star Exploration Corp. | -- | -- | -- | -- |
| Arcus Development Group Inc. | -- | -- | -- | -- |
| Arehada Mining Limited | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2011/04/06 |
| AREV Nutrition Sciences Inc. | Yes/Oui | 3 | -- | -- |
| Argent Energy Trust | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2016/04/19 |
| Argentum Silver Corp. | -- | -- | -- | -- |
| Argex Titanium Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Argo Gold Inc. | Yes/Oui | 3 | -- | -- |
| Argonaut Exploration Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/11/05 |
| Argonaut Gold Inc. | -- | -- | -- | -- |
| Argosy Energy Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2013/04/10 |
| Argosy Minerals Limited | Yes/Oui | 1a, 3 | Yes/Oui | 2012/05/04 |
| Argus Corporation Limited | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2008/07/23 |
| ARHT Media Inc. | -- | -- | -- | -- |
| Ariel Resources Ltd. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/04/14 |
| Arimetco International Inc. | -- | -- | Yes/Oui | 1997/05/29 |
| Aris Canada Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 2003/10/15 |
| ARISE Technologies Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/11/23 |
| Aritzia Inc. | -- | -- | -- | -- |
| Arius3D Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/08/09 |
| Arizona Mining Inc. | -- | -- | -- | -- |
| Arizona Silver Exploration Inc. | -- | -- | -- | -- |
| Arlington Resources Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2003/11/20 |
| Armac Capital Corp. | Yes/Oui | 1b | Yes/Oui | 2002/02/21 |
| Armada Data Corporation | -- | -- | -- | -- |
| Armada Gold Corporation | -- | -- | Yes/Oui | 1999/06/30 |
| Armada Mercantile Ltd. | -- | -- | -- | -- |
| Armadillo Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/11/07 |
| Armor Minerals Inc. | -- | -- | -- | -- |
| Armstrong Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2003/06/02 |
| Art Vault International Limited, The | -- | -- | Yes/Oui | 2001/03/22 |
| Artis Real Estate Investment Trust | -- | -- | -- | -- |
| Arura Pharma Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/06/20 |
| Asanko Gold Inc. | -- | -- | -- | -- |
| Asante Gold Corporation | -- | -- | -- | -- |
| Asbestos Corporation Limited | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Ascalade Communications Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/08/30 |
| Ascendant Resources Inc. | -- | -- | -- | -- |
| Ashurst Technology Ltd. | -- | -- | Yes/Oui | 1998/06/23 |
| Asia Bio-Chem Group Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/05/05 |
| Asia Media Group Corporation | Yes/Oui | 1a | Yes/Oui | 2002/11/25 |
| Asia Now Resources Corp. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2015/09/11 |
| Asian Mineral Resources Limited | -- | -- | -- | -- |
| Asian Television Network International Limited | -- | -- | -- | -- |
| Aspen Group Resources Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/10 |
| Aspen Manufacturing Industries Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 1991/06/11 |
| Assaly Capital Corporation | -- | -- | Yes/Oui | 1994/03/02 |
| Asset Management Software Systems Corp. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2002/09/18 |
| Astaware Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2004/06/25 |
| Aston Bay Holdings Ltd. | -- | -- | -- | -- |
| Astorius Resources Ltd. | -- | -- | -- | -- |
| Atacama Pacific Gold Corporation | -- | -- | -- | -- |
| Atalaya Mining plc | -- | -- | -- | -- |
| ATC Technologies Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2001/11/30 |
| ATCO Ltd. | -- | -- | -- | -- |
| Ateba Resources Inc. | -- | -- | -- | -- |
| Athabasca Minerals Inc. | -- | -- | -- | -- |
| Athabasca Oil Corporation | -- | -- | -- | -- |
| Athabaska Gold Resources Ltd. | -- | -- | Yes/Oui | 2001/05/09 |
| Atico Mining Corporation | -- | -- | -- | -- |
| Atikwa Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/08/25 |
| Atlanta Gold Inc. | -- | -- | -- | -- |
| Atlantic Gold Corporation | -- | -- | -- | -- |
| Atlantic Industrial Minerals Incorporated | -- | -- | -- | -- |
| Atlantic Power Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Atlantic Power Limited Partnership | -- | -- | -- | -- |
| Atlantic Power Preferred Equity Ltd. | -- | -- | -- | -- |
| Atlantic Systems Group Inc. | Yes/Oui | 1b | Yes/Oui | 2002/10/28 |
| Atlantis Submarines (International Holdings), Inc. | -- | -- | -- | -- |
| Atlas Cloud Enterprises Inc. | -- | -- | -- | -- |
| Atlas Financial Holdings, Inc. | -- | -- | -- | -- |
| Atlatsa Resources Corporation | -- | -- | -- | -- |
| Atna Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2017/05/18 |
| Aton Resources Inc. | -- | -- | -- | -- |
| Atrium Mortgage Investment Corporation | -- | -- | -- | -- |
| ATS Automation Tooling Systems Inc. | -- | -- | -- | -- |
| Augdome Corporation Limited | -- | -- | Yes/Oui | 1992/05/21 |
| Augusta Industries Inc. | -- | -- | -- | -- |
| Aumento Capital VI Corporation | -- | -- | -- | -- |
| Aumento Capital VII Corporation | -- | -- | -- | -- |
| Aupan Red Lake Resources Ltd. | -- | -- | Yes/Oui | 1995/06/27 |
| Aura Minerals Inc. | -- | -- | -- | -- |
| Aura Silver Resources Inc. | -- | -- | -- | -- |
| Aurado Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/05/03 |
| Aurania Resources Ltd. | -- | -- | -- | -- |
| Aurcana Corporation | -- | -- | -- | -- |
| AurCrest Gold Inc. | -- | -- | -- | -- |
| Aurelian Developers Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2002/11/25 |
| Aurelius Minerals Inc. (formerly Galena International Resources Ltd.) | -- | -- | -- | -- |
| Aurinia Pharmaceuticals Inc. | -- | -- | -- | -- |
| Aurion Resources Ltd. | -- | -- | -- | -- |
| Aurora Cannabis Inc. | -- | -- | -- | -- |
| Aurora Corporation | -- | -- | Yes/Oui | 1989/07/13 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Aurora Spine Corporation | -- | -- | -- | -- |
| Auryn Resources Inc. | -- | -- | -- | -- |
| Austin Resources Ltd. | -- | -- | -- | -- |
| Austral Gold Limited | -- | -- | -- | -- |
| Australian Banc Income Fund | -- | -- | -- | -- |
| Australian REIT Income Fund | -- | -- | -- | -- |
| Auto Group International Ltd. | -- | -- | Yes/Oui | 1989/02/01 |
| AutoCanada Inc. | -- | -- | -- | -- |
| Automotive Finco Corp. | -- | -- | -- | -- |
| Automotive Properties Real Estate Investment Trust | -- | -- | -- | -- |
| Auxico Resources Canada Inc. | -- | -- | -- | -- |
| Auxly Cannabis Group Inc. | -- | -- | -- | -- |
| Avalon Advanced Materials Inc. | -- | -- | -- | -- |
| Avalon Blockchain Inc. | -- | -- | -- | -- |
| Avalon Works Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/08/06 |
| Avanco Capital Corp. | -- | -- | -- | -- |
| Avante Logixx Inc. | -- | -- | -- | -- |
| Avanti Energy Inc. | -- | -- | -- | -- |
| Avarone Metals Inc. | -- | -- | -- | -- |
| Avcorp Industries Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2018/04/09 |
| Aveda Transportation and Energy Services Inc | -- | -- | -- | -- |
| Avenza Global Technologies Corp. | -- | -- | Yes/Oui | 2001/07/30 |
| Avesoro Resources Inc. | -- | -- | -- | -- |
| Avino Silver & Gold Mines Ltd. | -- | -- | -- | -- |
| Avion Gold Corporation | -- | -- | -- | -- |
| Avivagen Inc. | -- | -- | -- | -- |
| AVL Ventures Inc. | Yes/Oui | 1a | Yes/Oui | 2004/05/25 |
| Avoca Mines Canada Ltd. | -- | -- | Yes/Oui | 1977/10/21 |
| Avonhurst Capital Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Axiom All Equity Portfolio | -- | -- | -- | -- |
| Axiom Balanced Growth Portfolio | -- | -- | -- | -- |
| Axiom Balanced Income Portfolio | -- | -- | -- | -- |
| Axiom Canadian Growth Portfolio | -- | -- | -- | -- |
| Axiom Diversified Monthly Income Portfolio | -- | -- | -- | -- |
| Axiom Foreign Growth Portfolio | -- | -- | -- | -- |
| Axiom Global Growth Portfolio | -- | -- | -- | -- |
| Axiom Long-Term Growth Portfolio | -- | -- | -- | -- |
| Axios Mobile Assets Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Axiotron Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/06/11 |
| Axis Auto Finance Inc. | -- | -- | -- | -- |
| AXMIN Inc. | -- | -- | -- | -- |
| AXS Blockchain Solutions Inc. | -- | -- | -- | -- |
| Axxent Inc. | Yes/Oui | 1b | Yes/Oui | 2001/11/16 |
| Aylen Capital Inc. | -- | -- | -- | -- |
| Azabache Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/11/09 |
| Azarga Metals Corp. | -- | -- | -- | -- |
| Azarga Uranium Corp. | -- | -- | -- | -- |
| AZCAR Technologies Incorporated | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/05/11 |
| AZL Resources, Inc. | -- | -- | Yes/Oui | 1983/06/28 |
| Azoico Ltd | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/02/02 |
| Aztec Minerals Corp. | -- | -- | -- | -- |
| Aztech Innovations Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/05/06 |
| Aztek Resource Development Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/11/03 |
| Azucar Minerals Ltd. | -- | -- | -- | -- |
| Azure Dynamics Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2012/04/13 |
| A&W Revenue Royalties Income Fund | -- | -- | -- | -- |
| B | | | | |
| B2Gold Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| BAA PLC | Yes/Oui | 1a, 1b, 3 | -- | -- |
| BAC Canada Finance Company | -- | -- | -- | -- |
| Backstageplay Inc. | -- | -- | -- | -- |
| BacTech Environmental Corporation | -- | -- | -- | -- |
| Badger Daylighting Ltd. | -- | -- | -- | -- |
| Balanced 60/40 Fund | -- | -- | -- | -- |
| Balanced Folio Fund | -- | -- | -- | -- |
| Balanced Fund (formerly Balanced 50/50 Fund) | -- | -- | -- | -- |
| Balanced Income Portfolio | -- | -- | -- | -- |
| Balanced Monthly Income Fund | -- | -- | -- | -- |
| Balanced Portfolio | -- | -- | -- | -- |
| Ballard Power Systems Inc. | -- | -- | -- | -- |
| Balmoral Club Limited Partnership, The | -- | -- | Yes/Oui | 1993/06/15 |
| Balmoral Resources Ltd. | -- | -- | -- | -- |
| Bank of America Corporation | -- | -- | -- | -- |
| Bank of Montreal | -- | -- | -- | -- |
| Bank of Nova Scotia, The | -- | -- | -- | -- |
| Bannerman Resources Limited | -- | -- | -- | -- |
| Banyan Gold Corp. | -- | -- | -- | -- |
| Barclays Bank Plc | -- | -- | -- | -- |
| Bargnesi Mines Limited | -- | -- | Yes/Oui | 1975/01/27 |
| Barker Minerals Ltd. | -- | -- | -- | -- |
| Barkerville Gold Mines Ltd. | -- | -- | -- | -- |
| Barney's Food Enterprises Inc. | -- | -- | Yes/Oui | 2000/06/01 |
| Barometer Disciplined Leadership Balanced Fund | -- | -- | -- | -- |
| Barometer Disciplined Leadership Equity Fund | -- | -- | -- | -- |
| Barometer Disciplined Leadership Tactical Income Growth Fund | -- | -- | -- | -- |
| Barrick Gold Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Barrick Gold Finance Company | -- | -- | -- | -- |
| Barrick Gold Finance Inc. | -- | -- | -- | -- |
| Barrick North America Finance LLC | -- | -- | -- | -- |
| Barron Hunter Hargrave Strategic Resources Inc. | -- | -- | Yes/Oui | 1990/07/31 |
| Barsele Minerals Corp. | -- | -- | -- | -- |
| Barton Bay Resources Inc. | -- | -- | Yes/Oui | 2002/08/26 |
| Barymin Explorations Limited | -- | -- | Yes/Oui | 1985/10/10 |
| Basic U.S. Reit, Inc. | -- | -- | Yes/Oui | 1997/09/30 |
| Basin Jib Mines Ltd. | -- | -- | Yes/Oui | 1974/12/23 |
| Bassett Media Group Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/06/15 |
| Bateman Bay Mining Company Inc. | -- | -- | Yes/Oui | 1991/10/29 |
| Batero Gold Corp. | -- | -- | -- | -- |
| Battery Technologies Inc. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2003/05/30 |
| Bayard Resources Limited | -- | -- | Yes/Oui | 1978/07/26 |
| Bayhorse Silver Inc. | -- | -- | -- | -- |
| Baylin Technologies Inc. | -- | -- | -- | -- |
| Baymount Incorporated | Yes/Oui | 3 | -- | -- |
| Baytex Energy Corp. | -- | -- | -- | -- |
| BCE Inc. | -- | -- | -- | -- |
| BCE Place Finance Corporation | -- | -- | Yes/Oui | 1994/06/15 |
| BCU Industries Inc. | -- | -- | Yes/Oui | 1991/06/14 |
| BE Resources Inc. | -- | -- | -- | -- |
| Beacon Acquisition Partners Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2013/09/11 |
| Beamscope Canada Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2001/11/15 |
| Bear Creek Mining Corporation | -- | -- | -- | -- |
| Bear Creek Resources Limited | -- | -- | Yes/Oui | 1986/09/26 |
| Bearcat Explorations Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/04/06 |
| Beaufield Resources Inc. | -- | -- | -- | -- |
| Beaver Resources Inc. | -- | -- | Yes/Oui | 1991/07/16 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Beckett Technologies Corp. | -- | -- | Yes/Oui | 1997/12/01 |
| Bekeen Computer Corp | -- | -- | Yes/Oui | 1991/06/11 |
| Beleave Inc. | -- | -- | -- | -- |
| Belgravia Capital International Inc. | -- | -- | -- | -- |
| Bell Canada | -- | -- | -- | -- |
| Bell Earth Sciences Inc. | -- | -- | Yes/Oui | 1998/06/22 |
| Bellatrix Exploration Ltd. | -- | -- | -- | -- |
| Bells and Utilities | -- | -- | Yes/Oui | 1992/04/07 |
| BELLUS Health Inc. | -- | -- | -- | -- |
| Belo Sun Mining Corp. | -- | -- | -- | -- |
| Belteco Holdings Inc. | -- | -- | Yes/Oui | 1993/06/21 |
| Belvedere Heights Apartments Limited Partnership | -- | -- | Yes/Oui | 1995/06/02 |
| Benchmark Botanics Inc. | -- | -- | -- | -- |
| Bengal Energy Ltd. | -- | -- | -- | -- |
| Benton Resources Inc. | -- | -- | -- | -- |
| Benz Energy Inc. | -- | -- | Yes/Oui | 2001/08/03 |
| Berkley Renewables Inc. | Yes/Oui | 3 | -- | -- |
| Besra Gold Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2014/12/17 |
| Betacom Corporation Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/08/08 |
| BetaPro Canadian Gold Miners -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro Canadian Gold Miners 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro Crude Oil -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro Crude Oil 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro Gold Bullion -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro Gold Bullion 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro NASDAQ-100® -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro NASDAQ-100® 2x Daily Bull ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| BetaPro Natural Gas -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro Natural Gas 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro Silver -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro Silver 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro S&P 500 VIX Short-Term Futures 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro S&P 500 VIX Short-Term Futures Daily Inverse ETF | -- | -- | -- | -- |
| BetaPro S&P 500 VIX Short-Term Futures ETF | -- | -- | -- | -- |
| BetaPro S&P 500® -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro S&P 500® 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro S&P 500® Daily Inverse ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX 60□ -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX 60□ 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX 60□ Daily Inverse ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX Capped Energy□ -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX Capped Energy□ 2x Daily Bull ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX Capped Financials□ -2x Daily Bear ETF | -- | -- | -- | -- |
| BetaPro S&P/TSX Capped Financials□ 2x Daily Bull ETF | -- | -- | -- | -- |
| BetterU Education Corp. | -- | -- | -- | -- |
| Betts Cove Minerals Limited | -- | -- | Yes/Oui | 1994/08/22 |
| Beutel Goodman American Equity Fund | -- | -- | -- | -- |
| Beutel Goodman Balanced Fund | -- | -- | -- | -- |
| Beutel Goodman Canadian Dividend Fund | -- | -- | -- | -- |
| Beutel Goodman Canadian Equity Fund | -- | -- | -- | -- |
| Beutel Goodman Canadian Equity Plus Fund | -- | -- | -- | -- |
| Beutel Goodman Canadian Intrinsic Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Beutel Goodman Corporate/Provincial Active Bond Fund | -- | -- | -- | -- |
| Beutel Goodman Fundamental Canadian Equit Fund | -- | -- | -- | -- |
| Beutel Goodman Global Dividend Fund | -- | -- | -- | -- |
| Beutel Goodman Global Equity Fund | -- | -- | -- | -- |
| Beutel Goodman Income Fund | -- | -- | -- | -- |
| Beutel Goodman International Equity Fund | -- | -- | -- | -- |
| Beutel Goodman Long Term Bond Fund | -- | -- | -- | -- |
| Beutel Goodman Money Market Fund | -- | -- | -- | -- |
| Beutel Goodman Short Term Bond Fund | -- | -- | -- | -- |
| Beutel Goodman Small Cap Fund | -- | -- | -- | -- |
| Beutel Goodman World Focus Equity Fund | -- | -- | -- | -- |
| Beverly Development Inc. | -- | -- | Yes/Oui | 1989/08/30 |
| BeWhere Holdings Inc. | -- | -- | -- | -- |
| Bexar Ventures Inc. | -- | -- | -- | -- |
| BF Realty Holdings Limited | -- | -- | Yes/Oui | 1994/05/30 |
| BFS Entertainment & Multimedia Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/09/18 |
| BHK Mining Corp. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2017/09/05 |
| Bicentric Sires Limited Partnership | -- | -- | Yes/Oui | 1995/09/19 |
| Big 8 Split Inc. | -- | -- | -- | -- |
| Big Bay Point Resorts Inc. | -- | -- | Yes/Oui | 1990/12/10 |
| BIG Blockchain Intelligence Group Inc. | -- | -- | -- | -- |
| Big Dougie Capital Corp. | -- | -- | -- | -- |
| Big Hammer Group Inc. | Yes/Oui | 1b | Yes/Oui | 2001/12/07 |
| Big Pharma Split Corp. | -- | -- | -- | -- |
| Big Rock Brewery Inc. | -- | -- | -- | -- |
| Bigknowledge Enterprises Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/03/17 |
| BioAmber Inc. | Yes/Oui | 1b, 1c, 1e | -- | -- |
| Biocure Technology Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BioEnvelop Technologies Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/10/06 |
| BioExx Specialty Proteins Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/08 |
| Biogenetic Technologies Inc. | -- | -- | Yes/Oui | 1999/06/30 |
| BioHEP Technologies Ltd. | -- | -- | -- | -- |
| Biomark Diagnostics Inc. | -- | -- | -- | -- |
| Biomax Technologies Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/06/04 |
| BioNeutra Global Corporation | -- | -- | -- | -- |
| Biorem Inc. | -- | -- | -- | -- |
| Biosenta Inc. | -- | -- | -- | -- |
| Biosign Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/05/05 |
| BioSyent Inc. | -- | -- | -- | -- |
| Biosyntech, Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/07/07 |
| Biotanika Health Group Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/09/14 |
| Bioxel Pharma Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/09/03 |
| Birch Mountain Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Birchcliff Energy Ltd. | -- | -- | -- | -- |
| Bird Construction Inc. | -- | -- | -- | -- |
| Bird River Resources Inc. | -- | -- | -- | -- |
| BitRush Corp. | Yes/Oui | 1e | Yes/Oui | 2016/12/02 |
| Black Birch Capital Acquisition III Corp. | -- | -- | -- | -- |
| Black Bull Resources Inc. | Yes/Oui | 3 | -- | -- |
| Black Creek Global Balanced Corporate Class | -- | -- | -- | -- |
| Black Creek Global Balanced Fund | -- | -- | -- | -- |
| Black Creek Global Leaders Corporate Class | -- | -- | -- | -- |
| Black Creek Global Leaders Fund | -- | -- | -- | -- |
| Black Creek International Equity Corporate Class | -- | -- | -- | -- |
| Black Creek International Equity Fund | -- | -- | -- | -- |
| Black Diamond Group Limited | -- | -- | -- | -- |
| Black Iron Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Black Mammoth Metals Corporation | -- | -- | -- | -- |
| Black River Resources Inc. | -- | -- | Yes/Oui | 1992/02/14 |
| Black Tusk Resources Inc. | -- | -- | -- | -- |
| BlackBerry Limited | -- | -- | -- | -- |
| Blackbird Energy Inc. | -- | -- | -- | -- |
| Blackchain Solutions Inc. | -- | -- | -- | -- |
| Blackheath Resources Inc. | -- | -- | -- | -- |
| Blackline Safety Corp. | -- | -- | -- | -- |
| BlackPearl Resources Inc. | -- | -- | -- | -- |
| BlackRock All Bond Portfolio | -- | -- | -- | -- |
| BlackRock Balanced Portfolio | -- | -- | -- | -- |
| BlackRock Conservative Portfolio | -- | -- | -- | -- |
| BlackRock Defensive Portfolio | -- | -- | -- | -- |
| BlackRock Diversified Monthly Income Portfolioc | -- | -- | -- | -- |
| BlackRock Growth Portfolio | -- | -- | -- | -- |
| BlackRock MaxGrowth Portfolio | -- | -- | -- | -- |
| Blacksteel Energy Inc. | -- | -- | -- | -- |
| Blake River Explorations Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/08/01 |
| Blind Creek Resources Ltd. | -- | -- | -- | -- |
| BlissCo Cannabis Corp. | -- | -- | -- | -- |
| Block One Capital Inc. | -- | -- | -- | -- |
| BLOCK X CAPITAL CORP. | -- | -- | -- | -- |
| Blockchain Foundry Inc. | -- | -- | -- | -- |
| Blockchain Holdings Ltd. | -- | -- | -- | -- |
| Blockchain Power Trust | -- | -- | -- | -- |
| Blockchain Technologies ETF | -- | -- | -- | -- |
| BlockchainK2 Corp. | -- | -- | -- | -- |
| BLOCKstrain Technology Corp. | -- | -- | -- | -- |
| Blocplay Entertainment Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Blok Technologies Inc. | -- | -- | -- | -- |
| Bloom Select Income Fund | -- | -- | -- | -- |
| Bloom U.S. Income & Growth Fund | -- | -- | -- | -- |
| Blox Labs Inc. | -- | -- | -- | -- |
| Blue Gold International Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Blue Horizon Industries Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/12/09 |
| Blue Mountain Beverages Inc. | -- | -- | Yes/Oui | 1996/06/13 |
| Blue Note Mining Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust) | -- | -- | -- | -- |
| Blue River Resources Ltd. | -- | -- | -- | -- |
| Blue Sky Energy Inc. | -- | -- | -- | -- |
| Blue Zen Memorial Parks Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/14 |
| BlueBay Emerging Markets Corporate Bond Fund | -- | -- | -- | -- |
| BlueBay European High Yield Bond Fund | -- | -- | -- | -- |
| BlueBay Global Convertible Bond Class (Canada) | -- | -- | -- | -- |
| BlueBay Global Convertible Bond Fund (Canada) | -- | -- | -- | -- |
| BlueBay Global Investment Grade Corporate Bond Fund (Canada) | -- | -- | -- | -- |
| BlueBay Global Monthly Income Bond Fund | -- | -- | -- | -- |
| BlueBay Global Sovereign Bond Fund (Canada) | -- | -- | -- | -- |
| BlueBay \$U.S. Global Convertible Bond Class (Canada) | -- | -- | -- | -- |
| BlueBay \$U.S. Global Convertible Bond Fund (Canada) | -- | -- | -- | -- |
| BluePoint Data, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/04 |
| Bluerock Ventures Corp. | -- | -- | -- | -- |
| BlueRush Inc. | -- | -- | -- | -- |
| BlueScout Technologies Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Bluestone Resources Inc. | -- | -- | -- | -- |
| Bluewater Park Apartment Project | -- | -- | -- | -- |
| BluMetric Environmental Inc. | -- | -- | -- | -- |
| Blutip Power Technologies Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/03 |
| BMG BullionFund | -- | -- | -- | -- |
| BMG Gold BullionFund | -- | -- | -- | -- |
| BMG Silver BullionFund | -- | -- | -- | -- |
| BMO Advantaged Canadian Q-Model® Fund | -- | -- | -- | -- |
| BMO Advantaged U.S. Q-Model® Fund | -- | -- | -- | -- |
| BMO Aggregate Bond Index ETF | -- | -- | -- | -- |
| BMO Ascent Balanced Portfolio | -- | -- | -- | -- |
| BMO Ascent Conservative Portfolio | -- | -- | -- | -- |
| BMO Ascent Equity Growth Portfolio | -- | -- | -- | -- |
| BMO Ascent Growth Portfolio | -- | -- | -- | -- |
| BMO Ascent Income Portfolio | -- | -- | -- | -- |
| BMO Asian Growth and Income Class | -- | -- | -- | -- |
| BMO Asian Growth and Income Fund | -- | -- | -- | -- |
| BMO Asset Allocation Fund | -- | -- | -- | -- |
| BMO Balanced ETF Portfolio | -- | -- | -- | -- |
| BMO Balanced ETF Portfolio Class | -- | -- | -- | -- |
| BMO Balanced Yield Plus ETF Portfolio | -- | -- | -- | -- |
| BMO Bond Fund | -- | -- | -- | -- |
| BMO Canadian Dividend ETF | -- | -- | -- | -- |
| BMO Canadian Equity Class | -- | -- | -- | -- |
| BMO Canadian Equity ETF Fund | -- | -- | -- | -- |
| BMO Canadian Equity Fund | -- | -- | -- | -- |
| BMO Canadian High Dividend Covered Call ET | -- | -- | -- | -- |
| BMO Canadian Large Cap Equity Fund | -- | -- | -- | -- |
| BMO Canadian Q-Model® Trust | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Canadian Small Cap Equity Fund | -- | -- | -- | -- |
| BMO Canadian Stock Selection Fund | -- | -- | -- | -- |
| BMO Canadian Top 15 Small Cap TACTIC Fur | -- | -- | -- | -- |
| BMO Capital Trust | -- | -- | -- | -- |
| BMO Capital Trust II | -- | -- | -- | -- |
| BMO China Equity Index ETF | -- | -- | -- | -- |
| BMO Concentrated Global Equity Fund | -- | -- | -- | -- |
| BMO Conservative ETF Portfolio | -- | -- | -- | -- |
| BMO Core Bond Fund | -- | -- | -- | -- |
| BMO Core Plus Bond Fund | -- | -- | -- | -- |
| BMO Corporate Bond Index ETF | -- | -- | -- | -- |
| BMO Covered Call Canada High Dividend ETF Fund | -- | -- | -- | -- |
| BMO Covered Call Canadian Banks ETF | -- | -- | -- | -- |
| BMO Covered Call Canadian Banks ETF Fund | -- | -- | -- | -- |
| BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF | -- | -- | -- | -- |
| BMO Covered Call Europe High Dividend ETF Fund | -- | -- | -- | -- |
| BMO Covered Call Utilities ETF | -- | -- | -- | -- |
| BMO Covered Call U.S. High Dividend ETF Fund | -- | -- | -- | -- |
| BMO Crossover Bond Fund | -- | -- | -- | -- |
| BMO Discount Bond Index ETF | -- | -- | -- | -- |
| BMO Diversified Income Portfolio | -- | -- | -- | -- |
| BMO Dividend Class | -- | -- | -- | -- |
| BMO Dividend Fund | -- | -- | -- | -- |
| BMO Dow Jones Industrial Average Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Emerging Markets Bond Fund | -- | -- | -- | -- |
| BMO Emerging Markets Bond Hedged to CAD Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Emerging Markets Fund | -- | -- | -- | -- |
| BMO Enterprise Fund | -- | -- | -- | -- |
| BMO Equal Weight Banks Index ETF | -- | -- | -- | -- |
| BMO Equal Weight Global Base Metals Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Equal Weight Global Gold Index ETF | -- | -- | -- | -- |
| BMO Equal Weight Industrials Index ETF | -- | -- | -- | -- |
| BMO Equal Weight Oil & Gas Index ETF | -- | -- | -- | -- |
| BMO Equal Weight REITs Index ETF | -- | -- | -- | -- |
| BMO Equal Weight US Banks Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Equal Weight US Banks Index ETF | -- | -- | -- | -- |
| BMO Equal Weight US Health Care Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Equal Weight Utilities Index ETF | -- | -- | -- | -- |
| BMO Equity Growth ETF Portfolio | -- | -- | -- | -- |
| BMO Equity Growth ETF Portfolio Class | -- | -- | -- | -- |
| BMO Europe High Dividend Covered Call ETF | -- | -- | -- | -- |
| BMO Europe High Dividend Covered Call Hedged to CAD ETF | -- | -- | -- | -- |
| BMO European Fund | -- | -- | -- | -- |
| BMO FinTech Sector TACTIC Fund | -- | -- | -- | -- |
| BMO Fixed Income ETF Portfolio | -- | -- | -- | -- |
| BMO Fixed Income Yield Plus ETF Portfolio | -- | -- | -- | -- |
| BMO Floating Rate High Yield ETF | -- | -- | -- | -- |
| BMO Floating Rate Income Fund | -- | -- | -- | -- |
| BMO Fossil Fuel Free Fund | -- | -- | -- | -- |
| BMO FundSelect Balanced Portfolio | -- | -- | -- | -- |
| BMO FundSelect Growth Portfolio | -- | -- | -- | -- |
| BMO FundSelect Income Portfolio | -- | -- | -- | -- |
| BMO FundSelect® Equity Growth Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Global Balanced Fund | -- | -- | -- | -- |
| BMO Global Banks Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Global Communications Index ETF | -- | -- | -- | -- |
| BMO Global Consumer Discretionary Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Global Consumer Staples Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Global Diversified Fund | -- | -- | -- | -- |
| BMO Global Dividend Class | -- | -- | -- | -- |
| BMO Global Dividend Fund | -- | -- | -- | -- |
| BMO Global Energy Class | -- | -- | -- | -- |
| BMO Global Equity Class | -- | -- | -- | -- |
| BMO Global Equity Fund | -- | -- | -- | -- |
| BMO Global Growth & Income Fund | -- | -- | -- | -- |
| BMO Global Infrastructure Fund | -- | -- | -- | -- |
| BMO Global Infrastructure Index ETF | -- | -- | -- | -- |
| BMO Global Insurance Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Global Low Volatility ETF Class | -- | -- | -- | -- |
| BMO Global Monthly Income Fund | -- | -- | -- | -- |
| BMO Global Small Cap Fund | -- | -- | -- | -- |
| BMO Global Strategic Bond Fund | -- | -- | -- | -- |
| BMO Government Bond Index ETF | -- | -- | -- | -- |
| BMO Greater China Class | -- | -- | -- | -- |
| BMO Growth ETF Portfolio | -- | -- | -- | -- |
| BMO Growth ETF Portfolio Class | -- | -- | -- | -- |
| BMO Growth Opportunities Fund | -- | -- | -- | -- |
| BMO Growth & Income Fund | -- | -- | -- | -- |
| BMO High Yield US Corporate Bond Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO High Yield US Corporate Bond Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Income ETF Portfolio | -- | -- | -- | -- |
| BMO Income ETF Portfolio Class | -- | -- | -- | -- |
| BMO India Equity Index ETF | -- | -- | -- | -- |
| BMO International Dividend ETF | -- | -- | -- | -- |
| BMO International Dividend Hedged to CAD ETF | -- | -- | -- | -- |
| BMO International Dividend Hedged to CAD ETF | -- | -- | -- | -- |
| BMO International Equity ETF Fund | -- | -- | -- | -- |
| BMO International Equity Fund | -- | -- | -- | -- |
| BMO International Value Class | -- | -- | -- | -- |
| BMO International Value Fund | -- | -- | -- | -- |
| BMO Japan Fund | -- | -- | -- | -- |
| BMO Junior Gas Index ETF | -- | -- | -- | -- |
| BMO Junior Gold Index ETF | -- | -- | -- | -- |
| BMO Junior Oil Index ETF | -- | -- | -- | -- |
| BMO Laddered Corporate Bond Fund | -- | -- | -- | -- |
| BMO Laddered Preferred Share Index ETF | -- | -- | -- | -- |
| BMO LifeStage Plus 2020 Fund | -- | -- | -- | -- |
| BMO LifeStage Plus 2022 Fund | -- | -- | -- | -- |
| BMO LifeStage Plus 2025 Fund | -- | -- | -- | -- |
| BMO LifeStage Plus 2026 Fund | -- | -- | -- | -- |
| BMO LifeStage Plus 2030 Fund | -- | -- | -- | -- |
| BMO Long-Term US Treasury Bond Index ETF | -- | -- | -- | -- |
| BMO Long Corporate Bond Index ETF | -- | -- | -- | -- |
| BMO Long Federal Bond Index ETF | -- | -- | -- | -- |
| BMO Long Provincial Bond Index ETF | -- | -- | -- | -- |
| BMO Low Volatility Canadian Equity ETF | -- | -- | -- | -- |
| BMO Low Volatility Emerging Markets Equity ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Low Volatility International Equity ETF | -- | -- | -- | -- |
| BMO Low Volatility US Equity ETF | -- | -- | -- | -- |
| BMO Low Volatility US Equity Hedged to CAD ETF | -- | -- | -- | -- |
| BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Mid-Term US IG Corporate Bond Index ETF | -- | -- | -- | -- |
| BMO Mid-Term US Treasury Bond Index ETF | -- | -- | -- | -- |
| BMO Mid Corporate Bond Index ETF | -- | -- | -- | -- |
| BMO Mid Federal Bond Index ETF | -- | -- | -- | -- |
| BMO Mid Provincial Bond Index ETF | -- | -- | -- | -- |
| BMO Money Market Fund | -- | -- | -- | -- |
| BMO Monthly Dividend Fund Ltd. | -- | -- | -- | -- |
| BMO Monthly High Income Fund II | -- | -- | -- | -- |
| BMO Monthly Income ETF | -- | -- | -- | -- |
| BMO Monthly Income Fund | -- | -- | -- | -- |
| BMO Mortgage and Short-Term Income Fund | -- | -- | -- | -- |
| BMO MSCI All Country World High Quality Index ETF | -- | -- | -- | -- |
| BMO MSCI Canada Value Index ETF | -- | -- | -- | -- |
| BMO MSCI EAFE Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO MSCI EAFE Index ETF | -- | -- | -- | -- |
| BMO MSCI EAFE Value Index ETF | -- | -- | -- | -- |
| BMO MSCI Emerging Markets Index ETF | -- | -- | -- | -- |
| BMO MSCI Europe High Quality Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO MSCI USA High Quality Index ETF | -- | -- | -- | -- |
| BMO MSCI USA Value Index ETF | -- | -- | -- | -- |
| BMO Multi-Factor Equity Fund | -- | -- | -- | -- |
| BMO Nasdaq 100 Equity Hedged to CAD Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO North American Dividend Fund | -- | -- | -- | -- |
| BMO Precious Metals Fund | -- | -- | -- | -- |
| BMO Preferred Share Fund | -- | -- | -- | -- |
| BMO Private Canadian Core Equity Portfolio | -- | -- | -- | -- |
| BMO Private Canadian Corporate Bond Portfol | -- | -- | -- | -- |
| BMO Private Canadian Income Equity Portfolio | -- | -- | -- | -- |
| BMO Private Canadian Mid-Term Bond Portfoli | -- | -- | -- | -- |
| BMO Private Canadian Money Market Portfolio | -- | -- | -- | -- |
| BMO Private Canadian Short-Term Bond Portfolio | -- | -- | -- | -- |
| BMO Private Canadian Special Equity Portfolio | -- | -- | -- | -- |
| BMO Private Diversified Yield | -- | -- | -- | -- |
| BMO Private Emerging Markets Equity Portfoli | -- | -- | -- | -- |
| BMO Private International Equity Portfolio | -- | -- | -- | -- |
| BMO Private U.S. Equity Portfolio | -- | -- | -- | -- |
| BMO Private U.S. Growth Equity Portfolio | -- | -- | -- | -- |
| BMO Private U.S. Special Equity Portfolio | -- | -- | -- | -- |
| BMO Real Return Bond Index ETF | -- | -- | -- | -- |
| BMO Resource Fund | -- | -- | -- | -- |
| BMO Retirement Balanced Portfolio | -- | -- | -- | -- |
| BMO Retirement Conservative Portfolio | -- | -- | -- | -- |
| BMO Retirement Income Portfolio | -- | -- | -- | -- |
| BMO Risk Reduction Equity Fund | -- | -- | -- | -- |
| BMO Risk Reduction Fixed Income Fund | -- | -- | -- | -- |
| BMO SelectClass Balanced Portfolio | -- | -- | -- | -- |
| BMO SelectClass Growth Portfolio | -- | -- | -- | -- |
| BMO SelectClass Income Portfolio | -- | -- | -- | -- |
| BMO SelectClass® Equity Growth Portfolio | -- | -- | -- | -- |
| BMO SelectTrust Balanced Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| BMO SelectTrust Conservative Portfolio | -- | -- | -- | -- |
| BMO SelectTrust Equity Growth Portfolio | -- | -- | -- | -- |
| BMO SelectTrust Fixed Income Portfolio | -- | -- | -- | -- |
| BMO SelectTrust Growth Portfolio | -- | -- | -- | -- |
| BMO SelectTrust Income Portfolio (formerly BMO SelectTrust Security Portfolio) | -- | -- | -- | -- |
| BMO Shiller Select US Index ETF | -- | -- | -- | -- |
| BMO Short-Term Bond Index ETF | -- | -- | -- | -- |
| BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO Short-Term US Treasury Bond Index ETF | -- | -- | -- | -- |
| BMO Short Corporate Bond Index ETF | -- | -- | -- | -- |
| BMO Short Federal Bond Index ETF | -- | -- | -- | -- |
| BMO Short Provincial Bond Index ETF | -- | -- | -- | -- |
| BMO Subordinated Notes Trust | -- | -- | -- | -- |
| BMO S&P 500 Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO S&P 500 Index ETF | -- | -- | -- | -- |
| BMO S&P/TSX Capped Composite Index ETF | -- | -- | -- | -- |
| BMO Tactical Balanced ETF Fund | -- | -- | -- | -- |
| BMO Tactical Dividend ETF Fund | -- | -- | -- | -- |
| BMO Tactical Global Asset Allocation ETF Fund | -- | -- | -- | -- |
| BMO Tactical Global Bond ETF Fund | -- | -- | -- | -- |
| BMO Tactical Global Equity ETF Fund | -- | -- | -- | -- |
| BMO Tactical Global Growth ETF Fund | -- | -- | -- | -- |
| BMO Target Education 2020 Portfolio | -- | -- | -- | -- |
| BMO Target Education 2025 Portfolio | -- | -- | -- | -- |
| BMO Target Education 2030 Portfolio | -- | -- | -- | -- |
| BMO Target Education 2035 Portfolio | -- | -- | -- | -- |
| BMO Target Education Income Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BMO Ultra Short-Term Bond ETF | -- | -- | -- | -- |
| BMO US Dividend ETF | -- | -- | -- | -- |
| BMO US Dividend Hedged to CAD ETF | -- | -- | -- | -- |
| BMO US High Dividend Covered Call ETF | -- | -- | -- | -- |
| BMO US High Dividend Covered Call Hedged to CAD ETF | -- | -- | -- | -- |
| BMO US Preferred Share Hedged to CAD Index ETF | -- | -- | -- | -- |
| BMO US Preferred Share Index ETF | -- | -- | -- | -- |
| BMO US Put Write ETF | -- | -- | -- | -- |
| BMO US Put Write Hedged to CAD ETF | -- | -- | -- | -- |
| BMO U.S. Dividend Fund | -- | -- | -- | -- |
| BMO U.S. Dollar Balanced Fund | -- | -- | -- | -- |
| BMO U.S. Dollar Dividend Fund | -- | -- | -- | -- |
| BMO U.S. Dollar Equity Index Fund | -- | -- | -- | -- |
| BMO U.S. Dollar Money Market Fund | -- | -- | -- | -- |
| BMO U.S. Dollar Monthly Income Fund | -- | -- | -- | -- |
| BMO U.S. Equity Class | -- | -- | -- | -- |
| BMO U.S. Equity ETF Fund | -- | -- | -- | -- |
| BMO U.S. Equity Fund | -- | -- | -- | -- |
| BMO U.S. Equity Plus Fund | -- | -- | -- | -- |
| BMO U.S. High Yield Bond Fund | -- | -- | -- | -- |
| BMO U.S. Q-Model® Trust | -- | -- | -- | -- |
| BMO U.S. Small Cap Fund | -- | -- | -- | -- |
| BMO U.S. Top 15 Small Cap TACTIC Fund | -- | -- | -- | -- |
| BMO Women in Leadership Fund | -- | -- | -- | -- |
| BMO World Bond Fund | -- | -- | -- | -- |
| BMR Gold Corp. | -- | -- | Yes/Oui | 1999/06/30 |
| BMTC Group Inc. | -- | -- | -- | -- |
| BNK Petroleum Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BNP Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| BNS Split Corp. II | -- | -- | -- | -- |
| Boardwalk Real Estate Investment Trust | -- | -- | -- | -- |
| Body and Mind Inc. | -- | -- | -- | -- |
| Bold Ventures Inc. | -- | -- | -- | -- |
| Boliden AB (publ) | -- | -- | -- | -- |
| Bombardier Inc. | -- | -- | -- | -- |
| Bonanza Metals Inc. | -- | -- | Yes/Oui | 1991/07/08 |
| Bonaventure Estates I Limited Partnership | -- | -- | Yes/Oui | 1999/10/19 |
| Bonaventure Technologies Inc. | -- | -- | Yes/Oui | 1984/01/20 |
| Bonavista Energy Corporation | -- | -- | -- | -- |
| Bonterra Energy Corp. | -- | -- | -- | -- |
| Boomer Financial Inc. | -- | -- | -- | -- |
| Boomerang Oil, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/04/04 |
| Boost Capital Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/08/05 |
| Borex Inc. | -- | -- | -- | -- |
| Border Petroleum Limited | Yes/Oui | 3 | -- | -- |
| Boreal Metals Corp. | -- | -- | -- | -- |
| Borealis Exploration Limited | -- | -- | Yes/Oui | 2001/09/13 |
| Borealis Infrastructure Trust | -- | -- | -- | -- |
| Boston Pizza Royalties Income Fund | -- | -- | -- | -- |
| Boyd Group Income Fund | -- | -- | -- | -- |
| Boyuan Construction Group, Inc. | -- | -- | -- | -- |
| BQE Water Inc. | -- | -- | -- | -- |
| Bracknell Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 2002/03/22 |
| Bradley Gardens Associates | -- | -- | Yes/Oui | 1991/07/16 |
| Bradmer Pharmaceuticals Inc. | -- | -- | -- | -- |
| Bradstone Capital Corp. | -- | -- | -- | -- |
| Brainhunter Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/03/16 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Brainium Technologies Inc. | Yes/Oui | 1a, 1d | Yes/Oui | 2002/06/04 |
| Bramalea Inc. | -- | -- | Yes/Oui | 1995/04/07 |
| Brampton Brick Limited | -- | -- | -- | -- |
| Brand Leaders Income Fund | -- | -- | -- | -- |
| Brandes Canadian Equity Fund | -- | -- | -- | -- |
| Brandes Canadian Money Market Fund | -- | -- | -- | -- |
| Brandes Corporate Focus Bond Fund | -- | -- | -- | -- |
| Brandes Emerging Markets Value Fund | -- | -- | -- | -- |
| Brandes Global Balanced Fund | -- | -- | -- | -- |
| Brandes Global Equity Fund | -- | -- | -- | -- |
| Brandes Global Opportunities Fund | -- | -- | -- | -- |
| Brandes Global Small Cap Equity Fund | -- | -- | -- | -- |
| Brandes International Equity Fund | -- | -- | -- | -- |
| Brandes U.S. Equity Fund | -- | -- | -- | -- |
| Brandes U.S. Small Cap Equity Fund | -- | -- | -- | -- |
| Brandevor Enterprises Ltd. | -- | -- | Yes/Oui | 1998/06/05 |
| Brandon Energy Ltd. | -- | -- | Yes/Oui | 1999/10/18 |
| Brandselite International Corporation | -- | -- | Yes/Oui | 2002/05/24 |
| Brandy Brook Mines Limited | -- | -- | Yes/Oui | 1989/07/14 |
| Bras-American Corporation | -- | -- | Yes/Oui | 1991/06/21 |
| Braveheart Resources Inc. | -- | -- | -- | -- |
| Bravern Ventures Ltd. | Yes/Oui | 3 | -- | -- |
| Brazilian Resources, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| Bre-X Minerals Ltd. | -- | -- | Yes/Oui | 1997/05/22 |
| Breaking Data Corp. | -- | -- | -- | -- |
| Breaking Point Developments Inc. | -- | -- | Yes/Oui | 2010/11/05 |
| Breathtec BioMedical, Inc. | -- | -- | -- | -- |
| Brewbac Resources Inc. | -- | -- | Yes/Oui | 1990/09/14 |
| Brex Exploration Inc. | -- | -- | Yes/Oui | 2000/07/17 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Bri-Chem Corp. | -- | -- | -- | -- |
| Briana Bio-Tech Inc. | -- | -- | Yes/Oui | 1999/06/25 |
| Brick Brewing Co. Limited | -- | -- | -- | -- |
| Bridge-It Corporation | -- | -- | Yes/Oui | 2000/06/02 |
| Bridge Integrated Technologies Inc. | -- | -- | Yes/Oui | 1992/02/19 |
| BridgePoint International Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2004/02/12 |
| Brigadier Gold Limited | -- | -- | -- | -- |
| Bright Plan | -- | -- | -- | -- |
| Brighter Minds Media Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/05/08 |
| Brighton Gardens of Boyton Beach Limited Partnership | -- | -- | Yes/Oui | 1994/06/03 |
| Brisa International S.A. | -- | -- | Yes/Oui | 1986/09/04 |
| Brisio Innovations Inc. | -- | -- | -- | -- |
| Bristol Gate Concentrated Canadian Equity ETI | -- | -- | -- | -- |
| Bristol Gate Concentrated US Equity ETF | -- | -- | -- | -- |
| British Columbia Ferry Services Inc. | -- | -- | -- | -- |
| British Telecommunications PLC | -- | -- | -- | -- |
| Brixton Metals Corporation | -- | -- | -- | -- |
| BRO-X Minerals Ltd. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2002/11/05 |
| Broadband Learning Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/09/04 |
| Bromley.Marr ECOS Inc. | -- | -- | Yes/Oui | 1999/12/10 |
| Brompton 2017 Flow-Through Limited Partnership | -- | -- | -- | -- |
| Brompton Corp. | -- | -- | -- | -- |
| Brompton Lifeco Split Corp. | -- | -- | -- | -- |
| Brompton Oil Split Corp. | -- | -- | -- | -- |
| Brompton Resource Class | -- | -- | -- | -- |
| Brompton Split Banc Corp. | -- | -- | -- | -- |
| Brookfield Asset Management Inc. | -- | -- | -- | -- |
| Brookfield Business Partners L.P. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Brookfield Finance Inc. | -- | -- | -- | -- |
| Brookfield Finance LLC | -- | -- | -- | -- |
| Brookfield Global Infrastructure Securities Income Fund | -- | -- | -- | -- |
| Brookfield Infrastructure Finance Limited | -- | -- | -- | -- |
| Brookfield Infrastructure Finance LLC | -- | -- | -- | -- |
| Brookfield Infrastructure Finance Pty Ltd | -- | -- | -- | -- |
| Brookfield Infrastructure Finance ULC | -- | -- | -- | -- |
| Brookfield Infrastructure Partners L.P. | -- | -- | -- | -- |
| Brookfield Infrastructure Preferred Equity Inc. | -- | -- | -- | -- |
| Brookfield Investments Corporation | -- | -- | -- | -- |
| Brookfield Office Properties Exchange LP | -- | -- | -- | -- |
| Brookfield Office Properties Inc. | -- | -- | -- | -- |
| Brookfield Property Finance ULC | -- | -- | -- | -- |
| Brookfield Property Partners L.P. | -- | -- | -- | -- |
| Brookfield Property Preferred Equity Inc. | -- | -- | -- | -- |
| Brookfield Property Split Corp. | -- | -- | -- | -- |
| Brookfield Real Estate Services Inc. | -- | -- | -- | -- |
| Brookfield Renewable Partners L.P. | -- | -- | -- | -- |
| Brookfield Renewable Partners ULC | -- | -- | -- | -- |
| Brookfield Renewable Power Preferred Equity Inc. | -- | -- | -- | -- |
| Brookfield Select Opportunities Income Fund | -- | -- | -- | -- |
| Brookfield Soundvest Equity Fund | -- | -- | -- | -- |
| Brookfield Soundvest Split Trust | -- | -- | -- | -- |
| Browning Communications Inc. | -- | -- | Yes/Oui | 1990/07/20 |
| BRP Inc. | -- | -- | -- | -- |
| Brunswick Resources Inc. | -- | -- | -- | -- |
| BSM Technologies Inc. | -- | -- | -- | -- |
| BSR Real Estate Investment Trust | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| BTB Real Estate Investment Trust | -- | -- | -- | -- |
| BTF Environmental Industries Inc. | -- | -- | Yes/Oui | 1991/05/23 |
| Buccaneer Gold Corp. | -- | -- | -- | -- |
| Bucking Horse Energy Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2013/04/12 |
| Buffalo Coal Corp. | -- | -- | -- | -- |
| Buffalo Gold Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/13 |
| Buhler Industries Inc. | -- | -- | -- | -- |
| Builders Capital Mortgage Corp. | -- | -- | -- | -- |
| Bulora Corporation Limited | -- | -- | Yes/Oui | 1977/11/18 |
| Bunker Hill Mining Corp. | -- | -- | -- | -- |
| Burcon NutraScience Corporation | -- | -- | -- | -- |
| Burlington Packaging Limited | -- | -- | Yes/Oui | 1980/08/01 |
| Burnstone Ventures Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/08/05 |
| Butler Mountain Minerals Corp. | -- | -- | Yes/Oui | 1988/10/05 |
| Buzz Capital Inc. | -- | -- | -- | -- |
| BWR Exploration Inc. | -- | -- | -- | -- |
| By Design | -- | -- | Yes/Oui | 1983/06/13 |
| B. Y. G. Natural Resources Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| B.D.M. Fund Limited | -- | -- | Yes/Oui | 1974/02/14 |
| B.E.S.T. Total Return Fund Inc. | -- | -- | -- | -- |
| C | | | | |
| C-Com Satellite Systems Inc. | -- | -- | -- | -- |
| C International Income Fund | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2013/03/06 |
| C21 Investments Inc. | -- | -- | -- | -- |
| CA-Network Inc. | -- | -- | Yes/Oui | 2003/07/09 |
| Cabletel Communications Corp. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2004/08/18 |
| Cabot Creek Mineral Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/07 |
| Cabral Gold Inc. | -- | -- | -- | -- |
| Cache Exploration Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Cachet Communications Inc. | -- | -- | Yes/Oui | 1999/03/08 |
| Cadieux Mines Limited | -- | -- | Yes/Oui | 1976/09/08 |
| Cadillac Ventures Inc. | -- | -- | -- | -- |
| CAE Inc. | -- | -- | -- | -- |
| Cairngorm Mines Limited | Yes/Oui | 1b | Yes/Oui | 2003/05/23 |
| Calaveras Resource Corp. | -- | -- | -- | -- |
| Caldera Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Caldwell Balanced Fund | -- | -- | -- | -- |
| Caldwell Canadian Value Momentum Fund | -- | -- | -- | -- |
| Caldwell Income Fund | -- | -- | -- | -- |
| Caldwell U.S. Dividend Advantage Fund | -- | -- | -- | -- |
| Caledonia Mining Corporation plc | -- | -- | -- | -- |
| Calfrac Well Services Ltd. | -- | -- | -- | -- |
| Calian Group Ltd. | -- | -- | -- | -- |
| Caliber Minerals Inc. | -- | -- | -- | -- |
| Calibre Energy Inc. | -- | -- | Yes/Oui | 1999/09/09 |
| California Gold Mining Inc. | -- | -- | -- | -- |
| Callidus Capital Corporation | -- | -- | -- | -- |
| Callitas Health Inc. | -- | -- | -- | -- |
| Calmena Energy Services Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2015/04/10 |
| CalStar Oil & Gas Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/02/11 |
| Calyx Ventures Inc. | -- | -- | -- | -- |
| Camberly Energy Ltd. | -- | -- | Yes/Oui | 2003/06/12 |
| Cambium Limited Partnership No. TWO | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/01/02 |
| Cambridge American Equity Corporate Class | -- | -- | -- | -- |
| Cambridge American Equity Fund | -- | -- | -- | -- |
| Cambridge Asset Allocation Corporate Class | -- | -- | -- | -- |
| Cambridge Asset Allocation Fund | -- | -- | -- | -- |
| Cambridge Balanced Yield Pool | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Cambridge Bond Fund | -- | -- | -- | -- |
| Cambridge Canadian Dividend Corporate Class | -- | -- | -- | -- |
| Cambridge Canadian Dividend Fund | -- | -- | -- | -- |
| Cambridge Canadian Equity Corporate Class | -- | -- | -- | -- |
| Cambridge Canadian Equity Fund | -- | -- | -- | -- |
| Cambridge Canadian Growth Companies Fund | -- | -- | -- | -- |
| Cambridge Canadian Short-Term Bond Pool | -- | -- | -- | -- |
| Cambridge Global Dividend Corporate Class | -- | -- | -- | -- |
| Cambridge Global Dividend Fund | -- | -- | -- | -- |
| Cambridge Global Equity Corporate Class | -- | -- | -- | -- |
| Cambridge Global Equity Fund | -- | -- | -- | -- |
| Cambridge Global High Income Fund | -- | -- | -- | -- |
| Cambridge Growth Companies Corporate Class | -- | -- | -- | -- |
| Cambridge Growth Companies Fund | -- | -- | -- | -- |
| Cambridge Monthly Income Corporate Class | -- | -- | -- | -- |
| Cambridge Monthly Income Fund | -- | -- | -- | -- |
| Cambridge Premium Yield Pool | -- | -- | -- | -- |
| Cambridge Pure Canadian Equity Corporate Class | -- | -- | -- | -- |
| Cambridge Pure Canadian Equity Fund | -- | -- | -- | -- |
| Cambridge Square North | -- | -- | Yes/Oui | 1986/09/15 |
| Cambridge Square South | -- | -- | Yes/Oui | 1986/09/15 |
| Cambridge Stock Selection Fund | -- | -- | -- | -- |
| Cambridge U.S. Dividend Fund | -- | -- | -- | -- |
| Cambridge U.S. Dividend Registered Fund | -- | -- | -- | -- |
| Cambridge U.S. Dividend US\$ Fund | -- | -- | -- | -- |
| Cambridge Venture Ltd. | -- | -- | Yes/Oui | 1986/07/12 |
| Cameco Corporation | -- | -- | -- | -- |
| Campbell Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1e | Yes/Oui | 2010/02/23 |
| Camrova Resources Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Can-Med Technology Inc. | -- | -- | Yes/Oui | 1995/10/30 |
| Can-Tropic Explorations Limited | -- | -- | Yes/Oui | 1979/05/04 |
| Canaccord Genuity Acquisition Corp. | -- | -- | -- | -- |
| Canaccord Genuity Group Inc. | -- | -- | -- | -- |
| Canacol Energy Ltd. | -- | -- | -- | -- |
| Canada 3000 Inc. | Yes/Oui | 1b | Yes/Oui | 2002/04/02 |
| Canada Carbon Inc. | -- | -- | -- | -- |
| Canada Coal Inc. | -- | -- | -- | -- |
| Canada Cobalt Works Inc. | -- | -- | -- | -- |
| Canada Costa Rica Mines Limited | -- | -- | Yes/Oui | 1982/02/12 |
| Canada Energy Partners Inc. | -- | -- | -- | -- |
| Canada Goose Holdings Inc. | -- | -- | -- | -- |
| Canada House Wellness Group Inc. | -- | -- | -- | -- |
| Canada Jetlines Ltd. | -- | -- | -- | -- |
| Canada Lease Financing Ltd. | -- | -- | Yes/Oui | 1989/08/30 |
| Canada Life Assurance Company, The | -- | -- | -- | -- |
| Canada Life Capital Trust | -- | -- | -- | -- |
| Canada Life Financial Corporation | -- | -- | -- | -- |
| Canada Renewable Bioenergy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/11 |
| CanadaBis Capital Inc. | -- | -- | -- | -- |
| Canadian-Addicks Mining Corporation | -- | -- | Yes/Oui | 1973/10/09 |
| Canadian Agra Foods Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| Canadian Airlines Corporation | -- | -- | Yes/Oui | 2001/07/10 |
| Canadian Apartment Properties Real Estate Investment Trust | -- | -- | -- | -- |
| Canadian Aviation Express Airlines Inc. | -- | -- | Yes/Oui | 1990/07/20 |
| Canadian Banc Corp. | -- | -- | -- | -- |
| Canadian Blood Bank Corporation | -- | -- | Yes/Oui | 1998/11/12 |
| Canadian Commercial Bank | -- | -- | Yes/Oui | 1985/09/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Canadian Convertibles Plus Fund | -- | -- | -- | -- |
| Canadian Credit Card Trust II | -- | -- | -- | -- |
| Canadian Crude Oil Index ETF | -- | -- | -- | -- |
| Canadian Data Limited Partnership | -- | -- | Yes/Oui | 1983/07/04 |
| Canadian Diversified Resource Investment Listed Liquidity Fund | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Canadian Dividend Class (Laketon) | -- | -- | -- | -- |
| Canadian Dollar Cash Management Fund | -- | -- | -- | -- |
| Canadian Entertainment Investors No.2 and Company Limited Partnership | -- | -- | Yes/Oui | 1993/07/23 |
| Canadian Equity Alpha Corporate Class | -- | -- | -- | -- |
| Canadian Equity Class | -- | -- | -- | -- |
| Canadian Equity Class (Laketon) | -- | -- | -- | -- |
| Canadian Equity Fund | -- | -- | -- | -- |
| Canadian Equity Fund (Laketon) | -- | -- | -- | -- |
| Canadian Equity Growth Corporate Class | -- | -- | -- | -- |
| Canadian Equity Growth Pool | -- | -- | -- | -- |
| Canadian Equity Small Cap Corporate Class | -- | -- | -- | -- |
| Canadian Equity Small Cap Pool | -- | -- | -- | -- |
| Canadian Equity Value Corporate Class | -- | -- | -- | -- |
| Canadian Equity Value Pool | -- | -- | -- | -- |
| Canadian Fixed Income Corporate Class | -- | -- | -- | -- |
| Canadian Fixed Income Fund | -- | -- | -- | -- |
| Canadian Fixed Income Pool | -- | -- | -- | -- |
| Canadian Food Products Limited | -- | -- | Yes/Oui | 1981/06/24 |
| Canadian General Investments, Limited | -- | -- | -- | -- |
| Canadian Growth Class (GWLIM) | -- | -- | -- | -- |
| Canadian Growth Fund (GWLIM) | -- | -- | -- | -- |
| Canadian High Income Equity Fund | -- | -- | -- | -- |
| Canadian Imperial Bank of Commerce | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Canadian Imperial Venture Corp | -- | -- | -- | -- |
| Canadian International Minerals Inc. | -- | -- | -- | -- |
| Canadian Investment Grade Preferred Share Fund (P2L) | -- | -- | -- | -- |
| Canadian Life Companies Split Corp. | -- | -- | -- | -- |
| Canadian Low Volatility Class (London Capital) | -- | -- | -- | -- |
| Canadian Manoir Industries Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/08/10 |
| Canadian Metals Inc. | -- | -- | -- | -- |
| Canadian Mini-Warehouse Operations Ltd. | -- | -- | -- | -- |
| Canadian National Railway Company | -- | -- | -- | -- |
| Canadian Natural Resources Limited | -- | -- | -- | -- |
| Canadian Neworth Resources Limited | -- | -- | Yes/Oui | 1990/07/10 |
| Canadian Oil Debco Inc. | -- | -- | -- | -- |
| Canadian Oil Recovery & Remediation Enterprises Ltd. | -- | -- | -- | -- |
| Canadian Orebodies Inc. | -- | -- | -- | -- |
| Canadian Overseas Petroleum Limited | -- | -- | -- | -- |
| Canadian Pacific Air Lines, Limited | -- | -- | -- | -- |
| Canadian Pacific Railway Company | -- | -- | -- | -- |
| Canadian Pacific Railway Limited | -- | -- | -- | -- |
| Canadian Preferred Share Trust | -- | -- | -- | -- |
| Canadian Quantum Energy Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/09/11 |
| Canadian Resources Income Trust | -- | -- | -- | -- |
| Canadian Scholarship Trust Family Savings Plan | -- | -- | -- | -- |
| Canadian Scholarship Trust Founders' Plan | -- | -- | -- | -- |
| Canadian Scholarship Trust Group Savings Pla | -- | -- | -- | -- |
| Canadian Scholarship Trust Group Savings Pla 2001 | -- | -- | -- | -- |
| Canadian Scholarship Trust Group Savings Pla (Group Savings Plan 2001) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Canadian Scholarship Trust Individual Savings Plan | -- | -- | -- | -- |
| Canadian Silver Hunter Inc. | -- | -- | -- | -- |
| Canadian Small Company Equity Fund | -- | -- | -- | -- |
| Canadian Spirit Resources Inc. | -- | -- | -- | -- |
| Canadian Spooner Industries Corporation | Yes/Oui | 1b | Yes/Oui | 2003/05/26 |
| Canadian Spooner Resources Inc. | -- | -- | Yes/Oui | 2003/11/20 |
| Canadian Tire Corporation, Limited | -- | -- | -- | -- |
| Canadian Utilities Limited | -- | -- | -- | -- |
| Canadian Utilities & Telecom Income Fund | -- | -- | -- | -- |
| Canadian Value Class (FGP) | -- | -- | -- | -- |
| Canadian Western Bank | -- | -- | -- | -- |
| Canadian World Fund Limited | -- | -- | -- | -- |
| Canadian Zinc Corporation | -- | -- | -- | -- |
| Canalands Resources Corporation | -- | -- | Yes/Oui | 1993/01/27 |
| CanAlaska Uranium Ltd. | -- | -- | -- | -- |
| Canamex Gold Corp. | -- | -- | -- | -- |
| Canarc Resource Corp. | -- | -- | -- | -- |
| CanAsia Financial Inc. | -- | -- | -- | -- |
| Canaveral Number One Limited Partnership | -- | -- | Yes/Oui | 1993/08/18 |
| Candelaria Mining Corp. | -- | -- | -- | -- |
| Candente Copper Corp. | -- | -- | -- | -- |
| Candente Gold Corp. | -- | -- | -- | -- |
| Canex Energy Corp. | -- | -- | -- | -- |
| CANEX Metals Inc. | -- | -- | -- | -- |
| Canfibre Group Ltd., The | Yes/Oui | 1b | Yes/Oui | 2003/06/04 |
| Canfor Corporation | -- | -- | -- | -- |
| Canfor Pulp Products Inc. | -- | -- | -- | -- |
| CaNickel Mining Limited | -- | -- | -- | -- |
| Canlan Ice Sports Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Cannabis Growth Opportunity Corporation | -- | -- | -- | -- |
| Cannabis Strategies Acquisition Corp. | -- | -- | -- | -- |
| Cannabix Technologies Inc | -- | -- | -- | -- |
| CannaRoyalty Corp. | -- | -- | -- | -- |
| Cannex Capital Holdings Inc. | -- | -- | -- | -- |
| Canntab Therapeutics Limited | -- | -- | -- | -- |
| CannTrust Holdings Inc. | -- | -- | -- | -- |
| Cannvas MedTech Inc. | -- | -- | -- | -- |
| Canoe 'GO CANADA!' Energy Income Class | -- | -- | -- | -- |
| Canoe Bond Advantage Class | -- | -- | -- | -- |
| Canoe Bond Advantage Fund | -- | -- | -- | -- |
| Canoe Canadian Asset Allocation Class | -- | -- | -- | -- |
| Canoe Canadian Corporate Bond Fund | -- | -- | -- | -- |
| Canoe Canadian Monthly Income Class | -- | -- | -- | -- |
| Canoe EIT Income Fund | -- | -- | -- | -- |
| Canoe Energy Class | -- | -- | -- | -- |
| Canoe Enhanced Income Class | -- | -- | -- | -- |
| Canoe Enhanced Income Fund | -- | -- | -- | -- |
| Canoe Equity Class | -- | -- | -- | -- |
| Canoe Equity Income Class | -- | -- | -- | -- |
| Canoe Floating Rate Income Fund | -- | -- | -- | -- |
| Canoe Global Equity Income Class | -- | -- | -- | -- |
| Canoe Global Income Class | -- | -- | -- | -- |
| Canoe Global Income Fund | -- | -- | -- | -- |
| Canoe Mining Ventures Corp. | -- | -- | -- | -- |
| Canoe North American Monthly Income Class | -- | -- | -- | -- |
| Canoe Premium Income Fund | -- | -- | -- | -- |
| Canoe Strategic High Yield Class | -- | -- | -- | -- |
| Canoe Strategic High Yield Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Canoe U.S. Equity Income Class | -- | -- | -- | -- |
| Canopy Growth Corporation | -- | -- | -- | -- |
| Canoro Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/08/11 |
| Canpac Investments Corp. | -- | -- | -- | -- |
| Canpen Inc. | -- | -- | Yes/Oui | 1988/08/12 |
| Canper Resources Inc. | -- | -- | Yes/Oui | 1989/05/29 |
| Canquest Resource Corporation | -- | -- | Yes/Oui | 2000/11/03 |
| Canron Inc. | -- | -- | -- | -- |
| Canshore Exploration Limited | -- | -- | Yes/Oui | 1998/06/08 |
| Canso Credit Income Fund | -- | -- | -- | -- |
| Canso Select Opportunities Fund | -- | -- | -- | -- |
| Canstar Resources Inc. | -- | -- | -- | -- |
| Canstock Fund | -- | -- | Yes/Oui | 1986/09/11 |
| Cantech Resources Limited | -- | -- | Yes/Oui | 1975/07/22 |
| Canuc Resources Corporation | -- | -- | -- | -- |
| CanWel Building Materials Group Ltd. | -- | -- | -- | -- |
| Canyon Creek Food Company Ltd. | -- | -- | -- | -- |
| Cape Tel Limited | Yes/Oui | 1a, 1b, 1d | -- | -- |
| Capha Pharmaceuticals Inc. | -- | -- | -- | -- |
| Capital Desjardins Inc. | -- | -- | -- | -- |
| Capital DGMC Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2017/12/06 |
| Capital Diagnostic Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2005/12/06 |
| Capital Dynamics Limited | -- | -- | Yes/Oui | 1985/03/27 |
| Capital Group Canadian Core Plus Fixed Income Fund (Canada) | -- | -- | -- | -- |
| Capital Group Canadian Focused Equity Fund (Canada) | -- | -- | -- | -- |
| Capital Group Emerging Markets Total Opportunities Fund (Canada) | -- | -- | -- | -- |
| Capital Group Global Balanced Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Capital Group Global Equity Fund (Canada) | -- | -- | -- | -- |
| Capital Group International Equity Fund (Canada) | -- | -- | -- | -- |
| Capital Group U.S. Equity Fund (Canada) | -- | -- | -- | -- |
| Capital Group World Bond Fund (Canada) | -- | -- | -- | -- |
| Capital Income Fund | -- | -- | Yes/Oui | 1979/10/05 |
| Capital Power Corporation | -- | -- | -- | -- |
| Capricorn Business Acquisitions Inc. | -- | -- | -- | -- |
| Capstone Infrastructure Corporation | -- | -- | -- | -- |
| Capstone Mining Corp. | -- | -- | -- | -- |
| Captain International Industries Ltd. | -- | -- | Yes/Oui | 1974/09/04 |
| Captiva Verde Industries Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Captiva Verde Land Corp. | -- | -- | -- | -- |
| Captor Capital Corp. | -- | -- | -- | -- |
| Caratax Diamond Exploration Limited Partnership - 1993 | -- | -- | Yes/Oui | 1994/06/03 |
| Carbite Golf Inc. | Yes/Oui | 1d | Yes/Oui | 2003/06/12 |
| Carbiz Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/06/11 |
| Cardero Resource Corp. | -- | -- | -- | -- |
| Cardinal Capital Partners Inc. | -- | -- | -- | -- |
| Cardinal Energy Ltd. | -- | -- | -- | -- |
| Cardinal Factor Corporation | Yes/Oui | 1a, 1b, 4 | Yes/Oui | 2003/06/27 |
| Cardinal Resources Limited | -- | -- | -- | -- |
| CardioComm Solutions, Inc. | -- | -- | -- | -- |
| Cardiome Pharma Corp. | -- | -- | -- | -- |
| CARDS II Trust | -- | -- | -- | -- |
| Care Veterinary Pharmaceuticals Ltd. | -- | -- | Yes/Oui | 1991/01/24 |
| Cargojet Inc. | -- | -- | -- | -- |
| Caribbean Diversified Investments, Inc. | -- | -- | Yes/Oui | 2014/01/13 |
| Caribbean Utilities Company, Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Caring Products International Inc. | -- | -- | Yes/Oui | 2000/07/26 |
| Carl Data Solutions Inc. | -- | -- | -- | -- |
| Carlaw Capital V Corp. | -- | -- | -- | -- |
| Carlson Mines Ltd. | -- | -- | Yes/Oui | 1988/06/01 |
| Carlton Place Hotel Limited Partnership | -- | -- | Yes/Oui | 1997/10/29 |
| Carlyle Entertainment Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Carmanah Resources Ltd. | -- | -- | Yes/Oui | 2001/01/24 |
| Carmanah Technologies Corporation | -- | -- | -- | -- |
| Carpincho Capital Corp. | -- | -- | -- | -- |
| Carriage Lane Estates | -- | -- | Yes/Oui | 1992/06/18 |
| Carriage Small Business Ventures Incorporated | -- | -- | Yes/Oui | 1986/06/23 |
| Carrie Arran Resources Inc. | -- | -- | -- | -- |
| Carrington Acquisition Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/12/03 |
| CARS and PARS Programme | -- | -- | -- | -- |
| Cartaway Resources Corporation | -- | -- | Yes/Oui | 1999/04/06 |
| Cartier Iron Corporation | -- | -- | -- | -- |
| Carube Copper Corp. | -- | -- | -- | -- |
| Carvern International Industries Ltd. | -- | -- | Yes/Oui | 1986/10/28 |
| Cascade Pacific Resources Ltd. | -- | -- | Yes/Oui | 1984/06/22 |
| Cascadero Copper Corporation | -- | -- | -- | -- |
| Cascades Inc. | -- | -- | -- | -- |
| Cascadia Consumer Electronics Corp. | -- | -- | -- | -- |
| Cash Management Class | -- | -- | -- | -- |
| Cash Management Pool | -- | -- | -- | -- |
| Cash Store Australia Holdings Inc., The | -- | -- | Yes/Oui | 2012/12/12 |
| Cash Store Financial Services Inc., The | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/06/06 |
| Caspian Energy Inc. | -- | -- | -- | -- |
| Caspian Oil Tools Limited | -- | -- | Yes/Oui | 2001/03/14 |
| Cassidy's Ltd. | -- | -- | Yes/Oui | 2000/07/17 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| CatchMark Timber Trust, Inc. | -- | -- | -- | -- |
| Caterpillar Financial Services Limited | -- | -- | -- | -- |
| Cathay Forest Products Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/05/06 |
| Cathedral Energy Services Ltd. | -- | -- | -- | -- |
| Cautivo Mining Inc. | -- | -- | -- | -- |
| Cava Resources Inc. | -- | -- | -- | -- |
| Cavalier Energy Limited | -- | -- | Yes/Oui | 1992/07/10 |
| Caviar Resources Limited | -- | -- | Yes/Oui | 1989/08/02 |
| Cayenne Capital Corp. | -- | -- | -- | -- |
| Cayenne Gold Mines Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/11/07 |
| CBL Acquisition Corporation | -- | -- | Yes/Oui | 1991/07/16 |
| CBLT Inc. | -- | -- | -- | -- |
| CBM Asia Development Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/07/09 |
| CCL Industries Inc. | -- | -- | -- | -- |
| CC&L Core Income and Growth Fund | -- | -- | -- | -- |
| CC&L Diversified Income Portfolio | -- | -- | -- | -- |
| CC&L Equity Income and Growth Fund | -- | -- | -- | -- |
| CC&L Global Alpha Fund | -- | -- | -- | -- |
| CC&L High Yield Bond Fund | -- | -- | -- | -- |
| CD Plus.com Ltd. | Yes/Oui | 1a, 1b | Yes/Oui | 2001/11/16 |
| CD Rom Network Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2003/09/22 |
| CDA International Inc. | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2004/11/23 |
| CDN MSolar Corp. | -- | -- | -- | -- |
| CDNET Canada Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Ced-Or Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/09/15 |
| Cedar Ridge Apartment Project | -- | -- | Yes/Oui | 1989/07/27 |
| Celestica Inc. | -- | -- | -- | -- |
| CellCube Energy Storage Systems Inc. | -- | -- | -- | -- |
| Cellstop Systems Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Celtic Minerals Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/05/13 |
| Celtic Tiger Minerals Exploration Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/09/16 |
| Cen-ta Real Estate Ltd. | -- | -- | -- | -- |
| Cenex Limited | -- | -- | Yes/Oui | 1980/06/27 |
| Cenovus Energy Inc. | -- | -- | -- | -- |
| Centamin plc | -- | -- | -- | -- |
| Centennial Place Apartment Project | -- | -- | -- | -- |
| Centerra Gold Inc. | -- | -- | -- | -- |
| Central 1 Credit Union | -- | -- | -- | -- |
| Central Asia Goldfields Corporation | -- | -- | Yes/Oui | 1999/06/25 |
| Central Industries Corporation Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/07/07 |
| Centre Street Limited Partnership | -- | -- | Yes/Oui | 1995/06/02 |
| Centreview Properties Ltd. | -- | -- | -- | -- |
| Centric Health Corporation | -- | -- | -- | -- |
| Century DJ Fund | -- | -- | -- | -- |
| Century Global Commodities Corporation | -- | -- | -- | -- |
| Century Technologies Inc. | -- | -- | -- | -- |
| Cequence Energy Ltd. | -- | -- | -- | -- |
| Cercal Minerals Corporation | -- | -- | Yes/Oui | 1995/05/31 |
| Ceres Global Ag Corp. | -- | -- | -- | -- |
| Ceridian HCM Holding Inc. | -- | -- | -- | -- |
| Cerro Grande Mining Corporation | -- | -- | -- | -- |
| Certive Solutions Inc. | -- | -- | -- | -- |
| Cervus Equipment Corporation | -- | -- | -- | -- |
| CES Energy Solutions Corp. | -- | -- | -- | -- |
| Cessland Corporation Limited | -- | -- | Yes/Oui | 1992/09/09 |
| Cevax Corporation | -- | -- | Yes/Oui | 1991/03/07 |
| CGF Fund 4000 | -- | -- | Yes/Oui | 1989/12/01 |
| CGF Venture Fund | -- | -- | Yes/Oui | 1989/12/01 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| CGI Group Inc. | -- | -- | -- | -- |
| CGI Mutualization Corporation | -- | -- | Yes/Oui | 1993/07/15 |
| CGX Energy Inc. | -- | -- | -- | -- |
| Chai Cha Na Mining Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/10/04 |
| Chalice Gold Mines Limited | -- | -- | -- | -- |
| Chambers Foods Limited | -- | -- | Yes/Oui | 1976/08/12 |
| Champion Bear Resources Ltd. | -- | -- | -- | -- |
| Champion Iron Limited | -- | -- | -- | -- |
| Changeling, The | -- | -- | Yes/Oui | 1986/09/19 |
| Changfeng Energy Inc. | -- | -- | -- | -- |
| CHAR Technologies Ltd. | -- | -- | -- | -- |
| Charity Genetic Advancement Limited Partnership | -- | -- | Yes/Oui | 1992/06/18 |
| Charlotte Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/13 |
| Charter Industries Ltd. | -- | -- | Yes/Oui | 1994/06/03 |
| Chartwell Mews | -- | -- | Yes/Oui | 1986/09/15 |
| Chartwell Retirement Residences | -- | -- | -- | -- |
| Chase Resource Corporation | -- | -- | Yes/Oui | 2001/08/31 |
| Chateau Janeville Apartment Project | -- | -- | -- | -- |
| Chatham House Apartments Limited Partnership | -- | -- | Yes/Oui | 1986/09/15 |
| Chauvco Resources International Ltd. | -- | -- | Yes/Oui | 1999/06/30 |
| CHC Student Housing Corp. | -- | -- | -- | -- |
| Cheetah Canyon Resources Corp. | -- | -- | -- | -- |
| Chemistree Technology Inc. | -- | -- | -- | -- |
| Chemokine Therapeutics Corp. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2009/04/13 |
| Chemtrade Electrochem Inc. | -- | -- | -- | -- |
| Chemtrade Logistics Income Fund | -- | -- | -- | -- |
| Cherokee Resources Limited | -- | -- | -- | -- |
| Cherry Street Capital Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Chesswood Group Limited | -- | -- | -- | -- |
| Chester Minerals Limited | -- | -- | Yes/Oui | 1989/06/15 |
| Chetwynd Resources Inc. | -- | -- | Yes/Oui | 1994/03/02 |
| Cheyenne Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/05/21 |
| Chieftain Metals Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/02/03 |
| Children's Educational Foundation of Canada, The | -- | -- | -- | -- |
| Chimata Gold Corp. | -- | -- | -- | -- |
| China Diamond Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/12/11 |
| China Education Resources Inc. | -- | -- | -- | -- |
| China Gold International Resources Corp. Ltd. | -- | -- | -- | -- |
| China Goldcorp Ltd. | -- | -- | -- | -- |
| China Minerals Mining Corporation | -- | -- | -- | -- |
| Chinapintza Mining Corp. | -- | -- | -- | -- |
| Chinook Energy Inc. | -- | -- | -- | -- |
| Chinook Tye Industry Limited | -- | -- | -- | -- |
| CHIP Mortgage Trust | -- | -- | -- | -- |
| ChitrChatr Communications Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/12/02 |
| Chivor Emerald Corporation Ltd. | -- | -- | Yes/Oui | 2000/06/15 |
| Choice Properties Real Estate Investment Trus | -- | -- | -- | -- |
| Choom Holdings Inc. | -- | -- | -- | -- |
| Chopp Computer Corporation | -- | -- | Yes/Oui | 1987/10/09 |
| Chorus Aviation Inc. | -- | -- | -- | -- |
| Chorus II Aggressive Growth Portfolio | -- | -- | -- | -- |
| Chorus II Balanced Growth Portfolio | -- | -- | -- | -- |
| Chorus II Balanced Income Portfolio | -- | -- | -- | -- |
| Chorus II Conservative Low Volatility Portfolio | -- | -- | -- | -- |
| Chorus II Corporate Class Aggressive Growth Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Chorus II Corporate Class Balanced Growth Portfolio | -- | -- | -- | -- |
| Chorus II Corporate Class Balanced Income Portfolio | -- | -- | -- | -- |
| Chorus II Corporate Class Conservative Low Volatility Portfolio | -- | -- | -- | -- |
| Chorus II Corporate Class Growth Portfolio | -- | -- | -- | -- |
| Chorus II Corporate Class Maximum Growth Portfolio | -- | -- | -- | -- |
| Chorus II Growth Portfolio | -- | -- | -- | -- |
| Chorus II Maximum Growth Portfolio | -- | -- | -- | -- |
| Chou Asia Fund | -- | -- | -- | -- |
| Chou Associates Fund | -- | -- | -- | -- |
| Chou Bond Fund | -- | -- | -- | -- |
| Chou Europe Fund | -- | -- | -- | -- |
| Chou RRSP Fund | -- | -- | -- | -- |
| ChroMedX Corp. | -- | -- | -- | -- |
| Chukuni Resources Inc. | -- | -- | Yes/Oui | 1987/05/28 |
| Churchill 10 Debenture Corp. | -- | -- | -- | -- |
| Churchill 10 Real Estate Limited Partnership | -- | -- | -- | -- |
| Churchill 11 Debenture Corp. | -- | -- | -- | -- |
| Churchill 11 Real Estate Limited Partnership | -- | -- | -- | -- |
| Churchill IV Debenture Corp. | -- | -- | -- | -- |
| Churchill IV Real Estate Limited Partnership | -- | -- | -- | -- |
| CI American Managers Corporate Class | -- | -- | -- | -- |
| CI American Small Companies Corporate Class | -- | -- | -- | -- |
| CI American Small Companies Fund | -- | -- | -- | -- |
| CI American Value Corporate Class | -- | -- | -- | -- |
| CI American Value Fund | -- | -- | -- | -- |
| CI Can-AM Small Cap Corporate Class | -- | -- | -- | -- |
| CI Canadian Investment Corporate Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| CI Canadian Investment Fund | -- | -- | -- | -- |
| CI Canadian Small/Mid Cap Fund | -- | -- | -- | -- |
| CI Financial Corp. | -- | -- | -- | -- |
| CI G5 20 2038 Q3 Fund | -- | -- | -- | -- |
| CI G5 20 2038 Q4 Fund | -- | -- | -- | -- |
| CI G5 20 2039 Q2 Fund | -- | -- | -- | -- |
| CI G5 20 2039 Q3 Fund | -- | -- | -- | -- |
| CI G5 20 2040 Q1 Fund | -- | -- | -- | -- |
| CI G5 20i 2035 Q1 Fund | -- | -- | -- | -- |
| CI G5 20i 2035 Q2 Fund | -- | -- | -- | -- |
| CI G5 20i 2036 Q1 Fund | -- | -- | -- | -- |
| CI G5 20i 2036 Q2 Fund | -- | -- | -- | -- |
| CI G5 20i 2040 Q4 Fund | -- | -- | -- | -- |
| CI Global Health Sciences Corporate Class | -- | -- | -- | -- |
| CI Global High Dividend Advantage Corporate Class | -- | -- | -- | -- |
| CI Global High Dividend Advantage Fund | -- | -- | -- | -- |
| CI Global Small Companies Corporate Class | -- | -- | -- | -- |
| CI Global Small Companies Fund | -- | -- | -- | -- |
| CI Global Value Corporate Class | -- | -- | -- | -- |
| CI Global Value Fund | -- | -- | -- | -- |
| CI Income Fund | -- | -- | -- | -- |
| CI International Value Corporate Class | -- | -- | -- | -- |
| CI International Value Fund | -- | -- | -- | -- |
| CI Investment Grade Bond Fund | -- | -- | -- | -- |
| CI Money Market Fund | -- | -- | -- | -- |
| CI Pacific Corporate Class | -- | -- | -- | -- |
| CI Pacific Fund | -- | -- | -- | -- |
| CI Short-Term Corporate Class | -- | -- | -- | -- |
| CI Short-Term US\$ Corporate Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| CI US Money Market Fund | -- | -- | -- | -- |
| CI U.S. Income US\$ Pool | -- | -- | -- | -- |
| CIBC Asia Pacific Fund | -- | -- | -- | -- |
| CIBC Asia Pacific Index Fund | -- | -- | -- | -- |
| CIBC Balanced Fund | -- | -- | -- | -- |
| CIBC Balanced Growth Passive Portfolio | -- | -- | -- | -- |
| CIBC Balanced Index Fund | -- | -- | -- | -- |
| CIBC Balanced Passive Portfolio | -- | -- | -- | -- |
| CIBC Canadian Bond Fund | -- | -- | -- | -- |
| CIBC Canadian Bond Index Fund | -- | -- | -- | -- |
| CIBC Canadian Equity Fund | -- | -- | -- | -- |
| CIBC Canadian Equity Value Fund | -- | -- | -- | -- |
| CIBC Canadian Index Fund | -- | -- | -- | -- |
| CIBC Canadian Real Estate Fund | -- | -- | -- | -- |
| CIBC Canadian Resources Fund | -- | -- | -- | -- |
| CIBC Canadian Short-Term Bond Index Fund | -- | -- | -- | -- |
| CIBC Canadian Small-Cap Fund | -- | -- | -- | -- |
| CIBC Canadian T-Bill Fund | -- | -- | -- | -- |
| CIBC Capital Trust | -- | -- | -- | -- |
| CIBC Conservative Passive Portfolio | -- | -- | -- | -- |
| CIBC Disciplined International Equity Fund | -- | -- | -- | -- |
| CIBC Disciplined U.S. Equity Fund | -- | -- | -- | -- |
| CIBC Dividend Growth Fund | -- | -- | -- | -- |
| CIBC Dividend Income Fund | -- | -- | -- | -- |
| CIBC Emerging Markets Fund | -- | -- | -- | -- |
| CIBC Emerging Markets Index Fund | -- | -- | -- | -- |
| CIBC Energy Fund | -- | -- | -- | -- |
| CIBC European Equity Fund | -- | -- | -- | -- |
| CIBC European Index Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| CIBC Financial Companies Fund | -- | -- | -- | -- |
| CIBC Global Bond Fund | -- | -- | -- | -- |
| CIBC Global Bond Index Fund | -- | -- | -- | -- |
| CIBC Global Equity Fund | -- | -- | -- | -- |
| CIBC Global Monthly Income Fund | -- | -- | -- | -- |
| CIBC Global Technology Fund | -- | -- | -- | -- |
| CIBC International Index Fund | -- | -- | -- | -- |
| CIBC International Small Companies Fund | -- | -- | -- | -- |
| CIBC Latin American Fund | -- | -- | -- | -- |
| CIBC Managed Aggressive Growth Portfolio | -- | -- | -- | -- |
| CIBC Managed Balanced Growth Portfolio | -- | -- | -- | -- |
| CIBC Managed Balanced Portfolio | -- | -- | -- | -- |
| CIBC Managed Growth Portfolio | -- | -- | -- | -- |
| CIBC Managed Income Plus Portfolio | -- | -- | -- | -- |
| CIBC Managed Income Portfolio | -- | -- | -- | -- |
| CIBC Managed Monthly Income Balanced Portfolio | -- | -- | -- | -- |
| CIBC Money Market Fund | -- | -- | -- | -- |
| CIBC Monthly Income Fund | -- | -- | -- | -- |
| CIBC Nasdaq Index Fund | -- | -- | -- | -- |
| CIBC Precious Metals Fund | -- | -- | -- | -- |
| CIBC Short-Term Income Fund | -- | -- | -- | -- |
| CIBC U.S. Broad Market Index Fund | -- | -- | -- | -- |
| CIBC U.S. Dollar Managed Balanced Portfolio | -- | -- | -- | -- |
| CIBC U.S. Dollar Managed Growth Portfolio | -- | -- | -- | -- |
| CIBC U.S. Dollar Managed Income Portfolio | -- | -- | -- | -- |
| CIBC U.S. Dollar Money Market Fund | -- | -- | -- | -- |
| CIBC U.S. Index Fund | -- | -- | -- | -- |
| CIBC U.S. Small Companies Fund | -- | -- | -- | -- |
| CIBT Education Group Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| CIC Capital Fund Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2011/06/13 |
| CIC Mining Corporation | -- | -- | -- | -- |
| Cielo Waste Solutions Corp. | -- | -- | -- | -- |
| CIM International Group Inc. | -- | -- | -- | -- |
| Cimatec Environmental Engineering Inc. | Yes/Oui | 1b, 1c, 1e, 2a, 2c | Yes/Oui | 2007/12/04 |
| Cinaport Acquisition Corp. II | -- | -- | -- | -- |
| Cincinnati Energy Corp. | -- | -- | Yes/Oui | 1984/12/14 |
| Cinemars Entertainment Investments Ltd. | -- | -- | Yes/Oui | 1990/10/29 |
| Cinemars II Film and Company Limited Partnership | -- | -- | Yes/Oui | 1990/10/29 |
| Cineplex Inc. | -- | -- | -- | -- |
| Cinequity Corporation | -- | -- | Yes/Oui | 1984/01/04 |
| Cinram International Limited Partnership | Yes/Oui | 1c, 1e | Yes/Oui | 2013/03/06 |
| Cintech Solutions, Inc. | -- | -- | Yes/Oui | 2003/07/18 |
| Cipher Pharmaceuticals Inc. | -- | -- | -- | -- |
| Circa Enterprises Inc. | -- | -- | -- | -- |
| Circle of Two | -- | -- | Yes/Oui | 1982/09/10 |
| Circus Tivoli | -- | -- | Yes/Oui | 1985/08/28 |
| CIS Commax Hedge Fund | -- | -- | Yes/Oui | 1995/10/03 |
| CIS Global Telecommunications Fund | -- | -- | Yes/Oui | 1995/10/03 |
| Citadel Income Fund | -- | -- | -- | -- |
| Citigroup Finance Canada ULC | -- | -- | -- | -- |
| Citigroup Inc. | -- | -- | -- | -- |
| Citrine Holdings Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/03/13 |
| CJL Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| Claim Post Resources Inc. | -- | -- | -- | -- |
| Clairvest Group Inc. | -- | -- | -- | -- |
| Claremont Industries Inc. | -- | -- | Yes/Oui | 1989/08/15 |
| Clarendon Apartments (1963) Limited | -- | -- | Yes/Oui | 1994/06/01 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Clarepine Industries Inc. | -- | -- | Yes/Oui | 1990/06/19 |
| Clareview Gardens Apartment Project | -- | -- | Yes/Oui | 1989/01/04 |
| Clarion Resources Ltd. | -- | -- | Yes/Oui | 2001/03/09 |
| Clarke Inc. | -- | -- | -- | -- |
| Clarocity Corporation | -- | -- | -- | -- |
| Class A-1 Income | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Class B-1 Canadian Equity | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Class C-1 U.S. Equity | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Class D-1 International Equity | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Class E-1 Emerging Markets Equity | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Class F-1 Alternative Strategies | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Classic Education Savings Plan | -- | -- | -- | -- |
| Claudio's Restaurant Group Inc. | -- | -- | Yes/Oui | 1999/06/25 |
| Claymore Alternative Energy/Eco ETF | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Claymore Canadian Balanced CorePortfolio ET | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Clean Harbors, Inc. | -- | -- | -- | -- |
| Clean Seed Capital Group Ltd. | -- | -- | -- | -- |
| Clean TeQ Holdings Limited | -- | -- | -- | -- |
| Cleanfield Alternative Energy Inc. | Yes/Oui | 1c, 1e | Yes/Oui | 2013/05/03 |
| CleanSoils Limited | -- | -- | Yes/Oui | 1998/06/16 |
| Clearford Water Systems Inc. | -- | -- | -- | -- |
| Clearpoint Global Dividend Fund | -- | -- | -- | -- |
| Clearpoint Short Term Income Fund | -- | -- | -- | -- |
| ClearStream Energy Services Inc. | -- | -- | -- | -- |
| Clearwater Seafoods Incorporated | -- | -- | -- | -- |
| Clearwater Technologies, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2003/08/27 |
| Cleghorn Minerals Ltd. | -- | -- | -- | -- |
| Cliffmont Resources Ltd. | -- | -- | -- | -- |
| Cliffside Capital Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Clipper Apartment Project | -- | -- | -- | -- |
| CLN Ventures Inc. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2003/08/27 |
| Cloud Nine Education Group Ltd. | -- | -- | -- | -- |
| Cluny Capital Corp. | -- | -- | -- | -- |
| CME Capital Inc. | -- | -- | Yes/Oui | 1995/08/20 |
| CMP 2017 Resource Limited Partnership | -- | -- | -- | -- |
| CMP 2018 Resource Limited Partnership | -- | -- | -- | -- |
| CMX Gold & Silver Corp. | -- | -- | -- | -- |
| CNH Capital Canada Receivables Trust | -- | -- | -- | -- |
| CNH Capital Canada Wholesale Trust | -- | -- | -- | -- |
| CNOOC Limited | -- | -- | -- | -- |
| Co-operators General Insurance Company | -- | -- | -- | -- |
| CO2 GRO Inc. | -- | -- | -- | -- |
| CO2 Solutions Inc. | -- | -- | -- | -- |
| Coastal Plain Resources Ltd. | -- | -- | Yes/Oui | 2001/07/10 |
| Cobalt 27 Capital Corp. | -- | -- | -- | -- |
| Cobalt Blockchain Inc. | -- | -- | -- | -- |
| Cobalt Provincial Mining Company Limited | -- | -- | Yes/Oui | 1988/06/15 |
| Cobatec Inc. | -- | -- | Yes/Oui | 1999/06/25 |
| Cobrun Mining Corporation | Yes/Oui | 1a | Yes/Oui | 2002/03/25 |
| Cockfield Brown Inc. | -- | -- | Yes/Oui | 1983/06/28 |
| Coeur Mining, Inc. | -- | -- | -- | -- |
| Cogeco Communications Inc. | -- | -- | -- | -- |
| Cogeco Inc. | -- | -- | -- | -- |
| Cogent Capital Corp. | Yes/Oui | 1a | Yes/Oui | 2002/09/24 |
| Cogient Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/08/10 |
| Cognetivity Neurosciences Ltd. | -- | -- | -- | -- |
| CohBar, Inc. | -- | -- | -- | -- |
| Colabor Group Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Colborne Centre I Limited Partnership | -- | -- | Yes/Oui | 1989/10/02 |
| Cold Creek Capital Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2009/05/11 |
| Colibri Resource Corporation | -- | -- | -- | -- |
| Colliers International Group Inc. | -- | -- | -- | -- |
| Collingwood Resources Corp. | -- | -- | -- | -- |
| Colonial Coal International Corp. | -- | -- | -- | -- |
| Colorado Resources Ltd. | -- | -- | -- | -- |
| Colossus Minerals Inc. | Yes/Oui | 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2014/04/29 |
| Colours International Inc. | -- | -- | Yes/Oui | 1988/07/20 |
| Colson Capital Corp. | -- | -- | -- | -- |
| Colt Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Columbus Gold Corp. | -- | -- | -- | -- |
| Comet Explorations Inc. | -- | -- | Yes/Oui | 1991/01/24 |
| Cominar Real Estate Investment Trust | -- | -- | -- | -- |
| Commerce Acquisition Corp. | -- | -- | -- | -- |
| Commerce Resources Corp. | -- | -- | -- | -- |
| Commercial Consolidators Corp. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2003/08/01 |
| Commercial Oil and Gas Ltd. | -- | -- | Yes/Oui | 1990/07/10 |
| Communicorp Corporation | Yes/Oui | 1b, 3 | Yes/Oui | 2003/12/05 |
| Compass Balanced Growth Portfolio | -- | -- | -- | -- |
| Compass Balanced Portfolio | -- | -- | -- | -- |
| Compass Conservative Balanced Portfolio | -- | -- | -- | -- |
| Compass Conservative Portfolio | -- | -- | -- | -- |
| Compass Growth Portfolio | -- | -- | -- | -- |
| Compass Maximum Growth Portfolio | -- | -- | -- | -- |
| Compel Capital Inc. | -- | -- | -- | -- |
| Compressario Corporation | Yes/Oui | 1b | Yes/Oui | 2003/05/22 |
| Computer Modelling Group Ltd. | -- | -- | -- | -- |
| Comstock Metals Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Comterm Inc. | -- | -- | Yes/Oui | 1991/01/16 |
| Concert Industries Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/08/16 |
| Concord Capital Corp. | -- | -- | Yes/Oui | 1991/12/19 |
| Concordia International Corp. | -- | -- | -- | -- |
| Condor Gold Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/04/06 |
| Condor Gold plc | -- | -- | -- | -- |
| Condor Petroleum Inc. | -- | -- | -- | -- |
| Condor Resources Inc. | -- | -- | -- | -- |
| Confederation Life Insurance Company | -- | -- | Yes/Oui | 1994/09/08 |
| Conifex Timber Inc. | -- | -- | -- | -- |
| ConjuChem Biotechnologies Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/10/04 |
| Connacher Oil and Gas Limited | -- | -- | -- | -- |
| Connor, Clark & Lunn 2008 Flow-Through Limited Partnership | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Connor, Clark & Lunn 2009 Flow-Through Limited Partnership | Yes/Oui | 1a, 1c, 3 | -- | -- |
| Connor, Clark & Lunn 2010 Flow-Through Limited Partnership | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Conpak Seafoods Inc. | -- | -- | Yes/Oui | 1998/02/24 |
| Conquest Resources Limited | -- | -- | -- | -- |
| Conservative Folio Fund | -- | -- | -- | -- |
| Conservative Fund | -- | -- | -- | -- |
| Conservative Income Portfolio | -- | -- | -- | -- |
| Conservative Monthly Income Fund | -- | -- | -- | -- |
| Conservative Portfolio | -- | -- | -- | -- |
| Consolidated Canorama Explorations Ltd. | -- | -- | Yes/Oui | 1975/04/11 |
| Consolidated Care Point Medical Centres Ltd. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2004/10/18 |
| Consolidated Firstfund Capital Corp. | -- | -- | -- | -- |
| Consolidated HCI Holdings Corporation | -- | -- | -- | -- |
| Consolidated Imperial Resources Inc. | -- | -- | Yes/Oui | 1992/11/25 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Consolidated Louanna Gold Mines Limited | -- | -- | Yes/Oui | 1991/10/22 |
| Consolidated NRD Resources Ltd. | -- | -- | Yes/Oui | 1998/06/08 |
| Consolidated Oil & Gas, Inc. | -- | -- | Yes/Oui | 1989/04/27 |
| Consolidated Tanager Limited | -- | -- | -- | -- |
| Constantine Metal Resources Ltd. | -- | -- | -- | -- |
| Constellation Copper Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/01/06 |
| Constellation Software Inc. | -- | -- | -- | -- |
| Continental Coach Ltd. | -- | -- | Yes/Oui | 1989/11/30 |
| Continental Gold Inc. | -- | -- | -- | -- |
| Continental Home Healthcare Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2006/05/12 |
| Continental Precious Minerals Inc. | -- | -- | -- | -- |
| Copper Mesa Mining Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/04/23 |
| Copper Mountain Mining Corporation | -- | -- | -- | -- |
| Copper One Inc. | -- | -- | -- | -- |
| Copper Reef Mining Corporation | -- | -- | -- | -- |
| Copperbank Resources Corp. | -- | -- | -- | -- |
| Coral Gold Resources Ltd. | -- | -- | -- | -- |
| Corby Spirit and Wine Limited | -- | -- | -- | -- |
| Cordell Gold Mines Limited | -- | -- | Yes/Oui | 1975/04/23 |
| Cordex Petroleums Inc. | -- | -- | Yes/Oui | 1999/07/08 |
| CordovaCann Corp. | -- | -- | -- | -- |
| Core Bond Fund (Portico) | -- | -- | -- | -- |
| Core Canadian Dividend Trust | -- | -- | -- | -- |
| Core Gold Inc. | -- | -- | -- | -- |
| Core Plus Bond Fund (Portico) | -- | -- | -- | -- |
| Cornerstone Metals Inc. | -- | -- | -- | -- |
| Coro Mining Corp. | -- | -- | -- | -- |
| Coronado Resources Ltd. | -- | -- | -- | -- |
| Corporate Bond Fund (Portico) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Correvio Pharma Corp. | -- | -- | -- | -- |
| Corridor Resources Inc. | -- | -- | -- | -- |
| Corsa Coal Corp. | -- | -- | -- | -- |
| Cortex Business Solutions Inc. | -- | -- | -- | -- |
| Corus Entertainment Inc. | -- | -- | -- | -- |
| Corvus Gold Inc. | -- | -- | -- | -- |
| Cosgrove-Moore Bindery Services Limited | -- | -- | Yes/Oui | 2001/08/03 |
| Costigan Gold Corporation | -- | -- | Yes/Oui | 1994/06/01 |
| Cotinga Pharmaceuticals Inc. | -- | -- | -- | -- |
| Cott Corporation | -- | -- | -- | -- |
| Counsel All Equity Portfolio | -- | -- | -- | -- |
| Counsel All Equity Portfolio Class | -- | -- | -- | -- |
| Counsel Balanced Growth Portfolio | -- | -- | -- | -- |
| Counsel Balanced Portfolio | -- | -- | -- | -- |
| Counsel Balanced Portfolio Class | -- | -- | -- | -- |
| Counsel Canadian Core Fixed Income | -- | -- | -- | -- |
| Counsel Canadian Dividend | -- | -- | -- | -- |
| Counsel Canadian Dividend Class | -- | -- | -- | -- |
| Counsel Canadian Growth | -- | -- | -- | -- |
| Counsel Canadian Growth Class | -- | -- | -- | -- |
| Counsel Canadian Value | -- | -- | -- | -- |
| Counsel Canadian Value Class | -- | -- | -- | -- |
| Counsel Conservative Portfolio | -- | -- | -- | -- |
| Counsel Conservative Portfolio Class | -- | -- | -- | -- |
| Counsel Defensive Global Equity | -- | -- | -- | -- |
| Counsel Fixed Income | -- | -- | -- | -- |
| Counsel Global Dividend | -- | -- | -- | -- |
| Counsel Global Fixed Income Fund | -- | -- | -- | -- |
| Counsel Global Low Volatility Equity | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Counsel Global Real Estate | -- | -- | -- | -- |
| Counsel Global Small Cap | -- | -- | -- | -- |
| Counsel Global Trend Strategy | -- | -- | -- | -- |
| Counsel Growth Portfolio | -- | -- | -- | -- |
| Counsel Growth Portfolio Class | -- | -- | -- | -- |
| Counsel High Income Portfolio | -- | -- | -- | -- |
| Counsel High Yield Fixed Income | -- | -- | -- | -- |
| Counsel Income Portfolio | -- | -- | -- | -- |
| Counsel International Growth | -- | -- | -- | -- |
| Counsel International Value | -- | -- | -- | -- |
| Counsel Money Market | -- | -- | -- | -- |
| Counsel Monthly Income Portfolio | -- | -- | -- | -- |
| Counsel North American High Yield Bond | -- | -- | -- | -- |
| Counsel Retirement Accumulation Portfolio | -- | -- | -- | -- |
| Counsel Retirement Foundation Portfolio | -- | -- | -- | -- |
| Counsel Retirement Income Portfolio | -- | -- | -- | -- |
| Counsel Retirement Preservation Portfolio | -- | -- | -- | -- |
| Counsel Short Term Bond | -- | -- | -- | -- |
| Counsel U.S. Value | -- | -- | -- | -- |
| Counsel U.S.Growth | -- | -- | -- | -- |
| CounterPath Corporation | -- | -- | -- | -- |
| Coupon Corporation Ltd. | -- | -- | -- | -- |
| Covalon Technologies Ltd. | -- | -- | -- | -- |
| Covington Fund II Inc. | -- | -- | -- | -- |
| Covington International Limited | -- | -- | Yes/Oui | 1994/01/18 |
| Covington Venture Fund Inc. | -- | -- | -- | -- |
| CP HOLDERS | -- | -- | -- | -- |
| CPI Card Group Inc. | -- | -- | -- | -- |
| CPI Plastics Group Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/08/25 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| CR Capital Corp. | -- | -- | -- | -- |
| Craig Wireless Systems Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/01/05 |
| Craigmont Mines Limited | -- | -- | Yes/Oui | 1985/07/22 |
| Crailar Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/09/02 |
| CRC Royalty Corporation | -- | -- | -- | -- |
| Credit Suisse AG | -- | -- | -- | -- |
| Crescent Point Energy Corp. | -- | -- | -- | -- |
| Crescita Therapeutics Inc. | -- | -- | -- | -- |
| Crew Energy Inc. | -- | -- | -- | -- |
| CRH Medical Corporation | -- | -- | -- | -- |
| Critical Control Energy Services Corp. | -- | -- | -- | -- |
| Crius Energy Trust | -- | -- | -- | -- |
| Crombie Real Estate Investment Trust | -- | -- | -- | -- |
| Cronos Group Inc. | -- | -- | -- | -- |
| CROP INFRASTRUCTURE CORP. | -- | -- | -- | -- |
| Crossover | -- | -- | Yes/Oui | 1984/12/05 |
| Crosswinds Holdings Inc. | -- | -- | -- | -- |
| Crown Capital Partners Inc. | -- | -- | -- | -- |
| Crown Mining Corp. | -- | -- | -- | -- |
| Crown Point Energy Inc. | -- | -- | -- | -- |
| Crown Trust Company | -- | -- | Yes/Oui | 1983/06/17 |
| Crownbridge Industries Inc. | -- | -- | Yes/Oui | 1992/02/06 |
| CRS Electronics Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/05/05 |
| CRS Preferred NT Ltd. | Yes/Oui | 1a, 1b | -- | -- |
| Cryptanite Blockchain Technologies Corp. | -- | -- | -- | -- |
| Cryptoblock Technologies Corp | -- | -- | -- | -- |
| CryptoGlobal Corp. | -- | -- | -- | -- |
| Crystal Graphite Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/09/15 |
| Crystal Peak Minerals Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Crystallex International Corporation | Yes/Oui | 1c, 1e, 3 | Yes/Oui | 2012/04/13 |
| CT Developers Ltd. | -- | -- | -- | -- |
| CT Real Estate Investment Trust | -- | -- | -- | -- |
| CTM Cafes Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/01/18 |
| CU Inc. | -- | -- | -- | -- |
| Cub Energy Inc. | -- | -- | -- | -- |
| Cubacan Exploration Inc. | -- | -- | Yes/Oui | 2003/06/12 |
| Cuervo Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/06 |
| Cumulus Ventures Ltd. | -- | -- | Yes/Oui | 2001/09/20 |
| Curragh Inc. | -- | -- | Yes/Oui | 1993/12/22 |
| Currency Exchange International, Corp. | -- | -- | -- | -- |
| Current Water Technologies Inc. | -- | -- | -- | -- |
| Currie Rose Resources Inc. | -- | -- | -- | -- |
| Curtains | -- | -- | -- | -- |
| Custom Cryogenic Grinding Corporation | -- | -- | Yes/Oui | 1998/06/08 |
| CUV Ventures Corp. | -- | -- | -- | -- |
| Cuvier Mines Inc. | -- | -- | Yes/Oui | 1989/06/30 |
| CVF Technologies Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/12/08 |
| CWB Core Equity Fund | -- | -- | -- | -- |
| CWB Core Fixed Income Fund | -- | -- | -- | -- |
| CWB Onyx Balanced Solution | -- | -- | -- | -- |
| CWB Onyx Canadian Equity Fund | -- | -- | -- | -- |
| CWB Onyx Conservative Solution | -- | -- | -- | -- |
| CWB Onyx Diversified Income Fund | -- | -- | -- | -- |
| CWB Onyx Global Equity Fund | -- | -- | -- | -- |
| CWB Onyx Growth Solution | -- | -- | -- | -- |
| CWC Energy Services Corp. | -- | -- | -- | -- |
| CY Oriental Holdings Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/07/08 |
| Cybersurf Corp. | -- | -- | Yes/Oui | 2009/01/05 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Cycomm International Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| CYGAM Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/13 |
| Cymat Technologies Ltd. | -- | -- | -- | -- |
| Cymbria Corporation | -- | -- | -- | -- |
| CYNTAR VENTURES INC. | -- | -- | -- | -- |
| Cypress Development Corp. | -- | -- | -- | -- |
| Cytrigen International Inc. | -- | -- | Yes/Oui | 1990/02/10 |
| C.B.R. Holdings Inc. | -- | -- | Yes/Oui | 1986/05/30 |
| C.F.P.C. (1990) Limited Partnership | -- | -- | Yes/Oui | 1990/07/20 |
| D | | | | |
| D-Box Technologies Inc. | -- | -- | -- | -- |
| Dakota Mining Corporation | -- | -- | Yes/Oui | 1998/05/25 |
| Daleco Resources Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 1999/04/20 |
| Dallas Enviro-Health Systems Ltd. | -- | -- | Yes/Oui | 1989/09/06 |
| Dalradian Resources Inc. | -- | -- | -- | -- |
| Damara Gold Corp. | -- | -- | -- | -- |
| Danco Industries Ltd. | -- | -- | Yes/Oui | 1992/10/07 |
| Danier Leather Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/02/17 |
| Darien Business Development Corp. | -- | -- | -- | -- |
| DATA Communications Management Corp. | -- | -- | -- | -- |
| Data Deposit Box Inc. | -- | -- | -- | -- |
| Datable Technology Corporation | -- | -- | -- | -- |
| Datalex Corp. | -- | -- | Yes/Oui | 2000/10/04 |
| DataMetrex AI Limited | -- | -- | -- | -- |
| DataWind Inc. | -- | -- | -- | -- |
| Datec Group Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2006/06/06 |
| Datum Ventures Inc. | Yes/Oui | 3 | -- | -- |
| David S. Reid Limited | Yes/Oui | 1a, 3 | Yes/Oui | 2003/08/28 |
| Davie Yards Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/04/30 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Davis-Rea Balanced Fund | -- | -- | -- | -- |
| Davis-Rea Equity Fund | -- | -- | -- | -- |
| Davis-Rea Fixed Income Fund | -- | -- | -- | -- |
| Davis Distributing Limited | -- | -- | Yes/Oui | 1997/07/30 |
| Dayton Porcupine Gold Mines Ltd. | -- | -- | Yes/Oui | 1992/03/20 |
| Daytona Shores Limited Partnership | -- | -- | Yes/Oui | 1993/08/10 |
| DealNet Capital Corp. | -- | -- | -- | -- |
| Dean Witter Canada Education Savings Plan, The | -- | -- | -- | -- |
| Dean Witter Cornerstone Fund II | Yes/Oui | 1a, 3 | -- | -- |
| Dean Witter Cornerstone Fund III | Yes/Oui | 1a, 3 | -- | -- |
| Dean Witter Cornerstone Fund IV | Yes/Oui | 1a, 3 | -- | -- |
| Deans Knight Income Corporation | -- | -- | -- | -- |
| Death Bite | -- | -- | Yes/Oui | 1983/10/17 |
| Debut Diamonds Inc. | -- | -- | -- | -- |
| DecisionPoint Systems, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Decisive Dividend Corporation | -- | -- | -- | -- |
| Declan Resources Inc. | -- | -- | -- | -- |
| Deer Horn Capital Inc. | -- | -- | -- | -- |
| Deer Ridge Village Limited Partnership | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1998/05/25 |
| Deer Valley Shopping Centre Limited Partnership | Yes/Oui | 1c, 1e | Yes/Oui | 2006/09/18 |
| Delano Technology Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 1g, 3 | Yes/Oui | 2009/04/27 |
| Delhi Pacific Resources Ltd. | -- | -- | Yes/Oui | 1986/06/23 |
| Delicious Alternative Desserts Ltd. | -- | -- | Yes/Oui | 2001/02/06 |
| Delivra Corp. | -- | -- | -- | -- |
| Delphi Energy Corp. | -- | -- | -- | -- |
| DelphX Capital Markets Inc. | -- | -- | -- | -- |
| Delta 9 Cannabis Inc. | -- | -- | -- | -- |
| Delta Systems, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/12/18 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Delta TM Montreal Hotel and Company, Limited Partnership | -- | -- | Yes/Oui | 1994/05/31 |
| Delta Uranium Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/11/07 |
| Deltona Industries Inc. | -- | -- | Yes/Oui | 1995/10/05 |
| Denbury Resources Inc. | -- | -- | -- | -- |
| Dengas Explorations & Holdings Limited | -- | -- | Yes/Oui | 1984/05/22 |
| Denison Mines Corp. | -- | -- | -- | -- |
| Denninghouse Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2007/08/07 |
| Derlak Enterprises Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/05/23 |
| Desert Eagle Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/11/07 |
| Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF | -- | -- | -- | -- |
| Desjardins 1-5 year Laddered Canadian Government Bond Index ETF | -- | -- | -- | -- |
| Desjardins American Equity Growth Currency Neutral Fund | -- | -- | -- | -- |
| Desjardins American Equity Growth Fund | -- | -- | -- | -- |
| Desjardins American Equity Value Fund | -- | -- | -- | -- |
| Desjardins Canada Multifactor-Controlled Volatility ETF | -- | -- | -- | -- |
| Desjardins Canadian Bond Fund | -- | -- | -- | -- |
| Desjardins Canadian Equity Fund | -- | -- | -- | -- |
| Desjardins Canadian Equity Income Fund | -- | -- | -- | -- |
| Desjardins Canadian Equity Value Fund | -- | -- | -- | -- |
| Desjardins Canadian Preferred Share Fund | -- | -- | -- | -- |
| Desjardins Canadian Preferred Share Index ET | -- | -- | -- | -- |
| Desjardins Canadian Short Term Bond Index ETF | -- | -- | -- | -- |
| Desjardins Canadian Small Cap Equity Fund | -- | -- | -- | -- |
| Desjardins Canadian Universe Bond Index ETF | -- | -- | -- | -- |
| Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Desjardins Dividend Growth Fund | -- | -- | -- | -- |
| Desjardins Dividend Income Fund | -- | -- | -- | -- |
| Desjardins Emerging Markets Bond Fund | -- | -- | -- | -- |
| Desjardins Emerging Markets Fund | -- | -- | -- | -- |
| Desjardins Emerging Markets Multifactor-Controlled Volatility ETF | -- | -- | -- | -- |
| Desjardins Emerging Markets Opportunities Fund | -- | -- | -- | -- |
| Desjardins Enhanced Bond Fund | -- | -- | -- | -- |
| Desjardins Floating Rate Income Fund | -- | -- | -- | -- |
| Desjardins Global Balanced Strategic Income Fund | -- | -- | -- | -- |
| Desjardins Global Corporate Bond Fund | -- | -- | -- | -- |
| Desjardins Global Dividend Fund | -- | -- | -- | -- |
| Desjardins Global Equity Growth Fund | -- | -- | -- | -- |
| Desjardins Global Equity Value Fund | -- | -- | -- | -- |
| Desjardins Global Inflation Linked Bond Fund | -- | -- | -- | -- |
| Desjardins Global Infrastructure Fund | -- | -- | -- | -- |
| Desjardins Global Small Cap Equity Fund | -- | -- | -- | -- |
| Desjardins Global Tactical Bond Fund | -- | -- | -- | -- |
| Desjardins IBrix Canadian Equity Focus Fund | -- | -- | -- | -- |
| Desjardins IBrix Canadian High Dividend Equity Fund | -- | -- | -- | -- |
| Desjardins IBrix Global Bond Fund | -- | -- | -- | -- |
| Desjardins IBrix Global Equity Focus Fund | -- | -- | -- | -- |
| Desjardins IBrix Low Volatility Emerging Markets Fund | -- | -- | -- | -- |
| Desjardins IBrix Low Volatility Global Equity Fund | -- | -- | -- | -- |
| Desjardins Money Market Fund | -- | -- | -- | -- |
| Desjardins Overseas Equity Growth Fund | -- | -- | -- | -- |
| Desjardins Overseas Equity Value Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Desjardins Quebec Balanced Fund | -- | -- | -- | -- |
| Desjardins Short-Term Income Fund | -- | -- | -- | -- |
| Desjardins SocieTerra American Equity Fund | -- | -- | -- | -- |
| Desjardins SocieTerra Canadian Bond Fund | -- | -- | -- | -- |
| Desjardins SocieTerra Canadian Equity Fund | -- | -- | -- | -- |
| Desjardins SocieTerra Cleantech Fund | -- | -- | -- | -- |
| Desjardins SocieTerra Environment Fund | -- | -- | -- | -- |
| Desjardins SocieTerra Environmental Bond Fund | -- | -- | -- | -- |
| Desjardins Tactical Balanced Fund | -- | -- | -- | -- |
| Desjardins USA Multifactor-Controlled Volatility ETF | -- | -- | -- | -- |
| Detour Gold Corporation | -- | -- | -- | -- |
| Deutsche Bank Aktiengesellschaft | -- | -- | -- | -- |
| Deveron UAS Corp. | -- | -- | -- | -- |
| Devine Entertainment Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/05/14 |
| Devon Resources Limited | -- | -- | Yes/Oui | 1986/09/04 |
| Devonian Health Group Inc. | -- | -- | -- | -- |
| Dexx Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/05/04 |
| DFA Canadian Core Equity Fund | -- | -- | -- | -- |
| DFA Canadian Vector Equity Fund | -- | -- | -- | -- |
| DFA Five-Year Global Fixed Income Fund | -- | -- | -- | -- |
| DFA Global 40EQ-60FI Portfolio | -- | -- | -- | -- |
| DFA Global 50EQ-50FI Portfolio | -- | -- | -- | -- |
| DFA Global 60EQ-40FI Portfolio | -- | -- | -- | -- |
| DFA Global 70EQ-30FI Portfolio | -- | -- | -- | -- |
| DFA Global 80EQ-20FI Portfolio | -- | -- | -- | -- |
| DFA Global Equity Fund | -- | -- | -- | -- |
| DFA Global Investment Grade Fixed Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| DFA Global Real Estate Securities Fund | -- | -- | -- | -- |
| DFA Global Targeted Credit Fund | -- | -- | -- | -- |
| DFA International Core Equity Fund | -- | -- | -- | -- |
| DFA International Vector Equity Fund | -- | -- | -- | -- |
| DFA U.S. Core Equity Fund | -- | -- | -- | -- |
| DFA U.S. Vector Equity | -- | -- | -- | -- |
| DHX Media Ltd. | -- | -- | -- | -- |
| Diadem Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/10/09 |
| Diagem Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/11/04 |
| Diagnos Inc. | -- | -- | -- | -- |
| DiaMedica Therapeutics Inc. | -- | -- | -- | -- |
| Diamond Estates Wines & Spirits Inc. | -- | -- | -- | -- |
| Diamond Fields Resources Inc. | -- | -- | -- | -- |
| Diamond Ridge | -- | -- | Yes/Oui | 1986/09/16 |
| Dianor Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/07 |
| Diepdaume Mines Limited | -- | -- | Yes/Oui | 1992/06/12 |
| Difference Capital Financial Inc. | -- | -- | -- | -- |
| DigiCrypts Blockchain Solutions Inc. | -- | -- | -- | -- |
| Digital Duplication Inc. | Yes/Oui | 1a | Yes/Oui | 2003/01/09 |
| Dimensional Media Inc. | Yes/Oui | 1a, 1b, 1d, 1f, 3, 4 | Yes/Oui | 2003/05/08 |
| Dinnerex Limited Partnership IX | Yes/Oui | 1b | Yes/Oui | 2003/06/04 |
| Dinnerex Limited Partnership VIII | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/03/02 |
| Dinnerex Limited Partnership X | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/06/08 |
| Dinnerex Limited Partnership XI | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2003/06/04 |
| Dinnerex National III Limited Partnership | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/06/06 |
| Dinnerex National IV Limited Partnership | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/06/02 |
| Dino International Inc. | -- | -- | Yes/Oui | 1993/12/23 |
| Dion Entertainment Corp. | Yes/Oui | 1b | Yes/Oui | 2003/06/05 |
| DIRTT Environmental Solutions Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Discovery 2017 Flow-Through Limited Partnership | -- | -- | -- | -- |
| Discovery Air Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2018/05/07 |
| Discovery Quay-Building I Limited Partnership | -- | -- | Yes/Oui | 1986/09/16 |
| Disenco Energy PLC | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/20 |
| Distinct Infrastructure Group Inc. | -- | -- | -- | -- |
| Distinction Balanced Class | -- | -- | -- | -- |
| Distinction Bold Class | -- | -- | -- | -- |
| Distinction Conservative Class | -- | -- | -- | -- |
| Distinction Growth Class | -- | -- | -- | -- |
| Distinction Monthly Income Class | -- | -- | -- | -- |
| Distinction Prudent Class | -- | -- | -- | -- |
| Divcom Lighting Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/04/08 |
| Diversafife International Inc. | -- | -- | Yes/Oui | 1998/08/06 |
| Diversified Fixed Income Folio Fund | -- | -- | -- | -- |
| Diversified Royalty Corp. | -- | -- | -- | -- |
| Divestco Inc. | -- | -- | -- | -- |
| Dividend 15 Split Corp. | -- | -- | -- | -- |
| Dividend 15 Split Corp. II | -- | -- | -- | -- |
| Dividend Class (GWLIM) | -- | -- | -- | -- |
| Dividend Fund (GWLIM) | -- | -- | -- | -- |
| Dividend Growth Split Corp. | -- | -- | -- | -- |
| Dividend Select 15 Corp. | -- | -- | -- | -- |
| Divot Golf Corporation | -- | -- | Yes/Oui | 1999/06/28 |
| Dixie Road Partnership | -- | -- | Yes/Oui | 1994/05/25 |
| Dizun International Enterprises Inc. | -- | -- | -- | -- |
| DLV Resources Ltd. | -- | -- | -- | -- |
| DMD Digital Health Connections Group Inc. | -- | -- | -- | -- |
| DMG Blockchain Solutions Inc. | -- | -- | -- | -- |
| DMP Power Global Growth Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| DMP Resource Class | -- | -- | -- | -- |
| DMP Value Balanced Class | -- | -- | -- | -- |
| DMR Resources Ltd. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/02/25 |
| DNI Metals Inc. | -- | -- | -- | -- |
| Dollarama Inc. | -- | -- | -- | -- |
| Dolly Varden Silver Corporation | -- | -- | -- | -- |
| Doman Industries Limited | Yes/Oui | 1c, 1e | Yes/Oui | 2004/12/10 |
| Dome Petroleum Limited | -- | -- | -- | -- |
| Dominion Bioresources Inc. | -- | -- | Yes/Oui | 1988/07/20 |
| Dominion Citrus Income Fund | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2016/12/05 |
| Dominion International Investments Inc. | Yes/Oui | 1b | Yes/Oui | 2001/07/23 |
| Domtar Corporation | -- | -- | -- | -- |
| Donnelley Financial Solutions, Inc. | -- | -- | -- | -- |
| Dorel Industries Inc. | -- | -- | -- | -- |
| Dotodo Urban Logistics, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Double Negative | -- | -- | Yes/Oui | 1992/09/30 |
| DoubleLine Income Solutions Trust | -- | -- | -- | -- |
| DoveCorp Enterprises Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/01/09 |
| Dovetec Corporation | -- | -- | Yes/Oui | 1994/11/24 |
| Dragon Legend Entertainment (Canada) Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2017/06/05 |
| DragonWave Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2017/11/03 |
| Dream Global Real Estate Investment Trust | -- | -- | -- | -- |
| Dream Hard Asset Alternatives Trust | -- | -- | -- | -- |
| Dream Industrial Real Estate Investment Trust | -- | -- | -- | -- |
| Dream Office Real Estate Investment Trust | -- | -- | -- | -- |
| DREAM Unlimited Corp. | -- | -- | -- | -- |
| Dresdner RCM Investment Funds Inc. | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Dreyfus Fund Incorporated, The | -- | -- | -- | -- |
| Drone Delivery Canada Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Dubenski Gold Mines Limited | -- | -- | Yes/Oui | 1992/04/02 |
| Dubuisson Explorations Limited | -- | -- | Yes/Oui | 1983/09/23 |
| Duckworth Capital Corp. | -- | -- | -- | -- |
| Dufault Contact Mines Limited | -- | -- | Yes/Oui | 1978/09/15 |
| Duncan Gold Resources Inc. | -- | -- | Yes/Oui | 1992/06/12 |
| Duncan Park Holdings Corporation | -- | -- | -- | -- |
| Dundee Corporation | -- | -- | -- | -- |
| Dundee Energy Limited | -- | -- | -- | -- |
| Dundee Global Resource Class | -- | -- | -- | -- |
| Dundee Precious Metals Inc. | -- | -- | -- | -- |
| Dundee Sustainable Technologies Inc. | -- | -- | -- | -- |
| Dunes Exploration Ltd. (prev. Dynamic Resources Corp.) | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/05/14 |
| Dunhill Estates | -- | -- | Yes/Oui | 1985/01/11 |
| Duometal (1984) Inc. | -- | -- | Yes/Oui | 1985/03/07 |
| Duran Ventures Inc. | -- | -- | -- | -- |
| Duration Mines Ltd. | -- | -- | Yes/Oui | 1990/12/19 |
| DXI Energy Inc. | -- | -- | -- | -- |
| DXStorm.com Inc. | -- | -- | -- | -- |
| dynaCERT Inc. | -- | -- | -- | -- |
| Dynacor Gold Mines Inc. | -- | -- | -- | -- |
| Dynamar Energy Limited | -- | -- | Yes/Oui | 1988/06/16 |
| Dynamic Active Canadian Dividend Fund | -- | -- | -- | -- |
| Dynamic Active Core Bond Private Pool | -- | -- | -- | -- |
| Dynamic Active Credit Strategies Private Pool | -- | -- | -- | -- |
| Dynamic Active Crossover Bond Fund | -- | -- | -- | -- |
| Dynamic Active Global Dividend Fund | -- | -- | -- | -- |
| Dynamic Active Global Financial Services Func | -- | -- | -- | -- |
| Dynamic Active Investment Grade Floating Rat Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Dynamic Active Preferred Shares Fund | -- | -- | -- | -- |
| Dynamic Active Tactical Bond Fund | -- | -- | -- | -- |
| Dynamic Active U.S. Dividend Fund | -- | -- | -- | -- |
| Dynamic Active U.S. Mid-Cap Fund | -- | -- | -- | -- |
| Dynamic Advantage Bond Class | -- | -- | -- | -- |
| Dynamic Advantage Bond Fund | -- | -- | -- | -- |
| Dynamic Alternative Managed Risk Private Poc Class | -- | -- | -- | -- |
| Dynamic Alternative Yield Class | -- | -- | -- | -- |
| Dynamic Alternative Yield Fund | -- | -- | -- | -- |
| Dynamic American Class | -- | -- | -- | -- |
| Dynamic American Fund | -- | -- | -- | -- |
| Dynamic Asia Pacific Equity Fund | -- | -- | -- | -- |
| Dynamic Asset Allocation Private Pool | -- | -- | -- | -- |
| Dynamic Blue Chip Balanced Fund | -- | -- | -- | -- |
| Dynamic Blue Chip Equity Fund | -- | -- | -- | -- |
| Dynamic Blue Chip U.S. Balanced Class | -- | -- | -- | -- |
| Dynamic Canadian Bond Fund | -- | -- | -- | -- |
| Dynamic Canadian Dividend Fund | -- | -- | -- | -- |
| Dynamic Canadian Equity Private Pool Class | -- | -- | -- | -- |
| Dynamic Canadian Value Class | -- | -- | -- | -- |
| Dynamic Conservative Yield Private Pool | -- | -- | -- | -- |
| Dynamic Conservative Yield Private Pool Class | -- | -- | -- | -- |
| Dynamic Corporate Bond Strategies Class | -- | -- | -- | -- |
| Dynamic Corporate Bond Strategies Fund | -- | -- | -- | -- |
| Dynamic Credit Spectrum Fund | -- | -- | -- | -- |
| Dynamic Diversified Real Asset Fund | -- | -- | -- | -- |
| Dynamic Dividend Advantage Class | -- | -- | -- | -- |
| Dynamic Dividend Advantage Fund | -- | -- | -- | -- |
| Dynamic Dividend Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Dynamic Dividend Income Class | -- | -- | -- | -- |
| Dynamic Dividend Income Fund | -- | -- | -- | -- |
| Dynamic Dollar-Cost Averaging Fund | -- | -- | -- | -- |
| Dynamic Energy Income Fund | -- | -- | -- | -- |
| Dynamic Equity Income Fund | -- | -- | -- | -- |
| Dynamic European Equity Fund | -- | -- | -- | -- |
| Dynamic Financial Services Fund | -- | -- | -- | -- |
| Dynamic Global All-Terrain Fund | -- | -- | -- | -- |
| Dynamic Global Asset Allocation Class | -- | -- | -- | -- |
| Dynamic Global Asset Allocation Fund | -- | -- | -- | -- |
| Dynamic Global Balanced Fund | -- | -- | -- | -- |
| Dynamic Global Discovery Class | -- | -- | -- | -- |
| Dynamic Global Discovery Fund | -- | -- | -- | -- |
| Dynamic Global Dividend Class | -- | -- | -- | -- |
| Dynamic Global Dividend Fund | -- | -- | -- | -- |
| Dynamic Global Equity Fund | -- | -- | -- | -- |
| Dynamic Global Equity Income Fund | -- | -- | -- | -- |
| Dynamic Global Equity Private Pool Class | -- | -- | -- | -- |
| Dynamic Global Infrastructure Class | -- | -- | -- | -- |
| Dynamic Global Infrastructure Fund | -- | -- | -- | -- |
| Dynamic Global Real Estate Fund | -- | -- | -- | -- |
| Dynamic Global Strategic Yield Fund | -- | -- | -- | -- |
| Dynamic Global Yield Private Pool | -- | -- | -- | -- |
| Dynamic Global Yield Private Pool Class | -- | -- | -- | -- |
| Dynamic High Yield Bond Fund | -- | -- | -- | -- |
| Dynamic International Dividend Private Pool | -- | -- | -- | -- |
| Dynamic International Equity Fund | -- | -- | -- | -- |
| Dynamic Investment Grade Floating Rate Fund | -- | -- | -- | -- |
| Dynamic iShares Active Canadian Dividend ET | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Dynamic iShares Active Crossover Bond ETF | -- | -- | -- | -- |
| Dynamic iShares Active Global Dividend ETF | -- | -- | -- | -- |
| Dynamic iShares Active Global Financial Services ETF | -- | -- | -- | -- |
| Dynamic iShares Active Investment Grade Floating Rate ETF | -- | -- | -- | -- |
| Dynamic iShares Active Preferred Shares ETF | -- | -- | -- | -- |
| Dynamic iShares Active Tactical Bond ETF | -- | -- | -- | -- |
| Dynamic iShares Active U.S. Dividend ETF | -- | -- | -- | -- |
| Dynamic iShares Active U.S. Mid-Cap ETF | -- | -- | -- | -- |
| Dynamic Money Market Class | -- | -- | -- | -- |
| Dynamic Money Market Fund | -- | -- | -- | -- |
| Dynamic North American Dividend Private Poo | -- | -- | -- | -- |
| Dynamic Power American Growth Class | -- | -- | -- | -- |
| Dynamic Power American Growth Fund | -- | -- | -- | -- |
| Dynamic Power Balanced Fund | -- | -- | -- | -- |
| Dynamic Power Canadian Growth Fund | -- | -- | -- | -- |
| Dynamic Power Global Balanced Class | -- | -- | -- | -- |
| Dynamic Power Global Growth Class | -- | -- | -- | -- |
| Dynamic Power Global Growth Fund | -- | -- | -- | -- |
| Dynamic Power Global Navigator Class | -- | -- | -- | -- |
| Dynamic Power Small Cap Fund | -- | -- | -- | -- |
| Dynamic Precious Metals Fund | -- | -- | -- | -- |
| Dynamic Preferred Yield Class | -- | -- | -- | -- |
| Dynamic Premium Bond Private Pool | -- | -- | -- | -- |
| Dynamic Premium Bond Private Pool Class | -- | -- | -- | -- |
| Dynamic Premium Yield Class | -- | -- | -- | -- |
| Dynamic Premium Yield Fund | -- | -- | -- | -- |
| Dynamic Russian Resource Fund | Yes/Oui | 1a, 3 | -- | -- |
| Dynamic Short Term Bond Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Dynamic Small Business Fund | -- | -- | -- | -- |
| Dynamic Strategic Energy Class | -- | -- | -- | -- |
| Dynamic Strategic Gold Class | -- | -- | -- | -- |
| Dynamic Strategic Resource Class | -- | -- | -- | -- |
| Dynamic Strategic Yield Class | -- | -- | -- | -- |
| Dynamic Strategic Yield Fund | -- | -- | -- | -- |
| Dynamic Tactical Bond Private Pool | -- | -- | -- | -- |
| Dynamic Total Return Bond Class | -- | -- | -- | -- |
| Dynamic Total Return Bond Fund | -- | -- | -- | -- |
| Dynamic U.S. Dividend Advantage Fund | -- | -- | -- | -- |
| Dynamic U.S. Equity Income Fund | -- | -- | -- | -- |
| Dynamic U.S. Equity Private Pool Class | -- | -- | -- | -- |
| Dynamic U.S. Monthly Income Fund | -- | -- | -- | -- |
| Dynamic U.S. Sector Focus Class | -- | -- | -- | -- |
| Dynamic U.S. Strategic Yield Fund | -- | -- | -- | -- |
| Dynamic Value Balanced Class | -- | -- | -- | -- |
| Dynamic Value Balanced Fund | -- | -- | -- | -- |
| Dynamic Value Fund of Canada | -- | -- | -- | -- |
| Dynamic Venture Opportunities Fund Ltd. | -- | -- | -- | -- |
| DynamicEdge Balanced Class Portfolio | -- | -- | -- | -- |
| DynamicEdge Balanced Growth Class Portfolio | -- | -- | -- | -- |
| DynamicEdge Balanced Growth Portfolio | -- | -- | -- | -- |
| DynamicEdge Balanced Income Portfolio | -- | -- | -- | -- |
| DynamicEdge Balanced Portfolio | -- | -- | -- | -- |
| DynamicEdge Conservative Class Portfolio | -- | -- | -- | -- |
| DynamicEdge Defensive Portfolio | -- | -- | -- | -- |
| DynamicEdge Equity Class Portfolio | -- | -- | -- | -- |
| DynamicEdge Equity Portfolio | -- | -- | -- | -- |
| DynamicEdge Growth Class Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| DynamicEdge Growth Portfolio | -- | -- | -- | -- |
| Dynasty Components Inc. | -- | -- | Yes/Oui | 2002/10/28 |
| Dynasty TMT Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 1998/06/11 |
| Dynex Petroleum Ltd. | -- | -- | Yes/Oui | 1993/12/17 |
| Dynex Power Inc. | -- | -- | -- | -- |
| E | | | | |
| E-L Financial Corporation Limited | -- | -- | -- | -- |
| E-Manufacturing Networks Inc. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2003/05/28 |
| E-Play Digital Inc. | Yes/Oui | 3 | -- | -- |
| E Ventures Inc. | Yes/Oui | 1a | Yes/Oui | 2003/05/23 |
| E3 Metals Corp. | -- | -- | -- | -- |
| EA Education Group Inc. | -- | -- | -- | -- |
| EAFE Equity Fund | -- | -- | -- | -- |
| Eagle Credit Card Trust | -- | -- | -- | -- |
| Eagle Energy Inc. | -- | -- | -- | -- |
| Eagle Graphite Incorporated | Yes/Oui | 3 | -- | -- |
| Eaglet Mines Limited | -- | -- | Yes/Oui | 1990/10/31 |
| Earth Alive Clean Technologies Inc. | -- | -- | -- | -- |
| Earthstone Energy, Inc. | -- | -- | -- | -- |
| East Coast Energy Limited | -- | -- | Yes/Oui | 1985/06/14 |
| East Coast Investment Grade Income Fund | -- | -- | -- | -- |
| Eastern Platinum Limited | -- | -- | -- | -- |
| Eastmain Resources Inc. | -- | -- | -- | -- |
| EastSiberian Plc | -- | -- | -- | -- |
| Eastview Mines Limited | -- | -- | Yes/Oui | 1975/04/09 |
| Eastwood Bio-Medical Canada Inc. | -- | -- | -- | -- |
| Easy Technologies Inc. | Yes/Oui | 3 | Yes/Oui | 2017/10/05 |
| Echelon Capital Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Echelon Financial Holdings Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Echo Energy Canada Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/07/02 |
| Eclipse Residential Mortgage Investment Corporation | -- | -- | -- | -- |
| ECN Capital Corp. | -- | -- | -- | -- |
| Eco Oro Minerals Corp. | -- | -- | -- | -- |
| Eco Technologies International Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Eco (Atlantic) Oil & Gas Ltd. | -- | -- | -- | -- |
| eCobalt Solutions Inc. | -- | -- | -- | -- |
| Economic Investment Trust Limited | -- | -- | -- | -- |
| Ecopetrol S.A. | -- | -- | -- | -- |
| Ecosse Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| EcoSynthetix Inc. | -- | -- | -- | -- |
| EDCI Holdings, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/02/22 |
| Eden Roc Mineral Corp. | Yes/Oui | 1a, 1d | Yes/Oui | 2002/05/28 |
| EdgePoint Canadian Growth & Income Portfolio | -- | -- | -- | -- |
| EdgePoint Canadian Portfolio | -- | -- | -- | -- |
| EdgePoint Global Growth & Income Portfolio | -- | -- | -- | -- |
| EdgePoint Global Portfolio | -- | -- | -- | -- |
| Edgewater Wireless Systems Inc. | -- | -- | -- | -- |
| Educators Balanced Fund | -- | -- | -- | -- |
| Educators Bond Fund | -- | -- | -- | -- |
| Educators Dividend Fund | -- | -- | -- | -- |
| Educators Growth Fund | -- | -- | -- | -- |
| Educators Money Market Fund | -- | -- | -- | -- |
| Educators Monitored Aggressive Portfolio | -- | -- | -- | -- |
| Educators Monitored Balanced Portfolio | -- | -- | -- | -- |
| Educators Monitored Conservative Portfolio | -- | -- | -- | -- |
| Educators Monitored Growth Portfolio | -- | -- | -- | -- |
| Educators Monthly Income Fund | -- | -- | -- | -- |
| Educators Mortgage & Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Educators U.S. Equity Fund | -- | -- | -- | -- |
| Eesti Kodu Inc. | Yes/Oui | 1a, 1c, 1e, 3 | -- | -- |
| EESTor Corporation | -- | -- | -- | -- |
| eFunds Bloomberg U.S. Internet Index Fund | Yes/Oui | 1b, 3 | -- | -- |
| Eguana Technologies Inc. | -- | -- | -- | -- |
| Eight Solutions Inc. | -- | -- | -- | -- |
| Elcora Advanced Materials Corp. | -- | -- | -- | -- |
| Eldorado Gold Corporation | -- | -- | -- | -- |
| Electric-Spin Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/02/08 |
| Electro-Knit Fabrics (Canada) Ltd. | -- | -- | Yes/Oui | 1982/05/10 |
| Electrovaya Inc. | -- | -- | -- | -- |
| Element 79 Capital Inc. | -- | -- | -- | -- |
| Element Fleet Management Corp. | -- | -- | -- | -- |
| EleTel Inc. | Yes/Oui | 1a | Yes/Oui | 2003/06/19 |
| Elite Technical Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/01/05 |
| Ellipsiz Communications Ltd. | -- | -- | -- | -- |
| Elora Gold Mines Limited | -- | -- | Yes/Oui | 1980/07/22 |
| Eloro Resources Ltd. | -- | -- | -- | -- |
| EmberClear Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/11/06 |
| Emblem Corp. | -- | -- | -- | -- |
| Emera Incorporated | -- | -- | -- | -- |
| Emerald Bay Energy Inc. | -- | -- | -- | -- |
| Emerald Health Therapeutics, Inc. | -- | -- | -- | -- |
| Emerald Place I | -- | -- | Yes/Oui | 1986/09/16 |
| Emerging Markets Equity Corporate Class | -- | -- | -- | -- |
| Emerging Markets Equity Fund | -- | -- | -- | -- |
| Emerging Markets Equity Pool | -- | -- | -- | -- |
| Emerita Resources Corp. | -- | -- | -- | -- |
| Emfax International Ltd. | -- | -- | Yes/Oui | 1980/11/30 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Empire Alliance Properties Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/03/11 |
| Empire Company Limited | -- | -- | -- | -- |
| Empire Industries Ltd. | -- | -- | -- | -- |
| Empire Life Dividend Growth Mutual Fund | -- | -- | -- | -- |
| Empire Life Emblem Aggressive Growth Portfolio | -- | -- | -- | -- |
| Empire Life Emblem Balanced Portfolio | -- | -- | -- | -- |
| Empire Life Emblem Conservative Portfolio | -- | -- | -- | -- |
| Empire Life Emblem Diversified Income Portfoli | -- | -- | -- | -- |
| Empire Life Emblem Growth Portfolio | -- | -- | -- | -- |
| Empire Life Emblem Moderate Growth Portfoli | -- | -- | -- | -- |
| Empire Life Monthly Income Mutual Fund | -- | -- | -- | -- |
| Empirical Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/07 |
| Empower Clinics Inc. | -- | -- | -- | -- |
| Empower Technologies Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/07/22 |
| Enablence Technologies Inc. | -- | -- | -- | -- |
| eNblast productions inc. | Yes/Oui | 1a | Yes/Oui | 2003/05/23 |
| Enbridge Gas Distribution Inc. | -- | -- | -- | -- |
| Enbridge Income Fund | -- | -- | -- | -- |
| Enbridge Income Fund Holdings Inc. | -- | -- | -- | -- |
| Enbridge Inc. | -- | -- | -- | -- |
| Enbridge Pipelines Inc. | -- | -- | -- | -- |
| Encana Corporation | -- | -- | -- | -- |
| Encanto Potash Corp. | -- | -- | -- | -- |
| enCore Energy Corp. | -- | -- | -- | -- |
| Endeavour Mining Corporation | -- | -- | -- | -- |
| Endeavour Silver Corp. | -- | -- | -- | -- |
| Endo International plc | -- | -- | -- | -- |
| Endocan Solutions Inc. (formerly Worldwide Marijuana Inc.) | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/05/06 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Endurance Gold Corporation | -- | -- | -- | -- |
| EnerCare Inc. | -- | -- | -- | -- |
| EnerCare Solutions Inc. | -- | -- | -- | -- |
| Enerdynamic Hybrid Technologies Corp. | -- | -- | -- | -- |
| Enerflex Ltd. | -- | -- | -- | -- |
| Energem Resources Inc. | Yes/Oui | 1a, 1c, 1d, 1e | Yes/Oui | 2009/04/15 |
| Energex Minerals Ltd. | -- | -- | Yes/Oui | 1998/02/10 |
| Energold Drilling Corp. | -- | -- | -- | -- |
| EnerGulf Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/07/05 |
| Energy Conversion Technologies Inc. | -- | -- | Yes/Oui | 2009/11/05 |
| Energy Fuels Inc. | -- | -- | -- | -- |
| Energy Income Fund | -- | -- | -- | -- |
| EnerNorth Industries Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/03/16 |
| Enerplus Corporation | -- | -- | -- | -- |
| EnerSpar Corp. | -- | -- | -- | -- |
| Enertec Corporation | -- | -- | Yes/Oui | 1986/06/12 |
| Enertopia Corp. | -- | -- | -- | -- |
| Enfield Exploration Corp. | Yes/Oui | 3 | Yes/Oui | 2017/03/07 |
| Engagement Labs Inc. | -- | -- | -- | -- |
| Enghouse Systems Limited | -- | -- | -- | -- |
| Enhanced Income Corporate Class | -- | -- | -- | -- |
| Enhanced Income Pool | -- | -- | -- | -- |
| Enhanced Income Portfolio | -- | -- | -- | -- |
| EnQuest Energy Services Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/13 |
| EnQuest PLC | -- | -- | -- | -- |
| Enseco Energy Services Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/08 |
| Ensign Energy Services Inc. | -- | -- | -- | -- |
| Enssolutions Group Inc. | -- | -- | -- | -- |
| Enterprise Group, Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Enterra Communications Inc. | -- | -- | Yes/Oui | 2001/01/22 |
| ENTREC Corporation | -- | -- | -- | -- |
| Entree Resources Ltd. | -- | -- | -- | -- |
| Enviro Waste Corporation | -- | -- | Yes/Oui | 1987/04/30 |
| Enviro Waste Limited Partnership | -- | -- | Yes/Oui | 1987/06/12 |
| EnviroLeach Technologies Inc. | -- | -- | -- | -- |
| Environmental Reclamation Inc. | -- | -- | Yes/Oui | 2000/12/20 |
| Environmental Risk Holdings Inc. | -- | -- | Yes/Oui | 1993/07/02 |
| Environmental Waste International Inc. | -- | -- | -- | -- |
| Enviropro International Inc. | -- | -- | Yes/Oui | 1995/06/06 |
| EnWave Corporation | -- | -- | -- | -- |
| eOptimize Advanced Systems Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2005/09/08 |
| EPCOR Utilities Inc. | -- | -- | -- | -- |
| ePhone Telecom, Inc. | Yes/Oui | 1b | Yes/Oui | 2003/12/19 |
| Epic Energy Inc. | Yes/Oui | 1a | Yes/Oui | 2003/07/25 |
| Epic Fusion Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Epoch European Equity Fund | -- | -- | -- | -- |
| Epoch Global Equity Class | -- | -- | -- | -- |
| Epoch Global Equity Fund | -- | -- | -- | -- |
| Epoch Global Shareholder Yield Currency Neutral Fund | -- | -- | -- | -- |
| Epoch Global Shareholder Yield Fund | -- | -- | -- | -- |
| Epoch International Equity Fund | -- | -- | -- | -- |
| Epoch U.S. Blue Chip Equity Currency Neutral Fund | -- | -- | -- | -- |
| Epoch U.S. Blue Chip Equity Fund | -- | -- | -- | -- |
| Epoch U.S. Large-Cap Value Class | -- | -- | -- | -- |
| Epoch U.S. Large-Cap Value Fund | -- | -- | -- | -- |
| Epoch U.S. Shareholder Yield Fund | -- | -- | -- | -- |
| Epsilon Energy Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| EQ Inc. | -- | -- | -- | -- |
| eQube Gaming Limited | -- | -- | -- | -- |
| Equinox Gold Corp. | -- | -- | -- | -- |
| Equitable Group Inc. | -- | -- | -- | -- |
| Equitech Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/12/16 |
| Equitorial Exploration Corp. | -- | -- | -- | -- |
| Equity Capital Investments Ltd. | -- | -- | Yes/Oui | 1990/10/01 |
| Equium Global Tactical Allocation Fund | -- | -- | -- | -- |
| Erdene Resource Development Corporation | -- | -- | -- | -- |
| Erg Resources Inc. | -- | -- | Yes/Oui | 1990/12/03 |
| Ero Copper Corp. | -- | -- | -- | -- |
| Erra Resources 1990 Exploration and Development Limited Partnership | -- | -- | Yes/Oui | 1991/02/21 |
| ESI Energy Services Inc. | -- | -- | -- | -- |
| Eskay Mining Corp. | -- | -- | -- | -- |
| Espial Group Inc. | -- | -- | -- | -- |
| Esrey Resources Ltd. | -- | -- | -- | -- |
| ESSA Pharma Inc. | -- | -- | -- | -- |
| Essential Energy Services Ltd. | -- | -- | -- | -- |
| Essex Oil Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/11/03 |
| Esto Mutual Fund Limited | -- | -- | Yes/Oui | 1978/11/20 |
| Etac Sales Ltd. | -- | -- | Yes/Oui | 1994/05/26 |
| Ether Capital Corporation | -- | -- | -- | -- |
| Ethos Gold Corp. | -- | -- | -- | -- |
| Etrion Corporation | -- | -- | -- | -- |
| Euro-Net Investments Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2003/05/27 |
| EURO Ressources S.A. | -- | -- | -- | -- |
| Euro Sun Mining Inc. | -- | -- | -- | -- |
| Eurogas International Inc. | -- | -- | -- | -- |
| Euromax Resources Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Europe Blue-Chip Dividend & Growth Fund | -- | -- | -- | -- |
| European Commercial Real Estate Investment Trust | -- | -- | -- | -- |
| European Dividend Growth Fund | -- | -- | -- | -- |
| European Electric Metals Inc. | -- | -- | -- | -- |
| European Focused Dividend Fund | -- | -- | -- | -- |
| European Metals Corp. | -- | -- | -- | -- |
| Eurotin Inc. | -- | -- | -- | -- |
| Even Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/14 |
| Everbright Capital Corporation | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2009/08/24 |
| Evergreen International Corp. | -- | -- | Yes/Oui | 1988/06/02 |
| Everock Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2007/05/04 |
| Everton Resources Inc. | -- | -- | -- | -- |
| Evertz Technologies Limited | -- | -- | -- | -- |
| EVI Global Group Developments Corp. | -- | -- | -- | -- |
| Eviana Health Corporation | -- | -- | -- | -- |
| Evitrade Health Systems Corp. | -- | -- | -- | -- |
| Evolve Active Canadian Preferred Share ETF | -- | -- | -- | -- |
| Evolve Active Core Fixed Income ETF | -- | -- | -- | -- |
| Evolve Active Floating Rate Loan ETF | -- | -- | -- | -- |
| Evolve Active Short Duration Bond ETF | -- | -- | -- | -- |
| Evolve Active US Core Equity ETF | -- | -- | -- | -- |
| Evolve Automobile Innovation Index ETF | -- | -- | -- | -- |
| Evolve Blockchain ETF | -- | -- | -- | -- |
| Evolve Cyber Security Index ETF | -- | -- | -- | -- |
| Evolve Global Healthcare Enhanced Yield ETF | -- | -- | -- | -- |
| Evolve Innovation Index ETF | -- | -- | -- | -- |
| Evolve Marijuana ETF | -- | -- | -- | -- |
| Evolve North American Gender Diversity Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Evolve US Banks Enhanced Yield ETF | -- | -- | -- | -- |
| Evolved Digital Systems Inc. | -- | -- | Yes/Oui | 2008/04/08 |
| Evolving Gold Corp. | -- | -- | -- | -- |
| Evrin Resources Corp. | -- | -- | -- | -- |
| exactEarth Ltd. | -- | -- | -- | -- |
| Exall Energy Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/04/22 |
| Excam Developments Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/09/25 |
| Excel China Fund | -- | -- | -- | -- |
| Excel Chindia Fund | -- | -- | -- | -- |
| Excel Emerging Markets Balanced Fund | -- | -- | -- | -- |
| Excel Emerging Markets Fund | -- | -- | -- | -- |
| Excel High Income Fund | -- | -- | -- | -- |
| Excel India Balanced Fund | -- | -- | -- | -- |
| Excel India Fund | -- | -- | -- | -- |
| Excel Money Market Fund | -- | -- | -- | -- |
| Excel New India Leaders Fund | -- | -- | -- | -- |
| Excellon Resources Inc. | -- | -- | -- | -- |
| Excelsior Mining Corp. | -- | -- | -- | -- |
| Exchange Income Corporation | -- | -- | -- | -- |
| Exclamation Investments Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/09/02 |
| Exco Technologies Limited | -- | -- | -- | -- |
| eXeBlock Technology Corporation | -- | -- | -- | -- |
| ExelTech Aerospace Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/03/10 |
| Exemplar Canadian Focus Portfolio | -- | -- | -- | -- |
| Exemplar Diversified Portfolio | -- | -- | -- | -- |
| Exemplar Growth and Income Fund | -- | -- | -- | -- |
| Exemplar Investment Grade Fund | -- | -- | -- | -- |
| Exemplar Leaders Fund | -- | -- | -- | -- |
| Exemplar Performance Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Exemplar Tactical Corporate Bond Fund | -- | -- | -- | -- |
| EXFO Inc. | -- | -- | -- | -- |
| ExGen Resources Inc. | -- | -- | -- | -- |
| EXO U Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2017/03/08 |
| Explor Resources Inc. | -- | -- | -- | -- |
| Exploration Aurtois Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/05/07 |
| Explorers Alliance Corporation | Yes/Oui | 1b | Yes/Oui | 2002/01/30 |
| Explorex Resources Inc. | -- | -- | -- | -- |
| Exploro Minerals Corporation Ltd. | -- | -- | Yes/Oui | 1999/03/18 |
| Exro Technologies Inc. | -- | -- | -- | -- |
| Extencare Inc. | -- | -- | -- | -- |
| Extenway Solutions Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/01/08 |
| É | | | | |
| Énergir Inc. | -- | -- | -- | -- |
| F | | | | |
| Fabien Explorations Inc. | -- | -- | Yes/Oui | 1984/06/29 |
| Faircourt Gold Income Corp. | -- | -- | -- | -- |
| Faircourt Split Trust | -- | -- | -- | -- |
| Fairfax Africa Holdings Corporation | -- | -- | -- | -- |
| Fairfax Financial Holdings Limited | -- | -- | -- | -- |
| Fairfax India Holdings Corporation | -- | -- | -- | -- |
| FairWest Energy Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Falco Resources Ltd. | -- | -- | -- | -- |
| Falcon Gold Corp. | -- | -- | -- | -- |
| Falcon Group Ltd. | -- | -- | Yes/Oui | 1994/09/01 |
| Falcon Oil & Gas Ltd. | -- | -- | -- | -- |
| Falcon Well Services Ltd. | -- | -- | Yes/Oui | 1999/06/11 |
| Falconridge Estates Limited Partnership | -- | -- | Yes/Oui | 1998/06/03 |
| Faleck & Margolies Ltd. | -- | -- | Yes/Oui | 1989/06/01 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Family Films Limited Partnership | -- | -- | Yes/Oui | 1989/09/06 |
| Family Group Education Savings Plan | -- | -- | -- | -- |
| Family Single Student Education Savings Plan | -- | -- | -- | -- |
| Fancamp Exploration Ltd. | -- | -- | -- | -- |
| Fandom Sports Media Corp. | -- | -- | -- | -- |
| Fantom Technologies Inc. | Yes/Oui | 1a, 1d | Yes/Oui | 2004/07/20 |
| Far City Mining Limited | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2014/02/06 |
| Far Resources Ltd. | -- | -- | -- | -- |
| Fareport Capital Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/07/23 |
| Farini Companies Inc., The | Yes/Oui | 1a, 1f | Yes/Oui | 2002/05/24 |
| FaxMate.Com Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/03/11 |
| FDP Balanced Growth Portfolio | -- | -- | -- | -- |
| FDP Balanced Income Portfolio | -- | -- | -- | -- |
| FDP Balanced Portfolio | -- | -- | -- | -- |
| FDP Canadian Bond Portfolio | -- | -- | -- | -- |
| FDP Canadian Equity Portfolio | -- | -- | -- | -- |
| FDP Canadien Dividend Equity Portfolio | -- | -- | -- | -- |
| FDP Cash Management Portfolio | -- | -- | -- | -- |
| FDP Emerging Markets Equity Portfolio | -- | -- | -- | -- |
| FDP Global Equity Portfolio | -- | -- | -- | -- |
| FDP Global Fixed Income Portfolio | -- | -- | -- | -- |
| FDP Short Term Fixed Income Portfolio | -- | -- | -- | -- |
| FDP US Dividend Equity Portfolio | -- | -- | -- | -- |
| FDP US Index Equity Portfolio | -- | -- | -- | -- |
| Federal Group Inc., The | -- | -- | Yes/Oui | 1992/06/23 |
| Federated Strategic Value U.S. Equity Dividend Fund | -- | -- | -- | -- |
| Fédération des caisses Desjardins du Québec | -- | -- | -- | -- |
| Feld Temagami Mines Limited | -- | -- | Yes/Oui | 1975/02/04 |
| Fengro Industries Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Fennec Pharmaceuticals Inc. | -- | -- | -- | -- |
| Ferau Resources Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| Ferique Aggressive Growth Portfolio | -- | -- | -- | -- |
| FÉRIQUE AMERICAN Fund | -- | -- | -- | -- |
| FÉRIQUE ASIAN Fund | -- | -- | -- | -- |
| FÉRIQUE BALANCED Fund | -- | -- | -- | -- |
| FÉRIQUE BALANCED GROWTH Fund | -- | -- | -- | -- |
| FÉRIQUE BOND Fund | -- | -- | -- | -- |
| Ferique Conservative Portfolio | -- | -- | -- | -- |
| FÉRIQUE Diversified Income Fund | -- | -- | -- | -- |
| FÉRIQUE DIVIDEND FUND | -- | -- | -- | -- |
| FÉRIQUE Emerging Markets Fund | -- | -- | -- | -- |
| FÉRIQUE EQUITY Fund | -- | -- | -- | -- |
| FÉRIQUE EUROPEAN Fund | -- | -- | -- | -- |
| FÉRIQUE MODERATE BALANCED FUND | -- | -- | -- | -- |
| FÉRIQUE SHORT-TERM INCOME Fund | -- | -- | -- | -- |
| FÉRIQUE WORLD Fund | -- | -- | -- | -- |
| Feronia Inc. | -- | -- | -- | -- |
| Fidelity American Balanced | -- | -- | -- | -- |
| Fidelity American Balanced Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity American Disciplined Equity Class | -- | -- | -- | -- |
| Fidelity American Disciplined Equity Currency Neutral Class | -- | -- | -- | -- |
| Fidelity American Disciplined Equity Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity American Disciplined Equity Fund | -- | -- | -- | -- |
| Fidelity American Equity Class | -- | -- | -- | -- |
| Fidelity American Equity Currency Neutral Class | -- | -- | -- | -- |
| Fidelity American Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Fidelity American High Yield Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity American High Yield Fund | -- | -- | -- | -- |
| Fidelity AsiaStar Class | -- | -- | -- | -- |
| Fidelity AsiaStar Fund | -- | -- | -- | -- |
| Fidelity Asset Allocation Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity Asset Allocation Private Pool | -- | -- | -- | -- |
| Fidelity Balanced Class Portfolio | -- | -- | -- | -- |
| Fidelity Balanced Currency Neutral Private Poc | -- | -- | -- | -- |
| Fidelity Balanced Income Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity Balanced Income Private Pool | -- | -- | -- | -- |
| Fidelity Balanced Managed Risk Portfolio | -- | -- | -- | -- |
| Fidelity Balanced Portfolio | -- | -- | -- | -- |
| Fidelity Balanced Private Pool | -- | -- | -- | -- |
| Fidelity Canadian Asset Allocation Class | -- | -- | -- | -- |
| Fidelity Canadian Asset Allocation Fund | -- | -- | -- | -- |
| Fidelity Canadian Balanced Class | -- | -- | -- | -- |
| Fidelity Canadian Balanced Fund | -- | -- | -- | -- |
| Fidelity Canadian Bond Fund | -- | -- | -- | -- |
| Fidelity Canadian Disciplined Equity Class | -- | -- | -- | -- |
| Fidelity Canadian Disciplined Equity Fund | -- | -- | -- | -- |
| Fidelity Canadian Equity Investment Trust | -- | -- | -- | -- |
| Fidelity Canadian Equity Private Pool | -- | -- | -- | -- |
| Fidelity Canadian Focused Equity Investment Trust | -- | -- | -- | -- |
| Fidelity Canadian Growth Company Class | -- | -- | -- | -- |
| Fidelity Canadian Growth Company Fund | -- | -- | -- | -- |
| Fidelity Canadian Large Cap Class | -- | -- | -- | -- |
| Fidelity Canadian Large Cap Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Fidelity Canadian Money Market Fund | -- | -- | -- | -- |
| Fidelity Canadian Money Market Investment Trust | -- | -- | -- | -- |
| Fidelity Canadian Opportunities Class | -- | -- | -- | -- |
| Fidelity Canadian Opportunities Fund | -- | -- | -- | -- |
| Fidelity Canadian Real Return Bond Index Investment Trust | -- | -- | -- | -- |
| Fidelity Canadian Short Term Bond Fund | -- | -- | -- | -- |
| Fidelity Canadian Short Term Fixed Income Investment Trust | -- | -- | -- | -- |
| Fidelity Canadian Short Term Income Class | -- | -- | -- | -- |
| Fidelity China Class | -- | -- | -- | -- |
| Fidelity China Fund | -- | -- | -- | -- |
| Fidelity ClearPath 2005 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2010 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2015 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2020 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2025 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2030 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2035 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2040 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2045 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath 2060 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath Income Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath® 2050 Portfolio | -- | -- | -- | -- |
| Fidelity ClearPath® 2055 Portfolio | -- | -- | -- | -- |
| Fidelity Concentrated Canadian Equity Investment Trust | -- | -- | -- | -- |
| Fidelity Concentrated Canadian Equity Private Pool | -- | -- | -- | -- |
| Fidelity Concentrated Value Investment Trust | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Fidelity Concentrated Value Private Pool | -- | -- | -- | -- |
| Fidelity Conservative Income Fund | -- | -- | -- | -- |
| Fidelity Conservative Income Private Pool | -- | -- | -- | -- |
| Fidelity Conservative Managed Risk Portfolio | -- | -- | -- | -- |
| Fidelity Convertible Securities Investment Trust | -- | -- | -- | -- |
| Fidelity Corporate Bond Class | -- | -- | -- | -- |
| Fidelity Corporate Bond Fund | -- | -- | -- | -- |
| Fidelity Dividend Class | -- | -- | -- | -- |
| Fidelity Dividend Fund | -- | -- | -- | -- |
| Fidelity Dividend Investment Trust | -- | -- | -- | -- |
| Fidelity Dividend Plus Class | -- | -- | -- | -- |
| Fidelity Dividend Plus Fund | -- | -- | -- | -- |
| Fidelity Emerging Markets Class | -- | -- | -- | -- |
| Fidelity Emerging Markets Debt Investment Trust | -- | -- | -- | -- |
| Fidelity Emerging Markets Equity Investment Trust | -- | -- | -- | -- |
| Fidelity Emerging Markets Fund | -- | -- | -- | -- |
| Fidelity Emerging Markets Investment Trust | -- | -- | -- | -- |
| Fidelity Emerging Markets Local Currency Debt Investment Trust | -- | -- | -- | -- |
| Fidelity Europe Class | -- | -- | -- | -- |
| Fidelity Europe Fund | -- | -- | -- | -- |
| Fidelity Event Driven Opportunities Class | -- | -- | -- | -- |
| Fidelity Event Driven Opportunities Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Event Driven Opportunities Fund | -- | -- | -- | -- |
| Fidelity Far East Class | -- | -- | -- | -- |
| Fidelity Far East Fund | -- | -- | -- | -- |
| Fidelity Floating Rate High Income Currency Neutral Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Fidelity Floating Rate High Income Fund | -- | -- | -- | -- |
| Fidelity Floating Rate High Income Investment Trust | -- | -- | -- | -- |
| Fidelity Founders Class | -- | -- | -- | -- |
| Fidelity Founders Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Founders Investment Trust | -- | -- | -- | -- |
| Fidelity Frontier Emerging Markets Fund | -- | -- | -- | -- |
| Fidelity Global Asset Allocation Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity Global Asset Allocation Fund | -- | -- | -- | -- |
| Fidelity Global Asset Allocation Private Pool | -- | -- | -- | -- |
| Fidelity Global Balanced Class Portfolio | -- | -- | -- | -- |
| Fidelity Global Balanced Portfolio | -- | -- | -- | -- |
| Fidelity Global Bond Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Global Bond Currency Neutral Investment Trust | -- | -- | -- | -- |
| Fidelity Global Bond Fund | -- | -- | -- | -- |
| Fidelity Global Bond Investment Trust | -- | -- | -- | -- |
| Fidelity Global Class | -- | -- | -- | -- |
| Fidelity Global Concentrated Equity Class | -- | -- | -- | -- |
| Fidelity Global Concentrated Equity Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Global Concentrated Equity Fund | -- | -- | -- | -- |
| Fidelity Global Consumer Industries Class | -- | -- | -- | -- |
| Fidelity Global Consumer Industries Fund | -- | -- | -- | -- |
| Fidelity Global Credit Ex-U.S. Investment Trust | -- | -- | -- | -- |
| Fidelity Global Disciplined Equity Class | -- | -- | -- | -- |
| Fidelity Global Disciplined Equity Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Global Disciplined Equity Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Global Disciplined Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Fidelity Global Dividend Class | -- | -- | -- | -- |
| Fidelity Global Dividend Fund | -- | -- | -- | -- |
| Fidelity Global Dividend Investment Trust | -- | -- | -- | -- |
| Fidelity Global Equity Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity Global Equity Investment Trust | -- | -- | -- | -- |
| Fidelity Global Equity Private Pool | -- | -- | -- | -- |
| Fidelity Global Financial Services Class | -- | -- | -- | -- |
| Fidelity Global Financial Services Fund | -- | -- | -- | -- |
| Fidelity Global Fund | -- | -- | -- | -- |
| Fidelity Global Growth and Value Class | -- | -- | -- | -- |
| Fidelity Global Growth and Value Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Global Growth and Value Investment Trust | -- | -- | -- | -- |
| Fidelity Global Growth Class Portfolio | -- | -- | -- | -- |
| Fidelity Global Growth Portfolio | -- | -- | -- | -- |
| Fidelity Global Health Care Class | -- | -- | -- | -- |
| Fidelity Global Health Care Fund | -- | -- | -- | -- |
| Fidelity Global High Yield Investment Trust | -- | -- | -- | -- |
| Fidelity Global Income Class Portfolio | -- | -- | -- | -- |
| Fidelity Global Income Portfolio | -- | -- | -- | -- |
| Fidelity Global Innovators Class | -- | -- | -- | -- |
| Fidelity Global Innovators Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Global Innovators Investment Trust | -- | -- | -- | -- |
| Fidelity Global Intrinsic Value Class | -- | -- | -- | -- |
| Fidelity Global Intrinsic Value Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Global Intrinsic Value Investment Trust | -- | -- | -- | -- |
| Fidelity Global Large Cap Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Fidelity Global Large Cap Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Global Large Cap Fund | -- | -- | -- | -- |
| Fidelity Global Monthly Income Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Global Monthly Income Fund | -- | -- | -- | -- |
| Fidelity Global Natural Resources Class | -- | -- | -- | -- |
| Fidelity Global Natural Resources Fund | -- | -- | -- | -- |
| Fidelity Global Real Estate Class | -- | -- | -- | -- |
| Fidelity Global Real Estate Fund | -- | -- | -- | -- |
| Fidelity Global Real Estate Investment Trust | -- | -- | -- | -- |
| Fidelity Global Small Cap Class | -- | -- | -- | -- |
| Fidelity Global Small Cap Fund | -- | -- | -- | -- |
| Fidelity Global Telecommunications Class | -- | -- | -- | -- |
| Fidelity Global Telecommunications Fund | -- | -- | -- | -- |
| Fidelity Greater Canada Class | -- | -- | -- | -- |
| Fidelity Greater Canada Fund | -- | -- | -- | -- |
| Fidelity Growth Class Portfolio | -- | -- | -- | -- |
| Fidelity Growth Portfolio | -- | -- | -- | -- |
| Fidelity High Income Commercial Real Estate Investment Trust | -- | -- | -- | -- |
| Fidelity Income Allocation Fund | -- | -- | -- | -- |
| Fidelity Income Class Portfolio | -- | -- | -- | -- |
| Fidelity Income Portfolio | -- | -- | -- | -- |
| Fidelity Insights Class | -- | -- | -- | -- |
| Fidelity Insights Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Insights Investment Trust | -- | -- | -- | -- |
| Fidelity International Concentrated Equity Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity International Concentrated Equity Fund | -- | -- | -- | -- |
| Fidelity International Disciplined Equity Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Fidelity International Disciplined Equity Currency Neutral Class | -- | -- | -- | -- |
| Fidelity International Disciplined Equity Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity International Disciplined Equity Fund | -- | -- | -- | -- |
| Fidelity International Equity Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity International Equity Investment Trust | -- | -- | -- | -- |
| Fidelity International Equity Private Pool | -- | -- | -- | -- |
| Fidelity International Growth Class | -- | -- | -- | -- |
| Fidelity International Growth Fund | -- | -- | -- | -- |
| Fidelity International Growth Investment Trust | -- | -- | -- | -- |
| Fidelity Investment Grade Total Bond Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Investment Grade Total Bond Fund | -- | -- | -- | -- |
| Fidelity Japan Class | -- | -- | -- | -- |
| Fidelity Japan Fund | -- | -- | -- | -- |
| Fidelity Monthly Income Class | -- | -- | -- | -- |
| Fidelity Monthly Income Fund | -- | -- | -- | -- |
| Fidelity Multi-Sector Bond Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Multi-Sector Bond Fund | -- | -- | -- | -- |
| Fidelity North American Equity Class | -- | -- | -- | -- |
| Fidelity North American Equity Investment Trus | -- | -- | -- | -- |
| Fidelity North Star Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity NorthStar Class | -- | -- | -- | -- |
| Fidelity NorthStar Currency Neutral Class | -- | -- | -- | -- |
| Fidelity NorthStar Fund | -- | -- | -- | -- |
| Fidelity NorthStar® Balanced Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity NorthStar® Balanced Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Fidelity Premium Fixed Income Capital Yield Private Pool | -- | -- | -- | -- |
| Fidelity Premium Fixed Income Private Pool | -- | -- | -- | -- |
| Fidelity Premium Money Market Private Pool | -- | -- | -- | -- |
| Fidelity Premium Tactical Fixed Income Capital Yield Private Pool | -- | -- | -- | -- |
| Fidelity Small Cap America Class | -- | -- | -- | -- |
| Fidelity Small Cap America Currency Neutral Class | -- | -- | -- | -- |
| Fidelity Small Cap America Fund | -- | -- | -- | -- |
| Fidelity Special Situations Class | -- | -- | -- | -- |
| Fidelity Special Situations Fund | -- | -- | -- | -- |
| Fidelity Strategic Income Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Strategic Income Fund | -- | -- | -- | -- |
| Fidelity Tactical Fixed Income Fund | -- | -- | -- | -- |
| Fidelity Tactical High Income Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity Tactical High Income Fund | -- | -- | -- | -- |
| Fidelity Tactical Strategies Fund | -- | -- | -- | -- |
| Fidelity Technology Innovators Class | -- | -- | -- | -- |
| Fidelity Technology Innovators Fund | -- | -- | -- | -- |
| Fidelity Trend Fund | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Fidelity True North Class | -- | -- | -- | -- |
| Fidelity True North Fund | -- | -- | -- | -- |
| Fidelity U.S. All Cap Class | -- | -- | -- | -- |
| Fidelity U.S. All Cap Currency Neutral Class | -- | -- | -- | -- |
| Fidelity U.S. All Cap Fund | -- | -- | -- | -- |
| Fidelity U.S. Bond Investment Trust | -- | -- | -- | -- |
| Fidelity U.S. Dividend Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity U.S. Dividend Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Fidelity U.S. Dividend Investment Trust | -- | -- | -- | -- |
| Fidelity U.S. Dividend Private Pool | -- | -- | -- | -- |
| Fidelity U.S. Dividend Registered Fund | -- | -- | -- | -- |
| Fidelity U.S. Equity Currency Neutral Private Pool | -- | -- | -- | -- |
| Fidelity U.S. Equity Investment Trust | -- | -- | -- | -- |
| Fidelity U.S. Equity Private Pool | -- | -- | -- | -- |
| Fidelity U.S. Focused Stock Class | -- | -- | -- | -- |
| Fidelity U.S. Focused Stock Currency Neutral Class | -- | -- | -- | -- |
| Fidelity U.S. Focused Stock Fund | -- | -- | -- | -- |
| Fidelity U.S. Growth and Income Private Pool | -- | -- | -- | -- |
| Fidelity U.S. Money Market Fund | -- | -- | -- | -- |
| Fidelity U.S. Money Market Investment Trust | -- | -- | -- | -- |
| Fidelity U.S. Monthly Income Currency Neutral Fund | -- | -- | -- | -- |
| Fidelity U.S. Monthly Income Fund | -- | -- | -- | -- |
| Fidelity U.S. Multi-Cap Investment Trust | -- | -- | -- | -- |
| Fidelity U.S. Small/Mid Cap Equity Investment Trust | -- | -- | -- | -- |
| Fiera Capital Balanced Fund | -- | -- | -- | -- |
| Fiera Capital Bond Fund | -- | -- | -- | -- |
| Fiera Capital Core Canadian Equity Fund | -- | -- | -- | -- |
| Fiera Capital Corporation | -- | -- | -- | -- |
| Fiera Capital Defensive Global Equity Fund | -- | -- | -- | -- |
| Fiera Capital Equity Growth Fund | -- | -- | -- | -- |
| Fiera Capital Global Equity Fund | -- | -- | -- | -- |
| Fiera Capital High Income Fund | -- | -- | -- | -- |
| Fiera Capital Income Opportunities Fund | -- | -- | -- | -- |
| Fiera Capital International Equity Fund | -- | -- | -- | -- |
| Fiera Capital U.S. Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Filo Mining Corp. | -- | -- | -- | -- |
| Final Assignment | -- | -- | Yes/Oui | 1982/09/10 |
| Financial 15 Split Corp. | -- | -- | -- | -- |
| FinCanna Capital Corp. | -- | -- | -- | -- |
| Findev Inc. | -- | -- | -- | -- |
| Fineqia International Inc. | -- | -- | -- | -- |
| Finish Line Racing Stables Limited Partnership | -- | -- | Yes/Oui | 1994/06/22 |
| Finishing Touch | -- | -- | Yes/Oui | 1986/07/04 |
| Finline Technologies Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 2003/07/24 |
| Finning International Inc. | -- | -- | -- | -- |
| Fintech Select Ltd. | -- | -- | -- | -- |
| Firan Technology Group Corporation | -- | -- | -- | -- |
| Fire River Gold Corp. | -- | -- | -- | -- |
| Fireswirl Technologies Inc. | -- | -- | -- | -- |
| Fireweed Zinc Ltd. | -- | -- | -- | -- |
| Firm Capital American Realty Partners Corp. | -- | -- | -- | -- |
| Firm Capital Mortgage Investment Corporation | -- | -- | -- | -- |
| Firm Capital Property Trust | -- | -- | -- | -- |
| First Asset 1-5 Year Laddered Government Strip Bond Index ETF | -- | -- | -- | -- |
| First Asset Active Canadian Dividend ETF | -- | -- | -- | -- |
| First Asset Active Credit ETF | -- | -- | -- | -- |
| First Asset Active Utility & Infrastructure ETF | -- | -- | -- | -- |
| First Asset Cambridge Core U.S. Equity ETF | -- | -- | -- | -- |
| First Asset Cambridge Global Dividend ETF | -- | -- | -- | -- |
| First Asset Can-Materials Covered Call ETF | -- | -- | -- | -- |
| First Asset Canadian Buyback Index ETF | -- | -- | -- | -- |
| First Asset Canadian Convertible Bond ETF | -- | -- | -- | -- |
| First Asset Canadian Convertible Bond Fund | -- | -- | -- | -- |
| First Asset Canadian Convertibles Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| First Asset Canadian Dividend Opportunity Fund | -- | -- | -- | -- |
| First Asset Canadian Energy Convertible Debenture Fund | -- | -- | -- | -- |
| First Asset Canadian REIT ETF | -- | -- | -- | -- |
| First Asset CanBanc Income Class ETF | -- | -- | -- | -- |
| First Asset Core Canadian Equity ETF | -- | -- | -- | -- |
| First Asset Core Canadian Equity Income Class ETF | -- | -- | -- | -- |
| First Asset Core U.S. Equity ETF | -- | -- | -- | -- |
| First Asset Diversified Convertible Debenture Fund | -- | -- | -- | -- |
| First Asset Energy Giants Covered Call ETF | -- | -- | -- | -- |
| First Asset Enhanced Short Duration Bond ETF | -- | -- | -- | -- |
| First Asset European Bank ETF | -- | -- | -- | -- |
| First Asset Global Financial Sector ETF | -- | -- | -- | -- |
| First Asset Health Care Giants Covered Call ETF | -- | -- | -- | -- |
| First Asset Investment Grade Bond ETF | -- | -- | -- | -- |
| First Asset Long Duration Fixed Income ETF | -- | -- | -- | -- |
| First Asset Morningstar Canada Dividend Target 30 Index ETF | -- | -- | -- | -- |
| First Asset Morningstar Canada Momentum Index ETF | -- | -- | -- | -- |
| First Asset Morningstar Canada Value Index ETF | -- | -- | -- | -- |
| First Asset Morningstar International Momentum Index ETF | -- | -- | -- | -- |
| First Asset Morningstar International Value Index ETF | -- | -- | -- | -- |
| First Asset Morningstar National Bank Québec Index ETF | -- | -- | -- | -- |
| First Asset Morningstar US Dividend Target 50 Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| First Asset Morningstar US Momentum Index ETF | -- | -- | -- | -- |
| First Asset Morningstar US Value Index ETF | -- | -- | -- | -- |
| First Asset Morningstar U.S. Consumer Defensive Index Fund | -- | -- | -- | -- |
| First Asset MSCI Canada Low Risk Weighted ETF | -- | -- | -- | -- |
| First Asset MSCI Canada Quality Index Class ETF | -- | -- | -- | -- |
| First Asset MSCI Europe Low Risk Weighted ETF | -- | -- | -- | -- |
| First Asset MSCI International Low Risk Weighted ETF | -- | -- | -- | -- |
| First Asset MSCI USA Low Risk Weighted ETF | -- | -- | -- | -- |
| First Asset MSCI World Low Risk Weighted ETF | -- | -- | -- | -- |
| First Asset North American Convertibles Fund | -- | -- | -- | -- |
| First Asset Preferred Share ETF | -- | -- | -- | -- |
| First Asset REIT Income Fund | -- | -- | -- | -- |
| First Asset Short Term Government Bond Index Class ETF | -- | -- | -- | -- |
| First Asset Tech Giants Covered Call ETF | -- | -- | -- | -- |
| First Asset Utility Plus Fund | -- | -- | -- | -- |
| First Asset U.S. Buyback Index ETF | -- | -- | -- | -- |
| First Asset U.S. Tactical Sector Allocation Index ETF | -- | -- | -- | -- |
| First Asset U.S. TrendLeaders Index ETF | -- | -- | -- | -- |
| First Asset U.S. & Canada Lifeco Income ETF | -- | -- | -- | -- |
| First Canadian Land Investors (Brampton) Inc. | -- | -- | Yes/Oui | 1993/01/26 |
| First Capital Realty Inc. | -- | -- | -- | -- |
| First Choice Products Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/02/11 |
| First Eastern Equities Inc. | -- | -- | Yes/Oui | 1991/11/14 |
| First Global Data Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| First Interactive Inc. | -- | -- | Yes/Oui | 2000/10/24 |
| First Leaside Properties Fund | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/07 |
| First Majestic Silver Corp. | -- | -- | -- | -- |
| First Metals Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/05/09 |
| First Mexican Gold Corp. | -- | -- | -- | -- |
| First Mining Gold Corp. | -- | -- | -- | -- |
| First National Financial Corporation | -- | -- | -- | -- |
| First Nickel Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/04 |
| First Quantum Minerals Ltd. | -- | -- | -- | -- |
| First Strike Diamonds Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2003/09/25 |
| First Trust AlphaDEX Emerging Market Dividen ETF (CAD-Hedged) | -- | -- | -- | -- |
| First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Energy Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Financial Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Health Care Secto Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Industrials Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Materials Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Technology Sector Index ETF | -- | -- | -- | -- |
| First Trust AlphaDEX U.S. Utilities Sector Index ETF | -- | -- | -- | -- |
| First Trust Canadian Capital Strength ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| First Trust Global Risk Managed Income Index ETF | -- | -- | -- | -- |
| First Trust Indxx Innovative Transaction and Process ETF | -- | -- | -- | -- |
| First Trust International Capital Strength ETF | -- | -- | -- | -- |
| First Trust Senior Loan ETF (CAD-Hedged) | -- | -- | -- | -- |
| First Trust Short Duration High Yield Bond ETF (CAD-Hedged) | -- | -- | -- | -- |
| First Trust Tactical Bond Index ETF | -- | -- | -- | -- |
| First Trust Value Line® Dividend Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| First United Capital Inc. | -- | -- | Yes/Oui | 1986/02/12 |
| First Western Minerals Inc. | -- | -- | Yes/Oui | 1992/07/24 |
| Firstgold Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/06/11 |
| Firstlane Inc. | Yes/Oui | 1a | Yes/Oui | 2003/01/21 |
| FIRSTSERVICE CORPORATION | -- | -- | -- | -- |
| FirstSmart Sensor Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/01/20 |
| Fission Uranium Corp. | -- | -- | -- | -- |
| Five Nines Ventures Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/11/10 |
| Five Star Diamonds Limited | -- | -- | -- | -- |
| FL Master Sherman, Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/07 |
| Flaherty & Crumrine Investment Grade Fixed Income Fund | -- | -- | -- | -- |
| Flemdon Ltd. | -- | -- | Yes/Oui | 1975/04/09 |
| Fletcher Nickel Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2015/05/08 |
| Flex First Plan | -- | -- | -- | -- |
| Floorco Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 1996/06/17 |
| Flow Capital Corp. | -- | -- | -- | -- |
| FMF Capital Group Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2008/01/23 |
| FMI Holdings Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2011/10/19 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Focus Graphite Inc. | -- | -- | -- | -- |
| Focused Canadian Equity Class (CGOV) | -- | -- | -- | -- |
| Focused Capital II Corp. | -- | -- | -- | -- |
| FogChain Corp. | -- | -- | -- | -- |
| Folkstone Capital Corp. | -- | -- | -- | -- |
| Fonds Matiq40 | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Foot Locker, Inc. | -- | -- | -- | -- |
| Foraco International SA | -- | -- | -- | -- |
| Foran Mining Corporation | -- | -- | -- | -- |
| Forbes Energy Services Ltd. | -- | -- | -- | -- |
| Ford Auto Securitization Trust | -- | -- | -- | -- |
| Ford Credit Canada Company | -- | -- | -- | -- |
| Ford Motor Company | -- | -- | -- | -- |
| Forefront Consolidated Explorations Ltd. | -- | -- | Yes/Oui | 1981/01/13 |
| Foremost Income Fund | -- | -- | -- | -- |
| Foreshore Exploration Partners Corp. | -- | -- | -- | -- |
| Forest Gate Energy Inc. | -- | -- | Yes/Oui | 2012/05/07 |
| Forest Hill Capital Corporation | -- | -- | Yes/Oui | 1999/04/15 |
| Forstrong Global Strategist Balanced Fund | -- | -- | -- | -- |
| Forstrong Global Strategist Growth Fund | -- | -- | -- | -- |
| Forstrong Global Strategist Income Fund | -- | -- | -- | -- |
| Forsys Metals Corp. | -- | -- | -- | -- |
| Fort St. James Nickel Corp. | -- | -- | -- | -- |
| Fortaleza Energy Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2016/05/10 |
| Forterra Environmental Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/16 |
| Fortified Trust | -- | -- | -- | -- |
| Fortis Inc. | -- | -- | -- | -- |
| FortisAlberta Inc. | -- | -- | -- | -- |
| FortisBC Energy Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| FortisBC Inc. | -- | -- | -- | -- |
| Fortress Global Enterprises Inc. | -- | -- | -- | -- |
| Fortuna Silver Mines Inc. | -- | -- | -- | -- |
| Fortune Bay Corp. | -- | -- | -- | -- |
| Fortune Minerals Limited | -- | -- | -- | -- |
| Fossil Oil & Gas Limited | -- | -- | -- | -- |
| Founder Resources Inc. | Yes/Oui | 1a, 1b, 1d, 1g | Yes/Oui | 1995/11/03 |
| Founders Advantage Capital Corp. | -- | -- | -- | -- |
| Fountain Asset Corp. | -- | -- | -- | -- |
| Four Nines Gold Inc. | -- | -- | -- | -- |
| Fox River Resources Corporation | -- | -- | -- | -- |
| Foxgrove Exploration Ltd. | -- | -- | Yes/Oui | 1992/06/23 |
| FP Newspapers Inc. | -- | -- | -- | -- |
| FPX Nickel Corp. | -- | -- | -- | -- |
| Fracmaster Ltd. | -- | -- | Yes/Oui | 1999/05/28 |
| Franchise Services of North America Inc. | -- | -- | Yes/Oui | 2014/04/08 |
| FranchiseMaster Technologies Inc. | Yes/Oui | 1a | Yes/Oui | 2002/04/08 |
| Franco-Nevada Corporation | -- | -- | -- | -- |
| Franklin ActiveQuant Canadian Corporate Clas | -- | -- | -- | -- |
| Franklin ActiveQuant Canadian Fund | -- | -- | -- | -- |
| Franklin ActiveQuant U.S. Corporate Class | -- | -- | -- | -- |
| Franklin ActiveQuant U.S. Fund | -- | -- | -- | -- |
| Franklin Bissett Canada Plus Equity Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian All Cap Balanced Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Canadian All Cap Balanced Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian Balanced Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Canadian Balanced Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Franklin Bissett Canadian Bond Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian Dividend Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Canadian Dividend Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian Equity Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Canadian Equity Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian Government Bond Fund | -- | -- | -- | -- |
| Franklin Bissett Canadian Short Term Bond Fund | -- | -- | -- | -- |
| Franklin Bissett Core Plus Bond Fund | -- | -- | -- | -- |
| Franklin Bissett Corporate Bond Fund | -- | -- | -- | -- |
| Franklin Bissett Dividend Income Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Dividend Income Fund | -- | -- | -- | -- |
| Franklin Bissett Energy Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Microcap Fund | -- | -- | -- | -- |
| Franklin Bissett Money Market Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Money Market Fund | -- | -- | -- | -- |
| Franklin Bissett Monthly Income and Growth Fund | -- | -- | -- | -- |
| Franklin Bissett Small Cap Corporate Class | -- | -- | -- | -- |
| Franklin Bissett Small Cap Fund | -- | -- | -- | -- |
| Franklin Global Growth Corporate Class | -- | -- | -- | -- |
| Franklin Global Growth Fund | -- | -- | -- | -- |
| Franklin Global Small-Mid Cap Fund | -- | -- | -- | -- |
| Franklin High Income Fund | -- | -- | -- | -- |
| Franklin Liberty Canadian Investment Grade Corporate ETF | -- | -- | -- | -- |
| Franklin Liberty Core Balanced ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Franklin Liberty Global Aggregate Bond ETF (CAD-Hedged) | -- | -- | -- | -- |
| Franklin Liberty Risk Managed Canadian Equity ETF | -- | -- | -- | -- |
| Franklin Liberty Senior Loan ETF (CAD-Hedged) | -- | -- | -- | -- |
| Franklin Liberty U.S. Investment Grade Corporate ETF (CAD-Hedged) | -- | -- | -- | -- |
| Franklin LibertyQT Emerging Markets Index ETF | -- | -- | -- | -- |
| Franklin LibertyQT Global Dividend Index ETF | -- | -- | -- | -- |
| Franklin LibertyQT International Equity Index ETF | -- | -- | -- | -- |
| Franklin LibertyQT U.S. Equity Index ETF | -- | -- | -- | -- |
| Franklin Mutual European Fund | -- | -- | -- | -- |
| Franklin Mutual Global Discovery Corporate Class | -- | -- | -- | -- |
| Franklin Mutual Global Discovery Fund | -- | -- | -- | -- |
| Franklin Mutual U.S. Shares Corporate Class | -- | -- | -- | -- |
| Franklin Mutual U.S. Shares Fund | -- | -- | -- | -- |
| Franklin Quotential Balanced Growth Corporate Class Portfolio | -- | -- | -- | -- |
| Franklin Quotential Balanced Growth Portfolio | -- | -- | -- | -- |
| Franklin Quotential Balanced Income Corporate Class Portfolio | -- | -- | -- | -- |
| Franklin Quotential Balanced Income Portfolio | -- | -- | -- | -- |
| Franklin Quotential Diversified Equity Portfolio | -- | -- | -- | -- |
| Franklin Quotential Diversified Income Corporate Class Portfolio | -- | -- | -- | -- |
| Franklin Quotential Diversified Income Portfolio | -- | -- | -- | -- |
| Franklin Quotential Fixed Income Portfolio | -- | -- | -- | -- |
| Franklin Quotential Growth Corporate Class Portfolio | -- | -- | -- | -- |
| Franklin Quotential Growth Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Franklin Strategic Income Fund | -- | -- | -- | -- |
| Franklin Target Return Fund | -- | -- | -- | -- |
| Franklin Templeton Canadian Large Cap Fund | -- | -- | -- | -- |
| Franklin U.S. Core Equity Fund | -- | -- | -- | -- |
| Franklin U.S. Monthly Income Corporate Class | -- | -- | -- | -- |
| Franklin U.S. Monthly Income Fund | -- | -- | -- | -- |
| Franklin U.S. Monthly Income Hedged Corporate Class | -- | -- | -- | -- |
| Franklin U.S. Opportunities Corporate Class | -- | -- | -- | -- |
| Franklin U.S. Opportunities Fund | -- | -- | -- | -- |
| Franklin U.S. Rising Dividends Corporate Class | -- | -- | -- | -- |
| Franklin U.S. Rising Dividends Fund | -- | -- | -- | -- |
| Franklin U.S. Rising Dividends Hedged Corporate Class | -- | -- | -- | -- |
| Frankly Inc. | -- | -- | -- | -- |
| Fraser Papers Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2011/03/10 |
| Freegold Ventures Limited | -- | -- | -- | -- |
| Freehold Royalties Ltd. | -- | -- | -- | -- |
| Freshii Inc. | -- | -- | -- | -- |
| Friday Night Inc. | -- | -- | -- | -- |
| Friedberg Asset Allocation Fund | -- | -- | -- | -- |
| Friedberg Asset Allocation Fund Limited Partnership | -- | -- | -- | -- |
| Friedberg Global-Macro Hedge Fund | -- | -- | -- | -- |
| Friedberg Global-Macro Hedge Fund Limited Partnership | -- | -- | -- | -- |
| Fronsac Real Estate Investment Trust | -- | -- | -- | -- |
| Front Range Resources Ltd. | -- | -- | -- | -- |
| Frontenac Mortgage Investment Corporation | -- | -- | -- | -- |
| Frontera Energy Corporation | -- | -- | -- | -- |
| Frontier Lithium Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Frontline Gold Corporation | -- | -- | -- | -- |
| Frontline Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| FSD Pharma Inc. | -- | -- | -- | -- |
| FT Balanced Growth Private Wealth Pool | -- | -- | -- | -- |
| FT Balanced Income Private Wealth Pool | -- | -- | -- | -- |
| FT Capital Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 2003/07/30 |
| FT Growth Private Wealth Pool | -- | -- | -- | -- |
| Fuel Cell Technologies Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/05/03 |
| Fulcrum Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/03/10 |
| Full Metal Minerals Ltd. | -- | -- | -- | -- |
| Funtime Hospitality Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/03/03 |
| Fura Gems Inc. | -- | -- | -- | -- |
| Future Beach Corporation | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2004/02/26 |
| Future Farm Technologies Inc. | -- | -- | -- | -- |
| Futureline Communications Co. Ltd. | -- | -- | Yes/Oui | 2001/05/25 |
| FW Omnimedia Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2005/05/09 |
| G | | | | |
| Gabriel Resources Ltd. | -- | -- | -- | -- |
| Galane Gold Ltd. | -- | -- | -- | -- |
| Galantas Gold Corporation | -- | -- | -- | -- |
| GalaVu Entertainment Inc. | -- | -- | Yes/Oui | 2000/03/03 |
| GalaxiWorld.com Limited | -- | -- | Yes/Oui | 1999/11/08 |
| Galaxy Lithium One Inc. | Yes/Oui | 3 | -- | -- |
| Galaxy Minerals, Inc. | -- | -- | Yes/Oui | 1984/07/10 |
| Galaxy Online Inc. | -- | -- | Yes/Oui | 2002/05/22 |
| Galaxy Resources Limited | -- | -- | -- | -- |
| Galileo Growth and Income Fund | -- | -- | -- | -- |
| Galileo High Income Plus Fund | -- | -- | -- | -- |
| Galileo Webtrack Systems Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/05/26 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Gallery Resources Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/11/02 |
| Galway Gold Inc. | -- | -- | -- | -- |
| Galway Metals Inc. | -- | -- | -- | -- |
| Gambit Consolidated Explorations Ltd. | -- | -- | Yes/Oui | 1981/01/13 |
| Gambol Group Incorporated | -- | -- | Yes/Oui | 1999/06/11 |
| Gamehost Inc. | -- | -- | -- | -- |
| Gandalf Technologies Inc. | -- | -- | Yes/Oui | 1997/09/24 |
| GAR Limited | -- | -- | -- | -- |
| Garda World Security Corporation | -- | -- | -- | -- |
| Gaslite Petroleum Ltd. | -- | -- | Yes/Oui | 1985/05/14 |
| Gastar Exploration Inc. | -- | -- | -- | -- |
| Gastem Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/07 |
| Gas, the Fright, Comics | -- | -- | Yes/Oui | 1984/06/25 |
| Gatekeeper Systems Inc. | -- | -- | -- | -- |
| Gateway Low Volatility U.S. Equity Fund | -- | -- | -- | -- |
| Gazit-Globe Ltd. | -- | -- | -- | -- |
| Gazit Canada Financial Inc. | Yes/Oui | 3 | -- | -- |
| GBC American Growth Fund Inc., The | -- | -- | -- | -- |
| GBC Canadian Bond Fund, The | -- | -- | -- | -- |
| GBC Canadian Growth Fund, The | -- | -- | -- | -- |
| GBC Growth and Income Fund, The | -- | -- | -- | -- |
| GBC International Growth Fund, The | -- | -- | -- | -- |
| GBC Money Market Fund, The | -- | -- | -- | -- |
| GBLT Corp. | -- | -- | -- | -- |
| GBO Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/11/03 |
| GBS Gold International Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/15 |
| GC One Equity Portfolio | -- | -- | -- | -- |
| GC One Fixed Income Portfolio | -- | -- | -- | -- |
| GCC Global Capital Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| GDI Global Data Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2005/06/14 |
| GDI Integrated Facility Services Inc. | -- | -- | -- | -- |
| GDL Evergreen Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| GE Capital Canada Funding Company | -- | -- | -- | -- |
| Gear Energy Ltd. | -- | -- | -- | -- |
| gearunlimited.com Inc. | Yes/Oui | 1b | Yes/Oui | 2001/12/14 |
| Gemoscan Canada, Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/03/04 |
| Gemstone X.Change Corp., The | Yes/Oui | 1a, 1d | Yes/Oui | 2002/06/21 |
| Gencan Capital Inc. | -- | -- | -- | -- |
| GeneNews Limited | -- | -- | -- | -- |
| General Allied Oil & Gas Co. | -- | -- | Yes/Oui | 1986/06/02 |
| General Gas and Oil Company Limited | -- | -- | Yes/Oui | 1982/09/23 |
| General Leaseholds Limited | -- | -- | Yes/Oui | 1996/10/15 |
| General Moly, Inc. | -- | -- | -- | -- |
| General Motors Company | -- | -- | -- | -- |
| Generation Mining Limited | -- | -- | -- | -- |
| Generic Gold Corp. | -- | -- | -- | -- |
| Genesis Hydrogen Systems Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Genesis Land Development Corp. | -- | -- | -- | -- |
| Genesis Metals Corp | -- | -- | -- | -- |
| Genesis Trust II | -- | -- | -- | -- |
| Genesis Worldwide Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/05/15 |
| Genius Properties Ltd. | -- | -- | -- | -- |
| Genoil Inc. | -- | -- | Yes/Oui | 2014/05/09 |
| Genoray Advanced Technologies Ltd. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2004/03/23 |
| Gensource Potash Corporation | -- | -- | -- | -- |
| Gentor Resources Inc. | -- | -- | -- | -- |
| Genus Dividend Equity Fund | -- | -- | -- | -- |
| Genus Fossil Free CanGlobe Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Genus Fossil Free Corporate Bond Fund | -- | -- | -- | -- |
| Genus Fossil Free Dividend Equity Fund | -- | -- | -- | -- |
| Genus Fossil Free High Impact Equity Fund | -- | -- | -- | -- |
| Genus Government Bond Fund | -- | -- | -- | -- |
| Genus Short-Term Bond Fund | -- | -- | -- | -- |
| Genworth MI Canada Inc. | -- | -- | -- | -- |
| Geodrill Limited | -- | -- | -- | -- |
| GeoGlobal Resources Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2013/04/23 |
| Geographics, Inc. | -- | -- | Yes/Oui | 1998/09/10 |
| Geologix Explorations Inc. | -- | -- | -- | -- |
| Geomega Resources Inc. | -- | -- | -- | -- |
| GeoPetro Resources Company | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/26 |
| George Weston Limited | -- | -- | -- | -- |
| Georgian Bancorp Inc. | -- | -- | Yes/Oui | 2000/12/06 |
| GeoVenCap Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Geovic Mining Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/15 |
| Geraldton Longlac Gold Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| Gerido Lake Mines Limited | -- | -- | Yes/Oui | 1975/02/13 |
| German House Toronto Inc. | -- | -- | Yes/Oui | 1986/06/24 |
| Getchell Gold Corp. | -- | -- | -- | -- |
| Getty Copper Inc. | -- | -- | -- | -- |
| GFG Resources Inc. | -- | -- | -- | -- |
| Ghana Gold Mines Limited | -- | -- | Yes/Oui | 2000/11/23 |
| Gibraltar Springs Capital Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2006/04/12 |
| Gibson Energy Inc. | -- | -- | -- | -- |
| GIGA Metals Corporation | -- | -- | -- | -- |
| Gildan Activewear Inc. | -- | -- | -- | -- |
| GINSMS Inc. | -- | -- | -- | -- |
| Gitennes Exploration Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Giyani Metals Corp. | -- | -- | -- | -- |
| Glacier Credit Card Trust | -- | -- | -- | -- |
| Glacier Media Inc. | -- | -- | -- | -- |
| Gladstone Pacific Nickel Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/06/14 |
| Glance Technologies Inc. | -- | -- | -- | -- |
| Glenbriar Technologies Inc. | -- | -- | -- | -- |
| Glenbrook Plaza Limited Partnership | -- | -- | Yes/Oui | 1988/07/18 |
| Glendale International Corp. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/03/12 |
| GLG Life Tech Corporation | -- | -- | -- | -- |
| Global Alpha Worldwide Growth Fund | -- | -- | -- | -- |
| Global Atomic Corporation | -- | -- | -- | -- |
| Global Biotech Inc. | Yes/Oui | 1a, 1b, 1d | -- | -- |
| Global Blockchain Mining Corp. | -- | -- | -- | -- |
| Global Blockchain Technologies Corp. | -- | -- | -- | -- |
| Global Cannabis Applications Corp. | -- | -- | -- | -- |
| Global Champions Split Corp. | -- | -- | -- | -- |
| Global Dividend Equity Class (Setanta) | -- | -- | -- | -- |
| Global Dividend Fund (Setanta) | -- | -- | -- | -- |
| Global Dividend Growers Income Fund | -- | -- | -- | -- |
| Global Dividend Growth Split Corp. | -- | -- | -- | -- |
| Global Equity Class (Setanta) | -- | -- | -- | -- |
| Global Fixed Income Corporate Class | -- | -- | -- | -- |
| Global Fixed Income Pool | -- | -- | -- | -- |
| Global Gardens Group Inc. | -- | -- | -- | -- |
| Global Healthcare Dividend Fund | -- | -- | -- | -- |
| Global Healthcare Income & Growth ETF | -- | -- | -- | -- |
| Global Hemp Group Inc. | -- | -- | -- | -- |
| Global Iman Fund | -- | -- | -- | -- |
| Global Infrastructure Equity Fund (London Capital) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Global Innovation Dividend Fund | -- | -- | -- | -- |
| Global Key Investment Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/15 |
| Global Li-Ion Graphite Corp. | -- | -- | -- | -- |
| Global Low Volatility Fund (ILIM) | -- | -- | -- | -- |
| Global Managed Volatility Fund | -- | -- | -- | -- |
| Global Monthly Income Fund (London Capital) | -- | -- | -- | -- |
| Global Real Estate Dividend Growers Corp. | -- | -- | -- | -- |
| Global Real Estate Fund (London Capital) | -- | -- | -- | -- |
| Global Resource Champions Split Corp. | -- | -- | -- | -- |
| Global SeaFarms Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/09 |
| Global Telecom & Utilities Income Fund | -- | -- | -- | -- |
| Global UAV Technologies Ltd. | -- | -- | -- | -- |
| Global Water Resources, Inc. | -- | -- | -- | -- |
| Global Water Solutions Fund | -- | -- | -- | -- |
| Globalance Dividend Growers Corp. | -- | -- | -- | -- |
| Globalive Technology Inc. | -- | -- | -- | -- |
| GlobalMin Ventures Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/04/06 |
| GlobeTel Communications Limited | Yes/Oui | 1a | Yes/Oui | 2003/07/31 |
| Globevest Capital Secured Put Writing Fund | -- | -- | -- | -- |
| Globevest Capital Tactical Covered Options Fund | -- | -- | -- | -- |
| Globex Mining Enterprises Inc. | -- | -- | -- | -- |
| Glorious Creation Limited | -- | -- | -- | -- |
| Gluskin Sheff + Associates Inc. | -- | -- | -- | -- |
| GMP Capital Inc. | -- | -- | -- | -- |
| Go Capital I, Inc. | -- | -- | Yes/Oui | 2014/05/07 |
| Go Cobalt Mining Corp. | -- | -- | -- | -- |
| Go Green Capital Corp. | -- | -- | -- | -- |
| Go Vacations 1985 Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Go Vacations 1986-A Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Go Vacations 1986 Limited Partnership | -- | -- | Yes/Oui | 1993/07/13 |
| Go Vacations 1987-A Limited Partnership | -- | -- | Yes/Oui | 1995/01/27 |
| Go Vacations 1987-B Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Go Vacations 1987 Limited Partnership | -- | -- | Yes/Oui | 1995/04/28 |
| Go Vacations 1988-B Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Go Vacations 1988-C Limited Partnership | -- | -- | Yes/Oui | 1995/05/09 |
| Go Vacations 1988 - A Limited Partnership | -- | -- | Yes/Oui | 1995/05/09 |
| Go Vacations 1989-A Limited Partnership | -- | -- | Yes/Oui | 1995/05/09 |
| Go Vacations 1989-B Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Go Vacations 1990-A Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Go Vacations Inc. | -- | -- | Yes/Oui | 1995/03/29 |
| God's Lake Resources Inc. | -- | -- | -- | -- |
| goeasy Ltd. | -- | -- | -- | -- |
| Gogama Resources Inc. | -- | -- | Yes/Oui | 1989/11/30 |
| GoGold Resources Inc. | -- | -- | -- | -- |
| Gold Port Resources Ltd. | -- | -- | -- | -- |
| Gold Reserve Inc. | -- | -- | -- | -- |
| Gold Ridge Mines Inc. | -- | -- | Yes/Oui | 1981/07/08 |
| Gold Standard Ventures Corp. | -- | -- | -- | -- |
| Gold World Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/15 |
| Goldbar Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/02/07 |
| Goldbelt Empires Limited | -- | -- | -- | -- |
| Goldcorp Inc. | -- | -- | -- | -- |
| Goldeidt Explorations Inc. | -- | -- | Yes/Oui | 1984/12/06 |
| Golden Arm Mines Limited | -- | -- | Yes/Oui | 1978/07/13 |
| Golden Briar Mines Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2006/05/10 |
| Golden Crescent Corporation | -- | -- | Yes/Oui | 2001/06/04 |
| Golden Dawn Minerals Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Golden Harp Resources Inc. | -- | -- | -- | -- |
| Golden Hope Mines Limited | -- | -- | -- | -- |
| Golden Leaf Holdings Ltd. | Yes/Oui | 1j | -- | -- |
| Golden Maritime Resources Ltd. | -- | -- | Yes/Oui | 2000/07/26 |
| Golden Minerals Company | -- | -- | -- | -- |
| Golden Moor Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/01/10 |
| Golden Myra Resources Inc. | -- | -- | Yes/Oui | 1990/09/27 |
| Golden Phoenix Minerals, Inc. | -- | -- | Yes/Oui | 2013/12/18 |
| Golden Pond Resources Ltd. | -- | -- | Yes/Oui | 1992/03/13 |
| Golden Predator Mining Corp. | -- | -- | -- | -- |
| Golden Queen Mining Co. Ltd. | -- | -- | -- | -- |
| Golden Range Resources Inc. | -- | -- | Yes/Oui | 1990/03/09 |
| Golden Reign Resources Ltd. | -- | -- | -- | -- |
| Golden Saturn Oil & Gas Limited | -- | -- | Yes/Oui | 1980/08/12 |
| Golden Share Resources Corporation | -- | -- | -- | -- |
| Golden Star Resources Ltd. | -- | -- | -- | -- |
| Golden Sunset Trail Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/07 |
| Golden Terrace Resources Corporation | -- | -- | Yes/Oui | 1991/07/08 |
| Golden Trend Petroleum Ltd. | -- | -- | Yes/Oui | 2000/06/30 |
| Golden Valley Mines Ltd. | -- | -- | -- | -- |
| Goldeneye Resources Corp. | -- | -- | -- | -- |
| Goldgroup Mining Inc. | -- | -- | -- | -- |
| Goldlinx International Inc. | -- | -- | Yes/Oui | 1999/01/29 |
| GoldMining Inc. | -- | -- | -- | -- |
| GoldMoney Inc. | -- | -- | -- | -- |
| Goldnev Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/08/10 |
| Goldplay Exploration Ltd. | -- | -- | -- | -- |
| Goldplex Development Corporation | -- | -- | Yes/Oui | 1996/11/05 |
| GoldQuest Mining Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Goldrea Resources Corp. | -- | -- | -- | -- |
| Goldstake Explorations Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/04/08 |
| Goldtrack Resources Inc. | -- | -- | Yes/Oui | 1990/09/27 |
| GoldTrain Resources Inc. | -- | -- | -- | -- |
| Goliath Resources Limited | -- | -- | -- | -- |
| good natured Products Inc. | -- | -- | -- | -- |
| Goodfellow Inc. | -- | -- | -- | -- |
| Goodfood Market Corp. | -- | -- | -- | -- |
| GOODWOOD CAPITAL FUND | -- | -- | -- | -- |
| Goran Capital Inc. | Yes/Oui | 1b | Yes/Oui | 2003/09/16 |
| Gordex Minerals Limited | -- | -- | Yes/Oui | 1989/07/28 |
| Gourmet Ocean Products Inc. | -- | -- | -- | -- |
| GoverMedia Plus Canada Corp. | -- | -- | -- | -- |
| Governor Gold Mines Limited | -- | -- | Yes/Oui | 1975/04/09 |
| Goviex Uranium Inc. | -- | -- | -- | -- |
| Gowest Gold Ltd. | -- | -- | -- | -- |
| GPM Metals Inc. | -- | -- | -- | -- |
| Grafton Group Limited | -- | -- | Yes/Oui | 1992/07/13 |
| Gram Minerals Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2001/11/26 |
| Gran Colombia Gold Corp. | -- | -- | -- | -- |
| Gran Tierra Energy Inc. | -- | -- | -- | -- |
| Gran Tierra Exchangeco Inc. | -- | -- | -- | -- |
| Grand Okanagan Resort II Limited Partnership | -- | -- | Yes/Oui | 1998/06/03 |
| Grand Okanagan Resort Limited Partnership | -- | -- | Yes/Oui | 1998/06/03 |
| Grand Peak Capital Corp. | -- | -- | -- | -- |
| Grande West Transportation Group Inc. | -- | -- | -- | -- |
| Grandview-on-the-Lake Equity Corporation | -- | -- | Yes/Oui | 1985/09/18 |
| Granite Destinations Corporation (Northern and Eastern) | -- | -- | Yes/Oui | 1992/08/28 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Granite Heritage Corporation (Northern and Eastern) | -- | -- | Yes/Oui | 1992/04/15 |
| Granite Oil Corp. | -- | -- | -- | -- |
| Granite Real Estate Investment Trust | -- | -- | -- | -- |
| Granite REIT Holdings Limited Partnership | -- | -- | -- | -- |
| Granite REIT Inc. | -- | -- | -- | -- |
| Granite Tourism Corporation | -- | -- | Yes/Oui | 1992/04/15 |
| Graphite Energy Corp. | -- | -- | -- | -- |
| Grasset Lake Mines Limited | -- | -- | Yes/Oui | 1985/06/26 |
| Gratomic Inc. | -- | -- | -- | -- |
| Gravitas Financial Inc. | -- | -- | -- | -- |
| Gray Industries Inc. | -- | -- | Yes/Oui | 1972/04/28 |
| Gray Wolf Capital Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/01/31 |
| Great-West Lifeco Finance (Delaware) LP II | -- | -- | -- | -- |
| Great-West Lifeco Inc. | -- | -- | -- | -- |
| Great Basin Gold Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/09/03 |
| Great Bear Resources Ltd. | -- | -- | -- | -- |
| Great Canadian Gaming Corporation | -- | -- | -- | -- |
| Great Canadian Soup Company Limited Partnership, The | -- | -- | Yes/Oui | 1988/09/13 |
| Great Lakes Graphite Inc. | -- | -- | -- | -- |
| Great Lakes Nickel Limited | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/09/09 |
| Great Legends Mining Inc. | -- | -- | Yes/Oui | 2000/06/01 |
| Great Northern Gas Company | -- | -- | Yes/Oui | 1993/07/13 |
| Great Panther Silver Limited | -- | -- | -- | -- |
| Great Prairie Energy Services Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2018/05/16 |
| Great Western Minerals Group Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/15 |
| Greater Toronto Airports Authority | -- | -- | -- | -- |
| Grecian Specialty Foods Inc. | -- | -- | Yes/Oui | 1997/10/23 |
| Green 2 Blue Energy Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Green Arrow Resources Inc. | -- | -- | -- | -- |
| Green Environmental Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3, 4 | Yes/Oui | 2005/11/08 |
| Green Rise Capital Corporation | -- | -- | -- | -- |
| Green River Gold Corp. | -- | -- | -- | -- |
| Green Standard Vanadium Resources Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/25 |
| Green Thumb Industries Inc. | -- | -- | -- | -- |
| Greenbank Capital Inc. | -- | -- | -- | -- |
| Greencastle Resources Ltd. | -- | -- | -- | -- |
| Greenfields Petroleum Corporation | -- | -- | -- | -- |
| Greengreen Capital Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/01/10 |
| Greenland Resources Inc. | -- | -- | -- | -- |
| Greenlight Communications Inc. | -- | -- | Yes/Oui | 1999/11/30 |
| Greenshield Explorations Limited | -- | -- | -- | -- |
| GreenSpace Brands Inc. | -- | -- | -- | -- |
| GreenStar Agricultural Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/06/03 |
| Greenstone Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2000/06/02 |
| Greentree Gas & Oil Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/10 |
| Greenwich Resources plc | -- | -- | Yes/Oui | 1985/10/07 |
| Grenville Gold Corp. | -- | -- | -- | -- |
| Grey Fox, The | -- | -- | Yes/Oui | 1982/09/10 |
| Greystone Canadian Bond Fund | -- | -- | -- | -- |
| Greystone Canadian Equity Income & Growth Fund | -- | -- | -- | -- |
| Greystone Global Equity Fund | -- | -- | -- | -- |
| Greyvest Capital Inc. | -- | -- | Yes/Oui | 2002/05/22 |
| Grid Metals Corp. | -- | -- | -- | -- |
| Grosvenor Resource Corporation | -- | -- | -- | -- |
| Groundstar Resources Limited | Yes/Oui | 3 | -- | -- |
| Group Eleven Resources Corp. | -- | -- | -- | -- |
| GrowMax Resources Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Growth 100 Fund | -- | -- | -- | -- |
| Growth 80/20 Fund | -- | -- | -- | -- |
| Growth and Income Fund (GWLIM) | -- | -- | -- | -- |
| Growth Fund (formerly, Growth 70/30 Fund) | -- | -- | -- | -- |
| Growth Portfolio | -- | -- | -- | -- |
| Growth & Income Class | -- | -- | -- | -- |
| Growth & Income Class (GWLIM) | -- | -- | -- | -- |
| GrowthWorks Canadian Fund Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| GrowthWorks Commercialization Fund Ltd. | Yes/Oui | 1b, 1c, 3 | -- | -- |
| Gryphon Gold Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2013/07/23 |
| GST Telecommunications Inc. | -- | -- | Yes/Oui | 2001/08/14 |
| GT Group Telecom Inc. | Yes/Oui | 1b | Yes/Oui | 2002/11/29 |
| GTA Resources and Mining Inc. | -- | -- | -- | -- |
| Guard Inc. | -- | -- | Yes/Oui | 2002/05/23 |
| Guardian Balanced Fund | -- | -- | -- | -- |
| Guardian Balanced Income Fund | -- | -- | -- | -- |
| Guardian Canadian Bond Fund | -- | -- | -- | -- |
| Guardian Canadian Equity Fund | -- | -- | -- | -- |
| Guardian Canadian Equity Select Fund | -- | -- | -- | -- |
| Guardian Canadian Focused Equity Fund | -- | -- | -- | -- |
| Guardian Canadian Growth Equity Fund | -- | -- | -- | -- |
| Guardian Canadian Short-Term Investment Fund | -- | -- | -- | -- |
| Guardian Canadian Small/Mid Cap Equity Fund | -- | -- | -- | -- |
| Guardian Capital Group Limited | -- | -- | -- | -- |
| Guardian Emerging Markets Equity Fund | -- | -- | -- | -- |
| Guardian Equity Income Fund | -- | -- | -- | -- |
| Guardian Exploration Inc. | -- | -- | -- | -- |
| Guardian Fixed Income Select Fund | -- | -- | -- | -- |
| Guardian Fundamental Global Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Guardian Global Dividend Growth Fund | -- | -- | -- | -- |
| Guardian Global Equity Fund | -- | -- | -- | -- |
| Guardian Growth & Income Fund | -- | -- | -- | -- |
| Guardian High Yield Bond Fund | -- | -- | -- | -- |
| Guardian International Equity Fund | -- | -- | -- | -- |
| Guardian International Equity Select Fund | -- | -- | -- | -- |
| Guardian Investment Grade Corporate Bond Fund | -- | -- | -- | -- |
| Guardian Managed Income Portfolio | -- | -- | -- | -- |
| Guardian Managed Income & Growth Portfolio | -- | -- | -- | -- |
| Guardian Private Wealth Equity Fund | -- | -- | -- | -- |
| Guardian Short Duration Bond Fund | -- | -- | -- | -- |
| Guardian U.S. Equity All Cap Growth Fund | -- | -- | -- | -- |
| Guardian U.S. Equity Fund | -- | -- | -- | -- |
| Guardian U.S. Equity Select Fund | -- | -- | -- | -- |
| Guerrero Exploration Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/11 |
| Guerrero Ventures Inc. | -- | -- | -- | -- |
| Gulf International Minerals Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2006/04/18 |
| Gulf & Pacific Equities Corp. | -- | -- | -- | -- |
| Gulfstream Acquisition 1 Corp. | -- | -- | -- | -- |
| Gungnir Resources Inc. | -- | -- | -- | -- |
| Gunpowder Capital Corp. | -- | -- | -- | -- |
| Guyana Goldfields Inc. | -- | -- | -- | -- |
| GVIC Communications Corp. | -- | -- | -- | -- |
| H | | | | |
| H-SOURCE HOLDINGS LTD. | -- | -- | -- | -- |
| Haemacure Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2010/02/19 |
| Halifax Receivables Trust | -- | -- | -- | -- |
| Halio Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/12/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Halliburton Company | -- | -- | -- | -- |
| Halozone Technologies Inc. | -- | -- | Yes/Oui | 1996/05/17 |
| Haltain Developments Corp. | Yes/Oui | 3 | -- | -- |
| Hamilton Capital Global Bank ETF | -- | -- | -- | -- |
| Hamilton Capital Global Financials Yield ETF | -- | -- | -- | -- |
| Hamilton Capital U.S. Mid-Cap Financials ETF (USD) | -- | -- | -- | -- |
| Hamilton Park Plaza Limited Partnership | -- | -- | -- | -- |
| Hamilton Thorne Ltd. | -- | -- | -- | -- |
| Hammond Manufacturing Company Limited | -- | -- | -- | -- |
| Hammond Power Solutions Inc. | -- | -- | -- | -- |
| Hampton Financial Corporation | -- | -- | -- | -- |
| Hanfeng Evergreen Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/02/19 |
| Hanwei Energy Services Corp. | -- | -- | -- | -- |
| HAP Nexus Hedge Fund Replication Trust | -- | -- | -- | -- |
| Happy Creek Minerals Ltd. | -- | -- | -- | -- |
| Harbor Pines Development Corporation | -- | -- | -- | -- |
| Harbour Canadian Dividend Fund | -- | -- | -- | -- |
| Harbour Corporate Class | -- | -- | -- | -- |
| Harbour Fund | -- | -- | -- | -- |
| Harbour Global Analyst Fund | -- | -- | -- | -- |
| Harbour Global Equity Corporate Class | -- | -- | -- | -- |
| Harbour Global Equity Fund | -- | -- | -- | -- |
| Harbour Global Growth & Income Corporate Class | -- | -- | -- | -- |
| Harbour Global Growth & Income Fund | -- | -- | -- | -- |
| Harbour Growth & Income Corporate Class | -- | -- | -- | -- |
| Harbour Growth & Income Fund | -- | -- | -- | -- |
| Harbour Voyageur Corporate Class | -- | -- | -- | -- |
| Harbourfront Hotel Limited Partnership | -- | -- | Yes/Oui | 1998/05/22 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Hardrock Extension Inc. | -- | -- | Yes/Oui | 1999/09/08 |
| Hardwood Properties Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/06/16 |
| Hardwoods Distribution Inc. | -- | -- | -- | -- |
| Harmony Balanced Growth Portfolio | -- | -- | -- | -- |
| Harmony Balanced Growth Portfolio Class | -- | -- | -- | -- |
| Harmony Balanced Portfolio | -- | -- | -- | -- |
| Harmony Canadian Equity Pool | -- | -- | -- | -- |
| Harmony Canadian Fixed Income Pool | -- | -- | -- | -- |
| Harmony Conservative Portfolio | -- | -- | -- | -- |
| Harmony Diversified Income Pool | -- | -- | -- | -- |
| Harmony Global Fixed Income Pool | -- | -- | -- | -- |
| Harmony Growth Plus Portfolio | -- | -- | -- | -- |
| Harmony Growth Plus Portfolio Class | -- | -- | -- | -- |
| Harmony Growth Portfolio | -- | -- | -- | -- |
| Harmony Growth Portfolio Class | -- | -- | -- | -- |
| Harmony Maximum Growth Portfolio | -- | -- | -- | -- |
| Harmony Maximum Growth Portfolio Class | -- | -- | -- | -- |
| Harmony Money Market Pool | -- | -- | -- | -- |
| Harmony Overseas Equity Pool | -- | -- | -- | -- |
| Harmony U.S. Equity Pool | -- | -- | -- | -- |
| Harmony Yield Portfolio | -- | -- | -- | -- |
| Harry Tracy - Desperado | -- | -- | Yes/Oui | 1986/09/22 |
| Harte Gold Corp. | -- | -- | -- | -- |
| Hartland Pipeline Services Ltd. | -- | -- | Yes/Oui | 2000/06/30 |
| Harvest Banks & Buildings Income ETF | -- | -- | -- | -- |
| Harvest Banks & Buildings Income Fund | -- | -- | -- | -- |
| Harvest Brand Leaders Plus Income ETF | -- | -- | -- | -- |
| Harvest Canadian Income & Growth Fund | -- | -- | -- | -- |
| Harvest Energy Leaders Plus Income ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Harvest European Leaders Income ETF | -- | -- | -- | -- |
| Harvest Global REIT Leaders Income ETF | -- | -- | -- | -- |
| Harvest Global Resource Leaders ETF | -- | -- | -- | -- |
| Harvest Healthcare Leaders Income ETF | -- | -- | -- | -- |
| Harvest One Cannabis Inc. | -- | -- | -- | -- |
| Harvest Operations Corp. | -- | -- | -- | -- |
| Harvest Tech Achievers Growth & Income ETF | -- | -- | -- | -- |
| Harvest US Bank Leaders Income ETF | -- | -- | -- | -- |
| Harvest US Equity Plus Income ETF | -- | -- | -- | -- |
| HAW Capital Corp. | -- | -- | -- | -- |
| Hayes Resources Inc. | -- | -- | Yes/Oui | 1990/06/08 |
| Head On Investors | -- | -- | Yes/Oui | 1982/09/10 |
| Headway Property Investment 77-I | -- | -- | Yes/Oui | 1998/05/22 |
| Headway Property Investment 77-II | -- | -- | Yes/Oui | 1989/01/04 |
| Headway Property Investment 78-II | -- | -- | Yes/Oui | 1998/05/22 |
| Headway Property Investment 78-III | -- | -- | Yes/Oui | 1997/11/07 |
| Health & Environment Inc. | -- | -- | Yes/Oui | 1989/06/09 |
| Healthcare Special Opportunities Fund | -- | -- | -- | -- |
| Healthscreen Solutions Incorporated | Yes/Oui | 1a, 1b, 1c, 1e, 2b, 3 | Yes/Oui | 2011/09/02 |
| HealthSpace Data Systems Ltd. | -- | -- | -- | -- |
| Healthtrac, Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2004/10/18 |
| Heatherdale Resources Ltd. | -- | -- | -- | -- |
| Heating Oil Partners Income Fund | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Hecla Mining Company | -- | -- | -- | -- |
| Hedman Resources Limited | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2007/09/12 |
| Hegco Canada Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2009/11/05 |
| Helical Corporation Inc., The | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/01/22 |
| Heliuss Medical Technologies, Inc. | -- | -- | -- | -- |
| Helix BioPharma Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Hello Pal International Inc. | -- | -- | -- | -- |
| Hemisphere Energy Corporation | -- | -- | -- | -- |
| Heritage Cannabis Holdings Corp. | -- | -- | -- | -- |
| Heritage Global Inc. | -- | -- | -- | -- |
| Heritage Plans | -- | -- | -- | -- |
| Heron Resources Limited | -- | -- | -- | -- |
| Heroux-Devtek Inc. | -- | -- | -- | -- |
| Hershey Oil Corporation | -- | -- | Yes/Oui | 1994/06/24 |
| Hess Corporation | -- | -- | -- | -- |
| Hi Ho Silver Resources Inc. | -- | -- | -- | -- |
| High-Country Engineering Ltd. | -- | -- | Yes/Oui | 1983/11/03 |
| High Arctic Energy Services Inc. | -- | -- | -- | -- |
| High Country, The | -- | -- | Yes/Oui | 1988/10/04 |
| High Growth Portfolio | -- | -- | -- | -- |
| High Hampton Holdings Corp. | -- | -- | -- | -- |
| High Liner Foods Incorporated | -- | -- | -- | -- |
| High Mountain Capital Corporation | -- | -- | -- | -- |
| High North Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/02/05 |
| Highmark Technologies Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/03/11 |
| Highspire Capital Inc. | -- | -- | Yes/Oui | 1998/03/23 |
| Highvista Gold Inc. | -- | -- | -- | -- |
| Hiku Brands Company Ltd. | -- | -- | -- | -- |
| Hillcrest Petroleum Ltd. | -- | -- | -- | -- |
| Hilltop Cybersecurity Inc. | -- | -- | -- | -- |
| Hip Interactive Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/01/20 |
| HIT Technologies Inc. | -- | -- | -- | -- |
| HLD Land Development Limited Partnership | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/12 |
| HLS Therapeutics Inc. | -- | -- | -- | -- |
| HLT Energies inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/02/09 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| HMH China Investments Limited | -- | -- | -- | -- |
| HMZ Metals Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/05/01 |
| Ho Won Ginseng 1993 Limited Partnership | -- | -- | Yes/Oui | 1997/06/26 |
| Hog Wild Dirty Tricks Scanners | -- | -- | Yes/Oui | 1984/06/27 |
| Hollinger Inc. | Yes/Oui | 1a, 1c, 1d, 1e | Yes/Oui | 2008/07/23 |
| Holloway Lodging Corporation | -- | -- | -- | -- |
| Home Capital Group Inc. | -- | -- | -- | -- |
| Home Products Inc. | -- | -- | Yes/Oui | 1997/11/06 |
| HomeProject.com Inc. | Yes/Oui | 1a | Yes/Oui | 2003/01/23 |
| Homeserve Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/12/16 |
| Hope Well Capital Corp. | -- | -- | -- | -- |
| Horizon Holsteins Limited Partnership | -- | -- | Yes/Oui | 1997/06/18 |
| Horizon Holsteins Limited Partnership II | -- | -- | Yes/Oui | 1997/06/18 |
| Horizon North Logistics Inc. | -- | -- | -- | -- |
| Horizons Absolute Return Global Currency ETF | -- | -- | -- | -- |
| Horizons Active A.I. Global Equity ETF | -- | -- | -- | -- |
| Horizons Active Cdn Bond ETF | -- | -- | -- | -- |
| Horizons Active Cdn Dividend ETF | -- | -- | -- | -- |
| Horizons Active Cdn Municipal Bond ETF | -- | -- | -- | -- |
| Horizons Active Corporate Bond ETF | -- | -- | -- | -- |
| Horizons Active Emerging Markets Dividend ETF | -- | -- | -- | -- |
| Horizons Active Floating Rate Bond ETF | -- | -- | -- | -- |
| Horizons Active Floating Rate Preferred Share ETF | -- | -- | -- | -- |
| Horizons Active Floating Rate Senior Loan ETF | -- | -- | -- | -- |
| Horizons Active Global Dividend ETF | -- | -- | -- | -- |
| Horizons Active Global Fixed Income ETF | -- | -- | -- | -- |
| Horizons Active High Yield Bond ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Horizons Active Intl Developed Markets Equity ETF | -- | -- | -- | -- |
| Horizons Active Preferred Share ETF | -- | -- | -- | -- |
| Horizons Active US Dividend ETF | -- | -- | -- | -- |
| Horizons Active US Floating Rate Bond (USD) ETF | -- | -- | -- | -- |
| Horizons Canadian Dollar Currency ETF | -- | -- | -- | -- |
| Horizons Canadian Midstream Oil & Gas Index ETF | -- | -- | -- | -- |
| Horizons Cdn High Dividend Index ETF | -- | -- | -- | -- |
| Horizons Cdn Insider Index ETF | -- | -- | -- | -- |
| Horizons Cdn Select Universe Bond ETF | -- | -- | -- | -- |
| Horizons China High Dividend Yield Index ETF | -- | -- | -- | -- |
| Horizons Crude Oil ETF | -- | -- | -- | -- |
| Horizons Emerging Marijuana Growers Index ETF | -- | -- | -- | -- |
| Horizons Enhanced Income Energy ETF | -- | -- | -- | -- |
| Horizons Enhanced Income Equity ETF | -- | -- | -- | -- |
| Horizons Enhanced Income Financials ETF | -- | -- | -- | -- |
| Horizons Enhanced Income Gold Producers ETF | -- | -- | -- | -- |
| Horizons Enhanced Income International Equity ETF | -- | -- | -- | -- |
| Horizons Enhanced Income US Equity (USD) ETF | -- | -- | -- | -- |
| Horizons EURO STOXX 50® Index ETF | -- | -- | -- | -- |
| Horizons Global Currency Opportunities ETF | -- | -- | -- | -- |
| Horizons Global Risk Parity ETF | -- | -- | -- | -- |
| Horizons Gold ETF | -- | -- | -- | -- |
| Horizons Gold Yield ETF | -- | -- | -- | -- |
| Horizons Inoventor Canadian Equity Index ETF | -- | -- | -- | -- |
| Horizons Intl Developed Markets Equity Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Horizons Managed Global Opportunities ETF | -- | -- | -- | -- |
| Horizons Marijuana Life Sciences ETF | -- | -- | -- | -- |
| Horizons Morningstar Hedge Fund Index ETF | -- | -- | -- | -- |
| Horizons NASDAQ-100® Index ETF | -- | -- | -- | -- |
| Horizons Natural Gas ETF | -- | -- | -- | -- |
| Horizons Natural Gas Yield ETF | -- | -- | -- | -- |
| Horizons Robotics and Automation Index ETF | -- | -- | -- | -- |
| Horizons Seasonal Rotation ETF | -- | -- | -- | -- |
| Horizons Silver ETF | -- | -- | -- | -- |
| Horizons S&P 500 CAD Hedged Index ETF | -- | -- | -- | -- |
| Horizons S&P 500® Index ETF | -- | -- | -- | -- |
| Horizons S&P/TSX 60 Equal Weight Index ETF | -- | -- | -- | -- |
| Horizons S&P/TSX 60 Index ETF | -- | -- | -- | -- |
| Horizons S&P/TSX Capped Energy Index ETF | -- | -- | -- | -- |
| Horizons S&P/TSX Capped Financials Index ETF | -- | -- | -- | -- |
| Horizons US 7-10 Year Treasury Bond CAD Hedged ETF | -- | -- | -- | -- |
| Horizons US 7-10 Year Treasury Bond ETF | -- | -- | -- | -- |
| Horizons US Dollar Currency ETF | -- | -- | -- | -- |
| Horizonte Minerals PLC | -- | -- | -- | -- |
| Hornby Bay Mineral Exploration Ltd. | -- | -- | -- | -- |
| Hot Touch | -- | -- | Yes/Oui | 1993/07/22 |
| Hotline to HR Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/07/09 |
| Hoverspace International Corp. | -- | -- | Yes/Oui | 1991/11/08 |
| How To Web TV Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/07/14 |
| HPIL Resources Ltd. | -- | -- | Yes/Oui | 1989/01/04 |
| HR Cafe, Ltd. | -- | -- | Yes/Oui | 2001/01/15 |
| HSBC AsiaPacific Fund | -- | -- | -- | -- |
| HSBC Bank Canada | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| HSBC BRIC Equity Fund | -- | -- | -- | -- |
| HSBC Canadian Balanced Fund | -- | -- | -- | -- |
| HSBC Canadian Bond Fund | -- | -- | -- | -- |
| HSBC Canadian Bond Pooled Fund | -- | -- | -- | -- |
| HSBC Canadian Dividend Pooled Fund | -- | -- | -- | -- |
| HSBC Canadian Equity Pooled Fund | -- | -- | -- | -- |
| HSBC Canadian Money Market Fund | -- | -- | -- | -- |
| HSBC Canadian Money Market Pooled Fund | -- | -- | -- | -- |
| HSBC Canadian Small Cap Equity Pooled Fund | -- | -- | -- | -- |
| HSBC Chinese Equity Fund | -- | -- | -- | -- |
| HSBC Dividend Income Fund | -- | -- | -- | -- |
| HSBC Emerging Markets Debt Fund | -- | -- | -- | -- |
| HSBC Emerging Markets Debt Pooled Fund | -- | -- | -- | -- |
| HSBC Emerging Markets Fund | -- | -- | -- | -- |
| HSBC Emerging Markets Pooled Fund | -- | -- | -- | -- |
| HSBC Equity Fund | -- | -- | -- | -- |
| HSBC European Fund | -- | -- | -- | -- |
| HSBC Global Corporate Bond Fund | -- | -- | -- | -- |
| HSBC Global Equity Fund | -- | -- | -- | -- |
| HSBC Global Equity Volatility Focused Fund | -- | -- | -- | -- |
| HSBC Global High Yield Bond Pooled Fund | -- | -- | -- | -- |
| HSBC Global Inflation Linked Bond Pooled Fund | -- | -- | -- | -- |
| HSBC Global Real Estate Equity Pooled Fund | -- | -- | -- | -- |
| HSBC Indian Equity Fund | -- | -- | -- | -- |
| HSBC International Equity Pooled Fund | -- | -- | -- | -- |
| HSBC Monthly Income Fund | -- | -- | -- | -- |
| HSBC Mortgage Fund | -- | -- | -- | -- |
| HSBC Mortgage Pooled Fund | -- | -- | -- | -- |
| HSBC Small Cap Growth Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| HSBC U.S. Dollar Money Market Fund | -- | -- | -- | -- |
| HSBC U.S. Dollar Monthly Income Fund | -- | -- | -- | -- |
| HSBC U.S. Equity Fund | -- | -- | -- | -- |
| HSBC U.S. Equity Pooled Fund | -- | -- | -- | -- |
| HSBC Wealth Compass Aggressive Growth Fund | -- | -- | -- | -- |
| HSBC Wealth Compass Balanced Fund | -- | -- | -- | -- |
| HSBC Wealth Compass Conservative Fund | -- | -- | -- | -- |
| HSBC Wealth Compass Growth Fund | -- | -- | -- | -- |
| HSBC Wealth Compass Moderate Conservative Fund | -- | -- | -- | -- |
| HSBC World Selection Diversified Aggressive Growth Fund | -- | -- | -- | -- |
| HSBC World Selection Diversified Balanced Fund | -- | -- | -- | -- |
| HSBC World Selection Diversified Conservative Fund | -- | -- | -- | -- |
| HSBC World Selection Diversified Growth Fund | -- | -- | -- | -- |
| HSBC World Selection Diversified Moderate Conservative Fund | -- | -- | -- | -- |
| Hucamp Mines Limited | -- | -- | Yes/Oui | 2002/05/22 |
| Hudbay Minerals Inc. | -- | -- | -- | -- |
| Hudson's Bay Company | -- | -- | -- | -- |
| Hudson Bay Diecasting Limited | -- | -- | Yes/Oui | 1996/01/22 |
| Hudson Bay Mountain Silver Mines Limited | -- | -- | Yes/Oui | 1975/04/09 |
| Hudson Resources Inc. | -- | -- | -- | -- |
| Hudson River Minerals Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Humberside Apartments South | -- | -- | Yes/Oui | 1987/09/15 |
| HuMedaTech International Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Humongus | -- | -- | Yes/Oui | 1986/09/22 |
| Hunt Club West Limited Partnership | -- | -- | Yes/Oui | 1998/01/13 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Hunt Mining Corp. | -- | -- | -- | -- |
| Hunter Oil Corp. | -- | -- | -- | -- |
| Hunters Point Limited Partnership, The | -- | -- | Yes/Oui | 1996/10/17 |
| Huntington Exploration Inc. | -- | -- | -- | -- |
| Huntington Golf and Country Club Limited Partnership | -- | -- | Yes/Oui | 1994/06/21 |
| Huntington Rhodes Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2006/05/05 |
| Husky Energy Inc. | -- | -- | -- | -- |
| Huston Red Lake Resources Limited | -- | -- | Yes/Oui | 1985/06/06 |
| Hut 8 Mining Corp. | -- | -- | -- | -- |
| Hyberlab Teknologies Corp. | -- | -- | Yes/Oui | 1999/06/24 |
| Hybrid Minerals Inc. | -- | -- | -- | -- |
| HydraLogic Systems Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/05/14 |
| Hydro One Inc. | -- | -- | -- | -- |
| Hydro One Limited | -- | -- | -- | -- |
| Hydro66 Holdings Corp. | -- | -- | -- | -- |
| Hydrogenics Corporation | -- | -- | -- | -- |
| Hydromet Environmental Recovery Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/05/26 |
| Hyduke Energy Services Inc. | -- | -- | -- | -- |
| Hystar Aerospace Corporation | -- | -- | Yes/Oui | 1992/12/16 |
| H&R Finance Trust | -- | -- | -- | -- |
| H&R Real Estate Investment Trust | -- | -- | -- | -- |
| I | | | | |
| IA Clarington Balanced Portfolio | -- | -- | -- | -- |
| IA Clarington Bond Fund | -- | -- | -- | -- |
| IA Clarington Canadian Balanced Class | -- | -- | -- | -- |
| IA Clarington Canadian Balanced Fund | -- | -- | -- | -- |
| IA Clarington Canadian Conservative Equity Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| IA Clarington Canadian Conservative Equity Fund | -- | -- | -- | -- |
| IA Clarington Canadian Dividend Fund | -- | -- | -- | -- |
| IA Clarington Canadian Growth Class | -- | -- | -- | -- |
| IA Clarington Canadian Leaders Class | -- | -- | -- | -- |
| IA Clarington Canadian Small Cap Class | -- | -- | -- | -- |
| IA Clarington Canadian Small Cap Fund | -- | -- | -- | -- |
| IA Clarington Conservative Portfolio | -- | -- | -- | -- |
| IA Clarington Core Plus Bond Fund | -- | -- | -- | -- |
| IA Clarington Dividend Growth Class | -- | -- | -- | -- |
| IA Clarington Emerging Markets Bond Fund | -- | -- | -- | -- |
| IA Clarington Floating Rate Income Fund | -- | -- | -- | -- |
| IA Clarington Focused Balanced Class | -- | -- | -- | -- |
| IA Clarington Focused Balanced Fund | -- | -- | -- | -- |
| IA Clarington Focused Canadian Equity Class | -- | -- | -- | -- |
| IA Clarington Focused U.S. Equity Fund | -- | -- | -- | -- |
| IA Clarington Global Bond Fund | -- | -- | -- | -- |
| IA Clarington Global Equity Exposure Fund | -- | -- | -- | -- |
| IA Clarington Global Equity Fund | -- | -- | -- | -- |
| IA Clarington Global Growth & Income Fund | -- | -- | -- | -- |
| IA Clarington Global Opportunities Class | -- | -- | -- | -- |
| IA Clarington Global Opportunities Fund | -- | -- | -- | -- |
| IA Clarington Global Tactical Income Class | -- | -- | -- | -- |
| IA Clarington Global Tactical Income Fund | -- | -- | -- | -- |
| IA Clarington Global Value Fund | -- | -- | -- | -- |
| IA Clarington Global Yield Opportunities Fund | -- | -- | -- | -- |
| IA Clarington Growth Portfolio | -- | -- | -- | -- |
| IA Clarington Growth & Income Fund | -- | -- | -- | -- |
| IA Clarington Inhance Balanced SRI Portfolio | -- | -- | -- | -- |
| IA Clarington Inhance Bond SRI Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| IA Clarington Inhance Canadian Equity SRI Class | -- | -- | -- | -- |
| IA Clarington Inhance Conservative SRI Portfol | -- | -- | -- | -- |
| IA Clarington Inhance Global Equity SRI Class | -- | -- | -- | -- |
| IA Clarington Inhance Growth SRI Portfolio | -- | -- | -- | -- |
| IA Clarington Inhance Monthly Income SRI Fun | -- | -- | -- | -- |
| IA Clarington Maximum Growth Portfolio | -- | -- | -- | -- |
| IA Clarington Moderate Portfolio | -- | -- | -- | -- |
| IA Clarington Money Market Fund | -- | -- | -- | -- |
| IA Clarington Monthly Income Balanced Fund | -- | -- | -- | -- |
| IA Clarington North American Opportunities Class | -- | -- | -- | -- |
| IA Clarington Real Return Bond Fund | -- | -- | -- | -- |
| IA Clarington Sarbit Activist Opportunities Class | -- | -- | -- | -- |
| IA Clarington Sarbit U.S. Equity Class (Unhedged) | -- | -- | -- | -- |
| IA Clarington Sarbit U.S. Equity Fund | -- | -- | -- | -- |
| IA Clarington Short-Term Bond Fund | -- | -- | -- | -- |
| IA Clarington Short-Term Income Class | -- | -- | -- | -- |
| IA Clarington Strategic Corporate Bond Class | -- | -- | -- | -- |
| IA Clarington Strategic Corporate Bond Fund | -- | -- | -- | -- |
| IA Clarington Strategic Equity Income Fund | -- | -- | -- | -- |
| IA Clarington Strategic Income Class | -- | -- | -- | -- |
| IA Clarington Strategic Income Fund | -- | -- | -- | -- |
| IA Clarington Strategic U.S. Growth & Income Fund | -- | -- | -- | -- |
| IA Clarington Tactical Bond Class | -- | -- | -- | -- |
| IA Clarington Tactical Bond Fund | -- | -- | -- | -- |
| IA Clarington Tactical Income Class | -- | -- | -- | -- |
| IA Clarington Tactical Income Fund | -- | -- | -- | -- |
| IA Clarington Target Click 2020 Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| IA Clarington Target Click 2025 Fund | -- | -- | -- | -- |
| IA Clarington Target Click 2030 Fund | -- | -- | -- | -- |
| IA Clarington U.S. Dividend Growth Fund | -- | -- | -- | -- |
| IA Clarington U.S. Dividend Growth Registered Fund | -- | -- | -- | -- |
| IA Clarington U.S. Dollar Floating Rate Income Fund | -- | -- | -- | -- |
| IA Clarington Yield Opportunities Fund | -- | -- | -- | -- |
| Ialta Industries Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/01/11 |
| IAMGold Corporation | -- | -- | -- | -- |
| iAnthus Capital Holdings, Inc. | -- | -- | -- | -- |
| Iatra Life Sciences Corporation | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2008/03/04 |
| IBC Advanced Alloys Corp. | -- | -- | -- | -- |
| IBEX Technologies Inc. | -- | -- | -- | -- |
| IBI Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/22 |
| IBI Group Inc. | -- | -- | -- | -- |
| ICC Labs Inc. | -- | -- | -- | -- |
| ICE Drilling Enterprises Inc. | -- | -- | Yes/Oui | 1999/04/15 |
| Icefloe Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/05/05 |
| ICESoft Technologies Canada Corp. | -- | -- | -- | -- |
| iCo Therapeutics Inc. | -- | -- | -- | -- |
| ICON Exploration Inc. | -- | -- | -- | -- |
| ICON Laser Eye Centers, Inc. | -- | -- | Yes/Oui | 2001/05/28 |
| ICOX Innovations Inc. | -- | -- | -- | -- |
| ICTV Brands, Inc. | Yes/Oui | 1b, 1c, 1e, 3 | -- | -- |
| IDM Mining Ltd. | -- | -- | -- | -- |
| IDS Intelligent Detection Systems Inc. | -- | -- | Yes/Oui | 2001/05/30 |
| If You Could See What I Hear (The Tom Sullivan Story) | -- | -- | Yes/Oui | 1984/10/11 |
| iFabric Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| IG AGF Canadian Balanced Fund | -- | -- | -- | -- |
| IG AGF Global Equity Class | -- | -- | -- | -- |
| IG AGF Global Equity Fund | -- | -- | -- | -- |
| IG AGF U.S. Growth Class | -- | -- | -- | -- |
| IG AGF U.S. Growth Fund | -- | -- | -- | -- |
| IG Beutel Goodman Canadian Balanced Fund | -- | -- | -- | -- |
| IG Beutel Goodman Canadian Equity Class | -- | -- | -- | -- |
| IG Beutel Goodman Canadian Equity Fund | -- | -- | -- | -- |
| IG Beutel Goodman Canadian Small Cap Fund | -- | -- | -- | -- |
| IG Bissett Canadian Equity Fund | -- | -- | -- | -- |
| IG FI Canadian Allocation Fund | -- | -- | -- | -- |
| IG FI Canadian Equity Class | -- | -- | -- | -- |
| IG FI Canadian Equity Fund | -- | -- | -- | -- |
| IG FI U.S. Large Cap Equity Class | -- | -- | -- | -- |
| IG FI U.S. Large Cap Equity Fund | -- | -- | -- | -- |
| IG Fiera Canadian Small Cap Class | -- | -- | -- | -- |
| IG Fiera Canadian Small Cap Fund | -- | -- | -- | -- |
| IG Franklin Bissett Canadian Equity Class | -- | -- | -- | -- |
| IG Mackenzie Canadian Equity Growth Class | -- | -- | -- | -- |
| IG Mackenzie Cundill Global Value Class | -- | -- | -- | -- |
| IG Mackenzie Cundill Global Value Fund | -- | -- | -- | -- |
| IG Mackenzie Emerging Markets Class | -- | -- | -- | -- |
| IG Mackenzie Emerging Markets Pool | -- | -- | -- | -- |
| IG Mackenzie Floating Rate Income Fund | -- | -- | -- | -- |
| IG Mackenzie Global Inflation-Linked Pool | -- | -- | -- | -- |
| IG Mackenzie Global Precious Metals Class | -- | -- | -- | -- |
| IG Mackenzie Income Fund | -- | -- | -- | -- |
| IG Mackenzie Ivy European Class | -- | -- | -- | -- |
| IG Mackenzie Ivy European Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| IG Mackenzie Ivy Foreign Equity Class | -- | -- | -- | -- |
| IG Mackenzie Low Volatility Emerging Markets Equity Pool | -- | -- | -- | -- |
| IG Mackenzie Maxxum Canadian Equity Growth Fund | -- | -- | -- | -- |
| IG Mackenzie Maxxum Dividend Growth Fund | -- | -- | -- | -- |
| IG Mackenzie Sentinel Strategic Income Fund | -- | -- | -- | -- |
| IG Putnam Emerging Markets Income Fund, | -- | -- | -- | -- |
| IG Putnam Low Volatility U.S. Equity Class | -- | -- | -- | -- |
| IG Putnam Low Volatility U.S. Equity Fund | -- | -- | -- | -- |
| IG Putnam U.S. Growth Class | -- | -- | -- | -- |
| IG Putnam U.S. Growth Fund | -- | -- | -- | -- |
| IG Putnam U.S. High Yield Income Portfolio | -- | -- | -- | -- |
| IG Templeton International Equity Class | -- | -- | -- | -- |
| Igen Networks Corp. | -- | -- | -- | -- |
| IGM Financial Inc. | -- | -- | -- | -- |
| Ikkuma Resources Corp. | -- | -- | -- | -- |
| iLOOKABOUT Corp. | -- | -- | -- | -- |
| iLoveTV Entertainment Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/10/04 |
| Image Data International Corporation | -- | -- | Yes/Oui | 1995/07/18 |
| Image Sculpting International Inc. | -- | -- | Yes/Oui | 2002/05/22 |
| Imagin Medical Inc. | -- | -- | -- | -- |
| Imagination Park Entertainment Inc. | -- | -- | -- | -- |
| Imaging Dynamics Company Ltd. | -- | -- | -- | -- |
| iMarketing Solutions Group Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| ImaSight Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/11 |
| IMAX Corporation | -- | -- | -- | -- |
| imaxx Canadian Bond Fund | -- | -- | -- | -- |
| imaxx Canadian Dividend Plus Fund | -- | -- | -- | -- |
| imaxx Canadian Fixed Pay Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| imaxx Equity Growth Fund | -- | -- | -- | -- |
| imaxx Global Equity Growth Fund | -- | -- | -- | -- |
| imaxx Short Term Bond Fund | -- | -- | -- | -- |
| IMC Integrated Marketing Communications Inc. | -- | -- | Yes/Oui | 1989/12/14 |
| Imex Systems Inc. | -- | -- | -- | -- |
| iMining Blockchain and Cryptocurrency Inc. | -- | -- | -- | -- |
| Immune Network Ltd. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/06/06 |
| IMPACT Silver Corp. | -- | -- | -- | -- |
| Impatica Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2008/09/10 |
| Impax Energy Services Income Trust | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/06/29 |
| Imperial Canadian Bond Pool | -- | -- | -- | -- |
| Imperial Canadian Diversified Income Pool | -- | -- | -- | -- |
| Imperial Canadian Dividend Income Pool | -- | -- | -- | -- |
| Imperial Canadian Equity Pool | -- | -- | -- | -- |
| Imperial Emerging Economies Pool | -- | -- | -- | -- |
| Imperial Equity High Income Pool | -- | -- | -- | -- |
| Imperial Global Equity Income Pool | -- | -- | -- | -- |
| Imperial International Bond Pool | -- | -- | -- | -- |
| Imperial International Equity Pool | -- | -- | -- | -- |
| Imperial Metals Corporation | -- | -- | -- | -- |
| Imperial Money Market Pool | -- | -- | -- | -- |
| Imperial Oil Limited | -- | -- | -- | -- |
| Imperial Overseas Equity Pool | -- | -- | -- | -- |
| Imperial Short-Term Bond Pool | -- | -- | -- | -- |
| Imperial Square Edmonton III | -- | -- | Yes/Oui | 1987/04/02 |
| Imperial Square, Westchase, Limited | -- | -- | Yes/Oui | 1986/09/22 |
| Imperial U.S. Equity Pool | -- | -- | -- | -- |
| Impression Plan | -- | -- | -- | -- |
| IMRIS Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/06/09 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| IMV Inc. | -- | -- | -- | -- |
| Inca One Gold Corp. | -- | -- | -- | -- |
| Inceptus Capital Ltd. | -- | -- | -- | -- |
| Income 100 Fund | -- | -- | -- | -- |
| Income 20/80 Fund | -- | -- | -- | -- |
| Income 40/60 Fund | -- | -- | -- | -- |
| Income Financial Trust | -- | -- | -- | -- |
| Income Fund (Portico) | -- | -- | -- | -- |
| Income Trustco Corporation | -- | -- | Yes/Oui | 1995/06/06 |
| Incubus | -- | -- | Yes/Oui | 1982/09/10 |
| Independence Petroleums Inc. | -- | -- | Yes/Oui | 1991/07/31 |
| INDEXPLUS Income Fund | -- | -- | -- | -- |
| Indiana Resources Limited | -- | -- | -- | -- |
| Indigo Books & Music Inc. | -- | -- | -- | -- |
| Indigo Exploration Inc. | -- | -- | -- | -- |
| Indigo International & Associates | -- | -- | Yes/Oui | 1981/05/15 |
| Indiva Limited | -- | -- | -- | -- |
| Indocan Resources, Inc. | -- | -- | Yes/Oui | 1998/06/05 |
| Industrial Alliance Insurance and Financial Services Inc. | -- | -- | -- | -- |
| Industrialex Manufacturing Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| Infinite Lithium Corp. | -- | -- | -- | -- |
| Infocorp Computer Solutions Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/05/28 |
| Information Services Corporation | -- | -- | -- | -- |
| Infowave Software, Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2007/11/28 |
| Infrastructure Materials Corp. | -- | -- | -- | -- |
| ING Large Company Value Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| InMed Pharmaceuticals Inc. | -- | -- | -- | -- |
| Innergex Renewable Energy Inc. | -- | -- | -- | -- |
| Innogis Technology Inc. | -- | -- | Yes/Oui | 1996/12/18 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Innovacap Capital Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 1998/03/02 |
| Innovadent Technologies Ltd. | -- | -- | Yes/Oui | 1999/04/15 |
| Innovative Composites International Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/02/04 |
| Innovative Properties Inc. | -- | -- | -- | -- |
| Innovative Wireline Solutions Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/12/07 |
| Innovente Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/09/08 |
| Inomin Mines Inc. | -- | -- | -- | -- |
| Inovalis Real Estate Investment Trust | -- | -- | -- | -- |
| InPlay Oil Corp. | -- | -- | -- | -- |
| Input Capital Corp. | -- | -- | -- | -- |
| INSCAPE Corporation | -- | -- | -- | -- |
| Inspira Financial Inc. | -- | -- | -- | -- |
| Inspiration Mining Corporation | -- | -- | -- | -- |
| Insulblock Systems Inc. | -- | -- | Yes/Oui | 1989/06/21 |
| Intact Financial Corporation | -- | -- | -- | -- |
| Integra Balanced Fund | -- | -- | -- | -- |
| Integra Bond Fund | -- | -- | -- | -- |
| Integra Canadian Value Growth Fund | -- | -- | -- | -- |
| Integra Equity Fund | -- | -- | -- | -- |
| Integra International Equity Fund | -- | -- | -- | -- |
| Integra Newton Global Equity Fund | -- | -- | -- | -- |
| Integra Resources Corp. | -- | -- | -- | -- |
| Integra U.S. Value Growth Fund | -- | -- | -- | -- |
| Integrated Asset Management Corp. | -- | -- | -- | -- |
| Integrated Cannabis Company, Inc. | -- | -- | -- | -- |
| Integrated Energy Storage Corp. | -- | -- | -- | -- |
| Integrity Gaming Corp. | -- | -- | -- | -- |
| IntelGenx Technologies Corp. | -- | -- | -- | -- |
| Intellectual Capital Group Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2013/05/06 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Intelligent Web Technologies Inc. | Yes/Oui | 1a | Yes/Oui | 2003/05/21 |
| IntelliPharmaCeutics International Inc. | -- | -- | -- | -- |
| Inter-Rock Minerals Inc. | -- | -- | -- | -- |
| Inter-Tech Resources Ltd. | -- | -- | Yes/Oui | 1975/08/21 |
| Inter Cable Communications Inc. | -- | -- | Yes/Oui | 1992/01/28 |
| Inter Pipeline Ltd. | -- | -- | -- | -- |
| Interactive Capital Partners Corporation | -- | -- | -- | -- |
| INTERCABLE ICH INC. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/06/11 |
| Intercept America, Corp. | -- | -- | Yes/Oui | 1988/11/24 |
| Interfor Corporation | -- | -- | -- | -- |
| Interhop Network Services Inc. | -- | -- | Yes/Oui | 2000/07/26 |
| Interlock Consolidated Enterprises Inc. | -- | -- | Yes/Oui | 1996/10/17 |
| Intermap Technologies Corporation | -- | -- | -- | -- |
| Intermune Life Sciences Inc. | -- | -- | Yes/Oui | 1997/06/18 |
| International Accord Inc. | -- | -- | Yes/Oui | 1997/01/22 |
| International Baslen Enterprises Limited | -- | -- | Yes/Oui | 1991/07/31 |
| International Battery Metals Ltd. | -- | -- | -- | -- |
| International Bond Fund | -- | -- | -- | -- |
| International Business Machines Corp. | -- | -- | -- | -- |
| International Cannabrand Inc. | -- | -- | -- | -- |
| International Cobalt Corp. | -- | -- | -- | -- |
| International Core Equity Class (Putnam) | -- | -- | -- | -- |
| International Core Equity Fund (Putnam) | -- | -- | -- | -- |
| International Corona Capital Corp. | -- | -- | -- | -- |
| International Equity Alpha Corporate Class | -- | -- | -- | -- |
| International Equity Growth Corporate Class | -- | -- | -- | -- |
| International Equity Growth Pool | -- | -- | -- | -- |
| International Equity Value Corporate Class | -- | -- | -- | -- |
| International Equity Value Currency Hedged Corporate Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| International Equity Value Pool | -- | -- | -- | -- |
| International Geographics Ltd. | -- | -- | Yes/Oui | 1994/06/24 |
| International Growth Fund | -- | -- | Yes/Oui | 1989/11/30 |
| International Hospitality Inc. | -- | -- | Yes/Oui | 2000/06/12 |
| International Isotopes Inc. | -- | -- | -- | -- |
| International Larder Minerals Inc. | -- | -- | Yes/Oui | 1999/06/24 |
| International Movie Group Inc. | -- | -- | Yes/Oui | 1995/12/06 |
| International Norvalie Mines Limited | -- | -- | Yes/Oui | 1977/12/07 |
| International Petroleum Corporation | -- | -- | -- | -- |
| International Precious Metals Corporation | -- | -- | Yes/Oui | 1998/09/09 |
| International Prospect Ventures Ltd. | -- | -- | -- | -- |
| International Tower Hill Mines Ltd. | -- | -- | -- | -- |
| International Utility Structures Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/04/13 |
| International Veronex Resources Ltd. | -- | -- | Yes/Oui | 1995/07/26 |
| International Wallcoverings Ltd. | -- | -- | Yes/Oui | 1999/10/05 |
| Internet of Things Inc. | -- | -- | -- | -- |
| Internet Shopping Catalog Inc. | Yes/Oui | 1a | Yes/Oui | 2002/08/26 |
| Interprovincial Venture Capital Corporation | Yes/Oui | 1a | Yes/Oui | 2002/01/24 |
| Interpublic Group of Companies, Inc., The | -- | -- | -- | -- |
| Interquest Incorporated | Yes/Oui | 1a, 1b, 1c, 1e | Yes/Oui | 2007/07/04 |
| InterRent Real Estate Investment Trust | -- | -- | -- | -- |
| Intertain Group Limited, The | -- | -- | -- | -- |
| Intertainment Media Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/11/03 |
| Intertape Polymer Group Inc. | -- | -- | -- | -- |
| Intouch Insight Ltd. | -- | -- | -- | -- |
| Intrepid Mines Limited | -- | -- | -- | -- |
| Intrex, The International Real Estate Exchange Corporation | -- | -- | Yes/Oui | 1990/02/12 |
| Intrinsic4D Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Intrinsyc Technologies Corporation | -- | -- | -- | -- |
| INV Metals Inc. | -- | -- | -- | -- |
| Inventronics Limited | -- | -- | -- | -- |
| Inventus Mining Corp. | -- | -- | -- | -- |
| Invesco Advantage Bond Fund | -- | -- | -- | -- |
| Invesco Allocation Fund | -- | -- | -- | -- |
| Invesco Canada Money Market Fund | -- | -- | -- | -- |
| Invesco Canadian Balanced Fund | -- | -- | -- | -- |
| Invesco Canadian Bond Class | -- | -- | -- | -- |
| Invesco Canadian Bond Fund | -- | -- | -- | -- |
| Invesco Canadian Premier Growth Class | -- | -- | -- | -- |
| Invesco Canadian Premier Growth Fund | -- | -- | -- | -- |
| Invesco Core Canadian Balanced Class | -- | -- | -- | -- |
| Invesco European Growth Class | -- | -- | -- | -- |
| Invesco Floating Rate Income Fund | -- | -- | -- | -- |
| Invesco Global Bond Fund | -- | -- | -- | -- |
| Invesco Global Dividend Income Fund | -- | -- | -- | -- |
| Invesco Global Growth Class | -- | -- | -- | -- |
| Invesco Global High Yield Bond Fund | -- | -- | -- | -- |
| Invesco Global Monthly Income Fund | -- | -- | -- | -- |
| Invesco Global Real Estate Fund | -- | -- | -- | -- |
| Invesco Indo-Pacific Fund | -- | -- | -- | -- |
| Invesco Intactive 2023 Portfolio | -- | -- | -- | -- |
| Invesco Intactive 2028 Portfolio | -- | -- | -- | -- |
| Invesco Intactive 2033 Portfolio | -- | -- | -- | -- |
| Invesco Intactive 2038 Portfolio | -- | -- | -- | -- |
| Invesco Intactive Balanced Growth Portfolio | -- | -- | -- | -- |
| Invesco Intactive Balanced Growth Portfolio Class | -- | -- | -- | -- |
| Invesco Intactive Balanced Income Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Invesco Intactive Balanced Income Portfolio Class | -- | -- | -- | -- |
| Invesco Intactive Diversified Income Portfolio Class | -- | -- | -- | -- |
| Invesco Intactive Growth Portfolio | -- | -- | -- | -- |
| Invesco Intactive Growth Portfolio Class | -- | -- | -- | -- |
| Invesco Intactive Maximum Growth Portfolio | -- | -- | -- | -- |
| Invesco Intactive Maximum Growth Portfolio Class | -- | -- | -- | -- |
| Invesco International Growth Class | -- | -- | -- | -- |
| Invesco International Growth Fund | -- | -- | -- | -- |
| Invesco Select Canadian Equity Fund | -- | -- | -- | -- |
| Invesco Short-Term Bond Fund | -- | -- | -- | -- |
| Invesco Short-Term Income Class | -- | -- | -- | -- |
| Invesco Strategic Yield Fund | -- | -- | -- | -- |
| Invesco S&P 500 Equal Weight Index ETF | -- | -- | -- | -- |
| Invesco Trimark Dialogue Income Portfolio | -- | -- | -- | -- |
| Invesque Inc. | -- | -- | -- | -- |
| Investment Grade Infrastructure Bond Fund | -- | -- | -- | -- |
| Investment Grade Managed Duration Income Fund | -- | -- | -- | -- |
| Investors Canadian Bond Fund | -- | -- | -- | -- |
| Investors Canadian Corporate Bond Fund | -- | -- | -- | -- |
| Investors Canadian Equity Class | -- | -- | -- | -- |
| Investors Canadian Equity Fund | -- | -- | -- | -- |
| Investors Canadian Equity Income Fund | -- | -- | -- | -- |
| Investors Canadian Growth Class | -- | -- | -- | -- |
| Investors Canadian Growth Fund | -- | -- | -- | -- |
| Investors Canadian High Yield Income Fund | -- | -- | -- | -- |
| Investors Canadian Large Cap Value Class | -- | -- | -- | -- |
| Investors Canadian Large Cap Value Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Investors Canadian Money Market Class (formerly Investors Managed Yield Class) | -- | -- | -- | -- |
| Investors Canadian Money Market Fund | -- | -- | -- | -- |
| Investors Canadian Natural Resource Fund | -- | -- | -- | -- |
| Investors Canadian Small Cap Class | -- | -- | -- | -- |
| Investors Canadian Small Cap Fund | -- | -- | -- | -- |
| Investors Canadian Small Cap Growth Class | -- | -- | -- | -- |
| Investors Canadian Small Cap Growth Fund | -- | -- | -- | -- |
| Investors Core Canadian Equity Class | -- | -- | -- | -- |
| Investors Core U.S. Equity Class | -- | -- | -- | -- |
| Investors Core U.S. Equity Fund | -- | -- | -- | -- |
| Investors Cornerstone I Portfolio | -- | -- | -- | -- |
| Investors Cornerstone II Portfolio | -- | -- | -- | -- |
| Investors Cornerstone III Portfolio | -- | -- | -- | -- |
| Investors Dividend Class | -- | -- | -- | -- |
| Investors Dividend Fund | -- | -- | -- | -- |
| Investors European Equity Class | -- | -- | -- | -- |
| Investors European Equity Fund | -- | -- | -- | -- |
| Investors European Mid-Cap Equity Class | -- | -- | -- | -- |
| Investors European Mid-Cap Equity Fund | -- | -- | -- | -- |
| Investors Fixed Income Flex Portfolio | -- | -- | -- | -- |
| Investors Global Bond Fund | -- | -- | -- | -- |
| Investors Global Class | -- | -- | -- | -- |
| Investors Global Consumer Companies Class | -- | -- | -- | -- |
| Investors Global Dividend Fund | -- | -- | -- | -- |
| Investors Global Financial Services Class | -- | -- | -- | -- |
| Investors Global Financial Services Fund | -- | -- | -- | -- |
| Investors Global Fixed Income Flex Portfolio | -- | -- | -- | -- |
| Investors Global Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Investors Global Health Care Class | -- | -- | -- | -- |
| Investors Global Infrastructure Class | -- | -- | -- | -- |
| Investors Global Natural Resources Class | -- | -- | -- | -- |
| Investors Global Real Estate Fund | -- | -- | -- | -- |
| Investors Global Science & Technology Class | -- | -- | -- | -- |
| Investors Global Science & Technology Fund | -- | -- | -- | -- |
| Investors Greater China Class | -- | -- | -- | -- |
| Investors Group Equity Pool | -- | -- | -- | -- |
| Investors Group Income Pool | -- | -- | -- | -- |
| Investors Growth Plus Portfolio | -- | -- | -- | -- |
| Investors Growth Portfolio | -- | -- | -- | -- |
| Investors Income Plus Portfolio | -- | -- | -- | -- |
| Investors International Equity Class | -- | -- | -- | -- |
| Investors International Small Cap Class | -- | -- | -- | -- |
| Investors Low Volatility Canadian Equity Class | -- | -- | -- | -- |
| Investors Low Volatility Canadian Equity Fund | -- | -- | -- | -- |
| Investors Low Volatility Global Equity Class | -- | -- | -- | -- |
| Investors Low Volatility Global Equity Fund | -- | -- | -- | -- |
| Investors Mortgage and Short Term Income Fund | -- | -- | -- | -- |
| Investors Mutual of Canada | -- | -- | -- | -- |
| Investors North American Equity Class | -- | -- | -- | -- |
| Investors North American Equity Fund | -- | -- | -- | -- |
| Investors Pacific International Class | -- | -- | -- | -- |
| Investors Pacific International Fund | -- | -- | -- | -- |
| Investors Pan Asian Growth Class | -- | -- | -- | -- |
| Investors Pan Asian Growth Fund | -- | -- | -- | -- |
| Investors Quebec Enterprise Class | -- | -- | -- | -- |
| Investors Quebec Enterprise Fund | -- | -- | -- | -- |
| Investors Real Property Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Investors Retirement Growth Portfolio | -- | -- | -- | -- |
| Investors Retirement Plus Portfolio | -- | -- | -- | -- |
| Investors Summa SRI Class | -- | -- | -- | -- |
| Investors Summa SRI Fund | -- | -- | -- | -- |
| Investors U.S. Dividend Growth Fund | -- | -- | -- | -- |
| Investors U.S. Dividend Registered Fund | -- | -- | -- | -- |
| Investors U.S. Large Cap Value Class | -- | -- | -- | -- |
| Investors U.S. Large Cap Value Fund | -- | -- | -- | -- |
| Investors U.S. Money Market Fund | -- | -- | -- | -- |
| Investors U.S. Opportunities Class | -- | -- | -- | -- |
| Investors U.S. Opportunities Fund | -- | -- | -- | -- |
| Investors U.S. Small Cap Class | -- | -- | -- | -- |
| Invictus MD Strategies Corp. | -- | -- | -- | -- |
| Inviro Medical Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/12/09 |
| Iona Energy Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/09 |
| IOU Financial Inc. | -- | -- | -- | -- |
| Iplayco Corporation Ltd. | -- | -- | -- | -- |
| iProfile Canadian Equity Class | -- | -- | -- | -- |
| iProfile Canadian Equity Pool | -- | -- | -- | -- |
| iProfile Emerging Markets Class | -- | -- | -- | -- |
| iProfile Emerging Markets Pool | -- | -- | -- | -- |
| iProfile Fixed Income Pool | -- | -- | -- | -- |
| iProfile International Equity Class | -- | -- | -- | -- |
| iProfile International Equity Pool | -- | -- | -- | -- |
| iProfile U.S. Equity Class | -- | -- | -- | -- |
| iProfile U.S. Equity Pool | -- | -- | -- | -- |
| IRI Separation Technologies Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2009/06/12 |
| Iron Bay Trust, The | -- | -- | Yes/Oui | 1992/02/05 |
| Iron Bridge Resources Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Ironwood Capital Corp. | -- | -- | -- | -- |
| Irvco Resources Ltd. | -- | -- | Yes/Oui | 1992/03/03 |
| Irving Resources Inc. | -- | -- | -- | -- |
| iSCOPE Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/08/05 |
| ISE Limited | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/08/25 |
| ISEE3D Inc. | Yes/Oui | 1c, 1e | Yes/Oui | 2012/12/13 |
| iShares 1-10 Year Laddered Corporate Bond Index ETF | -- | -- | -- | -- |
| iShares 1-10 Year Laddered Government Bond Index ETF | -- | -- | -- | -- |
| iShares 1-5 Year Laddered Corporate Bond Index Fund | -- | -- | -- | -- |
| iShares 1-5 Year Laddered Government Bond Index Fund | -- | -- | -- | -- |
| iShares Advantaged Convertible Bond Index Fund | -- | -- | -- | -- |
| iShares Balanced Growth CorePortfolio™ Fund | -- | -- | -- | -- |
| iShares Balanced Income CorePortfolio™ Fund | -- | -- | -- | -- |
| iShares Broad Emerging Markets Fund | -- | -- | -- | -- |
| iShares Canadian Corporate Bond Index ETF | -- | -- | -- | -- |
| iShares Canadian Financial Monthly Income ETF | -- | -- | -- | -- |
| iShares Canadian Fundamental Index Fund | -- | -- | -- | -- |
| iShares Canadian Government Bond Index ETF | -- | -- | -- | -- |
| iShares Canadian Growth Index ETF | -- | -- | -- | -- |
| iShares Canadian HYBRID Corporate Bond Index ETF | -- | -- | -- | -- |
| iShares Canadian Real Return Bond Index ETF | -- | -- | -- | -- |
| iShares Canadian Select Dividend Index ETF | -- | -- | -- | -- |
| iShares Canadian Value Index ETF | -- | -- | -- | -- |
| iShares China Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| iShares Conservative Short Term Strategic Fixed Income ETF | -- | -- | -- | -- |
| iShares Conservative Strategic Fixed Income ETF | -- | -- | -- | -- |
| iShares Core Canadian Long Term Bond Index ETF | -- | -- | -- | -- |
| iShares Core Canadian Short Term Bond Index ETF | -- | -- | -- | -- |
| iShares Core Canadian Short Term Corporate Maple Bond Index ETF | -- | -- | -- | -- |
| iShares Core Canadian Universe Bond Index ETF | -- | -- | -- | -- |
| iShares Core MSCI All Country World ex Canada Index ETF | -- | -- | -- | -- |
| iShares Core MSCI Canadian Quality Dividend Index ETF | -- | -- | -- | -- |
| iShares Core MSCI EAFE IMI Index ETF | -- | -- | -- | -- |
| iShares Core MSCI EAFE IMI Index ETF | -- | -- | -- | -- |
| iShares Core MSCI Emerging Markets IMI Index ETF | -- | -- | -- | -- |
| iShares Core MSCI Emerging Markets IMI Index ETF | -- | -- | -- | -- |
| iShares Core MSCI Global Quality Dividend Index ETF | -- | -- | -- | -- |
| iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Core MSCI US Quality Dividend Index ETF | -- | -- | -- | -- |
| iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Core S&P 500 Index ETF | -- | -- | -- | -- |
| iShares Core S&P 500 Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Core S&P U.S. Total Market Index ETF | -- | -- | -- | -- |
| iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| iShares Core S&P/TSX Capped Composite Index ETF | -- | -- | -- | -- |
| iShares Diversified Monthly Income ETF | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol Canada Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol EAFE Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol Emerging Markets Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol USA Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Edge MSCI Multifactor Canada Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Multifactor EAFE Index ETF | -- | -- | -- | -- |
| iShares Edge MSCI Multifactor EAFE Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Edge MSCI Multifactor USA Index ETF | -- | -- | -- | -- |
| iShares Equal Weight Banc & Lifeco ETF | -- | -- | -- | -- |
| iShares FactorSelect™ MSCI USA Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Floating Rate Index ETF | -- | -- | -- | -- |
| iShares Global Agriculture Index Fund | -- | -- | -- | -- |
| iShares Global Infrastructure Index Fund | -- | -- | -- | -- |
| iShares Global Monthly Advantaged Dividend Index Fund | -- | -- | -- | -- |
| iShares Global Real Estate Index Fund | -- | -- | -- | -- |
| iShares Gold Bullion ETF | -- | -- | -- | -- |
| iShares Gold Trust | -- | -- | -- | -- |
| iShares High Quality Canadian Bond Index ETI | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| iShares India Index ETF | -- | -- | -- | -- |
| iShares International Fundamental Index Fund | -- | -- | -- | -- |
| iShares Jantzi Social Index ETF | -- | -- | -- | -- |
| iShares Japan Fundamental Index Fund (CAD-Hedged) | -- | -- | -- | -- |
| iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares MSCI All Country World Minimum Volatility Index ETF | -- | -- | -- | -- |
| iShares MSCI EAFE® Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares MSCI Europe IMI Index ETF | -- | -- | -- | -- |
| iShares MSCI Europe IMI Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares MSCI World Index ETF | -- | -- | -- | -- |
| iShares NASDAQ 100 Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Premium Money Market ETF | -- | -- | -- | -- |
| iShares Short Duration High Income ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares Short Term High Quality Canadian Bond Index ETF | -- | -- | -- | -- |
| iShares Short Term Strategic Fixed Income ETF | -- | -- | -- | -- |
| iShares Silver Bullion ETF | -- | -- | -- | -- |
| iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares S&P Global Healthcare Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares S&P Global Industrials Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares S&P Global Water Index Fund | -- | -- | -- | -- |
| iShares S&P US Dividend Growers Index Fund (CAD-Hedged) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| iShares S&P U.S. Mid-Cap Index ETF | -- | -- | -- | -- |
| iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares S&P/TSX 60 Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Canadian Dividend Aristocrats Index Fund | -- | -- | -- | -- |
| iShares S&P/TSX Canadian Preferred Share Index Fund | -- | -- | -- | -- |
| iShares S&P/TSX Capped Consumer Staples Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Capped Energy Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Capped Financials Index ET | -- | -- | -- | -- |
| iShares S&P/TSX Capped Information Technology Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Capped Materials Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Capped REIT Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Capped Utilities Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Completion Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Composite High Dividend Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Global Base Metals Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX Global Gold Index ETF | -- | -- | -- | -- |
| iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares S&P/TSX SmallCap Index ETF | -- | -- | -- | -- |
| iShares US Fundamental Index Fund | -- | -- | -- | -- |
| iShares U.S. High Dividend Equity Index ETF | -- | -- | -- | -- |
| iShares U.S. High Dividend Equity Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares U.S. High Yield Bond Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged) | -- | -- | -- | -- |
| iShares U.S. Small Cap Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| iSIGN Media Solutions Inc. | -- | -- | -- | -- |
| Isodiol International Inc. | -- | -- | -- | -- |
| Itafos (formerly, MBAC Fertilizer Corp.) | -- | -- | -- | -- |
| Itasca Capital Ltd. | -- | -- | -- | -- |
| Itemus Inc. | Yes/Oui | 1b | Yes/Oui | 2001/11/15 |
| ITI Education Corporation | -- | -- | Yes/Oui | 2001/09/18 |
| ITOK Capital Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| IU International Corporation | -- | -- | Yes/Oui | 1990/07/06 |
| Ivaco Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2012/10/03 |
| Ivanhoe Energy Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2015/04/21 |
| Ivanhoe Mines Ltd. | -- | -- | -- | -- |
| IVRnet Inc. | -- | -- | -- | -- |
| Izotropic Corporation | -- | -- | -- | -- |
| I.O.S. Ltd. | -- | -- | Yes/Oui | 1978/07/28 |
| J | | | | |
| Jackpotjoy plc | -- | -- | -- | -- |
| Jade Leader Corp. | -- | -- | -- | -- |
| Jagercor Energy Corp. | -- | -- | -- | -- |
| Jaguar Financial Corporation | -- | -- | -- | -- |
| Jaguar Mining Inc. | -- | -- | -- | -- |
| James Bay Resources Limited | -- | -- | -- | -- |
| Jamestown Resources Inc. | -- | -- | Yes/Oui | 1983/06/09 |
| Jamie Frontier Resources Inc. | -- | -- | Yes/Oui | 1990/06/21 |
| Jamieson Wellness Inc. | -- | -- | -- | -- |
| Jarislowsky Fraser Select Balanced Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name | In Default | Nature of Default | Cease Traded | Order Date |
|---|-------------------|--------------------------|---|--|
| Nom d'émetteur assujetti | En défaut | Nature du défaut | Ordonnance d'interdiction d'opérations | Date de l'ordonnance (YYYY/MM/DD) |
| Jarislowky Fraser Select Canadian Equity Fund | -- | -- | -- | -- |
| Jarislowky Fraser Select Income Fund | -- | -- | -- | -- |
| Jarvis Mutual Partnership | -- | -- | Yes/Oui | 1993/07/15 |
| Java Gold Corporation | -- | -- | Yes/Oui | 1999/08/17 |
| Java Joe's International Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2003/01/31 |
| Jawz Inc. | Yes/Oui | 1b | Yes/Oui | 2002/02/20 |
| Jayden Resources Inc. | -- | -- | -- | -- |
| JDF Explorations Inc. | -- | -- | -- | -- |
| Jemtec Inc. | -- | -- | -- | -- |
| Jen-Tek Enterprises & Explorations Inc. | -- | -- | Yes/Oui | 1988/06/28 |
| Jericho Oil Corporation | -- | -- | -- | -- |
| Jetcom Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/08 |
| Jewett-Cameron Trading Company Ltd. | -- | -- | -- | -- |
| JFT Strategies Fund | -- | -- | -- | -- |
| JG Wealth Inc. | -- | -- | -- | -- |
| Jiminex Inc. | -- | -- | -- | -- |
| Jinhua Capital Corporation | -- | -- | -- | -- |
| JM Capital II Corp. | -- | -- | -- | -- |
| Joffre Resources Ltd. | -- | -- | Yes/Oui | 1984/03/16 |
| John Deere Canada Funding Inc. | -- | -- | -- | -- |
| Johnson Controls International plc | -- | -- | -- | -- |
| Johnson Matthey Public Limited Company | -- | -- | -- | -- |
| Johnson & Johnson | -- | -- | -- | -- |
| Jonpol Resources Inc. | -- | -- | -- | -- |
| Josephine Mining Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/26 |
| Jourdan Resources Inc. | -- | -- | -- | -- |
| Journey's End Bathurst Limited Partnership | -- | -- | Yes/Oui | 1996/06/11 |
| Journey's End Sainte Foy and Company, Limited Partnership | -- | -- | Yes/Oui | 1998/09/17 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Journey's End St. John's Hotel Limited Partnership | -- | -- | Yes/Oui | 1998/09/17 |
| Journey Energy Inc. | -- | -- | -- | -- |
| JPY Holdings Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/05/16 |
| Jubilee Gold Exploration Ltd. | -- | -- | -- | -- |
| Jumbo Petroleum Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/04/02 |
| June 2020 Corporate Bond Trust | -- | -- | -- | -- |
| June 2021 Investment Grade Bond Pool | -- | -- | -- | -- |
| Junex Inc. | -- | -- | -- | -- |
| Juniper Equity Growth Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Juniper Income Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Jura Energy Corporation | -- | -- | -- | -- |
| Just Energy Group Inc. | -- | -- | -- | -- |
| J.D. Carrier Shoe Co. Limited | -- | -- | Yes/Oui | 1981/04/23 |
| J.D.S. Investments Limited | -- | -- | Yes/Oui | 1993/11/19 |
| K | | | | |
| K-Bro Linen Inc. | -- | -- | -- | -- |
| K-Tel International, Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| K2 Gold Corporation | -- | -- | -- | -- |
| Kafus Industries Ltd. | -- | -- | Yes/Oui | 2001/01/18 |
| Kalytera Therapeutics, Inc. | -- | -- | -- | -- |
| Kam Creed Mines Ltd. | -- | -- | Yes/Oui | 1991/07/03 |
| Kaneh Bosm BioTechnology Inc. | -- | -- | -- | -- |
| Kaolin of Canada Inc. | -- | -- | Yes/Oui | 1988/06/03 |
| KAP Resources Ltd. | -- | -- | Yes/Oui | 1999/09/09 |
| Kappa Investments Limited | -- | -- | Yes/Oui | 1984/12/06 |
| Karlsons Blueburner Systems Ltd. | -- | -- | Yes/Oui | 1982/01/26 |
| Karmin Exploration Inc. | -- | -- | -- | -- |
| Karnalyte Resources Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Katanga Mining Limited | -- | -- | -- | -- |
| Katapult Technology Corp. | -- | -- | -- | -- |
| Kazakstan Goldfields Corporation | -- | -- | Yes/Oui | 1999/10/06 |
| KCP Resources Inc. | -- | -- | Yes/Oui | 1991/07/31 |
| KDA Group Inc. | -- | -- | -- | -- |
| Kelso Technologies Inc. | -- | -- | -- | -- |
| Kelt Exploration Ltd. | -- | -- | -- | -- |
| Kenartha Oil and Gas Company Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/08/26 |
| Kenora Prospectors & Miners, Limited | Yes/Oui | 1a, 1b, 1c, 1e | Yes/Oui | 1996/06/10 |
| Kerr Mines Inc. | -- | -- | -- | -- |
| Kew Media Group Inc. | -- | -- | -- | -- |
| Key Lake Explorations Limited | -- | -- | Yes/Oui | 1989/06/07 |
| Keyera Corp. | -- | -- | -- | -- |
| KGIC Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Khan Resources Inc. | -- | -- | -- | -- |
| Kilkenny Capital Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/06/06 |
| Killam Apartment Limited Partnership | -- | -- | -- | -- |
| Killam Apartment Real Estate Investment Trust | -- | -- | -- | -- |
| Killarney Oil & Gas Development Company Limited | -- | -- | Yes/Oui | 1971/08/17 |
| KILO Goldmines Ltd. | -- | -- | -- | -- |
| Kimpex International Inc. | -- | -- | Yes/Oui | 1999/05/07 |
| Kinaxis Inc. | -- | -- | -- | -- |
| Kinburn Technology Corporation | -- | -- | Yes/Oui | 1991/02/19 |
| Kincora Copper Limited | -- | -- | -- | -- |
| Kinder Morgan Canada Limited | -- | -- | -- | -- |
| Kingsbridge Apartments Limited | -- | -- | Yes/Oui | 1994/06/06 |
| Kingscross Communities Incorporated | -- | -- | Yes/Oui | 2001/05/25 |
| Kingsway Financial Services Inc. | -- | -- | -- | -- |
| Kinross Gold Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Kirkland Lake Gold Ltd. | -- | -- | -- | -- |
| Klondex Mines Ltd. | -- | -- | -- | -- |
| Klondike Fever | -- | -- | Yes/Oui | 1982/09/10 |
| kneat.com, inc. | -- | -- | -- | -- |
| Knick Exploration Inc. | Yes/Oui | 1a, 1c, 1e, 3 | -- | -- |
| Knight Therapeutics Inc. | -- | -- | -- | -- |
| Knighthawk Inc. | -- | -- | -- | -- |
| Knightscope Media Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/30 |
| Knowledge House Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/07/23 |
| Knowledgemax, Inc. | Yes/Oui | 1b, 1d | Yes/Oui | 2003/04/15 |
| Koios Beverage Corp. | -- | -- | -- | -- |
| KOLOMBO TECHNOLOGIES LTD. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/09/08 |
| Komunik Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2009/11/27 |
| Konexus Technologies Limited | Yes/Oui | 1b | Yes/Oui | 2002/12/04 |
| Kontrol Energy Corp. | -- | -- | -- | -- |
| Kootenay Silver Inc. | -- | -- | -- | -- |
| Kootenay Zinc Corp. | -- | -- | -- | -- |
| KP Tissue Inc. | -- | -- | -- | -- |
| KPI International Inc. | -- | -- | Yes/Oui | 2000/06/02 |
| Krystal Bond Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/04/12 |
| KT Capital Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/01/13 |
| Kure Technologies, Inc. | -- | -- | -- | -- |
| KuuHubb Inc. | -- | -- | -- | -- |
| KWG Resources Inc. | -- | -- | -- | -- |
| K.T. Resources (1981) Limited | -- | -- | Yes/Oui | 1984/07/26 |
| L | | | | |
| La Fosse Platinum Group Inc. | -- | -- | Yes/Oui | 1992/10/19 |
| La Imperial Resources Inc. | Yes/Oui | 3 | -- | -- |
| La Jolla Capital Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| La Societe en Commandite Aiguebelle 1982 | -- | -- | Yes/Oui | 1990/07/06 |
| La Tour 1990 II Limited Partnership | -- | -- | Yes/Oui | 1992/03/06 |
| LAB Research Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2011/04/08 |
| Labrador Iron Mines Holdings Limited | -- | -- | -- | -- |
| Labrador Iron Ore Royalty Corporation | -- | -- | -- | -- |
| Lachlan Star Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Lafayette Paper Mills Limited | -- | -- | Yes/Oui | 1997/10/30 |
| Laguna Gold Company | -- | -- | Yes/Oui | 2001/06/25 |
| Lake Louise Limited Partnership | -- | -- | -- | -- |
| Lakefield Marketing Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/07/17 |
| Lakeview Hotel Investment Corp. | -- | -- | -- | -- |
| Lakex Mines Ltd. | -- | -- | Yes/Oui | 1980/06/11 |
| Lamêlée Iron Ore Ltd. | -- | -- | -- | -- |
| Landmark Global Financial Corporation | -- | -- | Yes/Oui | 2012/05/07 |
| Landry Canadian Equity Fund | -- | -- | -- | -- |
| Landry Global Equity Fund | -- | -- | -- | -- |
| Landry U.S. Equity Fund | -- | -- | -- | -- |
| Lands End Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/10/05 |
| Lanebury Growth Capital Ltd. | -- | -- | -- | -- |
| Lanesborough Real Estate Investment Trust | -- | -- | -- | -- |
| Lanpar Technologies Inc. | -- | -- | Yes/Oui | 1989/06/28 |
| Lara Exploration Ltd. | -- | -- | -- | -- |
| Laramide Resources Ltd. | -- | -- | -- | -- |
| Largo Resources Ltd. | -- | -- | -- | -- |
| Lassonde Industries Inc. | -- | -- | -- | -- |
| Last Chase, The | -- | -- | Yes/Oui | 1984/08/21 |
| Launch Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/05/31 |
| Laurentian Bank of Canada | -- | -- | -- | -- |
| Laurion Mineral Exploration Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| LAVA Systems Inc. | -- | -- | Yes/Oui | 1999/01/07 |
| Lawrence Park Strategic Income Fund | -- | -- | -- | -- |
| Lazard Emerging Markets Multi Asset Fund | -- | -- | -- | -- |
| Lazard Global Balanced Income Fund | -- | -- | -- | -- |
| Lazard Global Equity Income Fund | -- | -- | -- | -- |
| Lazard Global Managed Volatility Fund | -- | -- | -- | -- |
| LBL Skysystems Corporation | Yes/Oui | 1b | Yes/Oui | 2002/12/05 |
| LCTI Low Carbon Technologies International Ir | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/01/15 |
| LDIC North American Infrastructure Fund | -- | -- | -- | -- |
| LDIC North American Small Business Fund (Corporate Class) | -- | -- | -- | -- |
| Le Chateau Inc. | -- | -- | -- | -- |
| Le Print Express International Inc. | -- | -- | Yes/Oui | 2000/07/26 |
| Lead Ventures Inc. | -- | -- | -- | -- |
| Leader Energy Services Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/15 |
| Leader Industries Inc | Yes/Oui | 1b | Yes/Oui | 2002/04/22 |
| LeadFX Inc. | -- | -- | -- | -- |
| Leadley, Gunning & Culp International Corp. | -- | -- | Yes/Oui | 1995/12/21 |
| LEAGOLD MINING CORPORATION | -- | -- | -- | -- |
| LeanLife Health Inc. | -- | -- | -- | -- |
| Learnco International Inc. | -- | -- | Yes/Oui | 2004/06/03 |
| LED Medical Diagnostics Inc. | -- | -- | -- | -- |
| LEF McLean Brothers International Inc. | -- | -- | Yes/Oui | 2001/06/28 |
| Legacy Education Savings Plan | -- | -- | -- | -- |
| Legend Power Systems Inc. | -- | -- | -- | -- |
| Legion Metals Corp. | -- | -- | -- | -- |
| Lehndorff Canadian Properties | -- | -- | Yes/Oui | 1998/06/10 |
| Lehndorff Properties (Canada) III | -- | -- | Yes/Oui | 1993/07/15 |
| Leith Wheeler Balanced Fund | -- | -- | -- | -- |
| Leith Wheeler Canadian Dividend Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Leith Wheeler Canadian Equity Fund | -- | -- | -- | -- |
| Leith Wheeler Carbon Constrained Canadian Equity Fund | -- | -- | -- | -- |
| Leith Wheeler Core Bond Fund | -- | -- | -- | -- |
| Leith Wheeler Corporate Advantage Fund | -- | -- | -- | -- |
| Leith Wheeler Emerging Markets Equity Fund | -- | -- | -- | -- |
| Leith Wheeler High Yield Bond Fund | -- | -- | -- | -- |
| Leith Wheeler Income Advantage Fund | -- | -- | -- | -- |
| Leith Wheeler International Equity Plus Fund | -- | -- | -- | -- |
| Leith Wheeler Money Market Fund | -- | -- | -- | -- |
| Leith Wheeler Multi Credit Fund | -- | -- | -- | -- |
| Leith Wheeler Preferred Share Fund | -- | -- | -- | -- |
| Leith Wheeler U.S. Dividend Fund | -- | -- | -- | -- |
| Leith Wheeler U.S. Equity Fund | -- | -- | -- | -- |
| Leith Wheeler U.S. Small/Mid-Cap Equity Fund | -- | -- | -- | -- |
| Lencourt Limited | -- | -- | Yes/Oui | 1995/12/21 |
| Lenox Polymers Limited | -- | -- | Yes/Oui | 2000/02/08 |
| Leo Acquisitions Corp. | -- | -- | -- | -- |
| Leo Resources Inc. | -- | -- | -- | -- |
| Leon's Furniture Limited | -- | -- | -- | -- |
| LeoNovus Inc. | -- | -- | -- | -- |
| LEUCADIA FINANCE PARTNERS INC. | -- | -- | -- | -- |
| Leucrotta Exploration Inc. | -- | -- | -- | -- |
| Levesque Beaubien Geoffrion Inc. - Education Savings Plan | -- | -- | -- | -- |
| Levesque, Beaubien and Company Inc. | -- | -- | -- | -- |
| Leviathan Cannabis Group Inc. | -- | -- | -- | -- |
| Levon Resources Ltd. | -- | -- | -- | -- |
| LexaGene Holdings Inc. (formerly Wolfeye Resource Corp.) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Lexaria Bioscience Corp. | -- | -- | -- | -- |
| Lexicon Building Systems Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/10/07 |
| Lexington Biosciences, Inc. | -- | -- | -- | -- |
| LGC Capital Ltd. | -- | -- | -- | -- |
| LGC Skyrota Wind Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/02/11 |
| LGX Oil + Gas Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/09/06 |
| Libero Copper Corporation | -- | -- | -- | -- |
| Liberty Biopharma Inc. | -- | -- | -- | -- |
| Liberty Gold Corp. | -- | -- | -- | -- |
| Liberty Health Sciences Inc. | -- | -- | -- | -- |
| Liberty Leaf Holdings Ltd. | -- | -- | -- | -- |
| Liberty One Lithium Corp. | -- | -- | -- | -- |
| LiCo Energy Metals Inc. | -- | -- | -- | -- |
| Lidco Industries Inc. | -- | -- | Yes/Oui | 1994/06/01 |
| Life & Banc Split Corp. | -- | -- | -- | -- |
| LifePoints Balanced | -- | -- | -- | -- |
| LifePoints Balanced Class | -- | -- | -- | -- |
| LifePoints Balanced Growth | -- | -- | -- | -- |
| LifePoints Balanced Growth Class | -- | -- | -- | -- |
| LifePoints Balanced Income | -- | -- | -- | -- |
| LifePoints Balanced Income Class | -- | -- | -- | -- |
| LifePoints Long-Term Growth Class | -- | -- | -- | -- |
| LifePoints Long-Term Growth (| -- | -- | -- | -- |
| Lifestyle Delivery Systems Inc. | -- | -- | -- | -- |
| Lightning Ventures Inc. | -- | -- | -- | -- |
| Lightstream Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Limerick Mines Limited | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2005/03/04 |
| Limited Duration Investment Grade Preferred Securities Fund | -- | -- | -- | -- |
| Linamar Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Lincluden Balanced Fund | -- | -- | -- | -- |
| Lincluden Short Term Investment Fund | -- | -- | -- | -- |
| Lincoln's Hill 31 Limited Partnership | -- | -- | Yes/Oui | 1986/09/19 |
| Lineage Grow Company Ltd. | -- | -- | -- | -- |
| Lingo Media Corporation | -- | -- | -- | -- |
| Link Mineral Ventures Ltd | -- | -- | Yes/Oui | 2001/07/23 |
| Lions Bay Capital Inc. | -- | -- | -- | -- |
| Lions Gate Entertainment Corp. | -- | -- | -- | -- |
| Lions Petroleum Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2008/06/24 |
| Liquid Nutrition Group Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/06/12 |
| Lite Access Technologies Inc. | -- | -- | -- | -- |
| Lithion Energy Corp. | -- | -- | -- | -- |
| Lithium Americas Corp. | -- | -- | -- | -- |
| Lithium Energy Products Inc. | -- | -- | -- | -- |
| Livent Inc. | -- | -- | Yes/Oui | 2001/02/06 |
| LKP Solutions Inc. | -- | -- | -- | -- |
| Loblaw Companies Limited | -- | -- | -- | -- |
| Locke Rich Minerals Ltd. | -- | -- | Yes/Oui | 1995/06/20 |
| Loewen Group Inc., The | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/08/30 |
| Logistec Corporation | -- | -- | -- | -- |
| Loh's Sinfully Good Ice Cream & Cookies Inc. | -- | -- | Yes/Oui | 1988/01/07 |
| Lomiko Metals Inc. | -- | -- | -- | -- |
| Loncor Resources Inc. | -- | -- | -- | -- |
| Long Duration Bond Fund | -- | -- | -- | -- |
| Long Duration Credit Bond Fund | -- | -- | -- | -- |
| Long Term Bond Fund (Portico) | -- | -- | -- | -- |
| Lonmed Holdings Limited | -- | -- | Yes/Oui | 1981/05/06 |
| Lonsdale Apartment Project | Yes/Oui | 1d | Yes/Oui | 1992/12/16 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Loomis Sayles Global Diversified Corporate Bond Class | -- | -- | -- | -- |
| Loomis Sayles Global Diversified Corporate Bond Fund | -- | -- | -- | -- |
| Loomis Sayles Strategic Monthly Income Fund | -- | -- | -- | -- |
| Loon Energy Corporation | -- | -- | Yes/Oui | 2018/05/04 |
| Loopshare Ltd. | -- | -- | -- | -- |
| Lorne Park Capital Partners Inc. | -- | -- | -- | -- |
| LottoGopher Holdings Inc. | -- | -- | -- | -- |
| Lotus Ventures Inc. | -- | -- | -- | -- |
| Love | -- | -- | Yes/Oui | 1984/06/20 |
| Low Volatility U.S. Equity Income Fund | -- | -- | -- | -- |
| LSC Communications, Inc. | -- | -- | -- | -- |
| Lucara Diamond Corp. | -- | -- | -- | -- |
| Lucid Entertainment Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/07/22 |
| Luckman Interactive, Inc. | -- | -- | Yes/Oui | 1997/09/03 |
| Lucky Minerals Inc. | -- | -- | -- | -- |
| Lucky Star, The | -- | -- | Yes/Oui | 1993/01/29 |
| lululemon athletica inc. | -- | -- | -- | -- |
| Lumina Gold Corp. | -- | -- | -- | -- |
| Luminart Inc. | -- | -- | Yes/Oui | 1997/08/27 |
| Luminex Corporation | Yes/Oui | 3 | -- | -- |
| Lumsden Building Corporation Inc. | -- | -- | Yes/Oui | 1980/07/22 |
| Lundin Gold Inc. | -- | -- | -- | -- |
| Lundin Mining Corporation | -- | -- | -- | -- |
| Lupaka Gold Corp. | -- | -- | -- | -- |
| Luxmar Resources Inc. | -- | -- | Yes/Oui | 1990/03/09 |
| Luxor Exploration Inc. | -- | -- | Yes/Oui | 1991/07/02 |
| LWP Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/12 |
| LXRandCo, Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Lydia Diamond Exploration of Canada Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/05/25 |
| Lydian International Limited | -- | -- | -- | -- |
| Lyndex Explorations Limited | Yes/Oui | 1a, 1f | Yes/Oui | 2003/02/20 |
| Lynx Golf, Inc. | -- | -- | Yes/Oui | 1999/02/17 |
| Lyon Lake Mines Ltd. | -- | -- | Yes/Oui | 2001/05/31 |
| Lysander-Canso Balanced Fund | -- | -- | -- | -- |
| Lysander-Canso Bond Fund | -- | -- | -- | -- |
| Lysander-Canso Broad Corporate Bond Fund | -- | -- | -- | -- |
| Lysander-Canso Corporate Value Bond Fund | -- | -- | -- | -- |
| Lysander-Canso Equity Fund | -- | -- | -- | -- |
| Lysander-Canso Short Term and Floating Rate Fund | -- | -- | -- | -- |
| Lysander-Canso U.S. Credit Fund | -- | -- | -- | -- |
| Lysander-Fulcra Corporate Securities Fund | -- | -- | -- | -- |
| Lysander-Roundtable Low Volatility Equity Fun | -- | -- | -- | -- |
| Lysander-Slater Preferred Share ActivETF | -- | -- | -- | -- |
| Lysander-Triasima All Country Equity Fund | -- | -- | -- | -- |
| Lysander-Triasima Balanced Income Fund | -- | -- | -- | -- |
| Lysander 18 Asset Management Canadian Equity Fund | -- | -- | -- | -- |
| Lysander Crusader Equity Income Fund | -- | -- | -- | -- |
| Lysander Seamark Balanced Fund | -- | -- | -- | -- |
| Lysander Seamark Total Equity | -- | -- | -- | -- |
| Lysander Slater Preferred Share Dividend Func | -- | -- | -- | -- |
| Lysander TDV Fund | -- | -- | -- | -- |
| M | | | | |
| M Split Corp. | -- | -- | -- | -- |
| M2 Cobalt Corp. | -- | -- | -- | -- |
| M8 Entertainment Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2007/04/12 |
| Macarthur Minerals Limited | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| MacDonald Mines Exploration Ltd. | -- | -- | -- | -- |
| Macdonald Oil Exploration Ltd. | Yes/Oui | 1a | Yes/Oui | 2002/01/24 |
| Macfie Resources Inc. | -- | -- | Yes/Oui | 1986/06/26 |
| Mackenzie All China Equity Fund | -- | -- | -- | -- |
| Mackenzie Balanced ETF Portfolio | -- | -- | -- | -- |
| Mackenzie Canadian Aggregate Bond Index ETF | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Balanced Class | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Balanced Fund | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Dividend Class | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Dividend Growth Fund | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Value Class | -- | -- | -- | -- |
| Mackenzie Canadian All Cap Value Fund | -- | -- | -- | -- |
| Mackenzie Canadian All Corporate Bond Index ETF | -- | -- | -- | -- |
| Mackenzie Canadian Balanced Fund | -- | -- | -- | -- |
| Mackenzie Canadian Bond Fund | -- | -- | -- | -- |
| Mackenzie Canadian Equity Index ETF | -- | -- | -- | -- |
| Mackenzie Canadian Growth Balanced Class | -- | -- | -- | -- |
| Mackenzie Canadian Growth Balanced Fund | -- | -- | -- | -- |
| Mackenzie Canadian Growth Class | -- | -- | -- | -- |
| Mackenzie Canadian Growth Fund | -- | -- | -- | -- |
| Mackenzie Canadian Large Cap Dividend Clas | -- | -- | -- | -- |
| Mackenzie Canadian Large Cap Dividend Func | -- | -- | -- | -- |
| Mackenzie Canadian Large Cap Equity Index ETF | -- | -- | -- | -- |
| Mackenzie Canadian Large Cap Growth Fund | -- | -- | -- | -- |
| Mackenzie Canadian Money Market Class | -- | -- | -- | -- |
| Mackenzie Canadian Money Market Fund | -- | -- | -- | -- |
| Mackenzie Canadian Resource Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Mackenzie Canadian Short-Term Bond Index ETF | -- | -- | -- | -- |
| Mackenzie Canadian Short Term Fixed Income ETF | -- | -- | -- | -- |
| Mackenzie Canadian Short Term Income Fund | -- | -- | -- | -- |
| Mackenzie Canadian Small Cap Class | -- | -- | -- | -- |
| Mackenzie Canadian Small Cap Fund | -- | -- | -- | -- |
| Mackenzie China A-Shares CSI 300 Index ETF | -- | -- | -- | -- |
| Mackenzie Conservative ETF Portfolio | -- | -- | -- | -- |
| Mackenzie Conservative Income ETF Portfolio | -- | -- | -- | -- |
| Mackenzie Core Plus Canadian Fixed Income ETF | -- | -- | -- | -- |
| Mackenzie Core Plus Global Fixed Income | -- | -- | -- | -- |
| Mackenzie Corporate Bond Fund | -- | -- | -- | -- |
| Mackenzie Cundill Canadian Balanced Fund | -- | -- | -- | -- |
| Mackenzie Cundill Canadian Security Class | -- | -- | -- | -- |
| Mackenzie Cundill Canadian Security Fund | -- | -- | -- | -- |
| Mackenzie Cundill International Fund | -- | -- | -- | -- |
| Mackenzie Cundill Recovery Class | -- | -- | -- | -- |
| Mackenzie Cundill Recovery Fund | -- | -- | -- | -- |
| Mackenzie Cundill US Class | -- | -- | -- | -- |
| Mackenzie Cundill Value Class | -- | -- | -- | -- |
| Mackenzie Cundill Value Fund | -- | -- | -- | -- |
| Mackenzie Diversified Alternative Fund | -- | -- | -- | -- |
| Mackenzie Emerging Markets Class | -- | -- | -- | -- |
| Mackenzie Emerging Markets Fund | -- | -- | -- | -- |
| Mackenzie Emerging Markets Large Cap Fund | -- | -- | -- | -- |
| Mackenzie Emerging Markets Opportunities Class | -- | -- | -- | -- |
| Mackenzie Emerging Markets Small Cap Fund | -- | -- | -- | -- |
| Mackenzie Floating Rate Income ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Mackenzie Floating Rate Income Fund | -- | -- | -- | -- |
| Mackenzie Global Credit Opportunities Fund | -- | -- | -- | -- |
| Mackenzie Global Dividend Fund | -- | -- | -- | -- |
| Mackenzie Global Equity Fund | -- | -- | -- | -- |
| Mackenzie Global Equity Income Fund | -- | -- | -- | -- |
| Mackenzie Global Growth Class | -- | -- | -- | -- |
| Mackenzie Global High Yield Fixed Income ETI | -- | -- | -- | -- |
| Mackenzie Global Inflation-Linked Fund | -- | -- | -- | -- |
| Mackenzie Global Leadership Impact ETF | -- | -- | -- | -- |
| Mackenzie Global Leadership Impact Fund | -- | -- | -- | -- |
| Mackenzie Global Low Volatility Fund | -- | -- | -- | -- |
| Mackenzie Global Small Cap Class | -- | -- | -- | -- |
| Mackenzie Global Small Cap Fund | -- | -- | -- | -- |
| Mackenzie Global Strategic Income Fund | -- | -- | -- | -- |
| Mackenzie Global Sustainability and Impact Balanced Fund | -- | -- | -- | -- |
| Mackenzie Global Tactical Bond Fund | -- | -- | -- | -- |
| Mackenzie Global Tactical Investment Grade Bond Fund | -- | -- | -- | -- |
| Mackenzie Gold Bullion Class | -- | -- | -- | -- |
| Mackenzie Growth ETF Portfolio | -- | -- | -- | -- |
| Mackenzie Growth Fund | -- | -- | -- | -- |
| Mackenzie High Diversification Canadian Equity Class | -- | -- | -- | -- |
| Mackenzie High Diversification Emerging Markets Equity Fund | -- | -- | -- | -- |
| Mackenzie High Diversification European Equity Fund | -- | -- | -- | -- |
| Mackenzie High Diversification Global Equity Fund | -- | -- | -- | -- |
| Mackenzie High Diversification International Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Mackenzie High Diversification US Equity Fund | -- | -- | -- | -- |
| Mackenzie Income Fund | -- | -- | -- | -- |
| Mackenzie International Dividend Fund | -- | -- | -- | -- |
| Mackenzie International Equity Index ETF | -- | -- | -- | -- |
| Mackenzie International Equity Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| Mackenzie Investment Grade Floating Rate Fund | -- | -- | -- | -- |
| Mackenzie Ivy Canadian Balanced Class | -- | -- | -- | -- |
| Mackenzie Ivy Canadian Balanced Fund | -- | -- | -- | -- |
| Mackenzie Ivy Canadian Fund | -- | -- | -- | -- |
| Mackenzie Ivy European Class | -- | -- | -- | -- |
| Mackenzie Ivy Foreign Equity Class | -- | -- | -- | -- |
| Mackenzie Ivy Foreign Equity Currency Neutral Class | -- | -- | -- | -- |
| Mackenzie Ivy Foreign Equity Fund | -- | -- | -- | -- |
| Mackenzie Ivy Global Balanced Class | -- | -- | -- | -- |
| Mackenzie Ivy Global Balanced Fund | -- | -- | -- | -- |
| Mackenzie Ivy Global Equity ETF | -- | -- | -- | -- |
| Mackenzie Ivy International Class | -- | -- | -- | -- |
| Mackenzie Ivy International Equity Fund | -- | -- | -- | -- |
| Mackenzie Ivy International Fund | -- | -- | -- | -- |
| MacKenzie Master Limited Partnership | -- | -- | -- | -- |
| Mackenzie Maximum Diversification All World Developed ex North America Index ETF | -- | -- | -- | -- |
| Mackenzie Maximum Diversification All World Developed Index ETF | -- | -- | -- | -- |
| Mackenzie Maximum Diversification Canada Index ETF | -- | -- | -- | -- |
| Mackenzie Maximum Diversification Developoc Europe Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Mackenzie Maximum Diversification Emerging Markets Index ETF | -- | -- | -- | -- |
| Mackenzie Maximum Diversification US Index ETF | -- | -- | -- | -- |
| Mackenzie Moderate Growth ETF Portfolio | -- | -- | -- | -- |
| Mackenzie Monthly Income Balanced Portfolio | -- | -- | -- | -- |
| Mackenzie Monthly Income Conservative Portfolio | -- | -- | -- | -- |
| Mackenzie Multi-Strategy Absolute Return Fund | -- | -- | -- | -- |
| Mackenzie North American Corporate Bond Fund | -- | -- | -- | -- |
| Mackenzie Portfolio Completion ETF | -- | -- | -- | -- |
| Mackenzie Precious Metals Class | -- | -- | -- | -- |
| Mackenzie Private Canadian Focused Equity Pool | -- | -- | -- | -- |
| Mackenzie Private Canadian Focused Equity Pool Class | -- | -- | -- | -- |
| Mackenzie Private Canadian Money Market Pool | -- | -- | -- | -- |
| Mackenzie Private Global Conservative Income Balanced Pool | -- | -- | -- | -- |
| Mackenzie Private Global Equity Pool | -- | -- | -- | -- |
| Mackenzie Private Global Equity Pool Class | -- | -- | -- | -- |
| Mackenzie Private Global Fixed Income Pool | -- | -- | -- | -- |
| Mackenzie Private Global Income Balanced Pool | -- | -- | -- | -- |
| Mackenzie Private Income Balanced Pool | -- | -- | -- | -- |
| Mackenzie Private Income Balanced Pool Clas | -- | -- | -- | -- |
| Mackenzie Private US Equity Pool | -- | -- | -- | -- |
| Mackenzie Private US Equity Pool Class | -- | -- | -- | -- |
| Mackenzie Saxon High Income Fund | -- | -- | -- | -- |
| Mackenzie Sovereign Bond Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Mackenzie Strategic Bond Fund | -- | -- | -- | -- |
| Mackenzie Strategic Income Class | -- | -- | -- | -- |
| Mackenzie Strategic Income Fund | -- | -- | -- | -- |
| Mackenzie Unconstrained Bond ETF | -- | -- | -- | -- |
| Mackenzie Unconstrained Fixed Income Fund | -- | -- | -- | -- |
| Mackenzie Universal World Resource Class | -- | -- | -- | -- |
| Mackenzie US All Cap Growth Fund | -- | -- | -- | -- |
| Mackenzie US Dividend Fund | -- | -- | -- | -- |
| Mackenzie US Dividend Registered Fund | -- | -- | -- | -- |
| Mackenzie US Growth Class | -- | -- | -- | -- |
| Mackenzie US High Yield Bond Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| Mackenzie US Large Cap Class | -- | -- | -- | -- |
| Mackenzie US Large Cap Equity Index ETF | -- | -- | -- | -- |
| Mackenzie US Large Cap Equity Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| Mackenzie US Low Volatility Fund | -- | -- | -- | -- |
| Mackenzie US Mid Cap Growth Class | -- | -- | -- | -- |
| Mackenzie US Mid Cap Growth Currency Neutral Class | -- | -- | -- | -- |
| Mackenzie US Small Cap Fund | -- | -- | -- | -- |
| Mackenzie US Strategic Income Fund | -- | -- | -- | -- |
| Mackenzie US TIPS Index ETF (CAD-Hedged) | -- | -- | -- | -- |
| Mackenzie USD Global Strategic Income Fund | -- | -- | -- | -- |
| Mackenzie USD Global Tactical Bond Fund | -- | -- | -- | -- |
| Mackenzie USD Ultra Short Duration Income Fund | -- | -- | -- | -- |
| Maclos Capital Inc. | -- | -- | -- | -- |
| Macro Enterprises Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Mad Catz Interactive, Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2017/07/06 |
| Madalena Energy Inc. | -- | -- | -- | -- |
| Madison Pacific Properties Inc. | -- | -- | -- | -- |
| Maestro Balanced Portfolio | -- | -- | -- | -- |
| Maestro Balanced Portfolio Class | -- | -- | -- | -- |
| Maestro Growth Focused Portfolio | -- | -- | -- | -- |
| Maestro Growth Focused Portfolio Class | -- | -- | -- | -- |
| Maestro Income Balanced Portfolio | -- | -- | -- | -- |
| Maestro Income Balanced Portfolio Class | -- | -- | -- | -- |
| Mag One Products Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2018/06/05 |
| MAG Silver Corp. | -- | -- | -- | -- |
| Magellan Aerospace Corporation | -- | -- | -- | -- |
| Magellan Real Estate Investment Fund Limited Partnership | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2002/06/18 |
| Magic Show, The | -- | -- | Yes/Oui | 1983/10/17 |
| MagIndustries Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/06/02 |
| Magister Mining Corporation Limited | -- | -- | Yes/Oui | 1974/03/15 |
| Magna International Inc. | -- | -- | -- | -- |
| Magnolia Colombia Ltd. | -- | -- | -- | -- |
| Magnum Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/01/09 |
| Magnum Goldcorp Inc. | -- | -- | -- | -- |
| Magnum Hunter Resources Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Magor Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2017/01/06 |
| Magra Computer Technologies Corp. | -- | -- | Yes/Oui | 2002/02/21 |
| Mahdia Gold Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/03/02 |
| Mainstream Minerals Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/04/25 |
| Mainstreet Equity Corp. | -- | -- | -- | -- |
| Major Drilling Group International Inc. | -- | -- | -- | -- |
| Makena Resources Inc. | -- | -- | -- | -- |
| Malaga Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2013/08/29 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Malbex Resources Inc. | -- | -- | -- | -- |
| Malette Industries Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/02/05 |
| Man AHL DP Limited | -- | -- | -- | -- |
| Mandalay Resources Corporation | -- | -- | -- | -- |
| Mandarin Golf and Country Club Inc., The | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/05/23 |
| Mandarin Mines Limited | -- | -- | Yes/Oui | 1982/07/14 |
| Manfrey Capital Corporation | -- | -- | Yes/Oui | 1999/01/29 |
| Mangazeya Mining Ltd. | -- | -- | -- | -- |
| Manitex Capital Inc. | -- | -- | -- | -- |
| Manitoba Hydro-Electric Board | -- | -- | -- | -- |
| Manitok Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Manitou Gold Inc. | -- | -- | -- | -- |
| Manulife Asia Equity Class | -- | -- | -- | -- |
| Manulife Balanced Equity Private Pool | -- | -- | -- | -- |
| Manulife Balanced Income Private Trust | -- | -- | -- | -- |
| Manulife Balanced Portfolio | -- | -- | -- | -- |
| Manulife Bond Fund | -- | -- | -- | -- |
| Manulife Canadian Balanced Fund | -- | -- | -- | -- |
| Manulife Canadian Balanced Private Pool | -- | -- | -- | -- |
| Manulife Canadian Bond Fund | -- | -- | -- | -- |
| Manulife Canadian Bond Plus Fund | -- | -- | -- | -- |
| Manulife Canadian Dividend Growth Class | -- | -- | -- | -- |
| Manulife Canadian Dividend Growth Fund | -- | -- | -- | -- |
| Manulife Canadian Dividend Income Class | -- | -- | -- | -- |
| Manulife Canadian Dividend Income Fund | -- | -- | -- | -- |
| Manulife Canadian Equity Balanced Class | -- | -- | -- | -- |
| Manulife Canadian Equity Private Pool | -- | -- | -- | -- |
| Manulife Canadian Focused Class | -- | -- | -- | -- |
| Manulife Canadian Focused Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Manulife Canadian Growth and Income Private Trust | -- | -- | -- | -- |
| Manulife Canadian Investment Class | -- | -- | -- | -- |
| Manulife Canadian Investment Fund | -- | -- | -- | -- |
| Manulife Canadian Monthly Income Class | -- | -- | -- | -- |
| Manulife Canadian Monthly Income Fund | -- | -- | -- | -- |
| Manulife Canadian Opportunities Class | -- | -- | -- | -- |
| Manulife Canadian Stock Class | -- | -- | -- | -- |
| Manulife Canadian Stock Fund | -- | -- | -- | -- |
| Manulife Canadian Universe Bond Fund | -- | -- | -- | -- |
| Manulife China Class | -- | -- | -- | -- |
| Manulife Conservative Portfolio | -- | -- | -- | -- |
| Manulife Corporate Bond Fund | -- | -- | -- | -- |
| Manulife Corporate Fixed Income Private Trust | -- | -- | -- | -- |
| Manulife Covered Call U.S. Equity Class | -- | -- | -- | -- |
| Manulife Covered Call U.S. Equity Fund | -- | -- | -- | -- |
| Manulife Diversified Alpha Portfolio | -- | -- | -- | -- |
| Manulife Diversified Investment Fund | -- | -- | -- | -- |
| Manulife Dividend Income Class | -- | -- | -- | -- |
| Manulife Dividend Income Fund | -- | -- | -- | -- |
| Manulife Dividend Income Private Pool | -- | -- | -- | -- |
| Manulife Dollar-Cost Averaging Fund | -- | -- | -- | -- |
| Manulife Emerging Markets Fund | -- | -- | -- | -- |
| Manulife Finance (Delaware), L.P. | -- | -- | -- | -- |
| Manulife Financial Capital Trust II | -- | -- | -- | -- |
| Manulife Financial Corporation | -- | -- | -- | -- |
| Manulife Floating Rate Income Fund | -- | -- | -- | -- |
| Manulife Floating Rate Senior Loan Fund | -- | -- | -- | -- |
| Manulife Global All Cap Focused Fund | -- | -- | -- | -- |
| Manulife Global Balanced Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Manulife Global Balanced Private Trust | -- | -- | -- | -- |
| Manulife Global Dividend Class | -- | -- | -- | -- |
| Manulife Global Dividend Fund | -- | -- | -- | -- |
| Manulife Global Dividend Growth Class | -- | -- | -- | -- |
| Manulife Global Dividend Growth Fund | -- | -- | -- | -- |
| Manulife Global Equity Class | -- | -- | -- | -- |
| Manulife Global Equity Private Pool | -- | -- | -- | -- |
| Manulife Global Equity Unconstrained Class | -- | -- | -- | -- |
| Manulife Global Equity Unconstrained Fund | -- | -- | -- | -- |
| Manulife Global Fixed Income Private Trust | -- | -- | -- | -- |
| Manulife Global Infrastructure Class | -- | -- | -- | -- |
| Manulife Global Infrastructure Fund | -- | -- | -- | -- |
| Manulife Global Managed Volatility Portfolio | -- | -- | -- | -- |
| Manulife Global Real Estate Unconstrained Fund | -- | -- | -- | -- |
| Manulife Global Small Cap Balanced Fund | -- | -- | -- | -- |
| Manulife Global Small Cap Fund | -- | -- | -- | -- |
| Manulife Global Strategic Balanced Yield Fund | -- | -- | -- | -- |
| Manulife Global Tactical Credit Fund | -- | -- | -- | -- |
| Manulife Growth Opportunities Class | -- | -- | -- | -- |
| Manulife Growth Opportunities Fund | -- | -- | -- | -- |
| Manulife Growth Portfolio | -- | -- | -- | -- |
| Manulife Income Fund | -- | -- | -- | -- |
| Manulife Income Fund 2022 | -- | -- | -- | -- |
| Manulife Income Fund 2027 | -- | -- | -- | -- |
| Manulife Income Fund 2032 | -- | -- | -- | -- |
| Manulife Income Fund 2042 | -- | -- | -- | -- |
| Manulife Income Fund 2047 | -- | -- | -- | -- |
| Manulife Income Fund 2052 | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Manulife Income Fund 2057 | -- | -- | -- | -- |
| Manulife Income Fund 2067 | -- | -- | -- | -- |
| Manulife Income Fund 5262 | -- | -- | -- | -- |
| Manulife International Equity Private Trust | -- | -- | -- | -- |
| Manulife International Focused Fund | -- | -- | -- | -- |
| Manulife International Value Equity Fund | -- | -- | -- | -- |
| Manulife Moderate Portfolio | -- | -- | -- | -- |
| Manulife Money Market Private Trust | -- | -- | -- | -- |
| Manulife Monthly High Income Class | -- | -- | -- | -- |
| Manulife Monthly High Income Fund | -- | -- | -- | -- |
| Manulife Multifactor Canadian Large Cap Index ETF | -- | -- | -- | -- |
| Manulife Multifactor Canadian SMID Cap Index ETF | -- | -- | -- | -- |
| Manulife Multifactor Developed International Index ETF | -- | -- | -- | -- |
| Manulife Multifactor U.S. Large Cap Index ETF | -- | -- | -- | -- |
| Manulife Multifactor U.S. Mid Cap Index ETF | -- | -- | -- | -- |
| Manulife Multifactor U.S. Small Cap Index ETF | -- | -- | -- | -- |
| Manulife Quantitative Fixed Income Fund 2022 | -- | -- | -- | -- |
| Manulife Quantitative Fixed Income Fund 2027 | -- | -- | -- | -- |
| Manulife Quantitative Fixed Income Fund 2032 | -- | -- | -- | -- |
| Manulife Quantitative Fixed Income Fund 2037 | -- | -- | -- | -- |
| Manulife Quantitative Fixed Income Fund 2042 | -- | -- | -- | -- |
| Manulife Short Term Yield Class | -- | -- | -- | -- |
| Manulife Simplicity Balanced Portfolio | -- | -- | -- | -- |
| Manulife Simplicity Conservative Portfolio | -- | -- | -- | -- |
| Manulife Simplicity Global Balanced Portfolio | -- | -- | -- | -- |
| Manulife Simplicity Growth Portfolio | -- | -- | -- | -- |
| Manulife Simplicity Moderate Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Manulife Strategic Balanced Yield Fund | -- | -- | -- | -- |
| Manulife Strategic Dividend Bundle | -- | -- | -- | -- |
| Manulife Strategic Income Fund | -- | -- | -- | -- |
| Manulife Strategic Investment Grade Global Bond Fund | -- | -- | -- | -- |
| Manulife Tactical Income Fund | -- | -- | -- | -- |
| Manulife Tax-Managed Growth Fund | -- | -- | -- | -- |
| Manulife U.S. All Cap Equity Class | -- | -- | -- | -- |
| Manulife U.S. All Cap Equity Fund | -- | -- | -- | -- |
| Manulife U.S. Balanced Private Trust | -- | -- | -- | -- |
| Manulife U.S. Balanced Value Private Trust | -- | -- | -- | -- |
| Manulife U.S. Dividend Income Class | -- | -- | -- | -- |
| Manulife U.S. Dividend Income Fund | -- | -- | -- | -- |
| Manulife U.S. Dollar Strategic Balanced Yield Fund | -- | -- | -- | -- |
| Manulife U.S. Dollar Strategic Income Fund | -- | -- | -- | -- |
| Manulife U.S. Dollar U.S. All Cap Equity Fund | -- | -- | -- | -- |
| Manulife U.S. Equity Fund | -- | -- | -- | -- |
| Manulife U.S. Equity Private Pool | -- | -- | -- | -- |
| Manulife U.S. Monthly High Income Fund | -- | -- | -- | -- |
| Manulife U.S. Opportunities Fund | -- | -- | -- | -- |
| Manulife U.S. Regional Bank Trust | -- | -- | -- | -- |
| Manulife U.S. Tactical Credit Fund | -- | -- | -- | -- |
| Manulife Value Balanced Class | -- | -- | -- | -- |
| Manulife Value Balanced Fund | -- | -- | -- | -- |
| Manulife World Investment Class | -- | -- | -- | -- |
| Manulife World Investment Fund | -- | -- | -- | -- |
| Manulife Yield Opportunities Fund | -- | -- | -- | -- |
| Maple Gold Mines Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Maple Leaf 2013 Oil & Gas Income Limited Partnership | -- | -- | -- | -- |
| Maple Leaf Foods Inc. | -- | -- | -- | -- |
| Maple Leaf Green World Inc. | -- | -- | -- | -- |
| Maple Leaf Income Class | -- | -- | -- | -- |
| Maple Leaf Resource Class | -- | -- | -- | -- |
| Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - National Class | -- | -- | -- | -- |
| Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - Quebec Class | -- | -- | -- | -- |
| Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - National Class | -- | -- | -- | -- |
| Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - Quebec Class | -- | -- | -- | -- |
| Maplewood International Real Estate Investment Trust | -- | -- | -- | -- |
| Maracambeau Mines Ltd. | -- | -- | Yes/Oui | 1977/09/02 |
| Marapharm Ventures Inc. | -- | -- | -- | -- |
| Marathon Gold Corporation | -- | -- | -- | -- |
| Marathon Oil Corporation | -- | -- | -- | -- |
| Marauder Resources East Coast Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/12/10 |
| Margaux Resources Ltd. | -- | -- | -- | -- |
| Maricann Group Inc. | -- | -- | -- | -- |
| Marifil Mines Limited | -- | -- | -- | -- |
| Marijuana Opportunities Fund | -- | -- | -- | -- |
| Marine Mining Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/05/26 |
| Maritime Equity Fund | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Marlin Gold Mining Ltd. | -- | -- | -- | -- |
| Marquee Energy Ltd. | -- | -- | -- | -- |
| Marquest 2017-I Mining Super Flow-Through Limited Partnership - National Class | -- | -- | -- | -- |
| Marquest 2017-I Mining Super Flow-Through Limited Partnership - Québec Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Marquest American Dividend Growth Fund | -- | -- | -- | -- |
| Marquest American Dividend Growth Fund (Corporate Class) | -- | -- | -- | -- |
| Marquest Canadian Bond Fund | -- | -- | -- | -- |
| Marquest Canadian Fixed Income Fund | -- | -- | -- | -- |
| Marquest Canadian Resource Fund | -- | -- | -- | -- |
| Marquest Canadian Resource Fund (Corporate Class) | -- | -- | -- | -- |
| Marquest Covered Call Canadian Banks Plus Fund | -- | -- | -- | -- |
| Marquest Covered Call Canadian Banks Plus Fund (Corporate Class) | -- | -- | -- | -- |
| Marquest Global Balanced Fund | -- | -- | -- | -- |
| Marquest Money Market Fund | -- | -- | -- | -- |
| Marquest Monthly Pay Fund | -- | -- | -- | -- |
| Marquest Monthly Pay Fund (Corporate Class) | -- | -- | -- | -- |
| Marquest Mutual Funds Inc. - Energy Series Fund | -- | -- | -- | -- |
| Marquest Mutual Funds Inc. - Explorer Series Fund | -- | -- | -- | -- |
| Marquest Mutual Funds Inc. - Flex Dividend an Income Growth Series Fund | -- | -- | -- | -- |
| Marquest Short Term Income Fund (Corporate Class) | -- | -- | -- | -- |
| Marquest Small Companies Fund | -- | -- | -- | -- |
| Marquis Balanced Class Portfolio | -- | -- | -- | -- |
| Marquis Balanced Growth Class Portfolio | -- | -- | -- | -- |
| Marquis Balanced Growth Portfolio | -- | -- | -- | -- |
| Marquis Balanced Income Portfolio | -- | -- | -- | -- |
| Marquis Balanced Portfolio | -- | -- | -- | -- |
| Marquis Equity Portfolio | -- | -- | -- | -- |
| Marquis Growth Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Marquis Institutional Balanced Growth Portfolio | -- | -- | -- | -- |
| Marquis Institutional Balanced Portfolio | -- | -- | -- | -- |
| Marquis Institutional Bond Portfolio | -- | -- | -- | -- |
| Marquis Institutional Canadian Equity Portfolio | -- | -- | -- | -- |
| Marquis Institutional Equity Portfolio | -- | -- | -- | -- |
| Marquis Institutional Global Equity Portfolio | -- | -- | -- | -- |
| Marquis Institutional Growth Portfolio | -- | -- | -- | -- |
| Marret High Yield Bond Fund | -- | -- | -- | -- |
| Marret High Yield Strategies Fund | -- | -- | -- | -- |
| Marret Multi-Strategy Income Fund | -- | -- | -- | -- |
| Marret Resource Corp. | -- | -- | -- | -- |
| Marret Short Duration High Yield Fund | -- | -- | -- | -- |
| Marshall Minerals Corp. | -- | -- | Yes/Oui | 2001/05/25 |
| Martello Court Limited Partnership | -- | -- | Yes/Oui | 1990/10/30 |
| Martin Health Group Inc. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2004/09/16 |
| Martina Minerals Corp. | -- | -- | -- | -- |
| Martinrea International Inc. | -- | -- | -- | -- |
| Marzen Holdings Company Limited | -- | -- | -- | -- |
| Mason Graphite Inc. | -- | -- | -- | -- |
| Mason Resources Corp. | -- | -- | -- | -- |
| Masonic Temple Corporation, Limited | -- | -- | Yes/Oui | 1995/05/31 |
| Massive Resources Limited | -- | -- | Yes/Oui | 1989/10/06 |
| Master Credit Card Trust II | -- | -- | -- | -- |
| Master Resources & Developments Limited | -- | -- | Yes/Oui | 1989/06/16 |
| Matachewan Consolidated Mines, Limited | -- | -- | -- | -- |
| Matamec Explorations Inc. | -- | -- | -- | -- |
| Match Capital Resources Corporation | -- | -- | -- | -- |
| Matco Balanced Fund | -- | -- | -- | -- |
| Matco Canadian Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Matco Fixed Income Fund | -- | -- | -- | -- |
| Matco Global Equity Fund | -- | -- | -- | -- |
| Matco Small Cap Fund | -- | -- | -- | -- |
| Mate Yellowknife Gold Mines Limited | -- | -- | Yes/Oui | 1992/08/05 |
| Materials Protection Technologies Inc. | -- | -- | Yes/Oui | 2002/05/22 |
| Matica Enterprises Inc. | -- | -- | -- | -- |
| Matrix 2013 Short Duration National Class | Yes/Oui | 1a, 1c, 3 | -- | -- |
| Matrix 2013 Short Duration Québec Class | Yes/Oui | 1a, 1c, 3 | -- | -- |
| MATRRIX Energy Technologies Inc. | -- | -- | -- | -- |
| Matt and Jenny | -- | -- | Yes/Oui | 1984/10/12 |
| Mattel, Inc. | -- | -- | -- | -- |
| Maudore Minerals Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |
| Maverix Metals Inc. | -- | -- | -- | -- |
| Mawer Balanced Fund | -- | -- | -- | -- |
| Mawer Canadian Bond Fund | -- | -- | -- | -- |
| Mawer Canadian Equity Fund | -- | -- | -- | -- |
| Mawer Canadian Money Market Fund | -- | -- | -- | -- |
| Mawer Emerging Markets Equity Fund | -- | -- | -- | -- |
| Mawer Global Balanced Fund | -- | -- | -- | -- |
| Mawer Global Bond Fund | -- | -- | -- | -- |
| Mawer Global Equity Fund | -- | -- | -- | -- |
| Mawer Global Small Cap Fund | -- | -- | -- | -- |
| Mawer International Equity Fund | -- | -- | -- | -- |
| Mawer New Canada Fund | -- | -- | -- | -- |
| Mawer Tax Effective Balanced Fund | -- | -- | -- | -- |
| Mawer U.S. Equity Fund | -- | -- | -- | -- |
| Mawson Resources Limited | -- | -- | -- | -- |
| Maxar Technologies Ltd. | -- | -- | -- | -- |
| Maxim Atlantic Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/05/26 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Maxim Power Corp. | -- | -- | -- | -- |
| Maxtech Ventures Inc. | -- | -- | -- | -- |
| Maxwell Communication Corporation plc | -- | -- | Yes/Oui | 1992/02/17 |
| Maxwell Communications Finance Canada Limited | -- | -- | Yes/Oui | 1992/03/06 |
| Maya Gold & Silver Inc. | -- | -- | -- | -- |
| Mayday Mines Limited | -- | -- | Yes/Oui | 1979/10/05 |
| Mayfair Resources & Developments Limited | -- | -- | Yes/Oui | 1982/01/28 |
| Mazarin Inc. | -- | -- | -- | -- |
| MBMI Resources Inc. | -- | -- | -- | -- |
| MBN Corporation | -- | -- | -- | -- |
| MCAN Mortgage Corporation | -- | -- | -- | -- |
| McChip Resources Inc. | -- | -- | -- | -- |
| McColl-Frontenac Inc. | -- | -- | -- | -- |
| McCoy Global Inc. | -- | -- | -- | -- |
| McEwen Mining Inc. | -- | -- | -- | -- |
| McFinley Red Lake Mines Ltd. | -- | -- | Yes/Oui | 1993/07/15 |
| McIntyre Mines Limited | -- | -- | Yes/Oui | 1990/06/27 |
| McLaren Resources Inc. | -- | -- | -- | -- |
| MD American Growth Fund | -- | -- | -- | -- |
| MD American Value Fund | -- | -- | -- | -- |
| MD Balanced Fund | -- | -- | -- | -- |
| MD Bond Fund | -- | -- | -- | -- |
| MD Dividend Growth Fund | -- | -- | -- | -- |
| MD Equity Fund | -- | -- | -- | -- |
| MD Fossil Fuel Free Bond Fund | -- | -- | -- | -- |
| MD Fossil Fuel Free Equity Fund | -- | -- | -- | -- |
| MD Growth Investments Limited | -- | -- | -- | -- |
| MD International Growth Fund | -- | -- | -- | -- |
| MD International Value Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| MD Money Fund | -- | -- | -- | -- |
| MD Precision Balanced Growth Portfolio | -- | -- | -- | -- |
| MD Precision Balanced Income Portfolio | -- | -- | -- | -- |
| MD Precision Canadian Moderate Growth Fund | -- | -- | -- | -- |
| MD Precision Conservative Portfolio | -- | -- | -- | -- |
| MD Precision Maximum Growth Portfolio | -- | -- | -- | -- |
| MD Precision Moderate Balanced Portfolio | -- | -- | -- | -- |
| MD Precision Moderate Growth Portfolio | -- | -- | -- | -- |
| MD Select Fund | -- | -- | -- | -- |
| MD Short-Term Bond Fund | -- | -- | -- | -- |
| MD Strategic Opportunities Fund | -- | -- | -- | -- |
| MD Strategic Yield Fund | -- | -- | -- | -- |
| MDC Partners Inc. | -- | -- | -- | -- |
| MDPIM Canadian Bond Pool | -- | -- | -- | -- |
| MDPIM Canadian Equity Pool | -- | -- | -- | -- |
| MDPIM Canadian Long Term Bond Pool | -- | -- | -- | -- |
| MDPIM Dividend Pool | -- | -- | -- | -- |
| MDPIM Emerging Markets Equity Pool | -- | -- | -- | -- |
| MDPIM International Equity Index Pool | -- | -- | -- | -- |
| MDPIM International Equity Pool | -- | -- | -- | -- |
| MDPIM Strategic Opportunities Pool | -- | -- | -- | -- |
| MDPIM Strategic Yield Pool | -- | -- | -- | -- |
| MDPIM S&P 500 Index Pool | -- | -- | -- | -- |
| MDPIM S&P/TSX Capped Composite Index Pool | -- | -- | -- | -- |
| MDPIM US Equity Pool | -- | -- | -- | -- |
| ME Resource Corp. | -- | -- | -- | -- |
| Meadow Bay Gold Corporation | -- | -- | -- | -- |
| Meadowbrook Apartment Project | -- | -- | Yes/Oui | 1995/06/08 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Meadowvale Court | -- | -- | Yes/Oui | 1993/07/23 |
| Meadowvale Gardens Apartment Project - Phase II | -- | -- | Yes/Oui | 1996/06/03 |
| Med-Chem Health Care Limited | -- | -- | Yes/Oui | 1998/12/01 |
| Med BioGene Inc. | Yes/Oui | 3 | -- | -- |
| MedcomSoft Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/03/02 |
| Mediagrif Interactive Technologies Inc. | -- | -- | -- | -- |
| Medical Facilities Corporation | -- | -- | -- | -- |
| Medical Intelligence Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/06/12 |
| Medical Pathways International Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Medical Services International Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2003/11/25 |
| Medicenna Therapeutics Corp. | -- | -- | -- | -- |
| Medicure Inc. | -- | -- | -- | -- |
| Medifocus Inc. | -- | -- | -- | -- |
| Medipattern Corporation, The | Yes/Oui | 1c, 1e | Yes/Oui | 2013/06/26 |
| Medipure Holdings Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2015/11/09 |
| Medius Communications Inc. | -- | -- | Yes/Oui | 1999/12/15 |
| Medlon Small Business Development Limited | -- | -- | Yes/Oui | 1981/05/06 |
| MedMen Enterprises Inc. | -- | -- | -- | -- |
| Medmira Inc. | -- | -- | -- | -- |
| Medokrisp Food Systems Limited | -- | -- | Yes/Oui | 1984/09/26 |
| MedReleaf Corp. | -- | -- | -- | -- |
| Medusa Mining Limited | Yes/Oui | 1a, 3 | Yes/Oui | 2013/01/28 |
| MedX Health Corp. | -- | -- | -- | -- |
| MEG Energy Corp. | -- | -- | -- | -- |
| Mega Uranium Ltd. | -- | -- | -- | -- |
| Megacard Technologies Inc. | -- | -- | Yes/Oui | 1983/11/17 |
| Megalode Corporation | -- | -- | Yes/Oui | 1994/10/24 |
| Megawheels Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/01/09 |
| MegumaGold Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Melanesian Minerals Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2002/05/27 |
| Melanie | -- | -- | Yes/Oui | 1986/09/22 |
| Melcor Developments Ltd. | -- | -- | -- | -- |
| Melcor Real Estate Investment Trust | -- | -- | -- | -- |
| Melior Resources Inc. | -- | -- | -- | -- |
| Melodia 100% Equity Growth Portfolio | -- | -- | -- | -- |
| Melodia Aggressive Growth Portfolio | -- | -- | -- | -- |
| Melodia Balanced Growth Portfolio | -- | -- | -- | -- |
| Melodia Conservative Income Portfolio | -- | -- | -- | -- |
| Melodia Diversified Growth Portfolio | -- | -- | -- | -- |
| Melodia Diversified Income Portfolio | -- | -- | -- | -- |
| Melodia Maximum Growth Portfolio | -- | -- | -- | -- |
| Melodia Moderate Growth Portfolio | -- | -- | -- | -- |
| Melodia Moderate Income Portfolio | -- | -- | -- | -- |
| Melodia Very Conservative Income Portfolio | -- | -- | -- | -- |
| Memex Inc. | -- | -- | -- | -- |
| Mentor Networks Inc. | -- | -- | Yes/Oui | 1998/09/15 |
| Mercal Capital Corp. | -- | -- | -- | -- |
| Mercantile Guardian Group Ltd. | -- | -- | Yes/Oui | 1975/04/09 |
| Mercantile International Petroleum Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2004/06/02 |
| Mercator Minerals Ltd. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2014/12/01 |
| Mercator Transport Group Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/09/10 |
| Mercer International Inc. | -- | -- | -- | -- |
| Merch Performance Inc. | Yes/Oui | 1b | Yes/Oui | 2003/10/15 |
| Merchant Capital Group Incorporated | Yes/Oui | 1a, 1b | Yes/Oui | 2002/07/23 |
| Meridian Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2001/05/01 |
| Merit Energy Ltd. | -- | -- | Yes/Oui | 2000/06/30 |
| Meritage American Equity Portfolio | -- | -- | -- | -- |
| Meritage Balanced Income Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Meritage Balanced Portfolio | -- | -- | -- | -- |
| Meritage Canadian Equity Class Portfolio | -- | -- | -- | -- |
| Meritage Canadian Equity Portfolio | -- | -- | -- | -- |
| Meritage Conservative Income Portfolio | -- | -- | -- | -- |
| Meritage Conservative Portfolio | -- | -- | -- | -- |
| Meritage Diversified Fixed Income Portfolio | -- | -- | -- | -- |
| Meritage Equity Class Portfolio | -- | -- | -- | -- |
| Meritage Equity Income Portfolio | -- | -- | -- | -- |
| Meritage Equity Portfolio | -- | -- | -- | -- |
| Meritage Global Aggressive Growth Class Portfolio | -- | -- | -- | -- |
| Meritage Global Aggressive Growth Portfolio | -- | -- | -- | -- |
| Meritage Global Balanced Portfolio | -- | -- | -- | -- |
| Meritage Global Conservative Portfolio | -- | -- | -- | -- |
| Meritage Global Equity Class Portfolio | -- | -- | -- | -- |
| Meritage Global Equity Portfolio | -- | -- | -- | -- |
| Meritage Global Growth Class Portfolio | -- | -- | -- | -- |
| Meritage Global Growth Portfolio | -- | -- | -- | -- |
| Meritage Global Moderate Portfolio | -- | -- | -- | -- |
| Meritage Growth Class Portfolio | -- | -- | -- | -- |
| Meritage Growth Income Portfolio | -- | -- | -- | -- |
| Meritage Growth Portfolio | -- | -- | -- | -- |
| Meritage International Equity Portfolio | -- | -- | -- | -- |
| Meritage Moderate Income Portfolio | -- | -- | -- | -- |
| Meritage Moderate Portfolio | -- | -- | -- | -- |
| Meritage Tactical ETF Balanced Portfolio | -- | -- | -- | -- |
| Meritage Tactical ETF Equity Portfolio | -- | -- | -- | -- |
| Meritage Tactical ETF Fixed Income Portfolio | -- | -- | -- | -- |
| Meritage Tactical ETF Growth Portfolio | -- | -- | -- | -- |
| Meritage Tactical ETF Moderate Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Meritas Balanced Portfolio | -- | -- | -- | -- |
| Meritas Canadian Bond Fund | -- | -- | -- | -- |
| Meritas Growth Portfolio | -- | -- | -- | -- |
| Meritas Growth & Income Portfolio | -- | -- | -- | -- |
| Meritas Income Portfolio | -- | -- | -- | -- |
| Meritas Income & Growth Portfolio | -- | -- | -- | -- |
| Meritas International Equity Fund | -- | -- | -- | -- |
| Meritas Jantzi Social Index Fund | -- | -- | -- | -- |
| Meritas Maximum Growth Portfolio | -- | -- | -- | -- |
| Meritas Monthly Dividend and Income Fund | -- | -- | -- | -- |
| Meritas Strategic Income Fund | -- | -- | -- | -- |
| Meritas U.S. Equity Fund | -- | -- | -- | -- |
| Meritus Minerals Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/08/15 |
| Merrill Lynch Financial Assets Inc. | -- | -- | -- | -- |
| Merrill Lynch Healthcare Fund, Inc. | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Meryllion Resources Corporation | -- | -- | -- | -- |
| MetalCorp Limited | -- | -- | -- | -- |
| Metalla Royalty & Streaming Ltd. | -- | -- | -- | -- |
| Metallic Minerals Corp. | -- | -- | -- | -- |
| Metallis Resources Inc. | -- | -- | -- | -- |
| Metalo Manufacturing Inc. | -- | -- | -- | -- |
| Metalore Resources Limited | -- | -- | -- | -- |
| Metals Creek Resources Corp. | -- | -- | -- | -- |
| Metanor Resources Inc. | -- | -- | -- | -- |
| Meteor Creek Resources Inc. | Yes/Oui | 1a | Yes/Oui | 2003/05/23 |
| Methanex Corporation | -- | -- | -- | -- |
| Metro Inc. | -- | -- | -- | -- |
| Metro Vancouver Properties Corp. | -- | -- | -- | -- |
| Metron Capital Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Metropolitan Energy Corp. | -- | -- | -- | -- |
| Mexican Gold Corp. | -- | -- | -- | -- |
| Mexivada Mining Corp. | -- | -- | Yes/Oui | 2012/11/21 |
| Mezzotin Minerals Inc. | -- | -- | -- | -- |
| MGX Minerals Inc. | -- | -- | -- | -- |
| mHealth Capital Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Microbe Corporation | -- | -- | Yes/Oui | 1990/10/09 |
| Microbix Biosystems Inc. | -- | -- | -- | -- |
| Microcomp 83 R & D Program Limited Partnership | -- | -- | Yes/Oui | 1987/09/08 |
| Micromem Technologies Inc. | -- | -- | -- | -- |
| Micron Waste Technologies Inc. | -- | -- | -- | -- |
| MicroPlanet Technology Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/05/12 |
| Mid Cap Canada Fund (GWLIM) | -- | -- | -- | -- |
| Midas Capital Corporation | -- | -- | Yes/Oui | 1999/09/24 |
| Midas Gold Corp. | -- | -- | -- | -- |
| Middle Age Crazy | -- | -- | Yes/Oui | 1986/09/22 |
| Middlefield Can-Global REIT Income Fund | -- | -- | -- | -- |
| Middlefield Canadian Dividend Growers Class | -- | -- | -- | -- |
| Middlefield Global Agriculture Class | -- | -- | -- | -- |
| Middlefield Global Dividend Growers Class | -- | -- | -- | -- |
| Middlefield Global Energy Class | -- | -- | -- | -- |
| Middlefield Global Infrastructure Fund | -- | -- | -- | -- |
| Middlefield Healthcare & Life Sciences Dividend Fund | -- | -- | -- | -- |
| Middlefield Healthcare & Wellness Dividend Fund | -- | -- | -- | -- |
| Middlefield High Yield Class | -- | -- | -- | -- |
| Middlefield Income Plus Class | -- | -- | -- | -- |
| Middlefield Real Estate Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Middlefield Short-Term Income Class | -- | -- | -- | -- |
| Middlefield U.S. Dividend Growers Class | -- | -- | -- | -- |
| Midland Exploration Inc. | -- | -- | -- | -- |
| Midnight Star Ventures Corp. | -- | -- | -- | -- |
| Midpoint Holdings Ltd. | -- | -- | -- | -- |
| Midway Gold Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| Milger Investment & Development Corporation Limited | -- | -- | Yes/Oui | 1980/04/18 |
| Mill Creek Explorations Limited | -- | -- | Yes/Oui | 1980/05/05 |
| Mill Run Golf Club | -- | -- | -- | -- |
| Millenium Biologix Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| MillenMin Ventures Inc. | -- | -- | -- | -- |
| Millennial Esports Corp. | -- | -- | -- | -- |
| Millennial Lithium Corp. | -- | -- | -- | -- |
| Millennium Equities Ltd. | Yes/Oui | 1a | Yes/Oui | 2001/05/25 |
| Millers Cove Resources Inc. | -- | -- | Yes/Oui | 1990/07/19 |
| Millrock Resources Inc. | -- | -- | -- | -- |
| Millstream Mines Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/01/05 |
| Milner Consolidated Silver Mines Ltd. | -- | -- | -- | -- |
| Miltec Technology Inc. | -- | -- | Yes/Oui | 2000/07/07 |
| Minaurum Gold Inc. | -- | -- | -- | -- |
| Minco Base Metals Corporation | -- | -- | Yes/Oui | 2009/03/11 |
| Minco Gold Corporation | -- | -- | -- | -- |
| Minco Silver Corporation | -- | -- | -- | -- |
| Mincom Capital Inc. | -- | -- | -- | -- |
| Mindready Solutions Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2007/04/19 |
| Minera Alamos Inc. | -- | -- | -- | -- |
| Minera IRL Limited | -- | -- | -- | -- |
| Mineral Mountain Resources Ltd. | -- | -- | -- | -- |
| Minfocus Exploration Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Minnova Corp. | -- | -- | -- | -- |
| Minpro International Ltd. | Yes/Oui | 1b | Yes/Oui | 2002/02/04 |
| Minsud Resources Corp. | -- | -- | -- | -- |
| MINT Income Fund | -- | -- | -- | -- |
| Mintron Enterprises Ltd. | -- | -- | Yes/Oui | 1991/04/23 |
| Mira X Acquisition Corp | -- | -- | -- | -- |
| Mirabela Nickel Limited | Yes/Oui | 1b | Yes/Oui | 2015/09/25 |
| Miracle Entertainment, Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/09/23 |
| Miramont Resources Corp. | -- | -- | -- | -- |
| Mirati Therapeutics, Inc. | -- | -- | -- | -- |
| Mirror Oilfield Construction Corporation | -- | -- | Yes/Oui | 1994/06/06 |
| Misdeal | -- | -- | Yes/Oui | 1982/09/10 |
| Mispec Resources Inc. | -- | -- | Yes/Oui | 1999/11/09 |
| Mistango River Resources Inc. | -- | -- | -- | -- |
| Mitel Networks Corporation | -- | -- | -- | -- |
| MJ Bioscience Corp. | -- | -- | Yes/Oui | 2016/03/11 |
| MJ Opportunity Corp. | -- | -- | -- | -- |
| MLD Core Fund | -- | -- | -- | -- |
| MM Fund | -- | -- | -- | -- |
| MNP Petroleum Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/19 |
| MOAG Copper Gold Resources Inc. | Yes/Oui | 2a, 2c, 3 | Yes/Oui | 2015/10/13 |
| Mobi724 Global Solutions Inc. | -- | -- | -- | -- |
| Mobile Knowledge Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/07/03 |
| Moderate Folio Fund | -- | -- | -- | -- |
| Moderate Fund (formerly, Income 30/70 Fund) | -- | -- | -- | -- |
| Moderate Portfolio | -- | -- | -- | -- |
| Modern Ova Trends Limited | -- | -- | Yes/Oui | 1980/06/03 |
| Moducan Building Systems Ltd. | -- | -- | Yes/Oui | 1991/07/16 |
| Mogo Finance Technology Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Molco Industries Limited | -- | -- | Yes/Oui | 1986/06/02 |
| Molson Coors Brewing Company | -- | -- | -- | -- |
| Molson Coors Canada Inc. | -- | -- | -- | -- |
| Moly Mines Limited | -- | -- | -- | -- |
| Molystar Resources Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2009/05/13 |
| Monarch Resources Limited | Yes/Oui | 1b | Yes/Oui | 2002/01/08 |
| Monarques Gold Corporation | -- | -- | -- | -- |
| Mondev Senior Living Inc. | Yes/Oui | 1a | Yes/Oui | 2002/08/28 |
| Moneda LatAm Corporate Bond Fund | -- | -- | -- | -- |
| Moneta Porcupine Mines Inc. | -- | -- | -- | -- |
| Money Market Fund | -- | -- | -- | -- |
| Money Market Fund | -- | -- | -- | -- |
| Moneysworth & Best Shoe Care Inc. | -- | -- | Yes/Oui | 2000/07/21 |
| Mongolia Growth Group Ltd. | -- | -- | -- | -- |
| Monitor Ventures Inc. | -- | -- | -- | -- |
| MonoGen, Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2009/04/09 |
| Mont Blanc Apartments (Issuer) Limited Partnership | -- | -- | Yes/Oui | 1999/10/06 |
| Montan Mining Corp. | -- | -- | -- | -- |
| Montana Exploration Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Montebello Egyptian Bloodstock Investments D Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1998/05/22 |
| Montebello Egyptian Bloodstock Investments I\ Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1998/05/22 |
| Montebello Equine Investments II Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1998/05/22 |
| Montebello Equine Investments VII Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1998/05/22 |
| Montego Resources Inc. | -- | -- | -- | -- |
| Montero Mining and Exploration Ltd. | -- | -- | -- | -- |
| Monthly Income Fund (London Capital) | -- | -- | -- | -- |
| Monument Mining Limited | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Mooncor Oil & Gas Corp. | Yes/Oui | 1k | -- | -- |
| Moovly Media Inc. | -- | -- | -- | -- |
| Morguard Corporation | -- | -- | -- | -- |
| Morguard North American Residential Real Estate Investment Trust | -- | -- | -- | -- |
| Morguard Real Estate Investment Trust | -- | -- | -- | -- |
| Morien Resources Corp. | -- | -- | -- | -- |
| Morneau Shepell Inc. | -- | -- | -- | -- |
| Morningstar Aggressive Portfolio | -- | -- | -- | -- |
| Morningstar Balanced Portfolio | -- | -- | -- | -- |
| Morningstar Conservative Portfolio | -- | -- | -- | -- |
| Morningstar Growth Portfolio | -- | -- | -- | -- |
| Morningstar Moderate Portfolio | -- | -- | -- | -- |
| Morningstar Strategic Canadian Equity Fund | -- | -- | -- | -- |
| Mosaic Capital Corporation | -- | -- | -- | -- |
| Mosport Film Productions (1978) | -- | -- | Yes/Oui | 1982/07/14 |
| Mother Lode | -- | -- | Yes/Oui | 1982/10/06 |
| Motion Works Group Limited | -- | -- | Yes/Oui | 1999/11/09 |
| Mount Real Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Mountain Lake Minerals Inc. | -- | -- | -- | -- |
| Mountain Province Diamonds Inc. | -- | -- | -- | -- |
| Mountain View Ventures Inc. | -- | -- | Yes/Oui | 1992/02/05 |
| Mountainside Carriage Lane II Limited Partnership | -- | -- | Yes/Oui | 1995/06/08 |
| Mountainside Carriage Lane Limited Partnershi | -- | -- | Yes/Oui | 1995/06/08 |
| MountainStar Gold Inc. | -- | -- | Yes/Oui | 2015/09/16 |
| MPL Communications Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/05/07 |
| MPX Bioceutical Corporation | -- | -- | -- | -- |
| MRF 2017 Resource Limited Partnership | -- | -- | -- | -- |
| MRF 2018 Resource Limited Partnership | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| MRP Waste Management Corp. | -- | -- | Yes/Oui | 1992/12/04 |
| MTC Growth Fund-1 Inc. | -- | -- | -- | -- |
| MTW Solutions Online Inc. | Yes/Oui | 1b | Yes/Oui | 2001/12/07 |
| MTY Food Group Inc. | -- | -- | -- | -- |
| Mullen Group Ltd. | -- | -- | -- | -- |
| Multi-Asset Equity Completion | -- | -- | -- | -- |
| Multi-Asset Fixed Income | -- | -- | -- | -- |
| Multi-Asset Fixed Income Class | -- | -- | -- | -- |
| Multi-Asset Growth Strategy | -- | -- | -- | -- |
| Multi-Asset Growth Strategy Class | -- | -- | -- | -- |
| Multi-Asset Growth & Income | -- | -- | -- | -- |
| Multi-Asset Growth & Income Class | -- | -- | -- | -- |
| Multi-Minerals Limited | -- | -- | Yes/Oui | 1980/10/03 |
| Multi Choice Communications Inc. | -- | -- | Yes/Oui | 1987/02/09 |
| Multimedia Nova Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/08/05 |
| Mundoro Capital Inc. | -- | -- | -- | -- |
| Murchison Minerals Ltd. | -- | -- | -- | -- |
| MYM Nutraceuticals Inc. | -- | -- | -- | -- |
| M.L. Cass Petroleum Corporation | Yes/Oui | 1b | Yes/Oui | 2002/08/27 |
| M.P.V.Explorations Inc. | -- | -- | -- | -- |
| N | | | | |
| Nabors Industries Ltd. | -- | -- | -- | -- |
| NADG NNN Real Estate Investment Trust | -- | -- | -- | -- |
| Namaste Technologies Inc. | -- | -- | -- | -- |
| NAME Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2009/01/27 |
| Namibia Critical Metals Inc. | -- | -- | -- | -- |
| Namibian Minerals Corporation | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2003/06/27 |
| NamSys Inc. | -- | -- | -- | -- |
| NanoSphere Health Sciences Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Nanotech Security Corp. | -- | -- | -- | -- |
| NanoXplore Inc. | -- | -- | -- | -- |
| Napa/Carneros Winegrowers Limited Partnership | -- | -- | Yes/Oui | 1998/06/01 |
| Nass Valley Gateway Ltd. | -- | -- | -- | -- |
| Natcore Technology Inc. | -- | -- | -- | -- |
| National Access Cannabis Corp. | -- | -- | -- | -- |
| National Bank Balanced Diversified Fund | -- | -- | -- | -- |
| National Bank Bond Fund | -- | -- | -- | -- |
| National Bank Canadian All Cap Equity Fund | -- | -- | -- | -- |
| National Bank Canadian Equity Fund | -- | -- | -- | -- |
| National Bank Canadian Equity Growth Fund | -- | -- | -- | -- |
| National Bank Canadian Index Fund | -- | -- | -- | -- |
| National Bank Consensus American Equity Fund | -- | -- | -- | -- |
| National Bank Consensus International Equity Fund | -- | -- | -- | -- |
| National Bank Conservative Diversified Fund | -- | -- | -- | -- |
| National Bank Corporate Bond Fund | -- | -- | -- | -- |
| National Bank Dividend Fund | -- | -- | -- | -- |
| National Bank Floating Rate Income Fund | -- | -- | -- | -- |
| National Bank Global Bond Fund | -- | -- | -- | -- |
| National Bank Global Diversified Equity Fund | -- | -- | -- | -- |
| National Bank Global Equity Fund | -- | -- | -- | -- |
| National Bank Global Tactical Bond Fund | -- | -- | -- | -- |
| National Bank Growth Diversified Fund | -- | -- | -- | -- |
| National Bank High Yield Bond Fund | -- | -- | -- | -- |
| National Bank Income Fund | -- | -- | -- | -- |
| National Bank International Currency Neutral Index Fund | -- | -- | -- | -- |
| National Bank International Index Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| National Bank Moderate Diversified Fund | -- | -- | -- | -- |
| National Bank Money Market Fund | -- | -- | -- | -- |
| National Bank Mortgage Fund | -- | -- | -- | -- |
| National Bank of Canada | -- | -- | -- | -- |
| National Bank Precious Metals Fund | -- | -- | -- | -- |
| National Bank Preferred Equity Fund | -- | -- | -- | -- |
| National Bank Preferred Equity Income Fund | -- | -- | -- | -- |
| National Bank Quebec Growth Fund | -- | -- | -- | -- |
| National Bank Resource Fund | -- | -- | -- | -- |
| National Bank Science and Technology Fund | -- | -- | -- | -- |
| National Bank Secure Diversified Fund | -- | -- | -- | -- |
| National Bank Small Capitalization Fund | -- | -- | -- | -- |
| National Bank Strategies U.S. Income and Growth Fund | -- | -- | -- | -- |
| National Bank U.S. Currency Neutral Index Fund | -- | -- | -- | -- |
| National Bank U.S. Dividend Fund | -- | -- | -- | -- |
| National Bank U.S. Equity Fund | -- | -- | -- | -- |
| National Bank U.S. Index Fund | -- | -- | -- | -- |
| National Electronic Technologies Corp. | -- | -- | Yes/Oui | 2001/05/25 |
| National Fibretech Inc. | -- | -- | Yes/Oui | 1997/07/03 |
| National Health Stores Inc. | -- | -- | Yes/Oui | 2001/09/05 |
| National Petroleum Corporation Limited | -- | -- | Yes/Oui | 1996/05/29 |
| National Quotes Inc. | -- | -- | Yes/Oui | 1989/05/02 |
| Natixis Canadian Bond Class | -- | -- | -- | -- |
| Natixis Canadian Bond Fund | -- | -- | -- | -- |
| Natixis Canadian Cash Fund | -- | -- | -- | -- |
| Natixis Canadian Dividend Class | -- | -- | -- | -- |
| Natixis Canadian Dividend Registered Fund | -- | -- | -- | -- |
| Natixis Canadian Preferred Share Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Natixis Canadian Preferred Share Registered Fund | -- | -- | -- | -- |
| Natixis Global Equity Class | -- | -- | -- | -- |
| Natixis Global Equity Registered Fund | -- | -- | -- | -- |
| Natixis Intrinsic Balanced Class | -- | -- | -- | -- |
| Natixis Intrinsic Balanced Registered Fund | -- | -- | -- | -- |
| Natixis Intrinsic Growth Class | -- | -- | -- | -- |
| Natixis Intrinsic Growth Registered Fund | -- | -- | -- | -- |
| Natixis Strategic Balanced Class | -- | -- | -- | -- |
| Natixis Strategic Balanced Registered Fund | -- | -- | -- | -- |
| Natixis U.S. Dividend Plus Class | -- | -- | -- | -- |
| Natixis U.S. Dividend Plus Registered Fund | -- | -- | -- | -- |
| Natixis U.S. Growth Class | -- | -- | -- | -- |
| Natixis U.S. Growth Registered Fund | -- | -- | -- | -- |
| NatureBank Asset Management Inc. | Yes/Oui | 3 | -- | -- |
| Nautilus Minerals Inc. | -- | -- | -- | -- |
| Nautor Progressive Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |
| NAV Canada | -- | -- | -- | -- |
| Navis Resources Corp. | -- | -- | -- | -- |
| Navitrak International Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/06/03 |
| NBC Asset Trust | -- | -- | -- | -- |
| NBI Balanced Portfolio | -- | -- | -- | -- |
| NBI Canadian Bond Index Fund | -- | -- | -- | -- |
| NBI Canadian Bond Private Portfolio | -- | -- | -- | -- |
| NBI Canadian Diversified Bond Private Portfolio | -- | -- | -- | -- |
| NBI Canadian Equity Index Fund | -- | -- | -- | -- |
| NBI Canadian Equity Private Portfolio | -- | -- | -- | -- |
| NBI Canadian High Conviction Equity Private Portfolio | -- | -- | -- | -- |
| NBI Canadian Preferred Equity Private Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| NBI Canadian Small Cap Equity Private Portfolio | -- | -- | -- | -- |
| NBI Conservative Portfolio | -- | -- | -- | -- |
| NBI Corporate Bond Private Portfolio | -- | -- | -- | -- |
| NBI Emerging Markets Equity Private Portfolio | -- | -- | -- | -- |
| NBI Equity Income Private Portfolio | -- | -- | -- | -- |
| NBI Equity Portfolio | -- | -- | -- | -- |
| NBI Global Real Assets Income Fund | -- | -- | -- | -- |
| NBI Growth Portfolio | -- | -- | -- | -- |
| NBI High Yield Bond Private Portfolio | -- | -- | -- | -- |
| NBI International Equity Index Fund | -- | -- | -- | -- |
| NBI International Equity Private Portfolio | -- | -- | -- | -- |
| NBI International High Conviction Equity Private Portfolio | -- | -- | -- | -- |
| NBI Moderate Portfolio | -- | -- | -- | -- |
| NBI Multiple Asset Class Private Portfolio | -- | -- | -- | -- |
| NBI Municipal Bond Plus Private Portfolio | -- | -- | -- | -- |
| NBI Non-Traditional Capital Appreciation Private Portfolio | -- | -- | -- | -- |
| NBI Non-Traditional Fixed Income Private Portfolio | -- | -- | -- | -- |
| NBI North American Dividend Private Portfolio | -- | -- | -- | -- |
| NBI Real Assets Private Portfolio | -- | -- | -- | -- |
| NBI Secure Portfolio | -- | -- | -- | -- |
| NBI SmartBeta Canadian Equity Fund | -- | -- | -- | -- |
| NBI SmartBeta Global Equity Fund | -- | -- | -- | -- |
| NBI Tactical Equity Private Portfolio | -- | -- | -- | -- |
| NBI Tactical Fixed Income Private Portfolio | -- | -- | -- | -- |
| NBI Unconstrained Fixed Income Fund | -- | -- | -- | -- |
| NBI U.S. Bond Private Portfolio | -- | -- | -- | -- |
| NBI U.S. Equity Index Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| NBI U.S. Equity Private Portfolio | -- | -- | -- | -- |
| NBI U.S. High Conviction Equity Private Portfolio | -- | -- | -- | -- |
| NCE Diversified Flow-Through (16) Limited Partnership | -- | -- | -- | -- |
| NDX Growth & Income Fund | -- | -- | -- | -- |
| Nearctic Nickel Mines Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/04/02 |
| Nearctic Resources Inc. | -- | -- | Yes/Oui | 1991/06/03 |
| Neatt Corporation | -- | -- | Yes/Oui | 2002/05/22 |
| Nebex Resources Ltd. | -- | -- | Yes/Oui | 1998/11/24 |
| Nebo Capital Corp. | -- | -- | -- | -- |
| Nebu Resources Inc. | -- | -- | -- | -- |
| NEI Canadian Bond Fund | -- | -- | -- | -- |
| NEI Conservative Yield Portfolio | -- | -- | -- | -- |
| NEI Environmental Leaders Fund | -- | -- | -- | -- |
| NEI Ethical Balanced Fund | -- | -- | -- | -- |
| NEI Ethical Canadian Equity Fund | -- | -- | -- | -- |
| NEI Ethical Global Dividend Fund | -- | -- | -- | -- |
| NEI Ethical Global Equity Fund | -- | -- | -- | -- |
| NEI Ethical International Equity Fund | -- | -- | -- | -- |
| NEI Ethical Select Balanced Portfolio | -- | -- | -- | -- |
| NEI Ethical Select Conservative Portfolio | -- | -- | -- | -- |
| NEI Ethical Select Growth Portfolio | -- | -- | -- | -- |
| NEI Ethical Select Income Portfolio | -- | -- | -- | -- |
| NEI Ethical Special Equity Fund | -- | -- | -- | -- |
| NEI Ethical U.S. Equity Fund | -- | -- | -- | -- |
| NEI Generational Leaders Fund | -- | -- | -- | -- |
| NEI Global Strategic Yield Fund | -- | -- | -- | -- |
| NEI Global Total Return Bond Fund | -- | -- | -- | -- |
| NEI Global Value Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| NEI Money Market Fund | -- | -- | -- | -- |
| NEI Northwest Canadian Dividend Fund | -- | -- | -- | -- |
| NEI Northwest Canadian Equity Fund | -- | -- | -- | -- |
| NEI Northwest Emerging Markets | -- | -- | -- | -- |
| NEI Northwest Global Equity Fund | -- | -- | -- | -- |
| NEI Northwest Growth and Income Fund | -- | -- | -- | -- |
| NEI Northwest Specialty Equity Fund | -- | -- | -- | -- |
| NEI Northwest Specialty Global High Yield Bond Fund | -- | -- | -- | -- |
| NEI Northwest Tactical Yield Fund | -- | -- | -- | -- |
| NEI Northwest U.S. Dividend Fund | -- | -- | -- | -- |
| NEI Select Balanced Portfolio | -- | -- | -- | -- |
| NEI Select Conservative Portfolio | -- | -- | -- | -- |
| NEI Select Global Maximum Growth | -- | -- | -- | -- |
| Nemaska Lithium Inc. | -- | -- | -- | -- |
| Neo Lithium Corp. | -- | -- | -- | -- |
| Neo Performance Materials Inc. | -- | -- | -- | -- |
| Neovasc Inc. | -- | -- | -- | -- |
| Neptune Technologies & Bioresources Inc. | -- | -- | -- | -- |
| Nerium Biotechnology, Inc. | -- | -- | -- | -- |
| Nesbitt Thomson Education Savings Plan (The | -- | -- | -- | -- |
| NetActive Inc. | Yes/Oui | 1b | Yes/Oui | 2001/11/21 |
| NetCents Technology Inc. | -- | -- | -- | -- |
| Netforfun.com Inc. | -- | -- | Yes/Oui | 2001/03/12 |
| Neuberger Berman Mid Cap Growth Fund | Yes/Oui | 1a, 1c, 1d | -- | -- |
| Neuromedical Technologies Inc. | -- | -- | Yes/Oui | 1994/06/06 |
| Nevada Bob's Golf Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/03/08 |
| Nevada Copper Corp. | -- | -- | -- | -- |
| Nevada Exploration Inc. | -- | -- | -- | -- |
| Nevada Iron Ltd. | Yes/Oui | 1a, 1b, 1d, 3 | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Nevada Sunrise Gold Corporation | -- | -- | -- | -- |
| Nevada Zinc Corporation | -- | -- | -- | -- |
| Nevado Resources Corporation | -- | -- | -- | -- |
| Nevaro Capital Corporation | -- | -- | -- | -- |
| Nevasco Corporation | -- | -- | Yes/Oui | 1991/05/17 |
| Never Trust An Honest Thief | -- | -- | Yes/Oui | 1983/10/17 |
| Nevsun Resources Ltd. | -- | -- | -- | -- |
| New Age Farm Inc. | -- | -- | -- | -- |
| New Age Metals Inc. | -- | -- | -- | -- |
| New Commerce Split Fund | -- | -- | -- | -- |
| New Dimension Resources Ltd. | -- | -- | -- | -- |
| New Era Minerals Inc. | -- | -- | -- | -- |
| New Gold Inc. | -- | -- | -- | -- |
| New Golden Sceptre Minerals Ltd. | -- | -- | Yes/Oui | 1998/05/29 |
| New Jersey Mining Company | -- | -- | -- | -- |
| New Klondike Exploration Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/04/04 |
| New Leaders Class | -- | -- | -- | -- |
| New Look Vision Group Inc. | -- | -- | -- | -- |
| New Millennium Iron Corp. | -- | -- | -- | -- |
| New Oroperu Resources Inc. | -- | -- | -- | -- |
| New Pacific Metals Corp. | -- | -- | -- | -- |
| New Point Exploration Corp. | -- | -- | -- | -- |
| New Sage Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/08/07 |
| New Stratus Energy Inc. | -- | -- | -- | -- |
| New Tech Lithium Corp. | -- | -- | -- | -- |
| New Textmont Explorations Limited | -- | -- | Yes/Oui | 1992/12/16 |
| New Zealand Energy Corp. | -- | -- | -- | -- |
| Newalta Corporation | -- | -- | -- | -- |
| Newchild International Inc. | -- | -- | Yes/Oui | 1997/11/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Newfields Minerals Inc. | -- | -- | Yes/Oui | 1991/05/17 |
| Newfoundland Capital Corporation Limited | -- | -- | -- | -- |
| Newfoundland Power Inc. | -- | -- | -- | -- |
| NewGrowth Corp. | -- | -- | -- | -- |
| NewKidCo International Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2003/06/12 |
| Newlook Industries Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/04 |
| Newlox Gold Ventures Corp. | -- | -- | -- | -- |
| Newmont Mining Corporation | -- | -- | -- | -- |
| Newnote Financial Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/12/17 |
| Newrange Gold Corp. | -- | -- | -- | -- |
| Newstrike Resources Ltd. | -- | -- | -- | -- |
| Nexa Resources S.A. | -- | -- | -- | -- |
| Nexco Resources Inc. | -- | -- | -- | -- |
| NexGen Energy Ltd. | -- | -- | -- | -- |
| NexgenRx Inc. | -- | -- | -- | -- |
| Nexia Health Technologies Inc. | -- | -- | -- | -- |
| Nexient Learning Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/05/30 |
| NexJ Health Holdings Inc. | -- | -- | -- | -- |
| NexJ Systems Inc. | -- | -- | -- | -- |
| Next Edge AHL Fund | -- | -- | -- | -- |
| Next Edge Bio-Tech Plus Fund | -- | -- | -- | -- |
| NextSource Materials Inc. | -- | -- | -- | -- |
| Nexus Gold Corp. | -- | -- | -- | -- |
| Nexus Group International Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/06/09 |
| Nexus Real Estate Investment Trust | -- | -- | -- | -- |
| NFI Group Inc. | -- | -- | -- | -- |
| NGEx Resources Inc. | -- | -- | -- | -- |
| NHC Communications Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/12/29 |
| NHS Industries Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Nickel Creek Platinum Corp. | -- | -- | -- | -- |
| Nickel North Exploration Corp. | -- | -- | -- | -- |
| Nickel Rim Mines Limited | -- | -- | Yes/Oui | 1992/07/24 |
| Nicola Mining Inc. | -- | -- | -- | -- |
| Nighthawk Gold Corp. | -- | -- | -- | -- |
| Niko Resources Ltd. | -- | -- | -- | -- |
| Nile Industries Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/06/05 |
| Ninepoint 2018 Flow-Through Limited Partnership | -- | -- | -- | -- |
| Ninepoint Concentrated Canadian Equity Fund | -- | -- | -- | -- |
| Ninepoint Diversified Bond Class | -- | -- | -- | -- |
| Ninepoint Diversified Bond Fund | -- | -- | -- | -- |
| Ninepoint Energy Fund | -- | -- | -- | -- |
| Ninepoint Energy Opportunities Trust | -- | -- | -- | -- |
| Ninepoint Enhanced Balanced Class | -- | -- | -- | -- |
| Ninepoint Enhanced Balanced Fund | -- | -- | -- | -- |
| Ninepoint Enhanced Equity Class | -- | -- | -- | -- |
| Ninepoint Enhanced U.S. Equity Class | -- | -- | -- | -- |
| Ninepoint Focused Global Dividend Class | -- | -- | -- | -- |
| Ninepoint Focused U.S. Dividend Class | -- | -- | -- | -- |
| Ninepoint Global Infrastructure Fund | -- | -- | -- | -- |
| Ninepoint Global Real Estate Fund | -- | -- | -- | -- |
| Ninepoint Gold and Precious Minerals Fund | -- | -- | -- | -- |
| Ninepoint International Small Cap Fund | -- | -- | -- | -- |
| Ninepoint Real Asset Class | -- | -- | -- | -- |
| Ninepoint Resource Class | -- | -- | -- | -- |
| Ninepoint Short-Term Bond Class | -- | -- | -- | -- |
| Ninepoint Short-Term Bond Fund | -- | -- | -- | -- |
| Ninepoint Silver Bullion Fund | -- | -- | -- | -- |
| Ninepoint Silver Equities Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Ninepoint UIT Alternative Health Fund | -- | -- | -- | -- |
| Niobay Metals Inc. (formerly MDN INC.) | -- | -- | -- | -- |
| Niocan Inc. | -- | -- | -- | -- |
| NioCorp Developments Ltd. | -- | -- | -- | -- |
| NIR Diagnostics Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/02/20 |
| Nitinat Minerals Corporation | -- | -- | -- | -- |
| NLX Resources Inc. | -- | -- | Yes/Oui | 1991/07/16 |
| Nobelium Tech Corp. | -- | -- | -- | -- |
| Nobilis Health Corp. | -- | -- | -- | -- |
| Noble China Inc. | Yes/Oui | 1a, 1d | Yes/Oui | 2003/05/21 |
| Noble Iron Inc. | -- | -- | -- | -- |
| Noble Mineral Exploration Inc. | -- | -- | -- | -- |
| Norad Resources Ltd. | -- | -- | Yes/Oui | 1992/02/28 |
| Noranda Income Fund | -- | -- | -- | -- |
| Norbord Inc. | -- | -- | -- | -- |
| Norbourg Balanced Fund | Yes/Oui | 1a, 1b, 1c, 1d, 3 | -- | -- |
| Norbourg Canadian Tactical Asset Allocation Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg Convertible Debentures Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg Emerging Growth Companies Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg Equity-Special Situations Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg Fixed Income Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg International Balanced Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Norbourg Money Market Fund | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Nord Resources Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/05/21 |
| Nordic Lite Inc. | -- | -- | Yes/Oui | 1995/06/06 |
| Nordore Mining Co. Ltd. | -- | -- | Yes/Oui | 1977/10/21 |
| Norman Mines Ltd. | -- | -- | Yes/Oui | 1982/12/03 |
| Noront Resources Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Norrep Core Canadian | -- | -- | -- | -- |
| Norrep Core Global | -- | -- | -- | -- |
| Norrep Energy Plus Class | -- | -- | -- | -- |
| Norrep Entrepreneurs Class of Norrep Opportunities Corp. | -- | -- | -- | -- |
| Norrep Fund | -- | -- | -- | -- |
| Norrep Global Income Growth Class of Norrep Opportunities Corp. | -- | -- | -- | -- |
| Norrep High Income Fund | -- | -- | -- | -- |
| Norrep II Class of Norrep Opportunities Corp. | -- | -- | -- | -- |
| Norrep Income Growth Class of Norrep Opportunities Corp. | -- | -- | -- | -- |
| Norrep Premium Growth Class of Norrep Premium Portfolios Ltd | -- | -- | -- | -- |
| Norrep Short Duration 2017 Flow-Through Limited Partnership | -- | -- | -- | -- |
| Norrep Short Term Income Fund | -- | -- | -- | -- |
| Norrep Tactical Opportunities Class | -- | -- | -- | -- |
| Norrep US Dividend Plus Class of Norrep Opportunities Corp. | -- | -- | -- | -- |
| Norsemont Capital Inc. | -- | -- | -- | -- |
| Nortel Networks Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2012/12/11 |
| Nortel Networks Limited | Yes/Oui | 1c, 1e | Yes/Oui | 2012/12/11 |
| North American Construction Group Ltd. | -- | -- | -- | -- |
| North American Detectors Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/01/07 |
| North American Financial 15 Split Corp. | -- | -- | -- | -- |
| North American High Yield Bond Fund (Putnam) | -- | -- | -- | -- |
| North American Nickel Inc. | -- | -- | -- | -- |
| North American Palladium Ltd. | -- | -- | -- | -- |
| North American Preferred Share Fund | -- | -- | -- | -- |
| North American Resource Capital Limited | -- | -- | Yes/Oui | 1990/10/10 |
| North American Specialty Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| North American Tungsten Corporation Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | -- | -- |
| North Growth Canadian Equity Fund | -- | -- | -- | -- |
| North Growth U.S. Equity Advisor Fund | -- | -- | -- | -- |
| North Point Explorations Limited | -- | -- | Yes/Oui | 1975/04/09 |
| North Sea Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Northaven Resources Corp. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2014/09/08 |
| Northcliff Resources Ltd. | -- | -- | -- | -- |
| Northcore Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/05/08 |
| Northcore Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| Northern Dynasty Minerals Ltd. | -- | -- | -- | -- |
| Northern Eagle Fund | -- | -- | Yes/Oui | 1990/08/24 |
| Northern Empire Resources Corp. | -- | -- | -- | -- |
| Northern Frontier Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/09/06 |
| Northern Graphite Corporation | -- | -- | -- | -- |
| Northern Lights Resources Corp. | -- | -- | -- | -- |
| Northern Power Systems Corp. | -- | -- | -- | -- |
| Northern Shield Resources Inc. | -- | -- | -- | -- |
| Northern Sphere Mining Corp. | Yes/Oui | 3 | -- | -- |
| Northern Superior Resources Inc. | -- | -- | -- | -- |
| Northfield Capital Corporation | -- | -- | -- | -- |
| Northgate Limited Partnership | -- | -- | Yes/Oui | 1993/08/10 |
| Northim Mines Inc. | -- | -- | Yes/Oui | 1979/07/17 |
| Northland Power Inc. | -- | -- | -- | -- |
| Northland Resources SE | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/06/09 |
| Northland Systems Training Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/01/23 |
| Northpoint Corporation | -- | -- | Yes/Oui | 1999/10/06 |
| Northquest Ventures Inc. | -- | -- | Yes/Oui | 1995/06/15 |
| Northstar Aerospace, Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2012/04/05 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Northview Apartment Real Estate Investment Trust | -- | -- | -- | -- |
| NorthWest Healthcare Properties Real Estate Investment Trust | -- | -- | -- | -- |
| Norvista Capital Corporation | -- | -- | -- | -- |
| Norwick Capital Corp. | -- | -- | -- | -- |
| Nothing Personal | -- | -- | Yes/Oui | 1992/09/30 |
| Nouveau Monde Graphite Inc. | -- | -- | -- | -- |
| NOVA Gas Transmission Ltd. | -- | -- | -- | -- |
| Nova Leap Health Corp. | -- | -- | -- | -- |
| Nova Scotia Power Incorporated | -- | -- | -- | -- |
| NovaBay Pharmaceuticals, Inc. | -- | -- | -- | -- |
| NovaDx Ventures Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/11/10 |
| NovaGold Resources Inc. | -- | -- | -- | -- |
| Novanta Inc. | -- | -- | -- | -- |
| Noveko International Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2013/10/03 |
| Novelion Therapeutics Inc. | -- | -- | -- | -- |
| Novicius Corp. | -- | -- | -- | -- |
| Novo Resources Corp. | -- | -- | -- | -- |
| Novoheart Holdings Inc. | -- | -- | -- | -- |
| Novra Technologies Inc. | -- | -- | -- | -- |
| NRG Metals Inc. | -- | -- | -- | -- |
| NSI Global Inc. | Yes/Oui | 1b | Yes/Oui | 2003/09/16 |
| NSP Pharma Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/09/05 |
| NSR Resources Inc. | Yes/Oui | 3 | -- | -- |
| NSX Silver Inc. | -- | -- | -- | -- |
| Ntex Incorporated | Yes/Oui | 1a, 1d | Yes/Oui | 2002/05/31 |
| NTG Clarity Networks Inc. | -- | -- | -- | -- |
| NTI Resources Limited | -- | -- | Yes/Oui | 1999/09/24 |
| Nu-Life Corp. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/05/21 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Nuance Communications, Inc. | -- | -- | -- | -- |
| Nubeva Technologies Ltd. | -- | -- | -- | -- |
| Nubian Resources Ltd. | -- | -- | -- | -- |
| Nucontex Corporation | Yes/Oui | 1a | Yes/Oui | 2002/11/21 |
| Nuinsco Resources Limited | -- | -- | -- | -- |
| NuLegacy Gold Corporation | -- | -- | -- | -- |
| Nuran Wireless Inc. | -- | -- | -- | -- |
| Nurcapital Corporation Ltd. | -- | -- | -- | -- |
| Nutaaq R & D Investments Limited Partnership | -- | -- | Yes/Oui | 1983/12/06 |
| Nutaaq Software Inc. | -- | -- | Yes/Oui | 1983/12/06 |
| Nutrien Ltd. | -- | -- | -- | -- |
| Nutritional High International Inc. | -- | -- | -- | -- |
| NuVista Energy Ltd. | -- | -- | -- | -- |
| Nuvo Pharmaceuticals Inc. | -- | -- | -- | -- |
| Nuvolt Corporation Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/01/05 |
| NXGold Ltd. | -- | -- | -- | -- |
| NXT Energy Solutions Inc. | -- | -- | -- | -- |
| N.W.T. Copper Mines Limited | -- | -- | Yes/Oui | 2008/03/03 |
| O | | | | |
| Oakmark International Natixis Class | -- | -- | -- | -- |
| Oakmark International Natixis Registered Fund | -- | -- | -- | -- |
| Oakmark Natixis Class | -- | -- | -- | -- |
| Oakmark Natixis Registered Fund | -- | -- | -- | -- |
| Oaks Golf and Country Club Inc. | -- | -- | Yes/Oui | 1993/10/21 |
| Obsidian Energy Ltd. | -- | -- | -- | -- |
| Occidental Petroleum Corporation | -- | -- | -- | -- |
| OceanaGold Corporation | -- | -- | -- | -- |
| Oceanic Electronics Corporation Inc. | -- | -- | Yes/Oui | 1986/11/28 |
| OceanLake Commerce Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/10/02 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| OceanRock Balanced Portfolio | -- | -- | -- | -- |
| OceanRock Canadian Equity Fund | -- | -- | -- | -- |
| OceanRock Growth Portfolio | -- | -- | -- | -- |
| OceanRock Growth & Income Portfolio | -- | -- | -- | -- |
| OceanRock Income Portfolio | -- | -- | -- | -- |
| OceanRock Income & Growth Portfolio | -- | -- | -- | -- |
| OceanRock International Equity Fund | -- | -- | -- | -- |
| OceanRock Maximum Growth Portfolio | -- | -- | -- | -- |
| OceanRock U.S. Equity Fund | -- | -- | -- | -- |
| Oceanus Resources Corporation | -- | -- | -- | -- |
| OCP Credit Strategy Fund | -- | -- | -- | -- |
| OCP Senior Credit Fund | -- | -- | -- | -- |
| OCS Technologies Corp. | -- | -- | Yes/Oui | 1995/09/29 |
| Odyssey Industries Incorporated | -- | -- | Yes/Oui | 1983/07/27 |
| Odyssey Resources Limited | -- | -- | -- | -- |
| Off Your Rocker | -- | -- | Yes/Oui | 1987/09/25 |
| Oil Optimization Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |
| Oil Patch Group Inc. | -- | -- | Yes/Oui | 1988/09/12 |
| Oil Sands Sector Fund | -- | -- | -- | -- |
| Oilsands Quest Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2012/08/08 |
| Old PSG Wind-down Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | -- | -- |
| Olivut Resources Ltd. | -- | -- | -- | -- |
| Olympia Financial Group Inc. | -- | -- | -- | -- |
| Olympia & York 240 Sparks Street Limited | -- | -- | Yes/Oui | 1996/05/27 |
| Olympia & York First Canadian Place Limited | -- | -- | Yes/Oui | 1996/05/27 |
| Omnitech Consultant Group Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/05/28 |
| Omniweb Inc. | -- | -- | Yes/Oui | 1992/06/23 |
| Onco Petroleum Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/07/03 |
| Oncolytics Biotech Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| ONE Financial All-Weather Profit Canada Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Canada Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Commodities Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Commodities Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Conservative Growth 2022 Principal-Protected Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Conservative Growth 2022 Protected Portfolio | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Emerging Markets Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Emerging Markets Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Europe & Asia Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Europe & Asia Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Global Diversified Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Global Diversified Growth Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Global Diversified Growth Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Global Diversified Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Growth & Income Balanced Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Growth & Income Balanced Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Monthly Bond Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Monthly ROC Income 2022 Principal-Protected Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected Portfolio | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Short-term Savings Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit U.S. Fund | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial All-Weather Profit U.S. Investment Pool | Yes/Oui | 1a, 1c, 3 | -- | -- |
| ONE Financial Real Property Development Trust (2008-1) | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| ONE Financial Real Property Income Fund (2008-1) | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| ONE Global Equity ETF | -- | -- | -- | -- |
| ONE Signature Financial Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2007/01/29 |
| One World Lithium Inc. | -- | -- | -- | -- |
| OneCap Investment Corporation | -- | -- | -- | -- |
| ONEnergy Inc. | -- | -- | -- | -- |
| Oneroo Energy Group, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Onex Corporation | -- | -- | -- | -- |
| Online Direct Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2001/10/18 |
| Online Hearing Inc. | -- | -- | Yes/Oui | 2013/02/04 |
| Ontario Cine Corp. | -- | -- | Yes/Oui | 1991/07/16 |
| Ontario General Insurance Company | -- | -- | Yes/Oui | 1989/06/02 |
| Ontario Power Generation Inc. | -- | -- | -- | -- |
| Ontario Teachers' Village (Florida) Limited Partnership | -- | -- | Yes/Oui | 1994/06/06 |
| Ontario Teachers Mortgage Fund | -- | -- | Yes/Oui | 1979/12/05 |
| Opawica Explorations Inc. | -- | -- | -- | -- |
| Open Source Health Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Open Text Corporation | -- | -- | -- | -- |
| Opimian California Vineyards Corporation, The | -- | -- | Yes/Oui | 1994/05/03 |
| Oppenheimer Holdings Inc. | -- | -- | -- | -- |
| Opsens Inc. | -- | -- | -- | -- |
| Optiva Inc. | -- | -- | -- | -- |
| Oracle Mining Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/16 |
| Orange | -- | -- | -- | -- |
| Orbit Garant Drilling Inc. | -- | -- | -- | -- |
| Orbite Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/07 |
| Orbus Pharma Inc. | -- | -- | -- | -- |
| Orca Gold Inc. | -- | -- | -- | -- |
| Orca Touchscreen Technologies Ltd. | -- | -- | -- | -- |
| Orefinders Resources Inc. | -- | -- | -- | -- |
| Oremex Gold Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/08/14 |
| OREX MINERALS INC. | -- | -- | -- | -- |
| Orezone Gold Corporation | -- | -- | -- | -- |
| Orford Mining Corporation | -- | -- | -- | -- |
| Organic Garage Ltd. | -- | -- | -- | -- |
| Organic Potash Corporation | -- | -- | -- | -- |
| OrganiGram Holdings Inc. | -- | -- | -- | -- |
| Organto Foods Inc. | -- | -- | -- | -- |
| Oriental Non-Ferrous Resources Development Inc. | -- | -- | -- | -- |
| Orinoco Tours Limited | -- | -- | Yes/Oui | 1990/11/05 |
| Orla Mining Ltd. | -- | -- | -- | -- |
| Oroco Resource Corp. | -- | -- | -- | -- |
| Orocobre Limited | -- | -- | -- | -- |
| Orosur Mining Inc. | -- | -- | -- | -- |
| Orsu Metals Corporation | -- | -- | -- | -- |
| Ortho Regenerative Technologies Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Orvana Minerals Corp. | -- | -- | -- | -- |
| Oryx Petroleum Corporation Limited | -- | -- | -- | -- |
| Osisko Gold Royalties Ltd | -- | -- | -- | -- |
| Osisko Mining Inc. | -- | -- | -- | -- |
| Osta Biotechnologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/04 |
| OTI Technologies Inc. | -- | -- | Yes/Oui | 1987/07/22 |
| Otis Gold Corp. | -- | -- | -- | -- |
| OutdoorPartner Media Corporation | -- | -- | -- | -- |
| Outlook Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/04/04 |
| OV2 Investment 1 Inc. | -- | -- | -- | -- |
| Oyster Oil and Gas Ltd. | -- | -- | -- | -- |
| P | | | | |
| P2P Info Inc. | -- | -- | -- | -- |
| Pace Corporation, The | -- | -- | Yes/Oui | 1998/05/29 |
| Pacgen Life Science Corporation | -- | -- | -- | -- |
| Pacific Consolidated Resources Corp. | Yes/Oui | 1a, 1b | Yes/Oui | 2001/11/28 |
| Pacific Empire Minerals Corp. | -- | -- | -- | -- |
| Pacific Energy Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/03/30 |
| Pacific Energy Systems Ltd. | -- | -- | Yes/Oui | 1996/06/05 |
| Pacific Lottery Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/05/07 |
| Pacific National Financial Corporation | -- | -- | Yes/Oui | 1992/08/31 |
| Pacific Orient Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/02/12 |
| Pacific Rim Cobalt Corp. | -- | -- | -- | -- |
| Pacific Vector Holdings Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/07/10 |
| Pacrim International Capital Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2012/03/22 |
| PacRim Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/11/03 |
| Paige Innovations Inc. | -- | -- | Yes/Oui | 1998/09/23 |
| Painted Pony Energy Ltd. | -- | -- | -- | -- |
| Paladin Energy Ltd. | -- | -- | Yes/Oui | 2017/10/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Palamina Corp. | -- | -- | -- | -- |
| Pallet Pallet Inc. | -- | -- | Yes/Oui | 2000/08/18 |
| Palliser Oil & Gas Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/11 |
| Palm Beach County Utilities Corporation | -- | -- | Yes/Oui | 1990/08/03 |
| Palm Springs Square Limited Partnership | -- | -- | Yes/Oui | 1984/10/17 |
| Palos Equity Income Fund | -- | -- | -- | -- |
| Pan American Fertilizer Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| Pan American Silver Corp. | -- | -- | -- | -- |
| Pan Andean Minerals Ltd. | Yes/Oui | 3 | -- | -- |
| Pan Global Resources Inc. | -- | -- | -- | -- |
| Pan Ocean Explorations Inc. | -- | -- | Yes/Oui | 2001/07/09 |
| Pan Pacific Petroleum Inc. | -- | -- | Yes/Oui | 1994/03/08 |
| Pan Pacific Strategies Corp. | Yes/Oui | 1a, 1d | Yes/Oui | 1998/01/28 |
| Pan Union Petroleum Corporation | -- | -- | Yes/Oui | 1989/01/26 |
| Pancontinental Gold Corporation | -- | -- | -- | -- |
| Pangolin Diamonds Corp. | -- | -- | -- | -- |
| Panoro Minerals Ltd. | -- | -- | -- | -- |
| Para-Tech Energy Services Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/06/19 |
| Paragon Entertainment Corporation | -- | -- | Yes/Oui | 1998/08/25 |
| Parallel Energy Trust | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/11/25 |
| Paramount Gold Nevada Corp. | -- | -- | -- | -- |
| Paramount Resources Ltd. | -- | -- | -- | -- |
| Parana Copper Corporation | -- | -- | -- | -- |
| ParcelPal Technology Inc. | -- | -- | -- | -- |
| Parex Resources Inc. | -- | -- | -- | -- |
| Park Lawn Company Limited | -- | -- | -- | -- |
| Park Lawn Corporation | -- | -- | -- | -- |
| Park Meditech Inc. | -- | -- | Yes/Oui | 1998/02/05 |
| Park Square Properties Limited Partnership | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 1998/07/29 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Parkit Enterprise Inc. | -- | -- | -- | -- |
| Parkland Fuel Corporation | -- | -- | -- | -- |
| Parkview Lodge Apartments Limited | -- | -- | Yes/Oui | 1979/03/13 |
| Parkview Village II, Limited Partnership | -- | -- | Yes/Oui | 1985/06/23 |
| Parkview Village I, Limited Partnership | -- | -- | Yes/Oui | 1985/04/03 |
| Partner Jet Corp. | -- | -- | -- | -- |
| Partners Real Estate Investment Trust | -- | -- | -- | -- |
| Partners Value Investments Inc. | -- | -- | -- | -- |
| Partners Value Investments LP | -- | -- | -- | -- |
| Partners Value Split Corp. | -- | -- | -- | -- |
| Parton Capital Inc. | Yes/Oui | 1b | Yes/Oui | 2003/03/11 |
| Partyco Holdings Ltd. | Yes/Oui | 1a | Yes/Oui | 2002/05/23 |
| Pascal Biosciences Inc. | -- | -- | -- | -- |
| Pasinex Resources Limited | -- | -- | -- | -- |
| Pason Systems Inc. | -- | -- | -- | -- |
| Passport Potash Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/07/15 |
| Pat Gold Mines Limited | -- | -- | Yes/Oui | 1987/06/23 |
| Pathfinder Income Fund | -- | -- | -- | -- |
| Patino, N.V. | -- | -- | Yes/Oui | 1985/08/26 |
| Patriot Gold Corp. | -- | -- | -- | -- |
| Patriot One Technologies Inc. | -- | -- | -- | -- |
| Pattern Energy Group Inc. | -- | -- | -- | -- |
| Pay Linx Financial Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/11 |
| PC Chips Corporation | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2003/01/24 |
| PCL Industries Limited | -- | -- | Yes/Oui | 1992/06/08 |
| PDC Biological Health Group Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/12/17 |
| Peace Arch Entertainment Group Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2008/12/04 |
| Peachtree Network Inc. | Yes/Oui | 1b | Yes/Oui | 2002/02/25 |
| Peak Brewing Group Inc. | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2004/02/23 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Peak Positioning Technologies Inc. | -- | -- | -- | -- |
| Peaksoft Multinet Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2002/03/05 |
| Pearl River Holdings Limited | -- | -- | -- | -- |
| Pediapharm Inc. | -- | -- | -- | -- |
| PEEKS SOCIAL LTD. | -- | -- | -- | -- |
| Pega Capital Corporation | -- | -- | Yes/Oui | 1996/09/26 |
| Pegasus Gold Inc. | -- | -- | Yes/Oui | 1999/12/16 |
| Pelangio Exploration Inc. | -- | -- | -- | -- |
| Pele Mountain Resources Inc. | -- | -- | -- | -- |
| Pelham Gold "N" Grain Inc. | -- | -- | Yes/Oui | 1991/06/03 |
| Peloton Minerals Corporation | -- | -- | -- | -- |
| Pembina Pipeline Corporation | -- | -- | -- | -- |
| Pender Canadian Opportunities Fund | -- | -- | -- | -- |
| Pender Corporate Bond Fund | -- | -- | -- | -- |
| Pender Small Cap Opportunities Fund | -- | -- | -- | -- |
| Pender Strategic Growth and Income Fund | -- | -- | -- | -- |
| Pender US All Cap Equity Fund | -- | -- | -- | -- |
| Pender Value Fund | -- | -- | -- | -- |
| Pengrowth Energy Corporation | -- | -- | -- | -- |
| Pennine Petroleum Corporation | -- | -- | -- | -- |
| Pennington's Stores Limited | -- | -- | Yes/Oui | 1995/01/05 |
| Pennzoil Company | -- | -- | -- | -- |
| Pension Mutual Fund Limited | -- | -- | -- | -- |
| Penway Explorers Ltd. | -- | -- | Yes/Oui | 1990/04/10 |
| People Corporation | -- | -- | -- | -- |
| PepCap Resources, Inc. | -- | -- | -- | -- |
| Peragis Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2001/07/10 |
| Percy Street Capital Corporation | -- | -- | -- | -- |
| Pere Marquette Petroleums Ltd. | -- | -- | Yes/Oui | 1974/01/04 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Peregrine Diamonds Ltd. | -- | -- | -- | -- |
| Perial Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 2c, 3 | Yes/Oui | 2006/08/02 |
| Perisson Petroleum Corporation | -- | -- | -- | -- |
| Permanent Acceptance Corporation Limited | -- | -- | Yes/Oui | 1989/02/15 |
| Permex Petroleum Corporation | -- | -- | -- | -- |
| Perpetual Energy Inc. | -- | -- | -- | -- |
| Perrex Resources Inc. | -- | -- | Yes/Oui | 1990/11/06 |
| Perseus Mining Limited | -- | -- | -- | -- |
| Pershimex Resources Corporation | -- | -- | -- | -- |
| Pershing Gold Corporation | -- | -- | -- | -- |
| PESA Corporation | -- | -- | -- | -- |
| Petaquilla Minerals Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2015/01/09 |
| Petro-Canada Enterprises Inc. | -- | -- | -- | -- |
| Petro-Sun International Inc. | -- | -- | Yes/Oui | 1988/05/25 |
| Petro-Victory Energy Corp. | -- | -- | -- | -- |
| Petro Rio S.A. | -- | -- | -- | -- |
| Petrocapita Income Trust | -- | -- | -- | -- |
| PetroCorp Group Inc. | -- | -- | -- | -- |
| Petrodorado Energy Ltd. | -- | -- | -- | -- |
| Petrol One Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/07/08 |
| Petroleum Capital Energy Inc. | -- | -- | Yes/Oui | 1993/07/29 |
| Petrolex Energy Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2002/07/25 |
| Petrolympic Ltd. | -- | -- | -- | -- |
| PetroMaroc Corporation plc | -- | -- | -- | -- |
| Petromin Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2017/04/10 |
| PetroQuest Energy Inc. | -- | -- | -- | -- |
| PetroShale Inc. | -- | -- | -- | -- |
| PetroTal Corp. | -- | -- | -- | -- |
| Petroteq Energy Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Petrowest Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2017/12/05 |
| Petrus Resources Ltd. | -- | -- | -- | -- |
| Peyto Exploration & Development Corp. | -- | -- | -- | -- |
| Peyto Oils Ltd. | -- | -- | Yes/Oui | 1981/06/13 |
| PFB Corporation | -- | -- | -- | -- |
| Pharma Patch plc | -- | -- | Yes/Oui | 1995/07/21 |
| PharmaGap Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/06 |
| Pharmaglobe Inc. | Yes/Oui | 1b | Yes/Oui | 1999/10/13 |
| PharmEng International Inc | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/05/20 |
| PHH Corporation | -- | -- | -- | -- |
| Philip Services Corp. | -- | -- | Yes/Oui | 2000/06/02 |
| Phillips, Hager & North Balanced Fund | -- | -- | -- | -- |
| Phillips, Hager & North Balanced Pension Trus | -- | -- | -- | -- |
| Phillips, Hager & North Bond Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Pension Trust | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Plus Pension Trust | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Underlying Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Underlying Fund II | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Value Class | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Equity Value Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Growth Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Income Fund | -- | -- | -- | -- |
| Phillips, Hager & North Canadian Money Marke Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Phillips, Hager & North Conservative Equity Income Fund | -- | -- | -- | -- |
| Phillips, Hager & North Currency-Hedged Overseas Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North Currency-Hedged U.S. Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North Dividend Income Fund | -- | -- | -- | -- |
| Phillips, Hager & North Global Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North High Yield Bond Fund | -- | -- | -- | -- |
| Phillips, Hager & North Inflation-Linked Bond Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2015 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2020 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2025 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2030 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2035 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2040 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2045 Fund | -- | -- | -- | -- |
| Phillips, Hager & North LifeTime 2050 Fund | -- | -- | -- | -- |
| Phillips, Hager & North Long Inflation-linked Bond Fund | -- | -- | -- | -- |
| Phillips, Hager & North Monthly Income Class | -- | -- | -- | -- |
| Phillips, Hager & North Monthly Income Fund | -- | -- | -- | -- |
| Phillips, Hager & North Overseas Equity Class | -- | -- | -- | -- |
| Phillips, Hager & North Overseas Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North Short Term Bond & Mortgage Fund | -- | -- | -- | -- |
| Phillips, Hager & North Small Float Fund | -- | -- | -- | -- |
| Phillips, Hager & North Total Return Bond Fund | -- | -- | -- | -- |
| Phillips, Hager & North U.S. Dividend Income Fund | -- | -- | -- | -- |
| Phillips, Hager & North U.S. Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Phillips, Hager & North U.S. Growth Fund | -- | -- | -- | -- |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class | -- | -- | -- | -- |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund | -- | -- | -- | -- |
| Phillips, Hager & North Vintage Fund | -- | -- | -- | -- |
| Phillips, Hager & North \$U.S. Money Market Fund | -- | -- | -- | -- |
| Phivida Holdings Inc. | -- | -- | -- | -- |
| Phobia | -- | -- | Yes/Oui | 1983/10/17 |
| Phoenician Fund Corporation I, The | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2013/06/17 |
| Phoenix Canada Oil Company Limited | -- | -- | -- | -- |
| Phoenix Capital Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/08/24 |
| Phoenix Gold Resources Corp. | -- | -- | -- | -- |
| Phoenix Health Group Inc. | -- | -- | Yes/Oui | 2000/07/26 |
| Photon Control Inc. | -- | -- | -- | -- |
| PHX Energy Services Corp. | -- | -- | -- | -- |
| Physinorth Acquisition Corporation Inc. | -- | -- | -- | -- |
| Picton Mahoney Fortified Equity Fund | -- | -- | -- | -- |
| Picton Mahoney Fortified Income Fund | -- | -- | -- | -- |
| Picton Mahoney Fortified Multi-Asset Fund | -- | -- | -- | -- |
| Picton Mahoney Tactical Income Fund | -- | -- | -- | -- |
| Pima Zinc Corp. | -- | -- | -- | -- |
| PIMCO Balanced Income Fund (Canada) | -- | -- | -- | -- |
| PIMCO Canadian Short Term Bond Fund | -- | -- | -- | -- |
| PIMCO Canadian Total Return Bond Fund | -- | -- | -- | -- |
| PIMCO Global Advantage Strategy Bond Fund (Canada) | -- | -- | -- | -- |
| PIMCO Global Income Opportunities Fund | -- | -- | -- | -- |
| PIMCO Investment Grade Credit Fund (Canada) | -- | -- | -- | -- |
| PIMCO Monthly Income Fund (Canada) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| PIMCO Unconstrained Bond Fund (Canada) | -- | -- | -- | -- |
| Pine Cliff Energy Ltd. | -- | -- | -- | -- |
| Pine Trail Capital Trust | -- | -- | -- | -- |
| Pine Valley Mining Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2009/04/03 |
| PineBridge Investment Grade Preferred Securities Fund | -- | -- | -- | -- |
| Pinedale Energy Limited | -- | -- | -- | -- |
| Pinetree Capital Ltd. | -- | -- | -- | -- |
| Pineview Tower Apartment Project | -- | -- | -- | -- |
| Pinnacle Balanced Portfolio | -- | -- | -- | -- |
| Pinnacle Growth Portfolio | -- | -- | -- | -- |
| Pinnacle Income Portfolio | -- | -- | -- | -- |
| Pinnacle Renewable Holdings Inc. | -- | -- | -- | -- |
| Pioneering Technology Corp. | -- | -- | -- | -- |
| Piper Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/08/29 |
| Pivit Exploration Inc. | -- | -- | -- | -- |
| Pivot Pharmaceuticals Inc. | -- | -- | -- | -- |
| Pivot Technology Solutions, Inc. | -- | -- | -- | -- |
| Pivotal Therapeutics Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/05 |
| Pixelworks, Inc. | -- | -- | -- | -- |
| Pixman Nomadic Media Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/11/09 |
| Pizza Pizza Royalty Corp. | -- | -- | -- | -- |
| PJX Resources Inc. | -- | -- | -- | -- |
| Place Concorde East Limited Partnership | -- | -- | Yes/Oui | 1994/06/06 |
| Place Concorde West Limited Partnership | -- | -- | Yes/Oui | 1994/06/06 |
| Place Montfort Apartment Project | -- | -- | -- | -- |
| Plaintree Systems Inc. | -- | -- | -- | -- |
| Planetsafe Enviro Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/03/27 |
| Plasticycle Inc. | -- | -- | Yes/Oui | 1996/06/05 |
| Plata-Peru Resources Inc. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 1999/10/13 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Plata Latina Minerals Corporation | -- | -- | -- | -- |
| Plateau Energy Metals Inc. | -- | -- | -- | -- |
| Platform 9 Capital Corp. | -- | -- | -- | -- |
| Platform Eight Capital Corp. | -- | -- | -- | -- |
| Platinex Inc. | -- | -- | -- | -- |
| Platinova A/S | -- | -- | Yes/Oui | 2003/09/09 |
| Platinova Resources Ltd. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2003/09/09 |
| Platinum Group Metals Ltd. | -- | -- | -- | -- |
| Platinum Lake Technology Inc. | -- | -- | Yes/Oui | 1991/04/12 |
| Plato Gold Corp. | -- | -- | -- | -- |
| Playa El Agua Hotel Limited Partnership | -- | -- | Yes/Oui | 1990/11/05 |
| Plaza Retail REIT | -- | -- | -- | -- |
| Pleasant Realty & Financial Corporation | -- | -- | Yes/Oui | 1992/06/03 |
| Plum Financial Planning Ltd. | -- | -- | -- | -- |
| Plumbing Marts of America, Inc. | -- | -- | Yes/Oui | 1982/06/28 |
| Plymouth Realty Capital Corp. | -- | -- | -- | -- |
| POCML 4 Inc. | -- | -- | -- | -- |
| POET Technologies Inc. | -- | -- | -- | -- |
| Point Loma Resources Ltd. | -- | -- | -- | -- |
| Pointe West Golf Club Corp. | -- | -- | -- | -- |
| Points International Ltd. | -- | -- | -- | -- |
| Polaris Infrastructure Inc. | -- | -- | -- | -- |
| Pollard Banknote Limited | -- | -- | -- | -- |
| PolyMet Mining Corp. | -- | -- | -- | -- |
| Polyphalt Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/07/21 |
| Ponder Oils Ltd. | -- | -- | Yes/Oui | 1986/07/03 |
| Ponderosa Fund | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| Ponderous Panda Capital Corp. | -- | -- | -- | -- |
| Pool Safe Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Poplar Creek Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| Poplar Point Energy Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2011/05/09 |
| Portage Biotech Inc. | -- | -- | -- | -- |
| Portex Minerals Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/02/03 |
| Portfolio Series Balanced Fund | -- | -- | -- | -- |
| Portfolio Series Balanced Growth Fund | -- | -- | -- | -- |
| Portfolio Series Conservative Balanced Fund | -- | -- | -- | -- |
| Portfolio Series Conservative Fund | -- | -- | -- | -- |
| Portfolio Series Growth Fund | -- | -- | -- | -- |
| Portfolio Series Income Fund | -- | -- | -- | -- |
| Portfolio Series Maximum Growth Fund | -- | -- | -- | -- |
| Portland 15 of 15 Fund | -- | -- | -- | -- |
| Portland Advantage Fund | -- | -- | -- | -- |
| Portland Canadian Balanced Fund | -- | -- | -- | -- |
| Portland Canadian Focused Fund | -- | -- | -- | -- |
| Portland Global Banks Fund | -- | -- | -- | -- |
| Portland Global Dividend Fund | -- | -- | -- | -- |
| Portland Global Income Fund | -- | -- | -- | -- |
| Portland Value Fund | -- | -- | -- | -- |
| Porto Energy Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/08/13 |
| Poseidon Concepts Corp. | Yes/Oui | 1a, 1c, 1d, 1e, 2b, 3 | Yes/Oui | 2013/02/26 |
| Posera Ltd. | -- | -- | -- | -- |
| Position Inc. | -- | -- | Yes/Oui | 1999/09/24 |
| Posters Plus Marketing Corp. | -- | -- | Yes/Oui | 1990/06/28 |
| Postmedia Network Canada Corp. | -- | -- | -- | -- |
| Potash Ridge Corporation | -- | -- | -- | -- |
| Pounce Technologies Inc. | -- | -- | -- | -- |
| Povey Corporation Limited | -- | -- | Yes/Oui | 1986/12/01 |
| Power Americas Minerals Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Power Corporation of Canada | -- | -- | -- | -- |
| Power Financial Corporation | -- | -- | -- | -- |
| Power Metals Corp. | -- | -- | -- | -- |
| Power Tech Corporation Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/09/16 |
| PowerOre Inc. | -- | -- | -- | -- |
| PowerShares 1-10 Year Laddered Investment Grade Corporate Bond Index ETF | -- | -- | -- | -- |
| PowerShares 1-3 Year Laddered Floating Rate Note Index ETF | -- | -- | -- | -- |
| PowerShares 1-5 Year Laddered All Government Bond Index ETF | -- | -- | -- | -- |
| PowerShares 1-5 Year Laddered Corporate Bond Index Fund | -- | -- | -- | -- |
| PowerShares 1-5 Year Laddered Investment Grade Corporate Bond Index ETF | -- | -- | -- | -- |
| Powershares Canadian Dividend Index Class | -- | -- | -- | -- |
| PowerShares Canadian Dividend Index ETF | -- | -- | -- | -- |
| PowerShares Canadian Low Volatility Index Class | -- | -- | -- | -- |
| PowerShares Canadian Preferred Share Index Class | -- | -- | -- | -- |
| PowerShares Canadian Preferred Share Index ETF | -- | -- | -- | -- |
| PowerShares DWA Global Momentum Index ETF | -- | -- | -- | -- |
| Powershares FTSE RAFI Canadian Fundamental Index Class | -- | -- | -- | -- |
| PowerShares FTSE RAFI Canadian Fundamental Index ETF | -- | -- | -- | -- |
| PowerShares FTSE RAFI Canadian Small-Mid Fundamental Index ETF | -- | -- | -- | -- |
| PowerShares FTSE RAFI Global Small-Mid Fundamental ETF | -- | -- | -- | -- |
| PowerShares FTSE RAFI Global+ Fundamental Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| PowerShares FTSE RAFI U.S. Fundamental Index ETF | -- | -- | -- | -- |
| PowerShares FTSE RAFI U.S. Fundamental Index ETF II | -- | -- | -- | -- |
| PowerShares FTSE RAFI® Emerging Markets Fundamental Class | -- | -- | -- | -- |
| PowerShares FTSE RAFI® Global+ Fundamental Fund | -- | -- | -- | -- |
| PowerShares FTSE RAFI® U.S. Fundamental Fund | -- | -- | -- | -- |
| PowerShares Fundamental High Yield Corporate Bond Index ETF | -- | -- | -- | -- |
| PowerShares Global Dividend Achievers Fund | -- | -- | -- | -- |
| PowerShares Global Shareholder Yield ETF | -- | -- | -- | -- |
| PowerShares High Yield Corporate Bond Index Fund | -- | -- | -- | -- |
| PowerShares LadderRite U.S. 0-5 Year Corporate Bond Index ETF | -- | -- | -- | -- |
| PowerShares Low Volatility Portfolio ETF | -- | -- | -- | -- |
| PowerShares Monthly Income Fund | -- | -- | -- | -- |
| PowerShares QQQ Index ETF | -- | -- | -- | -- |
| PowerShares Real Return Bond Index Fund | -- | -- | -- | -- |
| PowerShares Senior Loan (CAD Hedged) Inde ETF | -- | -- | -- | -- |
| PowerShares S&P 500 High Dividend Low Volatility Index ETF | -- | -- | -- | -- |
| PowerShares S&P 500 Low Volatility Index ETI | -- | -- | -- | -- |
| PowerShares S&P Emerging Markets Low Volatility Index ETF | -- | -- | -- | -- |
| PowerShares S&P Global ex. Canada High Dividend Low Volatility Index ETF | -- | -- | -- | -- |
| PowerShares S&P International Developed Low Volatility Index ETF | -- | -- | -- | -- |
| PowerShares S&P/TSX Composite Low Volatility Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| PowerShares S&P/TSX REIT Income Index ET | -- | -- | -- | -- |
| PowerShares Tactical Bond ETF | -- | -- | -- | -- |
| PowerShares Tactical Bond Fund | -- | -- | -- | -- |
| PowerShares Ultra Liquid Long Term Government Bond Index ETF | -- | -- | -- | -- |
| PowerShares U.S. Low Volatility Index Fund | -- | -- | -- | -- |
| Poynt Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/06/12 |
| PPOA Holding, Inc. (formerly Protective Products of America, Inc.) | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/07/08 |
| PPX Mining Corp. | -- | -- | -- | -- |
| Prairie Provident Resources Inc. | -- | -- | -- | -- |
| PrairieSky Royalty Ltd. | -- | -- | -- | -- |
| Precious Metals and Mining Trust | -- | -- | -- | -- |
| Precipitate Gold Corp. | -- | -- | -- | -- |
| Precision Assessment Technology Corporation | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2008/04/10 |
| Precision Drilling Corporation | -- | -- | -- | -- |
| Preferred Dental Technologies Inc. | -- | -- | -- | -- |
| PreMD Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2009/04/08 |
| Premier Diversified Holdings Inc. | -- | -- | -- | -- |
| Premier Gold Mines Limited | -- | -- | -- | -- |
| Premier Health Group Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2018/05/04 |
| Premium Brands Holdings Corporation | -- | -- | -- | -- |
| Premium Equity Corporation | -- | -- | Yes/Oui | 1986/06/26 |
| Premium Exploration Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/12 |
| Premium Income Corporation | -- | -- | -- | -- |
| Premium Letney Canada Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1989/11/08 |
| Premium Springwater & Juice Company Ltd. | -- | -- | Yes/Oui | 1996/01/09 |
| Prenor Financial Ltd. | -- | -- | Yes/Oui | 1994/05/24 |
| Preo Software Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2012/12/06 |
| Prestige Telecom Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 2a, 3 | Yes/Oui | 2011/10/18 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Pretium Resources Inc. | -- | -- | -- | -- |
| PreveCeutical Medical Inc. | -- | -- | -- | -- |
| Primaria Capital (Canada) Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/02/03 |
| Primary Cobalt Corp. | -- | -- | -- | -- |
| Prime City One Capital Corp. | -- | -- | -- | -- |
| Prime Dividend Corp. | -- | -- | -- | -- |
| Prime Meridian Resources Corp. | Yes/Oui | 3 | -- | -- |
| Prime Minerals Corp. | -- | -- | -- | -- |
| Primeline Energy Holdings Inc. | -- | -- | -- | -- |
| Primenet Communications Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2001/10/26 |
| Primerica Balanced Yield Fund | -- | -- | -- | -- |
| Primerica Canadian Balanced Growth Fund | -- | -- | -- | -- |
| Primerica Canadian Money Market Fund | -- | -- | -- | -- |
| Primerica Global Balanced Growth Fund | -- | -- | -- | -- |
| Primerica Global Equity Fund | -- | -- | -- | -- |
| Primerica Income Fund | -- | -- | -- | -- |
| Primero Mining Corp. | -- | -- | -- | -- |
| PrimeWest Mortgage Investment Corporation | -- | -- | -- | -- |
| Primrose Technology Corporation | -- | -- | Yes/Oui | 1987/06/30 |
| Printera Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/09/11 |
| Priszm Income Fund | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/01/24 |
| Priviti Oil & Gas Opportunities Limited Partnership 2013 | -- | -- | -- | -- |
| Prize Puzzle Inc. | -- | -- | Yes/Oui | 1984/04/11 |
| Pro-Trans Ventures Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/09/10 |
| Pro Fundamental Balanced Index Fund | Yes/Oui | 1a, 1c, 1d | -- | -- |
| Pro Fundamental Bond Index Fund | Yes/Oui | 1a, 1c, 1d | -- | -- |
| Pro Minerals Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/09/12 |
| Pro Money Market Fund | Yes/Oui | 1a, 1c, 1d | -- | -- |
| Pro Real Estate Investment Trust | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Probe Exploration Inc. | -- | -- | Yes/Oui | 2000/07/17 |
| Probe Metals Inc. | -- | -- | -- | -- |
| Process Capital Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/07 |
| Prodigy Ventures Inc. | -- | -- | -- | -- |
| Production Enhancement Group, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/12/10 |
| Profound Medical Corp. | -- | -- | -- | -- |
| Progressive Planet Solutions Inc. | -- | -- | -- | -- |
| Projectus Development Fund Incorporated | -- | -- | Yes/Oui | 1991/07/24 |
| Promax Energy Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/03/28 |
| Prometic Life Sciences Inc. | -- | -- | -- | -- |
| ProMIS Neurosciences Inc. | -- | -- | -- | -- |
| Pronto Explorations Limited | -- | -- | Yes/Oui | 1991/07/26 |
| ProntoForms Corporation | -- | -- | -- | -- |
| Proper Channels | -- | -- | Yes/Oui | 1982/09/10 |
| Prophecy Development Corp. | -- | -- | -- | -- |
| ProSep Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2013/11/26 |
| ProSmart Enterprises Inc. | -- | -- | -- | -- |
| Prospect Park Capital Corp. | -- | -- | -- | -- |
| Prosper Gold Corp. | -- | -- | -- | -- |
| Prospero Silver Corp. | -- | -- | -- | -- |
| Proteo Technology Corporation | -- | -- | Yes/Oui | 1992/05/12 |
| Provenance Gold Corp. | -- | -- | -- | -- |
| Providence Gold Mines Inc. | -- | -- | -- | -- |
| Province of Manitoba | -- | -- | -- | -- |
| Prudential-Bache Self-Directed Education Savings Plan | -- | -- | -- | -- |
| Prudential Place Apartment Project | -- | -- | Yes/Oui | 1990/06/20 |
| PUDO Inc. | -- | -- | -- | -- |
| PUF Ventures Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Pulse Oil Corp. | -- | -- | -- | -- |
| Pulse Seismic Inc. | -- | -- | -- | -- |
| Puma Exploration Inc. | -- | -- | -- | -- |
| Pure Energy Resources Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 1987/04/01 |
| Pure Energy Visions Corporation | Yes/Oui | 1a, 1c, 1e, 1l, 3 | Yes/Oui | 2012/12/06 |
| Pure Multi-Family REIT LP | -- | -- | -- | -- |
| Pure Nickel Inc. | -- | -- | -- | -- |
| Purepoint Uranium Group Inc. | -- | -- | -- | -- |
| Purpose Alternative Strategies Fund | -- | -- | -- | -- |
| Purpose Alternative Yield Fund | -- | -- | -- | -- |
| Purpose Best Ideas Fund | -- | -- | -- | -- |
| Purpose Canadian Financial Income Fund | -- | -- | -- | -- |
| Purpose Conservative Income Fund | -- | -- | -- | -- |
| Purpose Core Dividend Fund | -- | -- | -- | -- |
| Purpose Diversified Real Asset Fund | -- | -- | -- | -- |
| Purpose Duration Hedged Real Estate Fund | -- | -- | -- | -- |
| Purpose Energy Credit Fund | -- | -- | -- | -- |
| Purpose Enhanced Dividend Fund | -- | -- | -- | -- |
| Purpose Enhanced U.S. Equity Fund | -- | -- | -- | -- |
| Purpose Global Innovators Fund | -- | -- | -- | -- |
| Purpose Global Resource Fund | -- | -- | -- | -- |
| Purpose High Interest Savings ETF | -- | -- | -- | -- |
| Purpose International Dividend Fund | -- | -- | -- | -- |
| Purpose International Tactical Hedged Equity Fund | -- | -- | -- | -- |
| Purpose MLP & Infrastructure Income Fund | -- | -- | -- | -- |
| Purpose Monthly Income Fund | -- | -- | -- | -- |
| Purpose Multi-Asset Income Fund | -- | -- | -- | -- |
| Purpose Multi-Strategy Market Neutral Fund | -- | -- | -- | -- |
| Purpose Premium Money Market Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Purpose Premium Yield Fund | -- | -- | -- | -- |
| Purpose Short Duration Tactical Bond Fund | -- | -- | -- | -- |
| Purpose Special Opportunities Fund | -- | -- | -- | -- |
| Purpose Strategic Investment Grade Bond Fun | -- | -- | -- | -- |
| Purpose Strategic Yield Fund | -- | -- | -- | -- |
| Purpose Tactical Bond Fund | -- | -- | -- | -- |
| Purpose Tactical Hedged Equity Fund | -- | -- | -- | -- |
| Purpose Tactical Investment Grade Bond Fund | -- | -- | -- | -- |
| Purpose Total Return Bond Fund | -- | -- | -- | -- |
| Purpose US Cash ETF | -- | -- | -- | -- |
| Purpose US Dividend Fund | -- | -- | -- | -- |
| Purpose US Preferred Share Fund | -- | -- | -- | -- |
| Purthanol Resources Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/04/12 |
| Putnam Health Sciences Trust for Canada | -- | -- | Yes/Oui | 1989/10/19 |
| Pyramid Petroleum Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2009/06/24 |
| Pyrogenesis Canada Inc. | -- | -- | -- | -- |
| P&P Ventures Inc. | -- | -- | -- | -- |
| Q | | | | |
| Q-Entertainment Inc. | Yes/Oui | 1a, 1d | Yes/Oui | 1998/01/27 |
| Q2 Gold Resources Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2011/01/14 |
| QMX Gold Corporation | -- | -- | -- | -- |
| Qnetix Inc. | Yes/Oui | 1a | Yes/Oui | 2003/06/26 |
| QSOLAR Limited | -- | -- | Yes/Oui | 2015/04/20 |
| QSound Labs, Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2009/04/13 |
| Quadrant Film Investors 1978-A | -- | -- | Yes/Oui | 1982/09/30 |
| Quadrille Retirement Homes Limited Partnership No. 1 | -- | -- | Yes/Oui | 1989/09/14 |
| Quadron Cannatech Corporation | -- | -- | -- | -- |
| Quad/Graphics, Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Quality Dino Entertainment Ltd. | -- | -- | Yes/Oui | 1998/06/23 |
| Quanta Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/08/12 |
| Quantified Signal Imaging Inc. | -- | -- | Yes/Oui | 1992/01/28 |
| Quantitative Alpha Trading Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/02 |
| Quantum Cobalt Corp | -- | -- | -- | -- |
| Quantum International Income Corp. | -- | -- | -- | -- |
| Quantum Numbers Corp. | -- | -- | -- | -- |
| Quarterhill Inc. | -- | -- | -- | -- |
| Quartz Crystals Mines Limited | -- | -- | Yes/Oui | 1991/06/26 |
| Quartz Mountain Resources Ltd. | -- | -- | -- | -- |
| Quebecor Inc. | -- | -- | -- | -- |
| Queen Street Camera Inc. | -- | -- | Yes/Oui | 1990/01/04 |
| Queensway Financial Holdings Limited | -- | -- | Yes/Oui | 2001/05/30 |
| Quendale Capital Corp. | -- | -- | -- | -- |
| Quest 84 Energy Program | -- | -- | Yes/Oui | 1985/07/10 |
| Quest 85 Energy Program Limited Partnership | -- | -- | Yes/Oui | 1986/02/05 |
| Quest Rare Minerals Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Questerre Energy Corporation | -- | -- | -- | -- |
| Questfire Energy Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2017/12/05 |
| Quinsam Capital Corporation | -- | -- | -- | -- |
| Quinte Bay No. 3 Limited Partnership | -- | -- | Yes/Oui | 1999/10/06 |
| Quorum Expansion Capital Fund Inc. | Yes/Oui | 1a, 1b, 1c, 3 | -- | -- |
| Quotential Global Growth Corporate Class Portfolio | -- | -- | -- | -- |
| Qwest Energy Canadian Resource Class | -- | -- | -- | -- |
| Qwick Media Inc. | -- | -- | -- | -- |
| QYOU Media Inc. | -- | -- | -- | -- |
| QZZ Inc. | -- | -- | Yes/Oui | 1988/01/13 |
| Q.E.X. Resources Inc. | -- | -- | Yes/Oui | 1998/07/07 |
| Q/Media Services Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2003/01/13 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| R | | | | |
| Ra Minerals Inc. (prev. Ra Resources Ltd.) | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/12/06 |
| Racad Technologies Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/08/12 |
| Radiant Energy Corporation | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2013/03/08 |
| Radiant Health Care Inc. | -- | -- | -- | -- |
| Radiant Technologies Inc. | -- | -- | -- | -- |
| Rage Energy Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2008/12/09 |
| Raging River Exploration Inc. | -- | -- | -- | -- |
| Railtown Capital Corp. | -- | -- | -- | -- |
| Rainy Hollow Ventures Inc. | -- | -- | -- | -- |
| Rainy Mountain Royalty Corp. | -- | -- | -- | -- |
| Ram Petroleum Limited | Yes/Oui | 1a, 1d | Yes/Oui | 2000/07/21 |
| Rambler Metals and Mining plc | -- | -- | -- | -- |
| Rambler Oil Company | -- | -- | Yes/Oui | 1985/06/19 |
| Randgold Resources Limited | -- | -- | -- | -- |
| Range Energy Resources Inc. | -- | -- | -- | -- |
| Range Gold Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/05/13 |
| Ranney Gold Mines Limited | -- | -- | Yes/Oui | 1989/06/13 |
| Rapid Solutions Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/09/14 |
| Rare Element Resources Ltd. | -- | -- | -- | -- |
| Ravencrest Condominium Hotel Limited Partnership | -- | -- | Yes/Oui | 1991/10/21 |
| Ravenquest Biomed Inc. | -- | -- | -- | -- |
| Ravensource Fund | -- | -- | -- | -- |
| Rayonier Advanced Materials Inc. | -- | -- | -- | -- |
| Razor Energy Corp. | -- | -- | -- | -- |
| Razore Rock Resources Inc. | -- | -- | -- | -- |
| RB Energy Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/15 |
| RBC 1-5 Year Laddered Canadian Bond ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| RBC 1-5 Year Laddered Corporate Bond ETF | -- | -- | -- | -- |
| RBC 6-10 Year Laddered Canadian Corporate Bond ETF | -- | -- | -- | -- |
| RBC Asia Pacific ex-Japan Equity Fund | -- | -- | -- | -- |
| RBC Asian Equity Fund | -- | -- | -- | -- |
| RBC Balanced Fund | -- | -- | -- | -- |
| RBC Balanced Growth & Income Class | -- | -- | -- | -- |
| RBC Balanced Growth & Income Fund | -- | -- | -- | -- |
| RBC BlueBay Global Diversified Income (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC Bond Fund | -- | -- | -- | -- |
| RBC Canadian Bank Yield Index ETF | -- | -- | -- | -- |
| RBC Canadian Bond Index ETF | -- | -- | -- | -- |
| RBC Canadian Bond Index Fund | -- | -- | -- | -- |
| RBC Canadian Dividend Class | -- | -- | -- | -- |
| RBC Canadian Dividend Fund | -- | -- | -- | -- |
| RBC Canadian Equity Class | -- | -- | -- | -- |
| RBC Canadian Equity Fund | -- | -- | -- | -- |
| RBC Canadian Equity Income Class | -- | -- | -- | -- |
| RBC Canadian Equity Income Fund | -- | -- | -- | -- |
| RBC Canadian Equity Index ETF | -- | -- | -- | -- |
| RBC Canadian Government Bond Index Fund | -- | -- | -- | -- |
| RBC Canadian Index Fund | -- | -- | -- | -- |
| RBC Canadian Mid Cap Equity Class | -- | -- | -- | -- |
| RBC Canadian Money Market Fund | -- | -- | -- | -- |
| RBC Canadian Preferred Share ETF | -- | -- | -- | -- |
| RBC Canadian Short-Term Income Fund | -- | -- | -- | -- |
| RBC Canadian Short Term Bond Index ETF | -- | -- | -- | -- |
| RBC Canadian Small & Mid-Cap Resources Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC Canadian T-Bill Fund | -- | -- | -- | -- |
| RBC Capital Trust | -- | -- | -- | -- |
| RBC Conservative Growth & Income Fund | -- | -- | -- | -- |
| RBC DS Education Trust | -- | -- | -- | -- |
| RBC Emerging Markets Bond Fund | -- | -- | -- | -- |
| RBC Emerging Markets Bond Fund (CAD Hedged) | -- | -- | -- | -- |
| RBC Emerging Markets Dividend Fund | -- | -- | -- | -- |
| RBC Emerging Markets Equity Class | -- | -- | -- | -- |
| RBC Emerging Markets Equity Fund | -- | -- | -- | -- |
| RBC Emerging Markets Equity Index ETF | -- | -- | -- | -- |
| RBC Emerging Markets Foreign Exchange Fund | -- | -- | -- | -- |
| RBC Emerging Markets Multi-Strategy Equity Fund | -- | -- | -- | -- |
| RBC Emerging Markets Small-Cap Equity Fund | -- | -- | -- | -- |
| RBC European Dividend Fund | -- | -- | -- | -- |
| RBC European Equity Class | -- | -- | -- | -- |
| RBC European Equity Fund | -- | -- | -- | -- |
| RBC European Mid-Cap Equity Fund | -- | -- | -- | -- |
| RBC Global Balanced Fund | -- | -- | -- | -- |
| RBC Global Bond Fund | -- | -- | -- | -- |
| RBC Global Bond & Currency Fund | -- | -- | -- | -- |
| RBC Global Corporate Bond Fund | -- | -- | -- | -- |
| RBC Global Dividend Growth Currency Neutral Fund | -- | -- | -- | -- |
| RBC Global Dividend Growth Fund | -- | -- | -- | -- |
| RBC Global Energy Fund | -- | -- | -- | -- |
| RBC Global Equity Class | -- | -- | -- | -- |
| RBC Global Equity Focus Currency Neutral Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC Global Equity Focus Fund | -- | -- | -- | -- |
| RBC Global Equity Fund | -- | -- | -- | -- |
| RBC Global Government Bond (CAD Hedged), | -- | -- | -- | -- |
| RBC Global Growth & Income Fund | -- | -- | -- | -- |
| RBC Global High Yield Fund | -- | -- | -- | -- |
| RBC Global Precious Metals Fund | -- | -- | -- | -- |
| RBC Global Resources Class | -- | -- | -- | -- |
| RBC Global Resources Fund | -- | -- | -- | -- |
| RBC Global Technology Fund | -- | -- | -- | -- |
| RBC High Yield Bond Fund | -- | -- | -- | -- |
| RBC International Dividend Growth Fund | -- | -- | -- | -- |
| RBC International Equity Class | -- | -- | -- | -- |
| RBC International Equity Currency Neutral Fun | -- | -- | -- | -- |
| RBC International Equity Fund | -- | -- | -- | -- |
| RBC International Equity Index ETF | -- | -- | -- | -- |
| RBC International Equity (CAD Hedged) Index ETF | -- | -- | -- | -- |
| RBC International Index Currency Neutral Func | -- | -- | -- | -- |
| RBC Japanese Equity Fund | -- | -- | -- | -- |
| RBC Life Science and Technology Fund | -- | -- | -- | -- |
| RBC Managed Payout Solution | -- | -- | -- | -- |
| RBC Managed Payout Solution - Enhanced | -- | -- | -- | -- |
| RBC Managed Payout Solution - Enhanced Plus | -- | -- | -- | -- |
| RBC Monthly Income Bond Fund | -- | -- | -- | -- |
| RBC Monthly Income Fund | -- | -- | -- | -- |
| RBC North American Growth Fund | -- | -- | -- | -- |
| RBC North American Value Class | -- | -- | -- | -- |
| RBC North American Value Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy All-Canadian Equity Func | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC O'Shaughnessy Canadian Equity Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy Global Equity Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy International Equity Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy U.S. Growth Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy U.S. Growth Fund II | -- | -- | -- | -- |
| RBC O'Shaughnessy U.S. Value Fund | -- | -- | -- | -- |
| RBC O'Shaughnessy U.S. Value Fund (Unhedged) | -- | -- | -- | -- |
| RBC PH&N Short Term Canadian Bond ETF | -- | -- | -- | -- |
| RBC Premium Money Market Fund | -- | -- | -- | -- |
| RBC Premium \$U.S. Money Market Fund | -- | -- | -- | -- |
| RBC Private Canadian Corporate Bond Pool | -- | -- | -- | -- |
| RBC Private Canadian Dividend Pool | -- | -- | -- | -- |
| RBC Private Canadian Equity Pool | -- | -- | -- | -- |
| RBC Private Canadian Growth and Income Equity Pool | -- | -- | -- | -- |
| RBC Private Canadian Growth Equity Pool | -- | -- | -- | -- |
| RBC Private Canadian Mid Cap Equity Pool | -- | -- | -- | -- |
| RBC Private EAFE Equity Pool | -- | -- | -- | -- |
| RBC Private Income Pool | -- | -- | -- | -- |
| RBC Private Overseas Equity Pool | -- | -- | -- | -- |
| RBC Private Short-Term Income Pool | -- | -- | -- | -- |
| RBC Private U.S. Growth Equity Pool | -- | -- | -- | -- |
| RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool | -- | -- | -- | -- |
| RBC Private U.S. Large-Cap Core Equity Pool | -- | -- | -- | -- |
| RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool | -- | -- | -- | -- |
| RBC Private U.S. Large-Cap Value Equity Pool | -- | -- | -- | -- |
| RBC Private U.S. Small Cap Equity Pool | -- | -- | -- | -- |
| RBC Private World Equity Pool | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC Quant Canadian Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Quant Canadian Equity Leaders ETF | -- | -- | -- | -- |
| RBC Quant EAFE Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC Quant EAFE Equity Leaders ETF | -- | -- | -- | -- |
| RBC Quant EAFE Equity Leaders (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC Quant Emerging Markets Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Quant Emerging Markets Equity Leaders ETF | -- | -- | -- | -- |
| RBC Quant European Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Quant European Dividend Leaders (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC Quant Global Infrastructure Leaders ETF | -- | -- | -- | -- |
| RBC Quant Global Real Estate Leaders ETF | -- | -- | -- | -- |
| RBC Quant U.S. Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC Quant U.S. Equity Leaders ETF | -- | -- | -- | -- |
| RBC Quant U.S. Equity Leaders (CAD Hedged) ETF | -- | -- | -- | -- |
| RBC QUBE All Country World Equity Fund | -- | -- | -- | -- |
| RBC QUBE Canadian Equity Fund | -- | -- | -- | -- |
| RBC QUBE Global Equity Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility All Country World Equity Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility Canadian Equity Class | -- | -- | -- | -- |
| RBC QUBE Low Volatility Canadian Equity Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility Global Equity Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC QUBE Low Volatility Global Equity Currency Neutral Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility Global Equity Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility U.S. Equity Class | -- | -- | -- | -- |
| RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund | -- | -- | -- | -- |
| RBC QUBE Low Volatility U.S. Equity Fund | -- | -- | -- | -- |
| RBC QUBE U.S. Equity Fund | -- | -- | -- | -- |
| RBC Retirement 2020 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2025 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2030 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2035 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2040 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2045 Portfolio | -- | -- | -- | -- |
| RBC Retirement 2050 Portfolio | -- | -- | -- | -- |
| RBC Retirement Income Solution | -- | -- | -- | -- |
| RBC Select Aggressive Growth Portfolio | -- | -- | -- | -- |
| RBC Select Balanced Portfolio | -- | -- | -- | -- |
| RBC Select Choices Aggressive Growth Portfolio | -- | -- | -- | -- |
| RBC Select Choices Balanced Portfolio | -- | -- | -- | -- |
| RBC Select Choices Conservative Portfolio | -- | -- | -- | -- |
| RBC Select Choices Growth Portfolio | -- | -- | -- | -- |
| RBC Select Conservative Portfolio | -- | -- | -- | -- |
| RBC Select Growth Portfolio | -- | -- | -- | -- |
| RBC Select Very Conservative Portfolio | -- | -- | -- | -- |
| RBC Short Term Income Class | -- | -- | -- | -- |
| RBC Short Term U.S. Corporate Bond ETF | -- | -- | -- | -- |
| RBC Strategic Global Dividend Leaders ETF | -- | -- | -- | -- |
| RBC Strategic Global Equity Leaders ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| RBC Strategic Income Bond Fund | -- | -- | -- | -- |
| RBC Target 2018 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2019 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2020 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2020 Education Fund | -- | -- | -- | -- |
| RBC Target 2021 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2022 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2023 Corporate Bond Index ETF | -- | -- | -- | -- |
| RBC Target 2025 Education Fund | -- | -- | -- | -- |
| RBC Target 2030 Education Fund | -- | -- | -- | -- |
| RBC Target 2035 Education Fund | -- | -- | -- | -- |
| RBC Trend Canadian Equity Fund | -- | -- | -- | -- |
| RBC U.S. Banks Yield Index ETF | -- | -- | -- | -- |
| RBC U.S. Banks Yield (CAD Hedged) Index ETF | -- | -- | -- | -- |
| RBC U.S. Dividend Class | -- | -- | -- | -- |
| RBC U.S. Dividend Currency Neutral Fund | -- | -- | -- | -- |
| RBC U.S. Dividend Fund | -- | -- | -- | -- |
| RBC U.S. Equity Class | -- | -- | -- | -- |
| RBC U.S. Equity Currency Neutral Fund | -- | -- | -- | -- |
| RBC U.S. Equity Fund | -- | -- | -- | -- |
| RBC U.S. Equity Index ETF | -- | -- | -- | -- |
| RBC U.S. Equity Value Class | -- | -- | -- | -- |
| RBC U.S. Equity Value Fund | -- | -- | -- | -- |
| RBC U.S. Equity (CAD Hedged) Index ETF | -- | -- | -- | -- |
| RBC U.S. Index Currency Neutral Fund | -- | -- | -- | -- |
| RBC U.S. Index Fund | -- | -- | -- | -- |
| RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund | -- | -- | -- | -- |
| RBC U.S. Mid-Cap Growth Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RBC U.S. Mid-Cap Value Equity Class | -- | -- | -- | -- |
| RBC U.S. Mid-Cap Value Equity Fund | -- | -- | -- | -- |
| RBC U.S. Monthly Income Fund | -- | -- | -- | -- |
| RBC U.S. Small-Cap Core Equity Class | -- | -- | -- | -- |
| RBC U.S. Small-Cap Core Equity Fund | -- | -- | -- | -- |
| RBC U.S. Small-Cap Value Equity Fund | -- | -- | -- | -- |
| RBC Vision Balanced Fund | -- | -- | -- | -- |
| RBC Vision Bond Fund | -- | -- | -- | -- |
| RBC Vision Canadian Equity Fund | -- | -- | -- | -- |
| RBC Vision Fossil Fuel Free Global Equity Fund | -- | -- | -- | -- |
| RBC Vision Global Equity Fund (| -- | -- | -- | -- |
| RBC Vision Women's Leadership MSCI Canad Index ETF | -- | -- | -- | -- |
| RBC \$U.S. High Yield Bond Fund | -- | -- | -- | -- |
| RBC \$U.S. Investment Grade Corporate Bond Fund | -- | -- | -- | -- |
| RBC \$U.S. Money Market Fund | -- | -- | -- | -- |
| RBC \$U.S. Short-Term Corporate Bond Fund | -- | -- | -- | -- |
| RBC \$U.S. Short Term Income Class | -- | -- | -- | -- |
| RBC \$U.S. Strategic Income Bond Fund | -- | -- | -- | -- |
| RCI Corporation | -- | -- | Yes/Oui | 1998/07/31 |
| RDX Technologies Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/08/12 |
| Rea Gold Corporation | -- | -- | Yes/Oui | 1998/05/26 |
| Real Asset Income and Growth Fund | -- | -- | -- | -- |
| Real del Monte Mining Corporation | -- | -- | Yes/Oui | 1999/07/16 |
| Real Estate Asset Liquidity Trust | -- | -- | -- | -- |
| Real Estate Investment Corporate Class | -- | -- | -- | -- |
| Real Estate Investment Pool | -- | -- | -- | -- |
| Real Matters Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Real Return Bond Fund | -- | -- | -- | -- |
| Real Return Bond Fund (Portico) | -- | -- | -- | -- |
| Real Securities Money Fund of Canada, The | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1985/07/31 |
| Realcap Holdings Limited | -- | -- | -- | -- |
| REALnorth Opportunities Fund | -- | -- | -- | -- |
| Rebel Capital Inc. | -- | -- | -- | -- |
| Receptagen Ltd. | -- | -- | Yes/Oui | 1999/10/06 |
| Recipe Unlimited Corporation | -- | -- | -- | -- |
| Red Crescent Resources Limited | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2014/04/08 |
| Red Eagle Mining Corporation | -- | -- | -- | -- |
| Red Lake and Sun Valley Resources Ltd. | -- | -- | Yes/Oui | 1989/06/09 |
| Red Oak Limited Partnership | -- | -- | Yes/Oui | 1993/06/28 |
| Red Pine Camp Inc. | -- | -- | -- | -- |
| Red Pine Exploration Inc. | -- | -- | -- | -- |
| Red Rock Capital Corp. | -- | -- | -- | -- |
| Red Tiger Mining Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Redcorp Ventures Ltd. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2009/10/02 |
| Redekop Properties Inc. | -- | -- | Yes/Oui | 2001/07/06 |
| Redhawk Resources, Inc. | -- | -- | -- | -- |
| RediShred Capital Corp. | -- | -- | -- | -- |
| Redlaw Industries Inc. | -- | -- | Yes/Oui | 2000/06/01 |
| Redline Communications Group Inc. | -- | -- | -- | -- |
| RedWater Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/11 |
| Redwood Behavioral Opportunities Fund | -- | -- | -- | -- |
| Redwood Canadian Preferred Share Fund | -- | -- | -- | -- |
| Redwood Core Income Equity Fund | -- | -- | -- | -- |
| Redwood Emerging Markets Dividend Fund | -- | -- | -- | -- |
| Redwood Equity Growth Fund | -- | -- | -- | -- |
| Redwood Floating Rate Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Redwood Global Infrastructure Income Fund | -- | -- | -- | -- |
| Redwood Global Total Return Bond Portfolio | -- | -- | -- | -- |
| Redwood Income Growth Fund | -- | -- | -- | -- |
| Redwood Money Market Fund | -- | -- | -- | -- |
| Redwood Pension Class | -- | -- | -- | -- |
| Redwood Tactical Asset Allocation Fund | -- | -- | -- | -- |
| Redwood Unconstrained Bond Class | -- | -- | -- | -- |
| Redwood Unconstrained Bond Fund | -- | -- | -- | -- |
| Redzone Resources Ltd. | -- | -- | -- | -- |
| Reef Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/12/10 |
| Regal Consolidated Ventures Limited | Yes/Oui | 1a, 1d | Yes/Oui | 2001/05/31 |
| Regal Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/12/17 |
| Regency Gold Corp. | -- | -- | -- | -- |
| Reigate Resources (Canada) Ltd. | -- | -- | Yes/Oui | 1990/03/02 |
| REIT INDEXPLUS Income Fund | -- | -- | -- | -- |
| Reitmans (Canada) Limited | -- | -- | -- | -- |
| Reko International Group Inc. | -- | -- | -- | -- |
| Relax Development Corporation Ltd. | -- | -- | Yes/Oui | 1992/03/24 |
| Relax Hotels Windsor 1988 Limited Partnership | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/13 |
| Relax Inns Burlington-Windsor Partnership | -- | -- | Yes/Oui | 1993/07/23 |
| Relax Plaza Hotel - All Suites London Ontario | -- | -- | Yes/Oui | 1993/07/23 |
| Relentless Resources Ltd. | -- | -- | -- | -- |
| Relevium Technologies Inc. | -- | -- | -- | -- |
| Reliant Gold Corp. | -- | -- | -- | -- |
| Rembrandt Gold Mines Ltd. | -- | -- | Yes/Oui | 1998/11/11 |
| Remington Resources Inc. | -- | -- | -- | -- |
| Remworks Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Renabie Gold Trust, The | -- | -- | Yes/Oui | 1994/06/06 |
| Renaissance Canadian All-Cap Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Renaissance Canadian Balanced Fund | -- | -- | -- | -- |
| Renaissance Canadian Bond Fund | -- | -- | -- | -- |
| Renaissance Canadian Core Value Fund | -- | -- | -- | -- |
| Renaissance Canadian Dividend Fund | -- | -- | -- | -- |
| Renaissance Canadian Equity Private Pool | -- | -- | -- | -- |
| Renaissance Canadian Fixed Income Private Pool | -- | -- | -- | -- |
| Renaissance Canadian Growth Fund | -- | -- | -- | -- |
| Renaissance Canadian Monthly Income Fund | -- | -- | -- | -- |
| Renaissance Canadian Small Cap Fund | -- | -- | -- | -- |
| Renaissance Canadian T-Bill Fund | -- | -- | -- | -- |
| Renaissance China Plus Fund | -- | -- | -- | -- |
| Renaissance Corporate Bond Fund | -- | -- | -- | -- |
| Renaissance Diversified Income Fund | -- | -- | -- | -- |
| Renaissance Emerging Markets Equity Private Pool | -- | -- | -- | -- |
| Renaissance Emerging Markets Fund | -- | -- | -- | -- |
| Renaissance Equity Income Private Pool | -- | -- | -- | -- |
| Renaissance Flexible Yield Fund | -- | -- | -- | -- |
| Renaissance Floating Rate Income Fund | -- | -- | -- | -- |
| Renaissance Global Bond Fund | -- | -- | -- | -- |
| Renaissance Global Bond Private Pool | -- | -- | -- | -- |
| Renaissance Global Equity Private Pool | -- | -- | -- | -- |
| Renaissance Global Focus Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance Global Focus Fund | -- | -- | -- | -- |
| Renaissance Global Growth Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance Global Growth Fund | -- | -- | -- | -- |
| Renaissance Global Health Care Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Renaissance Global Infrastructure Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance Global Infrastructure Fund | -- | -- | -- | -- |
| Renaissance Global Markets Fund | -- | -- | -- | -- |
| Renaissance Global Real Estate Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance Global Real Estate Fund | -- | -- | -- | -- |
| Renaissance Global Resource Fund | -- | -- | -- | -- |
| Renaissance Global Science & Technology Fund | -- | -- | -- | -- |
| Renaissance Global Small-Cap Fund | -- | -- | -- | -- |
| Renaissance Global Value Fund | -- | -- | -- | -- |
| Renaissance Gold Inc. | -- | -- | -- | -- |
| Renaissance High-Yield Bond Fund | -- | -- | -- | -- |
| Renaissance High Income Fund | -- | -- | -- | -- |
| Renaissance International Dividend Fund | -- | -- | -- | -- |
| Renaissance International Equity Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance International Equity Fund | -- | -- | -- | -- |
| Renaissance International Equity Private Pool | -- | -- | -- | -- |
| Renaissance Money Market Fund | -- | -- | -- | -- |
| Renaissance Multi-Asset Global Balanced Income Private Pool | -- | -- | -- | -- |
| Renaissance Multi-Asset Global Balanced Private Pool | -- | -- | -- | -- |
| Renaissance Multi-Sector Fixed Income Private Pool | -- | -- | -- | -- |
| Renaissance Optimal Conservative Income Portfolio | -- | -- | -- | -- |
| Renaissance Optimal Global Equity Currency Neutral Portfolio | -- | -- | -- | -- |
| Renaissance Optimal Global Equity Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Renaissance Optimal Growth & Income Portfolio | -- | -- | -- | -- |
| Renaissance Optimal Income Portfolio | -- | -- | -- | -- |
| Renaissance Optimal Inflation Opportunities Portfolio | -- | -- | -- | -- |
| Renaissance Real Assets Private Pool | -- | -- | -- | -- |
| Renaissance Real Return Bond Fund | -- | -- | -- | -- |
| Renaissance Short-Term Income Fund | -- | -- | -- | -- |
| Renaissance Ultra Short-Term Income Private Pool | -- | -- | -- | -- |
| Renaissance U.S. Equity Value Fund | -- | -- | -- | -- |
| Renaissance U.S. Dollar Corporate Bond Fund | -- | -- | -- | -- |
| Renaissance U.S. Dollar Diversified Income Fund | -- | -- | -- | -- |
| Renaissance U.S. Equity Currency Neutral Private Pool | -- | -- | -- | -- |
| Renaissance U.S. Equity Fund | -- | -- | -- | -- |
| Renaissance U.S. Equity Growth Currency Neutral Fund | -- | -- | -- | -- |
| Renaissance U.S. Equity Growth Fund | -- | -- | -- | -- |
| Renaissance U.S. Equity Income Fund | -- | -- | -- | -- |
| Renaissance U.S. Equity Private Pool | -- | -- | -- | -- |
| Renaissance U.S. Money Market Fund | -- | -- | -- | -- |
| Renforth Resources Inc. | -- | -- | -- | -- |
| Rengold Mines Limited | -- | -- | Yes/Oui | 1976/02/18 |
| Renzy Mines Limited | -- | -- | Yes/Oui | 1991/02/22 |
| Reparo Energy Partners Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/03/12 |
| RepeatSeat Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/12/24 |
| RepliCel Life Sciences Inc. | -- | -- | -- | -- |
| RESAAS Services Inc. | -- | -- | -- | -- |
| Reservoir Capital Corp. | -- | -- | -- | -- |
| Resinco Capital Partners Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Resolute Corporation, The | -- | -- | Yes/Oui | 1992/06/23 |
| Resolute Forest Products Inc. | -- | -- | -- | -- |
| Resorts Unlimited Management Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/07/22 |
| Resource Capital Gold Corp. | -- | -- | -- | -- |
| Resource Fund International Ltd. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1992/12/24 |
| Restaurant Brands International Inc. | -- | -- | -- | -- |
| Restaurant Brands International Limited Partnership | -- | -- | -- | -- |
| Resverlogix Corp. | -- | -- | -- | -- |
| Retrocom Growth Fund Inc. | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Return Energy Inc. | -- | -- | -- | -- |
| Return on Innovation Fund Inc. | -- | -- | -- | -- |
| Revelo Resources Corp. | -- | -- | -- | -- |
| Revelstoke Equity Inc. | -- | -- | -- | -- |
| Revival Gold Inc. | -- | -- | -- | -- |
| Revive Therapeutics Ltd. | -- | -- | -- | -- |
| Revolution Technologies Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/12/06 |
| Rex Opportunity Corp. | -- | -- | -- | -- |
| Rexwood Products Limited | -- | -- | Yes/Oui | 1992/06/23 |
| RG One Corp. | -- | -- | -- | -- |
| RHC Capital Corporation | -- | -- | -- | -- |
| Rhonda Corporation | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2005/05/18 |
| Rhyolite Resources Ltd. | -- | -- | -- | -- |
| RHYTHMONE PLC | -- | -- | -- | -- |
| Rich Group Yellowknife Mines Limited | -- | -- | -- | -- |
| Richards Packaging Income Fund | -- | -- | -- | -- |
| Richardson Greenshields Self-Directed Education Savings Plan | -- | -- | -- | -- |
| Richco Investors Inc. | -- | -- | -- | -- |
| Richelieu Hardware Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Richmond Minerals Inc. | -- | -- | -- | -- |
| Richtree Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/12/08 |
| Richwest Holdings Inc. | -- | -- | Yes/Oui | 2000/06/02 |
| Ridge Land Properties Limited Partnership | -- | -- | Yes/Oui | 1997/08/15 |
| Ridgewood Canadian Bond Fund | -- | -- | -- | -- |
| Ridgewood Canadian Investment Grade Bond Fund | -- | -- | -- | -- |
| Ridgewood Gardens | -- | -- | Yes/Oui | 1994/06/01 |
| Ridgewood Tactical Yield Fund | -- | -- | -- | -- |
| Rift Resources Ltd. | -- | -- | Yes/Oui | 2001/07/09 |
| Rift Valley Resources Corp. | -- | -- | -- | -- |
| Rigel Technologies Inc. | -- | -- | -- | -- |
| Riley Resources Corp. | -- | -- | -- | -- |
| Ring Of Power | -- | -- | Yes/Oui | 1984/06/21 |
| Ring the Bell Capital Corp. | -- | -- | -- | -- |
| RioCan Real Estate Investment Trust | -- | -- | -- | -- |
| Rise Gold Corp. | -- | -- | -- | -- |
| RISE Life Science Corp. | -- | -- | -- | -- |
| Rising Phoenix Development Group Ltd. | -- | -- | Yes/Oui | 2000/11/03 |
| Ritchie Bros. Auctioneers Incorporated | -- | -- | -- | -- |
| River Park Estates Partnership | Yes/Oui | 1a, 1b, 1c, 1d, 1e | Yes/Oui | 1998/06/01 |
| River Wild Exploration Inc. | -- | -- | -- | -- |
| Riverside Resources Inc. | -- | -- | -- | -- |
| RIWI Corp. | -- | -- | -- | -- |
| RJK Explorations Ltd. | -- | -- | -- | -- |
| RKW Standardbred Limited Partnership | -- | -- | Yes/Oui | 1992/06/23 |
| RMN-1 Small Business Development Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/08/15 |
| RMN-2 Small Business Development Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/08/15 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| RMR Science Technologies Inc. | -- | -- | -- | -- |
| RoaDor Industries Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2011/02/07 |
| Robix Environmental Technologies, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Roca Mines Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/01/11 |
| RockBridge Resources Inc. | -- | -- | -- | -- |
| Rockcliff Metals Corporation | -- | -- | -- | -- |
| Rockex Mining Corporation | -- | -- | -- | -- |
| Rockhaven Resources Ltd. | -- | -- | -- | -- |
| Rocklite International Inc. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 1994/06/17 |
| Rockshield Capital Corp. | -- | -- | -- | -- |
| Rockwell Automation, Inc. | -- | -- | -- | -- |
| Rockwell Diamonds Inc. | -- | -- | -- | -- |
| Rocky Mountain Brands, Inc. | Yes/Oui | 1b, 1c, 1e, 2a | Yes/Oui | 2005/08/08 |
| Rocky Mountain Dealerships Inc. | -- | -- | -- | -- |
| Rocky Mountain Liquour Inc. | -- | -- | -- | -- |
| Roddy Resources Inc. | -- | -- | Yes/Oui | 1991/06/14 |
| Rodin Communications Corporation | -- | -- | Yes/Oui | 2001/12/03 |
| Rodinia Oil Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/09 |
| Rogers Communications Inc. | -- | -- | -- | -- |
| Rogers Sugar Inc. | -- | -- | -- | -- |
| Rokmaster Resources Corp. | -- | -- | -- | -- |
| Rollins Meadowvale Partnership | -- | -- | Yes/Oui | 1994/09/07 |
| Rollins Welland Partnership | -- | -- | Yes/Oui | 1995/09/15 |
| Roman Corporation Limited | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2006/05/23 |
| ROMC Trust | -- | -- | -- | -- |
| Romios Gold Resources Inc. | -- | -- | -- | -- |
| Ronnoco Gold Mines, Limited | -- | -- | Yes/Oui | 1999/10/06 |
| Roots Corporation | -- | -- | -- | -- |
| ROSEHEARTY ENERGY INC. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/12 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Roseland Park (II) Limited Partnership | -- | -- | Yes/Oui | 1998/02/05 |
| Roseland Park (I) Limited Partnership | -- | -- | Yes/Oui | 1998/02/05 |
| Rosita Mining Corporation | -- | -- | -- | -- |
| Rothwell Corporation | -- | -- | Yes/Oui | 1995/01/20 |
| Roughrider Exploration Limited | -- | -- | -- | -- |
| Route1 Inc. | -- | -- | -- | -- |
| Routemaster Capital Inc. | -- | -- | -- | -- |
| Roxgold Inc. | -- | -- | -- | -- |
| Royal Bank of Canada | -- | -- | -- | -- |
| Royal Canadian Mint | -- | -- | -- | -- |
| Royal Coal Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/05/03 |
| Royal Gold, Inc. | -- | -- | -- | -- |
| Royal Nickel Corporation | -- | -- | -- | -- |
| Royal Road Minerals Limited | -- | -- | -- | -- |
| Royal Sapphire Corp. | -- | -- | -- | -- |
| Royalty North Partners Ltd. | -- | -- | -- | -- |
| Roycefield Resources Ltd. | -- | -- | Yes/Oui | 2000/12/13 |
| RP Strategic Income Plus Fund | -- | -- | -- | -- |
| RT Minerals Corp. | -- | -- | -- | -- |
| RTG Mining Inc. | -- | -- | -- | -- |
| RTICA Corporation | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2005/10/03 |
| Rubicon Minerals Corporation | -- | -- | -- | -- |
| Rugby Mining Limited | -- | -- | -- | -- |
| Running | -- | -- | Yes/Oui | 1986/09/22 |
| Rupert Resources Ltd. | -- | -- | -- | -- |
| Rusoro Mining Ltd. | -- | -- | -- | -- |
| Russel Metals Inc. | -- | -- | -- | -- |
| Russell Breweries Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2018/06/05 |
| Russell Investments Canadian Cash Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Russell Investments Canadian Dividend Class | -- | -- | -- | -- |
| Russell Investments Canadian Dividend Pool | -- | -- | -- | -- |
| Russell Investments Canadian Equity Class | -- | -- | -- | -- |
| Russell Investments Canadian Equity Fund | -- | -- | -- | -- |
| Russell Investments Canadian Equity Pool | -- | -- | -- | -- |
| Russell Investments Canadian Fixed Income Fund | -- | -- | -- | -- |
| Russell Investments Conservative Income | -- | -- | -- | -- |
| Russell Investments Conservative Income Clas | -- | -- | -- | -- |
| Russell Investments Diversified Monthly Income | -- | -- | -- | -- |
| Russell Investments Diversified Monthly Income Class | -- | -- | -- | -- |
| Russell Investments Emerging Markets Equity Class | -- | -- | -- | -- |
| Russell Investments Emerging Markets Equity Pool | -- | -- | -- | -- |
| Russell Investments ESG Global Equity Fund | -- | -- | -- | -- |
| Russell Investments Fixed Income Class | -- | -- | -- | -- |
| Russell Investments Fixed Income Pool | -- | -- | -- | -- |
| Russell Investments Focused Canadian Equity Class | -- | -- | -- | -- |
| Russell Investments Focused Canadian Equity Pool | -- | -- | -- | -- |
| Russell Investments Focused Global Equity Class | -- | -- | -- | -- |
| Russell Investments Focused Global Equity Pool | -- | -- | -- | -- |
| Russell Investments Focused US Equity Class | -- | -- | -- | -- |
| Russell Investments Focused US Equity Pool | -- | -- | -- | -- |
| Russell Investments Global Equity Class | -- | -- | -- | -- |
| Russell Investments Global Equity Fund | -- | -- | -- | -- |
| Russell Investments Global Equity Pool | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Russell Investments Global High Income Bond Class | -- | -- | -- | -- |
| Russell Investments Global High Income Bond Pool | -- | -- | -- | -- |
| Russell Investments Global Infrastructure Class | -- | -- | -- | -- |
| Russell Investments Global Infrastructure Pool | -- | -- | -- | -- |
| Russell Investments Global Real Estate Pool | -- | -- | -- | -- |
| Russell Investments Global Smaller Companies Class | -- | -- | -- | -- |
| Russell Investments Global Smaller Companies Pool | -- | -- | -- | -- |
| Russell Investments Global Unconstrained Bond Class | -- | -- | -- | -- |
| Russell Investments Global Unconstrained Bond Pool | -- | -- | -- | -- |
| Russell Investments Income Essentials | -- | -- | -- | -- |
| Russell Investments Income Essentials Class | -- | -- | -- | -- |
| Russell Investments Inflation Linked Bond Fund | -- | -- | -- | -- |
| Russell Investments Money Market Class | -- | -- | -- | -- |
| Russell Investments Money Market Pool | -- | -- | -- | -- |
| Russell Investments Multi-Factor International Equity Pool | -- | -- | -- | -- |
| Russell Investments Multi-Factor US Equity Pool | -- | -- | -- | -- |
| Russell Investments Overseas Equity Class | -- | -- | -- | -- |
| Russell Investments Overseas Equity Fund | -- | -- | -- | -- |
| Russell Investments Overseas Equity Pool | -- | -- | -- | -- |
| Russell Investments Real Assets | -- | -- | -- | -- |
| Russell Investments Short Term Income Class | -- | -- | -- | -- |
| Russell Investments Short Term Income Pool | -- | -- | -- | -- |
| Russell Investments US Equity Class | -- | -- | -- | -- |
| Russell Investments US Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Russell Investments US Equity Pool | -- | -- | -- | -- |
| Rye Patch Gold Corp. | -- | -- | -- | -- |
| RYM Capital Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/12/02 |
| RYU Apparel Inc. | -- | -- | -- | -- |
| R&R Real Estate Investment Trust | -- | -- | -- | -- |
| R.E.G.A.R. Investment Management Global Equity Class | -- | -- | -- | -- |
| R.E.G.A.R. Investment Management Global Equity Fund | -- | -- | -- | -- |
| R.F. Oil Industries Ltd. | -- | -- | Yes/Oui | 1986/06/23 |
| R.R. Donnelley & Sons Company | -- | -- | -- | -- |
| R.T. Tower Limited Partnership | -- | -- | Yes/Oui | 1993/06/30 |
| S | | | | |
| S Split Corp. | -- | -- | -- | -- |
| S & M Photolabels Inc. | -- | -- | Yes/Oui | 1988/06/17 |
| Sabina Gold & Silver Corp. | -- | -- | -- | -- |
| Sackport Ventures Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/05/12 |
| Saco Smartvision Inc. | -- | -- | Yes/Oui | 2001/11/06 |
| Sacre-Coeur Minerals, Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/09/11 |
| Sage Gold Inc. | Yes/Oui | 1b, 1c, 1e, 3 | -- | -- |
| Sagewood Resources Limited | Yes/Oui | 1a | Yes/Oui | 2002/05/23 |
| Sagittarius Capital Corporation | -- | -- | -- | -- |
| Sahara Energy Ltd. | -- | -- | -- | -- |
| Sailfish Royalty Corp. | -- | -- | -- | -- |
| Salazar Resources Limited | -- | -- | -- | -- |
| Samco Gold Limited | -- | -- | -- | -- |
| Samsys Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/06/06 |
| San Gold Corporation (now known as 5813906 Manitoba Ltd.) | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/10/07 |
| Sanatana Resources Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sandspring Resources Ltd. | -- | -- | -- | -- |
| Sandstorm Gold Ltd. | -- | -- | -- | -- |
| Sandy Lake Gold Inc. | -- | -- | -- | -- |
| Sangoma Technologies Corporation | -- | -- | -- | -- |
| Sanhurst | -- | -- | Yes/Oui | 1986/09/16 |
| Santa Fe Gold Corporation (formerly Azco Mining Inc.) | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/11/20 |
| Santack Mines Limited | Yes/Oui | 1a, 1b | Yes/Oui | 1971/06/11 |
| Santacruz Silver Mining Ltd. | -- | -- | -- | -- |
| Santé Veritas Holdings Inc. | -- | -- | -- | -- |
| Santech Inc. | -- | -- | Yes/Oui | 1992/10/21 |
| Sapawe Gold Mines Limited | -- | -- | Yes/Oui | 1972/08/11 |
| Saputo Inc. | -- | -- | -- | -- |
| Sarama Resources Ltd. | -- | -- | -- | -- |
| Saratoga Capital Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/05/04 |
| Saratoga Limited Partnership | -- | -- | Yes/Oui | 1996/09/19 |
| Saskatchewan Mining Development Corporation ("SMDC") | -- | -- | -- | -- |
| Saskatoon Square Limited Partnership | -- | -- | Yes/Oui | 1991/02/26 |
| Satori Resources Inc. | -- | -- | -- | -- |
| Saturn (Solutions) Inc. | Yes/Oui | 1b, 3 | Yes/Oui | 2003/12/30 |
| Savaria Corporation | -- | -- | -- | -- |
| Savary Gold Corp. | -- | -- | -- | -- |
| SBD Capital Corp. | -- | -- | -- | -- |
| Scaffold Connection Corporation | Yes/Oui | 1b | Yes/Oui | 2002/02/05 |
| Scandium International Mining Corp. | -- | -- | -- | -- |
| Scarboro Arena Gardens Limited | -- | -- | Yes/Oui | 1983/10/13 |
| Scarboro Producers Market Limited | -- | -- | Yes/Oui | 1972/06/27 |
| Sceptre Ventures Inc. | -- | -- | -- | -- |
| Schiffner Oilfield & Technology Corporation | -- | -- | Yes/Oui | 1987/01/16 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Schooner Capital Corp. | -- | -- | -- | -- |
| Schwabo Capital Corporation | -- | -- | -- | -- |
| Schyan Exploration Inc. | -- | -- | -- | -- |
| Scintilore Explorations Limited | Yes/Oui | 1a, 3 | Yes/Oui | 2003/05/23 |
| Scotia Aria Conservative Build Portfolio | -- | -- | -- | -- |
| Scotia Aria Conservative Defend Portfolio | -- | -- | -- | -- |
| Scotia Aria Conservative Pay Portfolio | -- | -- | -- | -- |
| Scotia Aria Moderate Build Portfolio | -- | -- | -- | -- |
| Scotia Aria Moderate Defend Portfolio | -- | -- | -- | -- |
| Scotia Aria Moderate Pay Portfolio | -- | -- | -- | -- |
| Scotia Aria Progressive Build Portfolio | -- | -- | -- | -- |
| Scotia Aria Progressive Defend Portfolio | -- | -- | -- | -- |
| Scotia Aria Progressive Pay Portfolio | -- | -- | -- | -- |
| Scotia Balanced Opportunities Fund | -- | -- | -- | -- |
| Scotia Bond Fund | -- | -- | -- | -- |
| Scotia Canadian Balanced Fund | -- | -- | -- | -- |
| Scotia Canadian Blue Chip Fund | -- | -- | -- | -- |
| Scotia Canadian Bond Index Fund | -- | -- | -- | -- |
| Scotia Canadian Dividend Class | -- | -- | -- | -- |
| Scotia Canadian Dividend Fund | -- | -- | -- | -- |
| Scotia Canadian Equity Blend Class | -- | -- | -- | -- |
| Scotia Canadian Growth Fund | -- | -- | -- | -- |
| Scotia Canadian Income Fund | -- | -- | -- | -- |
| Scotia Canadian Index Fund | -- | -- | -- | -- |
| Scotia Canadian Small Cap Fund | -- | -- | -- | -- |
| Scotia CanAm Index Fund | -- | -- | -- | -- |
| Scotia Conservative Government Bond Capital Yield Class | -- | -- | -- | -- |
| Scotia Conservative Income Fund | -- | -- | -- | -- |
| Scotia Diversified Monthly Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Scotia Dividend Balanced Fund | -- | -- | -- | -- |
| Scotia European Fund | -- | -- | -- | -- |
| Scotia Fixed Income Blend Class | -- | -- | -- | -- |
| Scotia Floating Rate Income Fund | -- | -- | -- | -- |
| Scotia Global Balanced Fund | -- | -- | -- | -- |
| Scotia Global Bond Fund | -- | -- | -- | -- |
| Scotia Global Dividend Class | -- | -- | -- | -- |
| Scotia Global Dividend Fund | -- | -- | -- | -- |
| Scotia Global Growth Fund | -- | -- | -- | -- |
| Scotia Global Low Volatility Equity LP | -- | -- | -- | -- |
| Scotia Global Opportunities Fund | -- | -- | -- | -- |
| Scotia Global Small Cap Fund | -- | -- | -- | -- |
| Scotia Income Advantage Fund | -- | -- | -- | -- |
| Scotia INNOVA Balanced Growth Portfolio | -- | -- | -- | -- |
| Scotia INNOVA Balanced Growth Portfolio Class | -- | -- | -- | -- |
| Scotia INNOVA Balanced Income Portfolio | -- | -- | -- | -- |
| Scotia INNOVA Balanced Income Portfolio Class | -- | -- | -- | -- |
| Scotia INNOVA Growth Portfolio | -- | -- | -- | -- |
| Scotia INNOVA Growth Portfolio Class | -- | -- | -- | -- |
| Scotia INNOVA Income Portfolio | -- | -- | -- | -- |
| Scotia INNOVA Income Portfolio Class | -- | -- | -- | -- |
| Scotia INNOVA Maximum Growth Portfolio | -- | -- | -- | -- |
| Scotia INNOVA Maximum Growth Portfolio Class | -- | -- | -- | -- |
| Scotia International Equity Blend Class | -- | -- | -- | -- |
| Scotia International Index Fund | -- | -- | -- | -- |
| Scotia International Value Fund | -- | -- | -- | -- |
| Scotia Latin American Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Scotia Money Market Fund | -- | -- | -- | -- |
| Scotia Mortgage Income Fund | -- | -- | -- | -- |
| Scotia Nasdaq Index Fund | -- | -- | -- | -- |
| Scotia Pacific Rim Fund | -- | -- | -- | -- |
| Scotia Partners Balanced Growth Portfolio | -- | -- | -- | -- |
| Scotia Partners Balanced Growth Portfolio Class | -- | -- | -- | -- |
| Scotia Partners Balanced Income Portfolio | -- | -- | -- | -- |
| Scotia Partners Balanced Income Portfolio Class | -- | -- | -- | -- |
| Scotia Partners Growth Portfolio | -- | -- | -- | -- |
| Scotia Partners Growth Portfolio Class | -- | -- | -- | -- |
| Scotia Partners Income Portfolio | -- | -- | -- | -- |
| Scotia Partners Maximum Growth Portfolio | -- | -- | -- | -- |
| Scotia Partners Maximum Growth Portfolio Class | -- | -- | -- | -- |
| Scotia Premium T-Bill Fund | -- | -- | -- | -- |
| Scotia Private American Core-Plus Bond Pool | -- | -- | -- | -- |
| Scotia Private Canadian All Cap Equity Pool | -- | -- | -- | -- |
| Scotia Private Canadian Corporate Bond Pool | -- | -- | -- | -- |
| Scotia Private Canadian Equity Pool | -- | -- | -- | -- |
| Scotia Private Canadian Growth Pool | -- | -- | -- | -- |
| Scotia Private Canadian Mid Cap Pool | -- | -- | -- | -- |
| Scotia Private Canadian Preferred Share Pool | -- | -- | -- | -- |
| Scotia Private Canadian Small Cap Pool | -- | -- | -- | -- |
| Scotia Private Canadian Value Pool | -- | -- | -- | -- |
| Scotia Private Emerging Markets Pool | -- | -- | -- | -- |
| Scotia Private Fundamental Canadian Equity Pool | -- | -- | -- | -- |
| Scotia Private Global Credit Pool | -- | -- | -- | -- |
| Scotia Private Global Equity Pool | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Scotia Private Global High Yield Pool | -- | -- | -- | -- |
| Scotia Private Global Infrastructure Pool | -- | -- | -- | -- |
| Scotia Private Global Low Volatility Equity Pool | -- | -- | -- | -- |
| Scotia Private Global Real Estate Pool | -- | -- | -- | -- |
| Scotia Private High Yield Income Pool | -- | -- | -- | -- |
| Scotia Private Income Pool | -- | -- | -- | -- |
| Scotia Private International Core Equity Pool | -- | -- | -- | -- |
| Scotia Private International Equity Pool | -- | -- | -- | -- |
| Scotia Private International Small to Mid Cap Value Pool | -- | -- | -- | -- |
| Scotia Private North American Dividend Pool | -- | -- | -- | -- |
| Scotia Private Options Income Pool | -- | -- | -- | -- |
| Scotia Private Real Estate Income Pool | -- | -- | -- | -- |
| Scotia Private Short-Mid Government Bond Pool | -- | -- | -- | -- |
| Scotia Private Short Term Income Pool | -- | -- | -- | -- |
| Scotia Private Strategic Balanced Pool | -- | -- | -- | -- |
| Scotia Private Total Return Bond Pool | -- | -- | -- | -- |
| Scotia Private U.S. Dividend Pool | -- | -- | -- | -- |
| Scotia Private U.S. Large Cap Growth Pool | -- | -- | -- | -- |
| Scotia Private U.S. Mid Cap Value Pool | -- | -- | -- | -- |
| Scotia Private U.S. Value Pool | -- | -- | -- | -- |
| Scotia Resource Fund | -- | -- | -- | -- |
| Scotia Schools Trust | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/25 |
| Scotia Selected Balanced Growth Portfolio | -- | -- | -- | -- |
| Scotia Selected Balanced Income Portfolio | -- | -- | -- | -- |
| Scotia Selected Growth Portfolio | -- | -- | -- | -- |
| Scotia Selected Income Portfolio | -- | -- | -- | -- |
| Scotia Selected Maximum Growth Portfolio | -- | -- | -- | -- |
| Scotia Short Term Bond Fund | -- | -- | -- | -- |
| Scotia Strategic Canadian Equity ETF Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Scotia Strategic Fixed Income ETF Portfolio | -- | -- | -- | -- |
| Scotia Strategic International Equity ETF Portfolio | -- | -- | -- | -- |
| Scotia Strategic U.S. Equity ETF Portfolio | -- | -- | -- | -- |
| Scotia T-Bill Fund | -- | -- | -- | -- |
| Scotia Total Return Bond LP | -- | -- | -- | -- |
| Scotia U.S. Blue Chip Fund | -- | -- | -- | -- |
| Scotia U.S. Dividend Fund | -- | -- | -- | -- |
| Scotia U.S. Dividend Growers LP | -- | -- | -- | -- |
| Scotia U.S. Equity Blend Class | -- | -- | -- | -- |
| Scotia U.S. Index Fund | -- | -- | -- | -- |
| Scotia U.S. Low Volatility Equity LP | -- | -- | -- | -- |
| Scotia U.S. Opportunities Fund | -- | -- | -- | -- |
| Scotia U.S. \$ Balanced Fund | -- | -- | -- | -- |
| Scotia U.S. \$ Bond Fund | -- | -- | -- | -- |
| Scotia U.S. \$ Money Market Fund | -- | -- | -- | -- |
| Scotiabank Capital Trust | -- | -- | -- | -- |
| Scotiabank Tier 1 Trust | -- | -- | -- | -- |
| Scotsman Gold Inc. | -- | -- | Yes/Oui | 1988/01/06 |
| Scott's Hospitality Inc. | -- | -- | -- | -- |
| ScoZinc Mining Ltd. | -- | -- | -- | -- |
| Scudder Large Company Value Fund | Yes/Oui | 1a, 1b, 3 | -- | -- |
| Scythian Biosciences Corp. | -- | -- | -- | -- |
| SDX Energy Inc. | -- | -- | -- | -- |
| Seabridge Gold Inc. | -- | -- | -- | -- |
| Seafield Resources Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2014/12/19 |
| Seahawk Minerals Ltd. | -- | -- | Yes/Oui | 2002/09/09 |
| Seahawk Ventures Inc. | -- | -- | -- | -- |
| Search Minerals Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sears Canada Inc. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2017/10/03 |
| Seashore Resource Partners Corp. | -- | -- | -- | -- |
| Seasons Apartments Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Seaway Mult-Corp. Limited | -- | -- | Yes/Oui | 1987/05/27 |
| Seaway Trust Company | -- | -- | Yes/Oui | 1980/06/25 |
| Sebastiani Ventures Corp. | -- | -- | -- | -- |
| Second Chance Corporation | -- | -- | Yes/Oui | 2002/12/06 |
| Secure Energy Services Inc. | -- | -- | -- | -- |
| Security Devices International Inc. | -- | -- | -- | -- |
| Security Home Mortgage Corporation | -- | -- | Yes/Oui | 1996/07/03 |
| Sedibelo Platinum Mines Limited | -- | -- | Yes/Oui | 2014/05/09 |
| Sedna Geotech Inc. | -- | -- | Yes/Oui | 2000/07/26 |
| Segami Images Incorporated | -- | -- | Yes/Oui | 2001/05/14 |
| SEL Exchange Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/02/03 |
| Select 100e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 20i80e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 30i70e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 40i60e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 50i50e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 60i40e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 70i30e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select 80i20e Managed Portfolio Corporate Class | -- | -- | -- | -- |
| Select Canadian Equity Managed Corporate Class | -- | -- | -- | -- |
| Select Canadian Equity Managed Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Select Income Managed Corporate Class | -- | -- | -- | -- |
| Select International Equity Managed Corporate Class | -- | -- | -- | -- |
| Select International Equity Managed Fund | -- | -- | -- | -- |
| Select Staging Fund | -- | -- | -- | -- |
| Select U.S Equity Managed Fund | -- | -- | -- | -- |
| Select U.S. Equity Managed Corporate Class | -- | -- | -- | -- |
| SEMAFO INC. | -- | -- | -- | -- |
| SemBioSys Genetics Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2012/08/20 |
| Semi-Tech Corporation | -- | -- | Yes/Oui | 1999/09/22 |
| Senator Minerals Inc. | -- | -- | -- | -- |
| Senbrook Townhouses Limited | -- | -- | Yes/Oui | 1990/10/09 |
| Sendero Mining Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/08 |
| Senior Secured Floating Rate Loan Fund | -- | -- | -- | -- |
| SENSIO Technologies Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/02/03 |
| Senternet PHI Gamma Inc. | -- | -- | -- | -- |
| Sentry All Cap Income Fund | -- | -- | -- | -- |
| Sentry Alternative Asset Income Fund | -- | -- | -- | -- |
| Sentry Balanced Income Portfolio | -- | -- | -- | -- |
| Sentry Balanced Yield Private Pool Class | -- | -- | -- | -- |
| Sentry Canadian Bond Fund | -- | -- | -- | -- |
| Sentry Canadian Core Fixed Income Private Trust | -- | -- | -- | -- |
| Sentry Canadian Equity Income Private Pool Class | -- | -- | -- | -- |
| Sentry Canadian Equity Income Private Trust | -- | -- | -- | -- |
| Sentry Canadian Fixed Income Private Pool | -- | -- | -- | -- |
| Sentry Canadian Income Class | -- | -- | -- | -- |
| Sentry Canadian Income Fund | -- | -- | -- | -- |
| Sentry Conservative Balanced Income Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sentry Conservative Balanced Income Fund | -- | -- | -- | -- |
| Sentry Conservative Income Portfolio | -- | -- | -- | -- |
| Sentry Conservative Monthly Income Fund | -- | -- | -- | -- |
| Sentry Corporate Bond Class | -- | -- | -- | -- |
| Sentry Corporate Bond Fund | -- | -- | -- | -- |
| Sentry Defensive Income Portfolio | -- | -- | -- | -- |
| Sentry Diversified Equity Class | -- | -- | -- | -- |
| Sentry Diversified Equity Fund | -- | -- | -- | -- |
| Sentry Energy Fund | -- | -- | -- | -- |
| Sentry Energy Private Trust | -- | -- | -- | -- |
| Sentry Global Balanced Yield Private Pool Class | -- | -- | -- | -- |
| Sentry Global Core Fixed Income Private Trust | -- | -- | -- | -- |
| Sentry Global Equity Income Private Pool Class | -- | -- | -- | -- |
| Sentry Global Growth and Income Class | -- | -- | -- | -- |
| Sentry Global Growth and Income Fund | -- | -- | -- | -- |
| Sentry Global High Yield Bond Class | -- | -- | -- | -- |
| Sentry Global High Yield Bond Fund | -- | -- | -- | -- |
| Sentry Global High Yield Fixed Income Private Trust | -- | -- | -- | -- |
| Sentry Global Infrastructure Fund | -- | -- | -- | -- |
| Sentry Global Infrastructure Private Trust | -- | -- | -- | -- |
| Sentry Global Investment Grade Private Pool Class | -- | -- | -- | -- |
| Sentry Global Mid Cap Income Fund | -- | -- | -- | -- |
| Sentry Global Monthly Income Fund | -- | -- | -- | -- |
| Sentry Global Real Estate Private Trust | -- | -- | -- | -- |
| Sentry Global REIT Class | -- | -- | -- | -- |
| Sentry Global REIT Fund | -- | -- | -- | -- |
| Sentry Global Tactical Fixed Income Private Pool | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sentry Growth and Income Fund | -- | -- | -- | -- |
| Sentry Growth and Income Portfolio | -- | -- | -- | -- |
| Sentry Growth Portfolio | -- | -- | -- | -- |
| Sentry International Equity Income Private Poo Class | -- | -- | -- | -- |
| Sentry International Equity Income Private Trus | -- | -- | -- | -- |
| Sentry Money Market Class | -- | -- | -- | -- |
| Sentry Money Market Fund | -- | -- | -- | -- |
| Sentry Precious Metals Class | -- | -- | -- | -- |
| Sentry Precious Metals Fund | -- | -- | -- | -- |
| Sentry Precious Metals Private Trust | -- | -- | -- | -- |
| Sentry Real Growth Pool Class | -- | -- | -- | -- |
| Sentry Real Income 1941 -45 Class | -- | -- | -- | -- |
| Sentry Real Income 1946-50 Class | -- | -- | -- | -- |
| Sentry Real Income 1951 -55 Class | -- | -- | -- | -- |
| Sentry Real Long Term Income Pool Class | -- | -- | -- | -- |
| Sentry Real Long Term Income Trust | -- | -- | -- | -- |
| Sentry Real Mid Term Income Pool Class | -- | -- | -- | -- |
| Sentry Real Mid Term Income Trust | -- | -- | -- | -- |
| Sentry Real Short Term Income Pool Class | -- | -- | -- | -- |
| Sentry Real Short Term Income Trust | -- | -- | -- | -- |
| Sentry Resource Opportunities Class | -- | -- | -- | -- |
| Sentry Select Primary Metals Corp. | -- | -- | -- | -- |
| Sentry Small/Mid Cap Income Class | -- | -- | -- | -- |
| Sentry Small/Mid Cap Income Fund | -- | -- | -- | -- |
| Sentry U.S. Equity Income Currency Neutral Private Pool Class | -- | -- | -- | -- |
| Sentry U.S. Equity Income Private Pool Class | -- | -- | -- | -- |
| Sentry U.S. Equity Income Private Trust | -- | -- | -- | -- |
| Sentry U.S. Growth and Income Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sentry U.S. Growth and Income Currency Neutral Class | -- | -- | -- | -- |
| Sentry U.S. Growth and Income Fund | -- | -- | -- | -- |
| Sentry U.S. Monthly Income Fund | -- | -- | -- | -- |
| Senvest Capital Inc. | -- | -- | -- | -- |
| Serabi Gold plc | -- | -- | -- | -- |
| Serica Energy PLC | -- | -- | -- | -- |
| Serinus Energy plc | -- | -- | -- | -- |
| Sernova Corp. | -- | -- | -- | -- |
| Serrano Resources Ltd. | -- | -- | -- | -- |
| Serval Integrated Energy Services | -- | -- | Yes/Oui | 1999/11/18 |
| Seven Arts Fund - 1980 | -- | -- | Yes/Oui | 1982/09/10 |
| Seven Evergreen Apartment Project | -- | -- | Yes/Oui | 2006/12/08 |
| Seven Generations Energy Ltd. | -- | -- | -- | -- |
| Seven Seas Petroleum Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/05/28 |
| Sextant Entertainment Group Inc. | Yes/Oui | 1b | Yes/Oui | 2002/06/25 |
| ShaMaran Petroleum Corp. | -- | -- | -- | -- |
| Shamrock Enterprises Inc. | -- | -- | -- | -- |
| Shannon Environmental Ltd. | -- | -- | Yes/Oui | 1994/05/13 |
| Shape of Things To Come, The | -- | -- | Yes/Oui | 1982/02/12 |
| Sharc International Systems Inc. | -- | -- | -- | -- |
| Share Resources Inc. | -- | -- | Yes/Oui | 1998/06/23 |
| Sharon, Lois & Bram's Elephant Show (Series III) | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2001/09/27 |
| Sharon, Lois & Brams Elephant Show (Series IV) | -- | -- | Yes/Oui | 2001/09/27 |
| Sharpe Resources Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Shaw Communications Inc. | -- | -- | -- | -- |
| ShawCor Ltd. | -- | -- | -- | -- |
| Sheen Resources Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/06/18 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Shelby Ventures Inc. | -- | -- | -- | -- |
| Sheridan Brothers Trust | -- | -- | -- | -- |
| Sherobee Glen Limited Partnership | -- | -- | -- | -- |
| Sherritt International Corporation | -- | -- | -- | -- |
| Shift Networks Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/05/28 |
| Shiningtree Resources Inc. | -- | -- | Yes/Oui | 1997/07/04 |
| Shoal Point Energy Ltd. | -- | -- | -- | -- |
| Shopify Inc. | -- | -- | -- | -- |
| Shoppers Drug Mart Corporation | -- | -- | -- | -- |
| Shoreline Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |
| Short Term Bond Fund | -- | -- | -- | -- |
| Short Term Bond Fund (Portico) | -- | -- | -- | -- |
| Short Term Income Corporate Class | -- | -- | -- | -- |
| Short Term Income Pool | -- | -- | -- | -- |
| Short Term Investment Fund | -- | -- | -- | -- |
| Sienna Senior Living Inc. | -- | -- | -- | -- |
| Sierra Madre Developments Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/12 |
| Sierra Metals Inc. | -- | -- | -- | -- |
| Sierra Wireless, Inc. | -- | -- | -- | -- |
| Signature Canadian Balanced Fund | -- | -- | -- | -- |
| Signature Canadian Bond Corporate Class of C Corporate Class Limited | -- | -- | -- | -- |
| Signature Canadian Bond Fund | -- | -- | -- | -- |
| Signature Cash Management Fund | -- | -- | -- | -- |
| Signature Corporate Bond Corporate Class | -- | -- | -- | -- |
| Signature Corporate Bond Fund | -- | -- | -- | -- |
| Signature Diversified Yield Corporate Class | -- | -- | -- | -- |
| Signature Diversified Yield II Fund | -- | -- | -- | -- |
| Signature Dividend Corporate Class | -- | -- | -- | -- |
| Signature Dividend Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Signature Emerging Markets Corporate Class | -- | -- | -- | -- |
| Signature Emerging Markets Fund | -- | -- | -- | -- |
| Signature Floating Rate Income Pool | -- | -- | -- | -- |
| Signature Global Bond Corporate Class | -- | -- | -- | -- |
| Signature Global Bond Fund | -- | -- | -- | -- |
| Signature Global Dividend Corporate Class | -- | -- | -- | -- |
| Signature Global Dividend Fund | -- | -- | -- | -- |
| Signature Global Energy Corporate Class of CI Corporate Class Limited | -- | -- | -- | -- |
| Signature Global Equity Corporate Class | -- | -- | -- | -- |
| Signature Global Equity Fund | -- | -- | -- | -- |
| Signature Global Income & Growth Corporate Class | -- | -- | -- | -- |
| Signature Global Income & Growth Fund | -- | -- | -- | -- |
| Signature Global Resource Corporate Class | -- | -- | -- | -- |
| Signature Global Resource Fund | -- | -- | -- | -- |
| Signature Global Science & Technology Corporate Class | -- | -- | -- | -- |
| Signature Gold Corporate Class | -- | -- | -- | -- |
| Signature High Income Corporate Class | -- | -- | -- | -- |
| Signature High Income Fund | -- | -- | -- | -- |
| Signature High Yield Bond Corporate Class | -- | -- | -- | -- |
| Signature High Yield Bond II Fund | -- | -- | -- | -- |
| Signature Income & Growth Corporate Class | -- | -- | -- | -- |
| Signature Income & Growth Fund | -- | -- | -- | -- |
| Signature Preferred Share Pool | -- | -- | -- | -- |
| Signature Real Estate Pool | -- | -- | -- | -- |
| Signature Select Canadian Corporate Class | -- | -- | -- | -- |
| Signature Select Canadian Fund | -- | -- | -- | -- |
| Signature Short-Term Bond Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Signature Tactical Bond Pool | -- | -- | -- | -- |
| Signtech Inc. | -- | -- | Yes/Oui | 1992/10/14 |
| Silence of the North | -- | -- | Yes/Oui | 1986/05/28 |
| Silicon Graphics Canada Limited | -- | -- | -- | -- |
| Silk Road Energy Inc. | -- | -- | -- | -- |
| Silk Road Finance Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| Siltronics Ltd. | -- | -- | Yes/Oui | 1988/03/08 |
| Silver Bar Mines Limited | -- | -- | Yes/Oui | 1987/10/07 |
| Silver Bear Resources Plc | -- | -- | -- | -- |
| Silver Bull Resources, Inc. | -- | -- | -- | -- |
| Silver Bullion Trust | -- | -- | -- | -- |
| Silver Mountain Mines Inc. | -- | -- | -- | -- |
| Silver Phoenix Resources Inc. | -- | -- | -- | -- |
| Silver Predator Corp. | -- | -- | -- | -- |
| Silver Stream Mining Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/08/12 |
| Silver Viper Minerals Corp. | -- | -- | -- | -- |
| Silverado Limited Partnership | -- | -- | Yes/Oui | 1995/05/31 |
| Silverbirch Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/01/30 |
| Silvercorp Metals Inc. | -- | -- | -- | -- |
| SilverCrest Metals Inc. | -- | -- | -- | -- |
| Simax Oil & Gas Inc. | -- | -- | Yes/Oui | 1994/06/01 |
| Simcoe Erie Investors Limited | -- | -- | -- | -- |
| Simmonds Capital Limited | Yes/Oui | 1a | Yes/Oui | 2002/05/23 |
| Simplex Solutions Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2007/07/04 |
| Sino Rise Group Holding Corp. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2016/12/05 |
| Sinorank Petroleum Resources Limited | -- | -- | Yes/Oui | 1998/08/21 |
| Sintana Energy Inc. | -- | -- | -- | -- |
| Sionna Canadian Balanced Fund | -- | -- | -- | -- |
| Sionna Canadian Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sionna Canadian Small Cap Equity Fund | -- | -- | -- | -- |
| Sionna Diversified Income Fund | -- | -- | -- | -- |
| Sionna Monthly Income Fund | -- | -- | -- | -- |
| Sionna Opportunities Fund | -- | -- | -- | -- |
| Sir Royalty Income Fund | -- | -- | -- | -- |
| Sirius XM Holdings Inc. | -- | -- | -- | -- |
| Sirona Biochem Corp. | -- | -- | -- | -- |
| Siskon Gold Corporation | Yes/Oui | 1a, 1b, 1d | -- | -- |
| Sitka Gold Corp. | -- | -- | -- | -- |
| Sixonine Ventures Corp. | -- | -- | -- | -- |
| Sixty North Gold Mining Ltd. | -- | -- | -- | -- |
| SKG Interactive Inc. | -- | -- | Yes/Oui | 2001/03/14 |
| Skyharbour Resources Ltd. | -- | -- | -- | -- |
| Skyline Investments Inc. | -- | -- | -- | -- |
| Skylon Growth & Income Trust | -- | -- | -- | -- |
| Skyscape Capital Inc. | -- | -- | -- | -- |
| Slam Exploration Ltd. | -- | -- | -- | -- |
| Slate Bay Gold Mines Limited | -- | -- | Yes/Oui | 1992/06/18 |
| Slate Office REIT | -- | -- | -- | -- |
| Slate Retail REIT | -- | -- | -- | -- |
| Slater Steel Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2004/10/29 |
| Sleep Country Canada Holdings Inc. | -- | -- | -- | -- |
| SLMsoft Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2005/01/24 |
| Smart Employee Benefits Inc. | -- | -- | -- | -- |
| SmartCentres Real Estate Investment Trust | -- | -- | -- | -- |
| SmartSales Inc. | Yes/Oui | 1b | Yes/Oui | 2003/01/14 |
| SMC Ventures Inc. | -- | -- | -- | -- |
| SNC-Lavalin Group Inc. | -- | -- | -- | -- |
| Sniper Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/02/11 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Snow Leopard Resources Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2004/10/19 |
| Sobeys Inc. | -- | -- | -- | -- |
| Socanav Inc. | -- | -- | Yes/Oui | 1997/01/09 |
| Social Housing Canadian Bond Fund | -- | -- | -- | -- |
| Social Housing Canadian Equity Fund | -- | -- | -- | -- |
| Social Housing Canadian Short-Term Bond Fund | -- | -- | -- | -- |
| Société d'exploration minière Vior Inc. | -- | -- | -- | -- |
| SocieTerra Balanced Portfolio | -- | -- | -- | -- |
| SocieTerra Conservative Portfolio | -- | -- | -- | -- |
| SocieTerra Growth Portfolio | -- | -- | -- | -- |
| SocieTerra Maximum Growth Portfolio | -- | -- | -- | -- |
| Soficorp Dividend Fund | Yes/Oui | 1a, 1b, 1d, 4 | Yes/Oui | 1989/09/15 |
| Sofimines 1987 and Company, Limited Partnership | -- | -- | Yes/Oui | 1989/06/14 |
| Sofimines 1988 and Company, Limited Partnership | -- | -- | Yes/Oui | 1989/06/14 |
| Sofimines Investment Fund II Inc. | -- | -- | Yes/Oui | 1989/06/14 |
| Softcop Corporation | -- | -- | Yes/Oui | 1996/01/09 |
| Softwex Technologies Inc. | -- | -- | Yes/Oui | 1999/10/06 |
| Sojourn Exploration Inc. | -- | -- | -- | -- |
| Solara Exploration Ltd | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/12/22 |
| Solarvest BioEnergy Inc. | -- | -- | -- | -- |
| SolGold plc | -- | -- | -- | -- |
| Solid Gold Resources Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/02/06 |
| Solimar Energy Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/12/08 |
| Solitario Zinc Corp. | -- | -- | -- | -- |
| Solium Capital Inc. | -- | -- | -- | -- |
| Solutrea Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/09/12 |
| Sonde Resources Corp. | Yes/Oui | 1c, 1e | Yes/Oui | 2014/11/25 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Song Corporation, The | -- | -- | Yes/Oui | 2001/05/30 |
| SonnenEnergy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/05/11 |
| Sonomax Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/07/10 |
| Sonor Investments Limited | -- | -- | -- | -- |
| Sonora Diamond Corp. Ltd. | -- | -- | Yes/Oui | 2001/06/29 |
| Sonoro Energy Ltd. | -- | -- | -- | -- |
| Sonoro Metals Corp. | -- | -- | -- | -- |
| Sophiris Bio Inc. | -- | -- | -- | -- |
| Sorrel Resources Ltd. | -- | -- | Yes/Oui | 1986/08/07 |
| Sound Healthcare Inc. | -- | -- | Yes/Oui | 1992/05/29 |
| Source Energy Services Ltd. | -- | -- | -- | -- |
| South Shore Gold Mines Limited | -- | -- | Yes/Oui | 1978/07/11 |
| Southeast Asia Mining Corp. | -- | -- | -- | -- |
| Southern Arc Minerals Inc. | -- | -- | -- | -- |
| Southern Pacific Resource Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/02/26 |
| SouthGobi Resources Ltd. | -- | -- | -- | -- |
| Southland Tower I Limited Partnership | -- | -- | Yes/Oui | 1987/06/22 |
| Southridge Farms Limited Partnership | -- | -- | Yes/Oui | 1987/06/04 |
| Southwind Resource Explorations Limited | -- | -- | Yes/Oui | 1988/09/23 |
| Spackman Equities Group Inc. | -- | -- | -- | -- |
| Spanish Mountain Gold Ltd. | -- | -- | -- | -- |
| Spartec International Corporation | -- | -- | Yes/Oui | 1999/07/16 |
| Sparton Resources Inc. | -- | -- | -- | -- |
| Speakeasy Cannabis Club Ltd. | -- | -- | -- | -- |
| Specialty Foods Group Income Fund | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/09/04 |
| Spectra Inc. | -- | -- | -- | -- |
| Spectra7 Microsystems Inc. | -- | -- | -- | -- |
| Spectral Medical Inc. | -- | -- | -- | -- |
| Sphere 3D Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Sphere FTSE Canada Sustainable Yield Index ETF | -- | -- | -- | -- |
| Sphere FTSE Emerging Markets Sustainable Yield Index ETF | -- | -- | -- | -- |
| Sphere FTSE Europe Sustainable Yield Index ETF | -- | -- | -- | -- |
| Sphinx Resources Ltd. | -- | -- | -- | -- |
| Spin Master Corp. | -- | -- | -- | -- |
| Spinlogic Technologies Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2007/10/05 |
| Spinnaker Development Corporation | -- | -- | Yes/Oui | 1993/07/22 |
| Spirit Banner Capital Corp. | -- | -- | -- | -- |
| Spirit Banner II Capital Corp. | -- | -- | -- | -- |
| Spirit Bear Capital Corp. | -- | -- | -- | -- |
| SponsorsOne Inc. | -- | -- | -- | -- |
| Sport Active Television Network Inc. | -- | -- | Yes/Oui | 1999/01/08 |
| Sportsclick Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/02/20 |
| Spot Coffee (Canada) Ltd. | -- | -- | -- | -- |
| Spring Chemicals Limited | -- | -- | Yes/Oui | 1979/06/11 |
| Spring Fever | -- | -- | Yes/Oui | 1985/06/12 |
| Springfield Properties I Limited Partnership | -- | -- | Yes/Oui | 1996/06/10 |
| Sprott 2017 Flow-Through Limited Partnership | -- | -- | -- | -- |
| Sprott 2017 II Flow-Through Limited Partnershi | -- | -- | -- | -- |
| Sprott Gold Bullion Fund | -- | -- | -- | -- |
| Sprott Inc. | -- | -- | -- | -- |
| Sprott Physical Gold Trust | -- | -- | -- | -- |
| Sprott Physical Platinum and Palladium Trust | -- | -- | -- | -- |
| Sprott Physical Silver Trust | -- | -- | -- | -- |
| Sprott Resource Holdings Inc. | -- | -- | -- | -- |
| Spruce Ridge Resources Ltd. | -- | -- | -- | -- |
| Spyglass Resources Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/10 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Spyn Corporation | Yes/Oui | 1b | Yes/Oui | 2003/07/09 |
| SQI Diagnostics Inc. | -- | -- | -- | -- |
| Squatex Energy and Resources Inc. | -- | -- | -- | -- |
| Squire Mining Ltd. | -- | -- | -- | -- |
| SRAI Capital Corp. | -- | -- | -- | -- |
| SRG Graphite Inc. | -- | -- | -- | -- |
| SSR Mining Inc. | -- | -- | -- | -- |
| St-Georges Eco-Mining Corp. | -- | -- | -- | -- |
| Stan West Mining Corp. | -- | -- | Yes/Oui | 1990/09/14 |
| Standard-Modern Technologies Corporation | -- | -- | Yes/Oui | 1988/06/15 |
| Standard Broadcasting Corporation Limited | -- | -- | -- | -- |
| Standard Trust Company | -- | -- | Yes/Oui | 1991/05/22 |
| Standard Trustco Limited | -- | -- | Yes/Oui | 1991/05/22 |
| Stanley Park Place Apartments | -- | -- | Yes/Oui | 1987/09/18 |
| Stans Energy Corp. | -- | -- | -- | -- |
| Stantec Inc. | -- | -- | -- | -- |
| Star Diamond Corporation | -- | -- | -- | -- |
| Star Navigation Systems Group Ltd. | -- | -- | -- | -- |
| Star Properties Inc. | -- | -- | Yes/Oui | 1999/01/08 |
| Starcore International Mines Ltd. | -- | -- | -- | -- |
| Starfield Resources Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2013/08/22 |
| Starfire Minerals Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/03/13 |
| Starfire Technologies International Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Starlight U.S. Multi-Family (No. 1) Value-Add Fund | -- | -- | -- | -- |
| Starlight U.S. Multi-Family (No. 5) Core Fund | -- | -- | -- | -- |
| Staront Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/11/02 |
| Starratt Resources Limited | -- | -- | Yes/Oui | 1999/07/16 |
| Starrex International Ltd. | -- | -- | -- | -- |
| StartMonday Technology Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Starwood Industries Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/05/03 |
| States Exploration Ltd. | -- | -- | Yes/Oui | 1991/06/24 |
| Steadman Oceanographic Technology & Growth Fund | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1983/10/12 |
| Steadyhand Equity Fund | -- | -- | -- | -- |
| Steadyhand Founders Fund | -- | -- | -- | -- |
| Steadyhand Global Equity Fund | -- | -- | -- | -- |
| Steadyhand Income Fund | -- | -- | -- | -- |
| Steadyhand Savings Fund | -- | -- | -- | -- |
| Steadyhand Small-Cap Equity Fund | -- | -- | -- | -- |
| Stealth Minerals Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/04/15 |
| Steed Ventures Corporation | -- | -- | Yes/Oui | 1988/06/15 |
| Stef International Corporation | -- | -- | Yes/Oui | 1998/06/01 |
| Stelco Holdings Inc. | -- | -- | -- | -- |
| Stella-Jones Inc. | -- | -- | -- | -- |
| STEP Energy Services Ltd. | -- | -- | -- | -- |
| Steppe Gold Ltd. | -- | -- | -- | -- |
| Steppe Gold Resources Ltd. | -- | -- | Yes/Oui | 1999/10/06 |
| Sterling Leaf Income Trust | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2006/05/17 |
| Sterling Limited Partnership | -- | -- | Yes/Oui | 2002/05/22 |
| Sterling Mining Company | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2010/06/21 |
| Sterling Shoes Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2013/09/16 |
| Stewart Lake Resources Inc. | -- | -- | Yes/Oui | 1993/07/23 |
| Stikine Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/07/15 |
| Stingray Digital Group Inc. | -- | -- | -- | -- |
| STN Incorporated | -- | -- | Yes/Oui | 1995/09/19 |
| Stockguard Corporation | -- | -- | Yes/Oui | 1998/06/17 |
| Stockport Exploration Inc. | -- | -- | -- | -- |
| Stone Dividend Growth Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Stone Europlus Fund | -- | -- | -- | -- |
| Stone Global Balanced Fund | -- | -- | -- | -- |
| Stone Global Growth Fund | -- | -- | -- | -- |
| Stone Growth Fund | -- | -- | -- | -- |
| Stone Investment Group Limited | -- | -- | -- | -- |
| Stone Mountain Apartments I Limited Partnership | -- | -- | Yes/Oui | 1996/06/10 |
| Stone Mountain Holdings Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/01/10 |
| Stone Ridge Exploration Corp. | -- | -- | -- | -- |
| Stone Select Growth Class | -- | -- | -- | -- |
| Stonebridge Inc. | -- | -- | Yes/Oui | 1991/02/22 |
| StoneCastle Cannabis Growth Fund | -- | -- | -- | -- |
| Stoneset Equity Development Corp. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2011/07/29 |
| StorageVault Canada Inc. | -- | -- | -- | -- |
| Storm Cat Energy Corporation | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2009/04/14 |
| Storm Resources Ltd. | -- | -- | -- | -- |
| Stornoway Diamond Corporation | -- | -- | -- | -- |
| stox.com Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2002/04/08 |
| Strad Energy Services Ltd. | -- | -- | -- | -- |
| Straight Forward Marketing Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/01/16 |
| Strata-X Energy Ltd. | -- | -- | -- | -- |
| Stratas Corporation Ltd., The | -- | -- | Yes/Oui | 1990/06/19 |
| Strateco Resources Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/09/11 |
| Strategic Oil & Gas Ltd. | -- | -- | -- | -- |
| Strategic Resources Inc. | -- | -- | -- | -- |
| Stratford Village Limited Partnership | -- | -- | Yes/Oui | 1998/05/29 |
| Strathearn House Group Limited | -- | -- | Yes/Oui | 1992/06/18 |
| Street Capital Group Inc. | -- | -- | -- | -- |
| Stria Lithium Inc. | -- | -- | -- | -- |
| Strike Minerals Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/02/12 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Strongbow Exploration Inc. | -- | -- | -- | -- |
| Strongco Corporation | -- | -- | -- | -- |
| Stroud Resources Ltd. | -- | -- | -- | -- |
| Stuart Olson Inc. | -- | -- | -- | -- |
| Stump Mines Ltd. | -- | -- | Yes/Oui | 1977/11/08 |
| St. Anthony Resources Inc. | Yes/Oui | 1a, 1b | Yes/Oui | 2003/05/26 |
| St. Augustine Gold and Copper Limited | -- | -- | -- | -- |
| St. Charles Village | -- | -- | -- | -- |
| St. Clair Paint & Wallpaper Corporation | -- | -- | Yes/Oui | 1997/04/10 |
| St. James Square Limited Partnership | -- | -- | -- | -- |
| St. Lucie Exploration Company Limited | Yes/Oui | 1b, 3 | Yes/Oui | 2003/10/10 |
| St. Martin's (No. 1) Limited Partnership | -- | -- | Yes/Oui | 1994/09/07 |
| St. Martin's (No. 2) Limited Partnership | -- | -- | Yes/Oui | 1995/09/20 |
| Subscribe Technologies Inc. | -- | -- | -- | -- |
| Sulliden Mining Capital Inc. | -- | -- | -- | -- |
| Summit 2-B, The | -- | -- | Yes/Oui | 1994/06/03 |
| Summit Industrial Income REIT | -- | -- | -- | -- |
| Sumtra Diversified Inc. | -- | -- | -- | -- |
| Sun-Times Media Group, Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Sun Life Assurance Company of Canada | -- | -- | -- | -- |
| Sun Life Beutel Goodman Canadian Bond Fund | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Balanced Class | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Balanced Fund | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Composite Equity Class | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Composite Equity Fund | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Equity Class | -- | -- | -- | -- |
| Sun Life BlackRock Canadian Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name | In Default | Nature of Default | Cease Traded | Order Date |
|---|-------------------|--------------------------|---|--|
| Nom d'émetteur assujéti | En défaut | Nature du défaut | Ordonnance d'interdiction d'opérations | Date de l'ordonnance (YYYY/MM/DD) |
| Sun Life BlackRock Canadian Universe Bond Fund | -- | -- | -- | -- |
| Sun Life Capital Trust | -- | -- | -- | -- |
| Sun Life Capital Trust II | -- | -- | -- | -- |
| Sun Life Dynamic American Fund | -- | -- | -- | -- |
| Sun Life Dynamic Energy Fund | -- | -- | -- | -- |
| Sun Life Dynamic Equity Income Class | -- | -- | -- | -- |
| Sun Life Dynamic Equity Income Fund | -- | -- | -- | -- |
| Sun Life Dynamic Strategic Yield Class | -- | -- | -- | -- |
| Sun Life Dynamic Strategic Yield Fund | -- | -- | -- | -- |
| Sun Life Financial Inc. | -- | -- | -- | -- |
| Sun Life Franklin Bissett Canadian Equity Class | -- | -- | -- | -- |
| Sun Life Ganite Enhanced Income Portfolio | -- | -- | -- | -- |
| Sun Life Granite Balanced Class | -- | -- | -- | -- |
| Sun Life Granite Balanced Growth Class | -- | -- | -- | -- |
| Sun Life Granite Balanced Growth Portfolio | -- | -- | -- | -- |
| Sun Life Granite Balanced Portfolio | -- | -- | -- | -- |
| Sun Life Granite Conservative Class | -- | -- | -- | -- |
| Sun Life Granite Conservative Portfolio | -- | -- | -- | -- |
| Sun Life Granite Growth Class | -- | -- | -- | -- |
| Sun Life Granite Growth Portfolio | -- | -- | -- | -- |
| Sun Life Granite Income Portfolio | -- | -- | -- | -- |
| Sun Life Granite Moderate Class | -- | -- | -- | -- |
| Sun Life Granite Moderate Portfolio | -- | -- | -- | -- |
| Sun Life Granite Tactical Completion Fund | -- | -- | -- | -- |
| Sun Life Infrastructure Fund | -- | -- | -- | -- |
| Sun Life MFS Canadian Bond Fund | -- | -- | -- | -- |
| Sun Life MFS Canadian Equity Class | -- | -- | -- | -- |
| Sun Life MFS Canadian Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sun Life MFS Canadian Equity Growth Fund | -- | -- | -- | -- |
| Sun Life MFS Canadian Equity Value Fund | -- | -- | -- | -- |
| Sun Life MFS Dividend Income Class | -- | -- | -- | -- |
| Sun Life MFS Dividend Income Fund | -- | -- | -- | -- |
| Sun Life MFS Global Growth Class | -- | -- | -- | -- |
| Sun Life MFS Global Growth Fund | -- | -- | -- | -- |
| Sun Life MFS Global Total Return Fund | -- | -- | -- | -- |
| Sun Life MFS Global Value Fund | -- | -- | -- | -- |
| Sun Life MFS International Growth Class | -- | -- | -- | -- |
| Sun Life MFS International Growth Fund | -- | -- | -- | -- |
| Sun Life MFS International Value Fund | -- | -- | -- | -- |
| Sun Life MFS Low Volatility Global Equity Func | -- | -- | -- | -- |
| Sun Life MFS Low Volatility International Equity Fund | -- | -- | -- | -- |
| Sun Life MFS Monthly Income Fund | -- | -- | -- | -- |
| Sun Life MFS U.S. Equity Fund | -- | -- | -- | -- |
| Sun Life MFS U.S. Growth Class | -- | -- | -- | -- |
| Sun Life MFS U.S. Growth Fund | -- | -- | -- | -- |
| Sun Life MFS U.S. Value Fund | -- | -- | -- | -- |
| Sun Life Milestone 2020 Fund | -- | -- | -- | -- |
| Sun Life Milestone 2025 Fund | -- | -- | -- | -- |
| Sun Life Milestone 2030 Fund | -- | -- | -- | -- |
| Sun Life Milestone 2035 Fund | -- | -- | -- | -- |
| Sun Life Milestone Global Equity Fund | -- | -- | -- | -- |
| Sun Life Money Market Class | -- | -- | -- | -- |
| Sun Life Money Market Fund | -- | -- | -- | -- |
| Sun Life Multi-Strategy Target Return Fund | -- | -- | -- | -- |
| Sun Life NWQ Flexible Income Fund | -- | -- | -- | -- |
| Sun Life Ryan Labs U.S. Core Fixed Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Sun Life Schroder Emerging Markets Fund | -- | -- | -- | -- |
| Sun Life Schroder Global Mid Cap Fund | -- | -- | -- | -- |
| Sun Life Sentry Value Class | -- | -- | -- | -- |
| Sun Life Sentry Value Fund | -- | -- | -- | -- |
| Sun Life Sionna Canadian Small Cap Equity Class | -- | -- | -- | -- |
| Sun Life Templeton Global Bond Fund | -- | -- | -- | -- |
| Sun Life Trimark Canadian Class | -- | -- | -- | -- |
| Suncor Energy Inc. | -- | -- | -- | -- |
| Sunniva Inc. | -- | -- | -- | -- |
| SunOil Ltd. | -- | -- | Yes/Oui | 2015/03/23 |
| SunOpta Inc. | -- | -- | -- | -- |
| Sunora Foods Inc. | -- | -- | -- | -- |
| Sunrise Fund Ltd., The | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 1992/06/18 |
| Sunshine Oilsands Ltd. | -- | -- | -- | -- |
| Sunstone Opportunity Fund (2005) Limited Partnership | -- | -- | -- | -- |
| Sunstone Opportunity (2006) Debenture Fund | -- | -- | -- | -- |
| Sunstone Opportunity (2006) Realty Trust | -- | -- | -- | -- |
| Sunstone Opportunity (2007) Mortgage Fund | -- | -- | -- | -- |
| Sunstone Opportunity (2007) Realty Trust | -- | -- | -- | -- |
| Sunvest Minerals Corp. | -- | -- | -- | -- |
| Sunwah International Limited | -- | -- | -- | -- |
| Superior Gold Inc. | -- | -- | -- | -- |
| Superior Plus Corp. | -- | -- | -- | -- |
| Supreme Metals Corp. | -- | -- | -- | -- |
| Supremex Inc. | -- | -- | -- | -- |
| Surge Energy Inc. | -- | -- | -- | -- |
| Surrey Place Limited Partnership | -- | -- | Yes/Oui | 1998/02/05 |
| SustainCo Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Swansea Gold Mines Inc. | -- | -- | Yes/Oui | 1994/08/30 |
| Sweet Natural Trading Co. Limited | -- | -- | -- | -- |
| SweetrLife Technologies inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/05/12 |
| Swica Resource Corp. | Yes/Oui | 3 | Yes/Oui | 2001/07/17 |
| SwissLink Financial Corporation | -- | -- | Yes/Oui | 2002/05/23 |
| Sword Energy Inc. | -- | -- | -- | -- |
| Sycon Corporation | -- | -- | Yes/Oui | 1991/06/13 |
| Sylvan Park Estates Limited Partnership | -- | -- | Yes/Oui | 1996/06/17 |
| Symbility Solutions Inc. | -- | -- | -- | -- |
| Symmetry Balanced Portfolio | -- | -- | -- | -- |
| Symmetry Balanced Portfolio Class | -- | -- | -- | -- |
| Symmetry Canadian Bond Fund | -- | -- | -- | -- |
| Symmetry Canadian Equity Fund | -- | -- | -- | -- |
| Symmetry Comprehensive Equity Fund | -- | -- | -- | -- |
| Symmetry Conservative Income Portfolio | -- | -- | -- | -- |
| Symmetry Conservative Income Portfolio Class | -- | -- | -- | -- |
| Symmetry Conservative Portfolio | -- | -- | -- | -- |
| Symmetry Conservative Portfolio Class | -- | -- | -- | -- |
| Symmetry EAFE Equity Fund, | -- | -- | -- | -- |
| Symmetry Equity Portfolio Class | -- | -- | -- | -- |
| Symmetry Fixed Income Portfolio | -- | -- | -- | -- |
| Symmetry Global Bond Fund | -- | -- | -- | -- |
| Symmetry Global Equity Fund | -- | -- | -- | -- |
| Symmetry Growth Portfolio | -- | -- | -- | -- |
| Symmetry Growth Portfolio Class | -- | -- | -- | -- |
| Symmetry Low Volatility Fund | -- | -- | -- | -- |
| Symmetry Moderate Growth Portfolio | -- | -- | -- | -- |
| Symmetry Moderate Growth Portfolio Class | -- | -- | -- | -- |
| Symmetry US Equity Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Symphony Floating Rate Senior Loan Fund | -- | -- | -- | -- |
| Syncordia Technologies and Healthcare Solutions, Corp. | -- | -- | -- | -- |
| Synergex Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/06/11 |
| Synergy American Corporate Class | -- | -- | -- | -- |
| Synergy American Fund | -- | -- | -- | -- |
| Synergy Canadian Corporate Class | -- | -- | -- | -- |
| Synergy Global Corporate Class | -- | -- | -- | -- |
| Synergy Renewable Resources Inc. | -- | -- | Yes/Oui | 1999/07/16 |
| Synex International Inc. | -- | -- | -- | -- |
| Synodon Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Syscan International Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/03/11 |
| S.C.O. Medallion Healthy Homes Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2007/04/09 |
| S.T.I. Industries Inc. | -- | -- | Yes/Oui | 1993/04/28 |
| T | | | | |
| TAC Gold Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/11 |
| TAG Oil Ltd. | -- | -- | -- | -- |
| Tagalder (2000) Inc. | Yes/Oui | 1a, 3 | Yes/Oui | 2003/05/23 |
| Tahera Diamond Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2010/03/23 |
| Tahoe Resources Inc. | -- | -- | -- | -- |
| Taiga Building Products Ltd. | -- | -- | -- | -- |
| Taiga Gold Corp. | -- | -- | -- | -- |
| Tajac Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/03/15 |
| Tajiri Resources Corp. | -- | -- | -- | -- |
| Taku Gold Corp. | -- | -- | -- | -- |
| Talborne Capital Corporation | -- | -- | Yes/Oui | 1994/05/20 |
| Talisman Enterprises Inc. | -- | -- | Yes/Oui | 2001/05/30 |
| Talisman Mines Limited | -- | -- | Yes/Oui | 2001/04/18 |
| Talmora Diamond Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Talon Metals Corp. | -- | -- | -- | -- |
| Talware Networx Inc. | Yes/Oui | 1a, 1c, 1e, 2c, 3 | Yes/Oui | 2009/05/07 |
| Taman Corporation | -- | -- | Yes/Oui | 1989/05/29 |
| Tamarack Valley Energy Ltd. | -- | -- | -- | -- |
| Tanbridge Corporation, The | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2004/07/30 |
| Tangelo Games Corp. | -- | -- | -- | -- |
| Tangerine Balanced Growth Portfolio | -- | -- | -- | -- |
| Tangerine Balanced Income Portfolio | -- | -- | -- | -- |
| Tangerine Balanced Portfolio | -- | -- | -- | -- |
| Tangerine Dividend Portfolio | -- | -- | -- | -- |
| Tangerine Equity Growth Portfolio | -- | -- | -- | -- |
| Tango Mining Limited | -- | -- | -- | -- |
| Tantalex Resources Corporation | -- | -- | -- | -- |
| Tanzanian Royalty Exploration Corporation | Yes/Oui | 2d | -- | -- |
| Tap Capital Corp. | -- | -- | Yes/Oui | 1993/06/30 |
| Taranis Resources Inc. | -- | -- | -- | -- |
| Target Capital Inc. | -- | -- | -- | -- |
| Targeted Microwave Solutions Inc. | -- | -- | -- | -- |
| Tarku Resources Ltd. | -- | -- | -- | -- |
| Tarquin Group Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/07/31 |
| Tartisan Nickel Corp. | -- | -- | -- | -- |
| Taseko Mines Limited | -- | -- | -- | -- |
| Taurus Footwear Inc. | -- | -- | Yes/Oui | 1992/07/28 |
| Taylor North American Equity Opportunities Fund | -- | -- | -- | -- |
| TCR Environmental Corp. | -- | -- | Yes/Oui | 2001/05/25 |
| TCT Logistics Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/06/24 |
| TD Advantage Aggressive Growth Portfolio | -- | -- | -- | -- |
| TD Advantage Balanced Growth Portfolio | -- | -- | -- | -- |
| TD Advantage Balanced Income Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD Advantage Balanced Portfolio | -- | -- | -- | -- |
| TD Advantage Growth Portfolio | -- | -- | -- | -- |
| TD Asian Growth Fund | -- | -- | -- | -- |
| TD Balanced Growth Fund | -- | -- | -- | -- |
| TD Balanced Income Fund | -- | -- | -- | -- |
| TD Balanced Index Fund | -- | -- | -- | -- |
| TD Canadian Aggregate Bond Index ETF | -- | -- | -- | -- |
| TD Canadian Blue Chip Dividend Fund | -- | -- | -- | -- |
| TD Canadian Bond Fund | -- | -- | -- | -- |
| TD Canadian Bond Index Fund | -- | -- | -- | -- |
| TD Canadian Core Plus Bond Fund | -- | -- | -- | -- |
| TD Canadian Corporate Bond Fund | -- | -- | -- | -- |
| TD Canadian Diversified Yield Fund | -- | -- | -- | -- |
| TD Canadian Equity Class | -- | -- | -- | -- |
| TD Canadian Equity Fund | -- | -- | -- | -- |
| TD Canadian Equity Pool | -- | -- | -- | -- |
| TD Canadian Equity Pool Class | -- | -- | -- | -- |
| TD Canadian Index Fund | -- | -- | -- | -- |
| TD Canadian Large-Cap Equity Fund | -- | -- | -- | -- |
| TD Canadian Low Volatility Class | -- | -- | -- | -- |
| TD Canadian Low Volatility Fund | -- | -- | -- | -- |
| TD Canadian Low Volatility Pool | -- | -- | -- | -- |
| TD Canadian Money Market Fund | -- | -- | -- | -- |
| TD Canadian Small-Cap Equity Class | -- | -- | -- | -- |
| TD Canadian Small-Cap Equity Fund | -- | -- | -- | -- |
| TD Canadian Value Class | -- | -- | -- | -- |
| TD Canadian Value Fund | -- | -- | -- | -- |
| TD Capital Trust IV | -- | -- | -- | -- |
| TD Capital Trust III | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD Comfort Aggressive Growth Portfolio | -- | -- | -- | -- |
| TD Comfort Balanced Growth Portfolio | -- | -- | -- | -- |
| TD Comfort Balanced Income Portfolio | -- | -- | -- | -- |
| TD Comfort Balanced Portfolio | -- | -- | -- | -- |
| TD Comfort Conservative Income Portfolio | -- | -- | -- | -- |
| TD Comfort Growth Portfolio | -- | -- | -- | -- |
| TD Core Canadian Value Fund | -- | -- | -- | -- |
| TD Corporate Bond Plus Fund | -- | -- | -- | -- |
| TD Diversified Monthly Income Fund | -- | -- | -- | -- |
| TD Dividend Growth Class | -- | -- | -- | -- |
| TD Dividend Growth Fund | -- | -- | -- | -- |
| TD Dividend Income Class | -- | -- | -- | -- |
| TD Dividend Income Fund | -- | -- | -- | -- |
| TD Dow Jones Industrial Average Index Fund | -- | -- | -- | -- |
| TD Emerald Balanced Fund | -- | -- | -- | -- |
| TD Emerald Canadian Bond Index Fund | -- | -- | -- | -- |
| TD Emerald Canadian Equity Index Fund | -- | -- | -- | -- |
| TD Emerald Canadian Short Term Investment Fund | -- | -- | -- | -- |
| TD Emerald Canadian Treasury Management - Government of Canada Fund | -- | -- | -- | -- |
| TD Emerald Canadian Treasury Management Fund | -- | -- | -- | -- |
| TD Emerald International Equity Index Fund | -- | -- | -- | -- |
| TD Emerald U.S. Market Index Fund | -- | -- | -- | -- |
| TD Emerging Markets Class | -- | -- | -- | -- |
| TD Emerging Markets Fund | -- | -- | -- | -- |
| TD Emerging Markets Low Volatility Fund | -- | -- | -- | -- |
| TD Entertainment & Communications Fund | -- | -- | -- | -- |
| TD European Index Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD Fixed Income Pool | -- | -- | -- | -- |
| TD FundSmart Managed Aggressive Growth Portfolio | -- | -- | -- | -- |
| TD FundSmart Managed Balanced Growth Portfolio | -- | -- | -- | -- |
| TD FundSmart Managed Income & Moderate Growth Portfolio | -- | -- | -- | -- |
| TD Global Balanced Opportunities Fund | -- | -- | -- | -- |
| TD Global Conservative Opportunities Fund | -- | -- | -- | -- |
| TD Global Core Plus Bond Fund | -- | -- | -- | -- |
| TD Global Equity Pool | -- | -- | -- | -- |
| TD Global Equity Pool Class | -- | -- | -- | -- |
| TD Global Income Fund | -- | -- | -- | -- |
| TD Global Low Volatility Class | -- | -- | -- | -- |
| TD Global Low Volatility Fund | -- | -- | -- | -- |
| TD Global Risk Managed Equity Class | -- | -- | -- | -- |
| TD Global Risk Managed Equity Fund | -- | -- | -- | -- |
| TD Global Unconstrained Bond Fund | -- | -- | -- | -- |
| TD Health Sciences Fund | -- | -- | -- | -- |
| TD High Yield Bond Fund | -- | -- | -- | -- |
| TD Income Advantage Portfolio | -- | -- | -- | -- |
| TD Income Opportunities Pool | -- | -- | -- | -- |
| TD International Equity CAD Hedged Index ETI | -- | -- | -- | -- |
| TD International Equity Index ETF | -- | -- | -- | -- |
| TD International Growth Class | -- | -- | -- | -- |
| TD International Growth Fund | -- | -- | -- | -- |
| TD International Index Currency Neutral Fund | -- | -- | -- | -- |
| TD International Index Fund | -- | -- | -- | -- |
| TD International Stock Fund | -- | -- | -- | -- |
| TD Managed Aggressive Growth ETF Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD Managed Aggressive Growth Portfolio | -- | -- | -- | -- |
| TD Managed Balanced Growth ETF Portfolio | -- | -- | -- | -- |
| TD Managed Balanced Growth Portfolio | -- | -- | -- | -- |
| TD Managed Income ETF Portfolio | -- | -- | -- | -- |
| TD Managed Income Portfolio | -- | -- | -- | -- |
| TD Managed Income & Moderate Growth ETF Portfolio | -- | -- | -- | -- |
| TD Managed Income & Moderate Growth Portfolio | -- | -- | -- | -- |
| TD Managed Index Aggressive Growth Portfolio | -- | -- | -- | -- |
| TD Managed Index Balanced Growth Portfolio | -- | -- | -- | -- |
| TD Managed Index Income Portfolio | -- | -- | -- | -- |
| TD Managed Index Income & Moderate Growth Portfolio | -- | -- | -- | -- |
| TD Managed Index Maximum Equity Growth Portfolio | -- | -- | -- | -- |
| TD Managed Maximum Equity Growth ETF Portfolio | -- | -- | -- | -- |
| TD Managed Maximum Equity Growth Portfolio | -- | -- | -- | -- |
| TD Monthly Income Fund | -- | -- | -- | -- |
| TD Mortgage Corporation | -- | -- | -- | -- |
| TD Nasdaq Index Fund | -- | -- | -- | -- |
| TD North American Dividend Fund | -- | -- | -- | -- |
| TD North American Small-Cap Equity Fund | -- | -- | -- | -- |
| TD Opportunities Pool | -- | -- | -- | -- |
| TD Precious Metals Fund | -- | -- | -- | -- |
| TD Premium Money Market Fund | -- | -- | -- | -- |
| TD Real Return Bond Fund | -- | -- | -- | -- |
| TD Resource Fund | -- | -- | -- | -- |
| TD Retirement Balanced Portfolio | -- | -- | -- | -- |
| TD Retirement Conservative Portfolio | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD Risk Management Pool | -- | -- | -- | -- |
| TD Risk Reduction Pool | -- | -- | -- | -- |
| TD Risk Reduction Pool - US\$ | -- | -- | -- | -- |
| TD Science & Technology Fund | -- | -- | -- | -- |
| TD Short Term Bond Fund | -- | -- | -- | -- |
| TD Short Term Investment Class | -- | -- | -- | -- |
| TD Strategic Yield Fund | -- | -- | -- | -- |
| TD S&P 500 CAD Hedged Index ETF | -- | -- | -- | -- |
| TD S&P 500 Index ETF | -- | -- | -- | -- |
| TD S&P/TSX Capped Composite Index ETF | -- | -- | -- | -- |
| TD Tactical Monthly Income Class | -- | -- | -- | -- |
| TD Tactical Monthly Income Fund | -- | -- | -- | -- |
| TD Tactical Pool | -- | -- | -- | -- |
| TD Tactical Pool Class | -- | -- | -- | -- |
| TD Ultra Short Term Bond Fund | -- | -- | -- | -- |
| TD US\$ Retirement Portfolio | -- | -- | -- | -- |
| TD U.S. Blue Chip Equity Fund | -- | -- | -- | -- |
| TD U.S. Corporate Bond Fund | -- | -- | -- | -- |
| TD U.S. Dividend Growth Fund | -- | -- | -- | -- |
| TD U.S. Equity Portfolio | -- | -- | -- | -- |
| TD U.S. Index Currency Neutral Fund | -- | -- | -- | -- |
| TD U.S. Index Fund | -- | -- | -- | -- |
| TD U.S. Low Volatility Currency Neutral Fund | -- | -- | -- | -- |
| TD U.S. Low Volatility Fund | -- | -- | -- | -- |
| TD U.S. Mid-Cap Growth Class | -- | -- | -- | -- |
| TD U.S. Mid-Cap Growth Fund | -- | -- | -- | -- |
| TD U.S. Money Market Fund | -- | -- | -- | -- |
| TD U.S. Monthly Income Fund | -- | -- | -- | -- |
| TD U.S. Monthly Income Fund - C\$ | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TD U.S. Quantitative Equity Fund | -- | -- | -- | -- |
| TD U.S. Risk Managed Equity Class | -- | -- | -- | -- |
| TD U.S. Risk Managed Equity Fund | -- | -- | -- | -- |
| TD U.S. Small-Cap Equity Fund | -- | -- | -- | -- |
| TDb Split Corp. | -- | -- | -- | -- |
| Team Energy and Minerals Inc. | -- | -- | Yes/Oui | 1983/06/24 |
| TearLab Corporation | -- | -- | -- | -- |
| Tech Leaders Income ETF | -- | -- | -- | -- |
| Techniscan Systems Limited | -- | -- | Yes/Oui | 1977/01/10 |
| Teck Resources Limited | -- | -- | -- | -- |
| Tecrad Inc. | -- | -- | Yes/Oui | 1990/04/20 |
| Tecsys Inc. | -- | -- | -- | -- |
| Tee-Comm Electronics Inc. | -- | -- | Yes/Oui | 1997/05/26 |
| TekModo Industries Inc. | -- | -- | -- | -- |
| Telecom Italia S.p.A. | -- | -- | -- | -- |
| Teleglobe Inc. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2005/11/16 |
| Telephony Communications International Inc. | -- | -- | Yes/Oui | 1991/01/15 |
| Telescene Film Group Inc. | -- | -- | Yes/Oui | 2001/02/07 |
| Tellza Inc. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2018/04/06 |
| Telum International Corporation | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2003/05/22 |
| TELUS Communications Inc. | -- | -- | -- | -- |
| TELUS Corporation | -- | -- | -- | -- |
| Tembo Gold Corp. | Yes/Oui | 1a, 1c, 1e, 3 | -- | -- |
| Templar Energy Ltd. | -- | -- | Yes/Oui | 1998/09/10 |
| Temple Hotels Inc. | -- | -- | -- | -- |
| Templeton Asian Growth Corporate Class | -- | -- | -- | -- |
| Templeton Asian Growth Fund | -- | -- | -- | -- |
| Templeton EAFE Developed Markets Fund | -- | -- | -- | -- |
| Templeton Emerging Markets Corporate Class | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Templeton Emerging Markets Fund | -- | -- | -- | -- |
| Templeton Frontier Markets Corporate Class | -- | -- | -- | -- |
| Templeton Frontier Markets Fund | -- | -- | -- | -- |
| Templeton Global Balanced Fund | -- | -- | -- | -- |
| Templeton Global Bond Fund | -- | -- | -- | -- |
| Templeton Global Bond Fund (Hedged) | -- | -- | -- | -- |
| Templeton Global Smaller Companies Corporate Class | -- | -- | -- | -- |
| Templeton Global Smaller Companies Fund | -- | -- | -- | -- |
| Templeton Growth Corporate Class | -- | -- | -- | -- |
| Templeton Growth Fund, Ltd. | -- | -- | -- | -- |
| Templeton International Stock Corporate Class | -- | -- | -- | -- |
| Templeton International Stock Fund | -- | -- | -- | -- |
| Tempus Capital Inc. | -- | -- | -- | -- |
| Ten Peaks Coffee Company Inc. | -- | -- | -- | -- |
| Ten Thousand Day War, The | -- | -- | Yes/Oui | 1992/09/30 |
| Tenango Explorations Inc. | Yes/Oui | 1a | Yes/Oui | 2002/11/22 |
| Tengtú International Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2006/10/02 |
| Tenth Avenue Petroleum Corp. | -- | -- | -- | -- |
| TeraForce Technology Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2005/05/10 |
| TeraGo Inc. | -- | -- | -- | -- |
| Teranga Gold Corporation | -- | -- | -- | -- |
| Teras Resources Inc. | -- | -- | -- | -- |
| Terminal City Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/06 |
| Terra Energy Corp. | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2016/04/11 |
| Terra Firma Capital Corporation | -- | -- | -- | -- |
| Terrace Energy Corp. | -- | -- | -- | -- |
| Terramar Resource Corporation | -- | -- | Yes/Oui | 1991/01/11 |
| TerrAscend Corp. | -- | -- | -- | -- |
| Terrastar Development Corporation | -- | -- | Yes/Oui | 1991/02/21 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Terratech Resources Inc. | -- | -- | Yes/Oui | 1991/08/07 |
| TerraVest Industries Inc. | -- | -- | -- | -- |
| Tesla Exploration Ltd. | Yes/Oui | 1b, 1c, 1e, 3 | Yes/Oui | 2016/08/19 |
| Tesoro Minerals Corp. | -- | -- | -- | -- |
| Tethys Petroleum Limited | Yes/Oui | 1a, 1c, 1d, 1e, 3 | -- | -- |
| Teton Energy Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/12/24 |
| Tetra Bio-Pharma Inc. | -- | -- | -- | -- |
| Texas International Company | -- | -- | Yes/Oui | 1990/08/03 |
| Texore Mines Limited | -- | -- | Yes/Oui | 1977/06/02 |
| TFI International Inc. | -- | -- | -- | -- |
| TG Residential Value Properties Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/11/10 |
| THC BIOMED INTL LTD. | -- | -- | -- | -- |
| The Becker Milk Company Limited | -- | -- | -- | -- |
| The Caldwell Partners International Inc. | -- | -- | -- | -- |
| The Cross Winds Apartment Project | -- | -- | -- | -- |
| The Delma Group Inc. | -- | -- | -- | -- |
| The Descartes Systems Group Inc. | -- | -- | -- | -- |
| The Eelleet Network Corp. | -- | -- | -- | -- |
| The Empire Life Insurance Company | -- | -- | -- | -- |
| The Green Organic Dutchman Holdings Ltd. | -- | -- | -- | -- |
| The Hydropothecary Corporation | -- | -- | -- | -- |
| The Keg Royalties Income Fund | -- | -- | -- | -- |
| The Lodge at Kananaskis Limited Partnership | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/05/05 |
| The Manufacturers Life Insurance Company | -- | -- | -- | -- |
| The Mint Corporation | -- | -- | -- | -- |
| The Mosport Park Entertainment Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 1997/04/14 |
| The Mountain Inn at Ribbon Creek Limited Partnership | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2016/05/05 |
| The North West Company Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| The Second Cup Ltd. | -- | -- | -- | -- |
| The Stars Group Inc. | -- | -- | -- | -- |
| The Supreme Cannabis Company, Inc. | -- | -- | -- | -- |
| The TDL Group Corp. | -- | -- | -- | -- |
| The Timken Company | -- | -- | -- | -- |
| The Tinley Beverage Company Inc. | -- | -- | -- | -- |
| The Toronto-Dominion Bank | -- | -- | -- | -- |
| The Westaim Corporation | -- | -- | -- | -- |
| The Western Investment Company of Canada Limited | -- | -- | -- | -- |
| The Wonderfilm Media Corporation | -- | -- | -- | -- |
| Theme Restaurants Incorporated | -- | -- | Yes/Oui | 1988/03/22 |
| Theralase Technologies Inc. | -- | -- | -- | -- |
| Theratechnologies Inc. | -- | -- | -- | -- |
| Therma Bright Inc. | -- | -- | -- | -- |
| Thermal Energy International Inc. | -- | -- | -- | -- |
| ThermoCeramix Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/05 |
| theScore, Inc. | -- | -- | -- | -- |
| Third CGI Mutualization Corporation | -- | -- | Yes/Oui | 1993/07/15 |
| Thistle Mining Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/05/06 |
| Thomson Reuters Corporation | -- | -- | -- | -- |
| ThreeD Capital Inc. | -- | -- | -- | -- |
| Threegold Resources Inc. | -- | -- | Yes/Oui | 2014/05/07 |
| Threshold Passage Films Inc. | -- | -- | Yes/Oui | 1983/06/13 |
| Thunder Bumpers Corporation | -- | -- | Yes/Oui | 1991/08/02 |
| Thunder River Enterprises Inc. | -- | -- | -- | -- |
| Thunderbird Resorts, Inc. | -- | -- | -- | -- |
| Thunderstruck Resources Ltd. | -- | -- | -- | -- |
| Ticket To Heaven | -- | -- | Yes/Oui | 1986/09/22 |
| Tidal Royalty Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Tidewater Midstream and Infrastructure Ltd. | -- | -- | -- | -- |
| Tier One Capital Limited Partnership | -- | -- | -- | -- |
| Till Capital Ltd. | -- | -- | -- | -- |
| Tilting Capital Corp. | -- | -- | -- | -- |
| Timbercreek Financial Corp. | -- | -- | -- | -- |
| Timbercreek Global Real Estate Income Fund | -- | -- | -- | -- |
| Times Three Wireless Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/14 |
| Timminco Limited | Yes/Oui | 1a, 1c, 1d, 1e, 3 | Yes/Oui | 2012/04/18 |
| Timmins Nickel, Inc. | -- | -- | Yes/Oui | 1992/11/25 |
| Tinka Resources Limited | -- | -- | -- | -- |
| Tintina Mines Limited | -- | -- | -- | -- |
| Titan Medical Inc. | -- | -- | -- | -- |
| Titan Mining Corporation | -- | -- | -- | -- |
| Titanium Corporation Inc. | -- | -- | -- | -- |
| Titanium Transportation Group Inc. | -- | -- | -- | -- |
| TitanStar Properties Inc. | -- | -- | -- | -- |
| TJN Industries Ltd. | -- | -- | Yes/Oui | 1984/08/04 |
| TLC Vision Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2010/10/08 |
| TMAC Resources Inc. | -- | -- | -- | -- |
| TMI-Learnix Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/03/08 |
| TMX Group Limited | -- | -- | -- | -- |
| Toachi Mining Inc. | -- | -- | -- | -- |
| Toburn-Alberta Ltd. | -- | -- | Yes/Oui | 1993/01/18 |
| Tolima Gold Inc. | -- | -- | -- | -- |
| TomaGold Corporation | -- | -- | -- | -- |
| Top 10 Canadian Financial Trust | -- | -- | -- | -- |
| Top 10 Split Trust | -- | -- | -- | -- |
| Top 20 U.S. Dividend Trust | -- | -- | -- | -- |
| Toptent inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/04/09 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| TORC Oil & Gas Ltd. | -- | -- | -- | -- |
| Torex Gold Resources Inc. | -- | -- | -- | -- |
| Torino Power Solutions Inc. | -- | -- | -- | -- |
| Tornado Global Hydrovacs Ltd. | -- | -- | -- | -- |
| Toromont Industries Ltd. | -- | -- | -- | -- |
| Toronto East (Scarborough) Hotel Development Partnership, The | -- | -- | Yes/Oui | 1995/06/12 |
| Toronto Hydro Corporation | -- | -- | -- | -- |
| Torq Resources Inc. | -- | -- | -- | -- |
| Torrent Capital Corp. | -- | -- | Yes/Oui | 1998/05/22 |
| Torrent Capital Ltd. | -- | -- | -- | -- |
| Torstar Corporation | -- | -- | -- | -- |
| Torvalon Corp. | -- | -- | Yes/Oui | 1993/12/08 |
| Toscana Energy Income Corporation | -- | -- | -- | -- |
| Total Energy Services Inc. | -- | -- | -- | -- |
| Total Return Fund Inc. | -- | -- | Yes/Oui | 1995/09/27 |
| Total Telecom Inc. | -- | -- | -- | -- |
| Touchstone Exploration Inc. | -- | -- | -- | -- |
| Touchstone Gold Limited | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/05/30 |
| Tourmaline Oil Corp. | -- | -- | -- | -- |
| Tova Ventures II Inc. | -- | -- | -- | -- |
| Tower One Wireless Corp. | -- | -- | -- | -- |
| Toxin Alert Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 1f, 3 | Yes/Oui | 2010/03/09 |
| TPB & T Ltd. | -- | -- | Yes/Oui | 1991/07/17 |
| Tracker Resources Inc. | -- | -- | Yes/Oui | 1992/06/19 |
| TrackX Holdings Inc. | -- | -- | -- | -- |
| Tradex Bond Fund | -- | -- | -- | -- |
| Tradex Equity Fund Limited | -- | -- | -- | -- |
| Tradex Global Equity Fund | -- | -- | -- | -- |
| Trafina Energy Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/09/26 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Trakopolis IoT Corp. | -- | -- | -- | -- |
| TransAlta Corporation | -- | -- | -- | -- |
| TransAlta Renewables Inc. | -- | -- | -- | -- |
| Transat A.T. Inc. | -- | -- | -- | -- |
| Transatlantic Mining Corp. | -- | -- | -- | -- |
| TransAtlantic Petroleum Ltd. | -- | -- | -- | -- |
| TransCanada Corporation | -- | -- | -- | -- |
| TransCanada PipeLines Limited | -- | -- | -- | -- |
| TransCanada Trust | -- | -- | -- | -- |
| Transcontinental Inc. | -- | -- | -- | -- |
| Transeuro Energy Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/05/13 |
| TransGlobe Energy Corporation | -- | -- | -- | -- |
| Transition Metals Corp. | -- | -- | -- | -- |
| Transpacific Resources Inc. | Yes/Oui | 1a | Yes/Oui | 2004/08/03 |
| Travelbyus.com Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 2002/02/27 |
| Traverse Energy Ltd. | -- | -- | -- | -- |
| Treasury Metals Inc. | -- | -- | -- | -- |
| Tree Island Steel Ltd. | -- | -- | -- | -- |
| Trenchant Capital Corp. | -- | -- | -- | -- |
| Trenton Industries Inc. | -- | -- | Yes/Oui | 1996/05/17 |
| Tretheway | -- | -- | Yes/Oui | 1986/09/16 |
| Trevali Mining Corporation | -- | -- | -- | -- |
| Trez Capital Mortgage Investment Corporation | -- | -- | -- | -- |
| Trez Capital Senior Mortgage Investment Corporation | -- | -- | -- | -- |
| Tri-Star Resources Plc | -- | -- | -- | -- |
| Tri Origin Exploration Ltd. | -- | -- | -- | -- |
| Triangulum Corporation | Yes/Oui | 1a | Yes/Oui | 2002/06/21 |
| Triarc Corporation Limited | -- | -- | Yes/Oui | 1991/01/16 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Triarx Gold Corporation | Yes/Oui | 1a | Yes/Oui | 2002/05/24 |
| Tribute | -- | -- | Yes/Oui | 1992/09/30 |
| Tribute Resources Inc. | -- | -- | -- | -- |
| Trican Well Service Ltd. | -- | -- | -- | -- |
| Tricon Capital Group Inc. | -- | -- | -- | -- |
| Trigon Metals Inc. | -- | -- | -- | -- |
| Trillium Building Limited Partnership | -- | -- | Yes/Oui | 1995/03/30 |
| Trillium Credit Card Trust II | -- | -- | -- | -- |
| Trillium Therapeutics Inc. | -- | -- | -- | -- |
| Trilogy International Partners Inc. | -- | -- | -- | -- |
| Trilogy Metals Inc. | -- | -- | -- | -- |
| Trimark Canadian Class | -- | -- | -- | -- |
| Trimark Canadian Endeavour Fund | -- | -- | -- | -- |
| Trimark Canadian Fund | -- | -- | -- | -- |
| Trimark Canadian Opportunity Class | -- | -- | -- | -- |
| Trimark Canadian Opportunity Fund | -- | -- | -- | -- |
| Trimark Canadian Plus Dividend Class | -- | -- | -- | -- |
| Trimark Canadian Small Companies Fund | -- | -- | -- | -- |
| Trimark Diversified Yield Class | -- | -- | -- | -- |
| Trimark Emerging Markets Class | -- | -- | -- | -- |
| Trimark Energy Class | -- | -- | -- | -- |
| Trimark Europlus Fund | -- | -- | -- | -- |
| Trimark Fund | -- | -- | -- | -- |
| Trimark Global Balanced Class | -- | -- | -- | -- |
| Trimark Global Balanced Fund | -- | -- | -- | -- |
| Trimark Global Diversified Income Fund | -- | -- | -- | -- |
| Trimark Global Dividend Class | -- | -- | -- | -- |
| Trimark Global Endeavour Class | -- | -- | -- | -- |
| Trimark Global Endeavour Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Trimark Global Fundamental Equity Class | -- | -- | -- | -- |
| Trimark Global Fundamental Equity Fund | -- | -- | -- | -- |
| Trimark Global Small Companies Class | -- | -- | -- | -- |
| Trimark Income Growth Fund | -- | -- | -- | -- |
| Trimark Interest Fund | -- | -- | -- | -- |
| Trimark International Companies Class | -- | -- | -- | -- |
| Trimark International Companies Fund | -- | -- | -- | -- |
| Trimark Resources Fund | -- | -- | -- | -- |
| Trimark Select Balanced Fund | -- | -- | -- | -- |
| Trimark U.S. Companies Class | -- | -- | -- | -- |
| Trimark U.S. Companies Fund | -- | -- | -- | -- |
| Trimark U.S. Money Market Fund | -- | -- | -- | -- |
| Trimark U.S. Small Companies Class | -- | -- | -- | -- |
| TriMetals Mining Inc. | -- | -- | -- | -- |
| TriNexus Holdings Ltd. | Yes/Oui | 1a, 1d | Yes/Oui | 2002/02/27 |
| Trinidad Drilling Ltd. | -- | -- | -- | -- |
| Tristar Balanced Fund | Yes/Oui | 1a, 3 | -- | -- |
| Tristar Canadian Equity Fund | Yes/Oui | 1a, 3 | -- | -- |
| TriStar Gold Inc. | -- | -- | -- | -- |
| Tristar International Equity Fund | Yes/Oui | 1a, 3 | -- | -- |
| Trisura Group Ltd. | -- | -- | -- | -- |
| TriTec Power Systems Ltd. | -- | -- | Yes/Oui | 2001/05/25 |
| Triton Industries Inc. | -- | -- | Yes/Oui | 1992/08/24 |
| Triumph Gold Corp. | -- | -- | -- | -- |
| Triumph Ventures II Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/12/19 |
| Troilus Gold Corp. | -- | -- | -- | -- |
| Tropika International Limited | -- | -- | Yes/Oui | 2001/10/24 |
| Tru-Wall Group Limited | -- | -- | Yes/Oui | 1996/09/25 |
| True Leaf Medicine International Ltd. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| True North Commercial Real Estate Investmen Trust | -- | -- | -- | -- |
| True North Gems Inc. | -- | -- | -- | -- |
| True Zone Resources Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2015/09/18 |
| Trueclaim Exploration Inc. | -- | -- | -- | -- |
| Trusted Brand 2016 Inc. | -- | -- | -- | -- |
| Trylox Environmental Corporation | -- | -- | Yes/Oui | 1992/06/18 |
| TSI Telsys Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2005/05/13 |
| TSO3 Inc. | -- | -- | -- | -- |
| Tsodilo Resources Limited | -- | -- | -- | -- |
| TTM Resources Inc. | -- | -- | Yes/Oui | 2013/11/13 |
| Tucows Inc. | -- | -- | -- | -- |
| Tudor Corporation Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/11/03 |
| Tudor Gold Corp. | -- | -- | -- | -- |
| Tundra Environmental Corporation Limited | -- | -- | Yes/Oui | 1996/06/13 |
| Tundra Gold Mines Limited | -- | -- | Yes/Oui | 1991/10/21 |
| Turbodyne Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 1g, 3 | Yes/Oui | 2005/05/10 |
| TURNKEY E&P INC. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2009/12/17 |
| Turquoise Hill Resources Ltd. | -- | -- | -- | -- |
| Tuscany Energy Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | -- | -- |
| TVA Group Inc. | -- | -- | -- | -- |
| TVI Pacific Inc. | -- | -- | -- | -- |
| TWC Enterprises Limited | -- | -- | -- | -- |
| Twin Butte Energy Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2016/11/18 |
| Twin Gold Mines Ltd. | -- | -- | Yes/Oui | 1991/06/28 |
| Twin Richfield Oils Ltd. | -- | -- | Yes/Oui | 1990/07/12 |
| Twoco Petroleum Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/10/29 |
| Tyhee Gold Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/09/18 |
| Tyne Terrace Homes Limited | -- | -- | Yes/Oui | 2000/11/13 |
| T.L.C. Properties Inc. | -- | -- | Yes/Oui | 1990/06/26 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|-------------------------|---------------------------------------|--|---|
| U | | | | |
| U3O8 Corp. | -- | -- | -- | -- |
| UBI Resources Inc. | -- | -- | Yes/Oui | 1986/07/03 |
| Ucore Rare Metals Inc. | -- | -- | -- | -- |
| UEX Corporation | -- | -- | -- | -- |
| UGE International Ltd. | -- | -- | -- | -- |
| Ultra Petroleum Corp. | -- | -- | -- | -- |
| Ultramar Canada Inc. | -- | -- | -- | -- |
| UltraVision Corporation | Yes/Oui | 1a, 1b | Yes/Oui | 2002/08/30 |
| Uni-Sélect Inc. | -- | -- | -- | -- |
| Unicol Collection Agencies Limited | -- | -- | Yes/Oui | 1983/07/21 |
| Unicorn Corporation, The | -- | -- | Yes/Oui | 1991/06/28 |
| Unigold Inc. | -- | -- | -- | -- |
| UniLink Tele.com Inc. | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2003/08/06 |
| Union Gas Limited | -- | -- | -- | -- |
| UNIREX Corporation | -- | -- | Yes/Oui | 2003/09/10 |
| Unirom Technologies Inc. | -- | -- | Yes/Oui | 2001/10/19 |
| Uniserve Communications Corporation | -- | -- | -- | -- |
| Unisphere Satellite Corporation | -- | -- | Yes/Oui | 1991/08/07 |
| Unisphere Waste Conversion Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2005/04/05 |
| UNISYNC Corp. | -- | -- | -- | -- |
| United Coal Holdings Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/04/11 |
| United Corporations Limited | -- | -- | -- | -- |
| United Duvex Oils & Mines Limited | -- | -- | Yes/Oui | 1978/07/12 |
| United Hearne Resources Ltd. | -- | -- | Yes/Oui | 1988/06/17 |
| United Hunter Oil & Gas Corp. | -- | -- | -- | -- |
| United Industrial Services Ltd. | -- | -- | Yes/Oui | 2001/07/13 |
| United Keno Hill Mines Limited | -- | -- | Yes/Oui | 2001/05/08 |
| United Lithium Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| United Pacific Capital Resources Corp. | -- | -- | Yes/Oui | 2001/10/01 |
| United Redford Resources, Inc. | -- | -- | Yes/Oui | 1985/03/28 |
| United Silver Corp. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2014/06/17 |
| United Trans-Western, Inc. | -- | -- | Yes/Oui | 2001/07/23 |
| Universal Fuels Company | -- | -- | Yes/Oui | 1986/11/05 |
| Universal Gold Limited | Yes/Oui | 1a, 1b, 1d, 3 | -- | -- |
| Upco International Inc. | -- | -- | -- | -- |
| UpSnap, Inc. | -- | -- | -- | -- |
| Ur-Energy Inc. | -- | -- | -- | -- |
| Uranium Energy Corp. | -- | -- | -- | -- |
| Uranium Participation Corporation | -- | -- | -- | -- |
| Urbana Corporation | -- | -- | -- | -- |
| Urbanfund Corp. | -- | -- | -- | -- |
| Urbanimmersive Inc. | -- | -- | -- | -- |
| UrtheCast Corp. | -- | -- | -- | -- |
| US Equity Alpha Corporate Class | -- | -- | -- | -- |
| US Equity Growth Corporate Class | -- | -- | -- | -- |
| US Equity Growth Pool | -- | -- | -- | -- |
| US Equity Small Cap Corporate Class | -- | -- | -- | -- |
| US Equity Small Cap Pool | -- | -- | -- | -- |
| US Equity Value Corporate Class | -- | -- | -- | -- |
| US Equity Value Currency Hedged Corporate Class | -- | -- | -- | -- |
| US Equity Value Pool | -- | -- | -- | -- |
| US Financial 15 Split Corp. | -- | -- | -- | -- |
| Utah Larder Gold Mines Limited | -- | -- | Yes/Oui | 1974/07/16 |
| U.S. and International Equity Class | -- | -- | -- | -- |
| U.S. and International Specialty Class | -- | -- | -- | -- |
| U.S. Banks Income & Growth Fund | -- | -- | -- | -- |
| U.S. Dividend Class (GWLIM) | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| U.S. Dividend Fund (GWLIM) | -- | -- | -- | -- |
| U.S. Dollar Cash Management Fund | -- | -- | -- | -- |
| U.S. Financials Income Fund | -- | -- | -- | -- |
| U.S. Global Canadian Energy ETF | -- | -- | -- | -- |
| U.S. Global GO GOLD and Precious Metal Miners ETF | -- | -- | -- | -- |
| U.S. High Yield Bond Fund | -- | -- | -- | -- |
| U.S. Large Cap Index Fund | -- | -- | -- | -- |
| U.S. Large Company Equity Fund | -- | -- | -- | -- |
| U.S. Low Volatility Fund (Putnam) | -- | -- | -- | -- |
| U.S. Small Company Equity Fund | -- | -- | -- | -- |
| U.S. Value Class (Putnam) | -- | -- | -- | -- |
| U.S. Value Fund (London Capital) | -- | -- | -- | -- |
| U.S. Value Fund (Putnam) | -- | -- | -- | -- |
| V | | | | |
| Vail Resorts, Inc. | -- | -- | -- | -- |
| Val-d'Or Mining Corporation | -- | -- | -- | -- |
| Valeant Pharmaceuticals International, Inc. | -- | -- | -- | -- |
| Valencia Ventures Inc. | -- | -- | -- | -- |
| Valener Inc. | -- | -- | -- | -- |
| Valens Groworks Corp. | -- | -- | -- | -- |
| Valero Energy Corporation | -- | -- | -- | -- |
| Valeura Energy Inc. | -- | -- | -- | -- |
| ValGold Resources Ltd. | -- | -- | -- | -- |
| Valhalla Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2014/08/11 |
| Valterra Resource Corporation | -- | -- | -- | -- |
| Valucap Investments Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2012/08/03 |
| Value Capital Trust | -- | -- | -- | -- |
| Van Diemen's Company Ltd., The | -- | -- | Yes/Oui | 1992/12/03 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Vanadium One Energy Corp. | -- | -- | -- | -- |
| Vanguard Balanced ETF Portfolio | -- | -- | -- | -- |
| Vanguard Canadian Aggregate Bond Index ET | -- | -- | -- | -- |
| Vanguard Canadian Corporate Bond Index ETF | -- | -- | -- | -- |
| Vanguard Canadian Government Bond Index ETF | -- | -- | -- | -- |
| Vanguard Canadian Long-Term Bond Index ET | -- | -- | -- | -- |
| Vanguard Canadian Short-Term Bond Index ETF | -- | -- | -- | -- |
| Vanguard Canadian Short-Term Corporate Bor Index ETF | -- | -- | -- | -- |
| Vanguard Canadian Short-Term Government Bond Index ETF | -- | -- | -- | -- |
| Vanguard Conservative ETF Portfolio | -- | -- | -- | -- |
| Vanguard FTSE Canada All Cap Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Canada Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Canadian Capped REIT Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Canadian High Dividend Yield Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Developed All Cap ex North America Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard FTSE Developed Europe All Cap Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Vanguard FTSE Developed Europe All Cap Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard FTSE Emerging Markets All Cap Index ETF | -- | -- | -- | -- |
| Vanguard FTSE Global All Cap ex Canada Index ETF | -- | -- | -- | -- |
| Vanguard Fund | -- | -- | Yes/Oui | 1979/10/04 |
| Vanguard Global Balanced Fund | -- | -- | -- | -- |
| Vanguard Global Dividend Fund | -- | -- | -- | -- |
| Vanguard Global Dividend Fund | -- | -- | -- | -- |
| Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard Global Liquidity Factor ETF | -- | -- | -- | -- |
| Vanguard Global Minimum Volatility ETF | -- | -- | -- | -- |
| Vanguard Global Momentum Factor ETF | -- | -- | -- | -- |
| Vanguard Global Value Factor ETF | -- | -- | -- | -- |
| Vanguard Growth ETF Portfolio | -- | -- | -- | -- |
| Vanguard International Growth Fund | -- | -- | -- | -- |
| Vanguard Mining Exploration Limited Partnership | -- | -- | Yes/Oui | 1988/10/12 |
| Vanguard S&P 500 Index ETF | -- | -- | -- | -- |
| Vanguard S&P 500 Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard U.S. Dividend Appreciation Index ET | -- | -- | -- | -- |
| Vanguard U.S. Dividend Appreciation Index ET (CAD-hedged) | -- | -- | -- | -- |
| Vanguard U.S. Total Market Index ETF | -- | -- | -- | -- |
| Vanguard U.S. Total Market Index ETF (CAD-hedged) | -- | -- | -- | -- |
| Vanguard Venture Corporation | -- | -- | Yes/Oui | 1990/11/05 |
| Vanguard Windsor U.S. Value Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Vantage Point Apartments (Issuer) Limited Partnership | -- | -- | Yes/Oui | 1999/10/06 |
| Vantage Systems Corporation | Yes/Oui | 1a, 1d | Yes/Oui | 2002/03/21 |
| Varity Corporation | -- | -- | Yes/Oui | 1997/07/17 |
| Vatic Ventures Corp. | -- | -- | -- | -- |
| Vaxil Bio Ltd. | -- | -- | -- | -- |
| VBI Vaccines Inc. | -- | -- | -- | -- |
| Vecima Networks Inc. | -- | -- | -- | -- |
| Vector Intermediaries Inc. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2003/05/28 |
| Vela Minerals Ltd. | -- | -- | -- | -- |
| Velan Inc. | -- | -- | -- | -- |
| Velocity Data Inc. | -- | -- | -- | -- |
| Velocity Minerals Ltd. | -- | -- | -- | -- |
| Venequity Capital Corporation | -- | -- | Yes/Oui | 1987/06/11 |
| Venga Aerospace Systems Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| VentriPoint Diagnostics Ltd. | -- | -- | -- | -- |
| VentureLink Innovation Fund Inc. | -- | -- | -- | -- |
| Verdant Valley Ventures Inc. | -- | -- | Yes/Oui | 1990/07/24 |
| Verde Agritech Plc | -- | -- | -- | -- |
| Verdx Minerals Corporation | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2000/07/17 |
| Veris Gold Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/09/11 |
| Verisante Technology, Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| Veritas Canadian Equity Fund | -- | -- | -- | -- |
| Veritas Pharma Inc. | -- | -- | -- | -- |
| Vermilion Energy Inc. | -- | -- | -- | -- |
| VersaBank | -- | -- | -- | -- |
| VersaPay Corporation | -- | -- | -- | -- |
| Versatile Systems Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2017/01/04 |
| Versus Systems Inc. | -- | -- | -- | -- |
| Vertex Enhanced Income Fund | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Vertex Growth Fund | -- | -- | -- | -- |
| Vertex Value Fund | -- | -- | -- | -- |
| Vestronix Corporation | -- | -- | Yes/Oui | 1991/06/05 |
| Viacorp Technologies Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/10/08 |
| Viaguard Pharmaceuticals Limited | -- | -- | Yes/Oui | 1993/07/23 |
| Victoria Gold Corp. | -- | -- | -- | -- |
| Victoria Graphite Inc. | -- | -- | Yes/Oui | 1998/01/27 |
| Victoria Wood Scarborough Brandywine | -- | -- | Yes/Oui | 1998/05/29 |
| Victory Capital Corp. | -- | -- | -- | -- |
| Victory Nickel Inc. | -- | -- | -- | -- |
| Victory Square Technologies Inc. | -- | -- | -- | -- |
| Vid Kids (Series II) | -- | -- | Yes/Oui | 1993/07/22 |
| VidWRX Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2016/05/12 |
| Viemed Healthcare, Inc. | -- | -- | -- | -- |
| Vigil Health Solutions Inc. | -- | -- | -- | -- |
| Vigil Locating Systems Corporation | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2007/09/14 |
| Viking Gold Exploration Inc. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/08 |
| Village Farms International, Inc. | -- | -- | -- | -- |
| Vindicator Industries Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/05/10 |
| Vinergy Resources Ltd. | -- | -- | -- | -- |
| VIQ Solutions Inc. | -- | -- | -- | -- |
| Virginia Hills Oil Corp. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/08 |
| Viridian Inc. | -- | -- | -- | -- |
| Viridium Pacific Group Ltd. | -- | -- | -- | -- |
| VirtualArmour International Inc. | -- | -- | -- | -- |
| Virtutone Networks Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2015/06/26 |
| Visa Gold Explorations Inc. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2003/05/28 |
| Visa Inc. | -- | -- | -- | -- |
| Visible Gold Mines Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Vision Global Solutions Inc. | Yes/Oui | 1a, 1c, 1e, 2c, 3 | Yes/Oui | 2005/08/04 |
| Vision Lithium Inc. | -- | -- | -- | -- |
| Vision Pharmaceuticals Inc. | -- | -- | Yes/Oui | 1991/06/17 |
| Vision SCMS Inc. | Yes/Oui | 1b | Yes/Oui | 2003/09/09 |
| Visionwall Incorporated | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2004/06/03 |
| Vista Gold Corp. | -- | -- | -- | -- |
| Vista Mines Inc. | -- | -- | Yes/Oui | 1989/06/01 |
| Vital Energy Inc. | -- | -- | -- | -- |
| Vital Retirement Living Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | Yes/Oui | 2003/05/22 |
| Vitalhub Corp. | -- | -- | -- | -- |
| Vivione Biosciences Inc. | -- | -- | -- | -- |
| Vodis Pharmaceuticals Inc. | -- | -- | -- | -- |
| Vogogo Inc. | -- | -- | -- | -- |
| Voice Mobility International, Inc. | -- | -- | -- | -- |
| Volcanic Gold Mines Inc. | -- | -- | -- | -- |
| VPI Canadian Balanced Pool | -- | -- | -- | -- |
| VPI Canadian Equity Pool | -- | -- | -- | -- |
| VPI Canadian Income Pool | -- | -- | -- | -- |
| VPI Foreign Equity Pool | -- | -- | -- | -- |
| VPI Mortgage Pool | -- | -- | -- | -- |
| VPI Value Pool | -- | -- | -- | -- |
| VR Resources Ltd. | -- | -- | -- | -- |
| VSM MedTech Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2006/12/15 |
| Vuzix Corporation | -- | -- | -- | -- |
| VVC Exploration Corporation | -- | -- | -- | -- |
| V.R.D. Entertainment Ltd. | -- | -- | Yes/Oui | 1995/03/23 |
| W | | | | |
| Wabigoon Resources Limited | -- | -- | Yes/Oui | 1992/05/21 |
| Wajax Corporation | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Waldron Energy Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/03 |
| Wall Financial Corporation | -- | -- | -- | -- |
| Wallbridge Mining Company Limited | -- | -- | -- | -- |
| Walter Energy, Inc. | Yes/Oui | 1a, 1b, 1c, 1d, 1e, 3 | -- | -- |
| Walton Big Lake Development L.P. | -- | -- | -- | -- |
| Walton Edgemont Development Corporation | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/16 |
| Walton Ontario Land L.P. 1 | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/16 |
| Walton Westphalia Development Corporation | -- | -- | -- | -- |
| Warwick Communications Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2008/07/17 |
| Warwick Universal Limited | -- | -- | Yes/Oui | 1978/06/12 |
| Wasabi Resources Ltd. | -- | -- | Yes/Oui | 1987/06/16 |
| Wasco Capital Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/10/07 |
| Waseco Resources Inc. | -- | -- | -- | -- |
| Waste Connections, Inc. | -- | -- | -- | -- |
| Wastecorp. International Investments Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2005/11/08 |
| Waterford Apartments Limited | -- | -- | -- | -- |
| Waterford House | -- | -- | Yes/Oui | 1985/09/16 |
| Waterpur International Inc. | -- | -- | Yes/Oui | 1999/10/18 |
| Watson Lake Mines Limited | -- | -- | Yes/Oui | 1992/06/18 |
| WavePOINT Systems Inc. | Yes/Oui | 1b | Yes/Oui | 2002/09/13 |
| WaveRider Communications Inc. | Yes/Oui | 1a, 1c, 1e | Yes/Oui | 2006/12/22 |
| Waverley Pharma Inc. | -- | -- | -- | -- |
| WaveTech Networks Inc. | -- | -- | Yes/Oui | 2001/05/25 |
| Wavve Telecommunications, Inc. | -- | -- | Yes/Oui | 2001/10/03 |
| Wayfair Explorations Limited | -- | -- | Yes/Oui | 1984/10/16 |
| Wayzata Finance Inc. | -- | -- | -- | -- |
| Wealthjammer Development Corporation | -- | -- | Yes/Oui | 1996/05/17 |
| WeedMD Inc. | -- | -- | -- | -- |
| Wellness Lifestyles Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Wells Fargo Canada Corporation | -- | -- | -- | -- |
| Wells Fargo Mid Cap Growth Fund | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Wells Fargo Premier Large Company Growth Fund | Yes/Oui | 1a, 1c, 1d, 3 | -- | -- |
| Werner Danz Company Limited | -- | -- | Yes/Oui | 1989/09/15 |
| WesCan Energy Corp. | -- | -- | -- | -- |
| Wescan Goldfields Inc. | -- | -- | -- | -- |
| Wesdome Gold Mines Ltd. | -- | -- | -- | -- |
| West African Resources Limited | -- | -- | -- | -- |
| West Coast Forest Products Ltd. | -- | -- | Yes/Oui | 2005/09/28 |
| West Fraser Timber Co. Ltd. | -- | -- | -- | -- |
| West Haven Golf Limited Partnership | -- | -- | Yes/Oui | 1991/01/10 |
| West High Yield (W.H.Y.) Resources Ltd. | -- | -- | -- | -- |
| West Isle Energy Inc. | -- | -- | Yes/Oui | 2012/06/28 |
| West Kirkland Mining Inc. | -- | -- | -- | -- |
| West Red Lake Gold Mines Inc. | -- | -- | -- | -- |
| Westbridge Energy Corporation | -- | -- | -- | -- |
| Westcoast Energy Inc. | -- | -- | -- | -- |
| Westcore Energy Ltd. | -- | -- | -- | -- |
| Westcot Ventures Corp. | -- | -- | -- | -- |
| Western Canada Beverage Corporation | -- | -- | Yes/Oui | 1992/08/07 |
| Western Copper and Gold Corporation | -- | -- | -- | -- |
| Western Energy Services Corp. | -- | -- | -- | -- |
| Western Forest Products Inc. | -- | -- | -- | -- |
| Western Plains Petroleum Ltd. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2014/06/17 |
| Western Resources Corp. | -- | -- | -- | -- |
| Western Resources Minerals Limited | -- | -- | Yes/Oui | 1992/12/23 |
| Western Troy Capital Resources Inc. | -- | -- | -- | -- |
| Western Uranium Corporation | -- | -- | -- | -- |
| WesternOne Inc. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Westfort Energy Ltd. | Yes/Oui | 1a, 1b, 1d, 3 | Yes/Oui | 2003/07/08 |
| WestJet Airlines Ltd. | -- | -- | -- | -- |
| Westline Resources Ltd. | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2012/07/17 |
| Westmills Canada Inc. | -- | -- | Yes/Oui | 1985/06/19 |
| Westmount Ridge Limited Partnership | -- | -- | Yes/Oui | 1994/06/06 |
| Westport Fuel Systems Inc. | -- | -- | -- | -- |
| Westridge Resources Inc. | -- | -- | -- | -- |
| Westshore Terminals Investment Corporation | -- | -- | -- | -- |
| Westwater Industries Ltd. | -- | -- | Yes/Oui | 1992/01/13 |
| Westwater Resources, Inc. | -- | -- | -- | -- |
| Westwood Emerging Markets Fund | -- | -- | -- | -- |
| Wheaton Precious Metals Corp. | -- | -- | -- | -- |
| Whim Creek Consolidated N.L. | -- | -- | Yes/Oui | 1990/10/09 |
| Whistler Blackcomb Holdings Inc. | -- | -- | -- | -- |
| White-Guyatt Mining Company Limited | -- | -- | Yes/Oui | 1990/06/21 |
| White Gold Corp. | Yes/Oui | 2c | -- | -- |
| White Metal Resources Corp. | -- | -- | -- | -- |
| White Rose Crafts and Nursery Sales Limited | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/10/15 |
| White Star Copper Mines Limited | -- | -- | Yes/Oui | 2000/12/06 |
| Whitecap Resources Inc. | -- | -- | -- | -- |
| Whitemud Resources Inc. | -- | -- | -- | -- |
| Wi2Wi Corporation | -- | -- | -- | -- |
| WildCard Technologies Inc. | -- | -- | Yes/Oui | 1997/12/08 |
| Wildflower Brands Inc. | Yes/Oui | 3 | -- | -- |
| Williston Wildcatters Oil Corporation | -- | -- | Yes/Oui | 1995/11/29 |
| Willoughby Investment Pool | -- | -- | -- | -- |
| Wilmington Capital Management Inc. | -- | -- | -- | -- |
| Wilshire Energy Resources Inc. | -- | -- | Yes/Oui | 1991/04/02 |
| Wilshire Oil Company of Texas | -- | -- | Yes/Oui | 1977/10/20 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Wimberley Resources Ltd. | -- | -- | Yes/Oui | 1985/06/18 |
| Win-Eldrich Mines Limited | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2013/05/13 |
| Winchester Group Inc. | -- | -- | Yes/Oui | 1999/10/06 |
| Windfire Capital Corp. | -- | -- | -- | -- |
| Windsor and Company Limited Partnership | -- | -- | Yes/Oui | 1994/09/22 |
| Windsor Court Investments | -- | -- | Yes/Oui | 1980/06/27 |
| Windsor Energy Corporation | -- | -- | Yes/Oui | 1999/10/18 |
| Windsor Park Apartments (Issuer) Limited Partnership | -- | -- | Yes/Oui | 1997/04/10 |
| Windy Mountain Explorations Ltd. | Yes/Oui | 1a | Yes/Oui | 2003/06/26 |
| Winpak Ltd. | -- | -- | -- | -- |
| Winston Gold Corp. | -- | -- | -- | -- |
| Winston Resources Inc. | -- | -- | -- | -- |
| Winwest Oil & Mining Limited | -- | -- | Yes/Oui | 1984/01/09 |
| WisdomTree Canada Quality Dividend Growth Index ETF | -- | -- | -- | -- |
| WisdomTree Emerging Markets Dividend Index ETF | -- | -- | -- | -- |
| WisdomTree Europe Hedged Equity Index ETF | -- | -- | -- | -- |
| WisdomTree International Quality Dividend Growth Index ETF | -- | -- | -- | -- |
| WisdomTree International Quality Dividend Growth Variably Hedged Index ETF | -- | -- | -- | -- |
| WisdomTree U.S. High Dividend Index ETF | -- | -- | -- | -- |
| WisdomTree U.S. MidCap Dividend Index ETF | -- | -- | -- | -- |
| WisdomTree U.S. Quality Dividend Growth Index ETF | -- | -- | -- | -- |
| WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF | -- | -- | -- | -- |
| WisdomTree Yield Enhanced Canada Aggregate Bond Index ETF | -- | -- | -- | -- |
| WisdomTree Yield Enhanced Canada Short-Term Aggregate Bond Index ETF | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujéti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|--|---------------------------------------|---|--|--|
| Wolfden Resources Corporation | -- | -- | -- | -- |
| Wood Composite Technologies Inc. | -- | -- | -- | -- |
| Wood Gundy Self-Directed Education Savings Plan | -- | -- | -- | -- |
| Woodfine Professional Centres Limited Partnership | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2018/05/04 |
| WorkGroup Designs Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2006/08/09 |
| World Financial Split Corp. | -- | -- | -- | -- |
| WORLD OUTFITTERS CORPORATION SAFARI NORDIK | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/04/05 |
| World Sales & Merchandising Inc. | Yes/Oui | 1a, 1b, 1d | Yes/Oui | 2002/02/04 |
| World Tec Sciences Incorporated | -- | -- | Yes/Oui | 1996/01/19 |
| World Wide Inc. | -- | -- | -- | -- |
| World Wide Minerals Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/05/09 |
| World Wise Technologies Inc. | Yes/Oui | 1a, 1d, 3 | Yes/Oui | 2003/02/21 |
| Worldtek (Canada) Ltd. | -- | -- | Yes/Oui | 2000/06/15 |
| Worldwide Resources Corp. | Yes/Oui | 3 | -- | -- |
| Wow Unlimited Media Inc. | -- | -- | -- | -- |
| WPC Resources Inc. | -- | -- | -- | -- |
| WPT Industrial Real Estate Investment Trust | -- | -- | -- | -- |
| WSP Global Inc. | -- | -- | -- | -- |
| WYE Resources Inc. | -- | -- | Yes/Oui | 2001/10/24 |
| Wysdom Inc. | Yes/Oui | 1a | Yes/Oui | 2005/05/18 |
| W.A.M. Collins Income Pool | -- | -- | -- | -- |
| X | | | | |
| X-Terra Resources Inc. | -- | -- | -- | -- |
| X Corporation | -- | -- | Yes/Oui | 1999/07/16 |
| Xanadu Fund Limited | -- | -- | Yes/Oui | 1993/06/02 |
| Xanthic Biopharma Inc. | -- | -- | -- | -- |
| Xavier Mines Limited | -- | -- | Yes/Oui | 1997/06/26 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Xebec Adsorption Inc. | -- | -- | -- | -- |
| Xenon Pharmaceuticals Inc. | -- | -- | -- | -- |
| Xerox Corporation | -- | -- | -- | -- |
| Xgen Ventures Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2010/08/10 |
| Ximen Mining Corp. | -- | -- | -- | -- |
| Xinex Networks Inc. | -- | -- | Yes/Oui | 1998/11/04 |
| Xmet Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2017/05/05 |
| XORTX Therapeutics Inc. | -- | -- | -- | -- |
| Xpel Technologies Corp. | -- | -- | -- | -- |
| Xplore Technologies Corp. | -- | -- | -- | -- |
| xRM Global Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2011/05/09 |
| Xtierra Inc. | -- | -- | -- | -- |
| Xtra-Gold Resources Corp. | -- | -- | -- | -- |
| Xtreme Drilling Corp. | -- | -- | -- | -- |
| XXL Energy Corp. | -- | -- | -- | -- |
| Y | | | | |
| Yaletown Capital Corp. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2012/11/13 |
| Yamana Gold Inc. | -- | -- | -- | -- |
| Yangaroo Inc. | -- | -- | -- | -- |
| Yangarra Resources Ltd. | -- | -- | -- | -- |
| YBM Magnex International, Inc. | -- | -- | Yes/Oui | 1998/05/13 |
| YDreams Global Interactive Technologies Inc. | -- | -- | -- | -- |
| Yellow Pages Digital & Media Solutions Limitec | -- | -- | -- | -- |
| Yellow Pages Limited | -- | -- | -- | -- |
| Yellowhead Mining Inc. | -- | -- | -- | -- |
| Ynvisible Interactive Inc. | -- | -- | -- | -- |
| Yongsheng Capital Inc. | -- | -- | -- | -- |
| Yorbeau Resources Inc. | -- | -- | -- | -- |
| York Masonic Temple Limited | -- | -- | Yes/Oui | 1982/06/28 |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Yorkton Ventures Inc. | -- | -- | -- | -- |
| Yorkville American QVR Enhanced Protection Class | -- | -- | -- | -- |
| Yorkville Canadian QVR Enhanced Protection Class | -- | -- | -- | -- |
| Yorkville Enhanced Protection Class | -- | -- | -- | -- |
| Yorkville Global Opportunities Class | -- | -- | -- | -- |
| Yorkville Health Care Opportunities Class | -- | -- | -- | -- |
| Yorkville International QVR Enhanced Protection Class | -- | -- | -- | -- |
| Yorkville Optimal Return Bond Class | -- | -- | -- | -- |
| YRI York Limited | -- | -- | Yes/Oui | 1991/10/22 |
| YSV Ventures Inc. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/07 |
| Z | | | | |
| Zadar Ventures Ltd. | -- | -- | -- | -- |
| Zamora Gold Corp. | Yes/Oui | 1a, 1b, 3 | Yes/Oui | 2003/07/07 |
| Zanzibar Gold Inc. | -- | -- | -- | -- |
| Zapata Corporation | -- | -- | Yes/Oui | 1993/03/16 |
| Zara Resources Inc. | -- | -- | -- | -- |
| Zargon Oil & Gas Ltd. | -- | -- | -- | -- |
| Zaurak Capital Corporation | Yes/Oui | 1a | Yes/Oui | 2002/08/27 |
| ZaZa Energy Corporation | Yes/Oui | 1b, 1c, 1e | Yes/Oui | 2015/12/17 |
| ZCL Composites Inc. | -- | -- | -- | -- |
| Zconnex Corporation | -- | -- | Yes/Oui | 2001/08/30 |
| Zedcor Energy Inc. | -- | -- | -- | -- |
| Zenith Capital Corp. | -- | -- | -- | -- |
| Zenmac Zinc Ltd. | -- | -- | Yes/Oui | 1998/06/17 |
| Zenyatta Ventures Ltd. | -- | -- | -- | -- |
| Zephyr Minerals Ltd. | -- | -- | -- | -- |
| Zimtu Capital Corp. | -- | -- | -- | -- |

Ontario Securities Commission
Commission des valeurs mobilières de l'Ontario

| Issuer Name Nom d'émetteur assujetti | In Default En défaut | Nature of Default Nature du défaut | Cease Traded Ordonnance d'interdiction d'opérations | Order Date Date de l'ordonnance (YYYY/MM/DD) |
|---|---------------------------------------|---|--|--|
| Zincore Metals Inc. | -- | -- | -- | -- |
| Zlin Aerospace Inc. | Yes/Oui | 1a | Yes/Oui | 2002/11/26 |
| Zoloto Resources Ltd. | Yes/Oui | 1a, 1c, 1e, 3 | Yes/Oui | 2009/05/06 |
| Zonte Metals Inc. | -- | -- | -- | -- |
| ZoomerMedia Limited | -- | -- | -- | -- |
| ZoomMed Inc. | -- | -- | -- | -- |
| ZTEST Electronics Inc. | -- | -- | -- | -- |
| Zungui Haixi Corporation | Yes/Oui | 1a, 1c, 1e, 3, 4 | Yes/Oui | 2011/09/16 |
| Zupintra Corporation, Inc. | Yes/Oui | 1a, 1b, 1c, 1e, 3 | Yes/Oui | 2008/07/23 |
| Zymeworks Inc. | -- | -- | -- | -- |
| Zytec Systems Inc. | -- | -- | Yes/Oui | 1992/06/17 |