

SUMMARY OF PUBLICATIONS

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(2007), 30 OSCB 7 OSC Staff Notice **11-759** Business Continuity Planning
(2007), 30 OSCB 9 Short Notice of Commission Approval – Repeal of CNQ Rule **10** – Sales Practice
(2007), 30 OSCB 49 Proposed Amendments to NP **41-201** Income Trusts and Other Indirect Offerings
(2007), 30 OSCB 73 Proposed NP **12-202** Revocation of a Compliance-related Cease Trade Order

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(2007), 30 OSCB 276 Short Notice of NI **24-101** Institutional Trade Matching and Settlement and Companion Policy **24-101CP** to NI **24-101** Institutional Trade Matching and Settlement
(2007), 30 OSCB 277 CSA Staff Notice **81-316** Hedge Funds
(2007), 30 OSCB 283 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 18.14(d)(iii)** – Registered Representatives and Investment Representatives
(2007), 30 OSCB 284 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
(2007), 30 OSCB 335 CSA Notice of NI **24-101** Institutional Trade Matching and Settlement and Companion Policy **24-101CP** to NI **24-101** Institutional Trade Matching and Settlement
(2007), 30 OSCB 395 Notice and Request for Comment – Proposed OSC Rule **24-501** Designation as a Market Participant/**Request for Comments**
(2007), 30 OSCB 498 RS Notice – Request for Comments – Proposed UMIR Regulation Fee Model/**Request for Comments**
(2007), 30 OSCB 498 IDA Amendment to By-law **18.14(d)(iii)** - Registered Representatives and Investment Representatives

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(2007), 30 OSCB 508 Short Notice of Ministerial Approval – Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments
(2007), 30 OSCB 509 Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulations **100.2(d)** and **100.13**
(2007), 30 OSCB 510 CSA Notice **51-323** – XBRL Filing Program and Request for Volunteers
(2007), 30 OSCB 551 Proposed Amendments to NI **51-101** Standards of Disclosure for Oil and Gas Activities, Form **51-101F1** Statement of Reserves Data and Other Oil and Gas Information, Form **51-101F2** Report on Reserves Data by Independent Qualified Reserves Evaluator or Auditor, Form **51-101F3** Report of Management and Directors on Oil and Gas Disclosure and Companion Policy **51-101CP** Standards of Disclosure for Oil and Gas Activities/**Request for Comments**
(2007), 30 OSCB 799 Notice of Commission Approval – IDA Proposed Housekeeping Amendments to Regulations **100.2(d)** and **100.13**
(2007), 30 OSCB (Supp) Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments to NI **52-107** Acceptable Accounting Principles, Auditing Standards and Reporting Currency, and NI **71-102** Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

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(2007), 30 OSCB 816 CSA Staff Notice **13-315** (Revised) Securities Regulatory Authority Closed Dates 2007
(2007), 30 OSCB 996 TSX Request for Comments – Amendments to Part VI of the TSX Company Manual/**Request for Comments**
(2007), 30 OSCB 1006 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation

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(2007), 30 OSCB 1014 Short Notice of Commission Approval – IDA Amendments to Regulations **100.4A**, **100.4B**, **100.4C**, **100.4D**, **100.4E** and **100.4K** – Extending Debt Offsets to Customer Positions
(2007), 30 OSCB 1141 CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to International Services/**Request for Comments**
(2007), 30 OSCB 1147 CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to Constrained Entitlements/**Request for Comments**
(2007), 30 OSCB 1151 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to International Services Procedures
(2007), 30 OSCB 1153 IDA – Amendment to Policy **6**, Part **III**
(2007), 30 OSCB 1169 CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to the Rule Amendment Process/**Request for Comments**
(2007), 30 OSCB 1173 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to Event Claims Procedures

(2007), 30 OSCB 1175 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to SWIFT Release

(2007), 30 OSCB 1176 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to CDS Participant Procedures

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(2007), 30 OSCB 1186 CSA Notice **52-317** Timing of Proposed National Instrument **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

(2007), 30 OSCB 1333 CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to Exchange Trades/**Request for Comments**

(2007), 30 OSCB 1340 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures Relating to IESS Manifest Form Procedures

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(2007), 30 OSCB 1595 CNQ Notice and Request for Comments – Proposed Rule Change to Rules **4-106** and **4-107** Regarding Entry of Orders/**Request for Comments**

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(2007), 30 OSCB 1606 Short Notice of CSA Request for Comments – Proposed NI **31-103** Registration Requirements, Form **31-103F1**, Form **31-103F2**, Form **31-103F3** and Companion Policy **31-103CP**, and Proposed Forms **33-109F1**, **33-109F4** and **33-109F6** under MI **33-109** Registration Information/**Request for Comments**

(2007), 30 OSCB 1606 CSA Staff Notice **55-314** Use of the Terms “senior officer”, “officer” and “insider” in NI **55-101** Insider Reporting Exemptions

(2007), 30 OSCB 1649 Notice of Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database, and Companion Policy **31-102CP**

(2007), 30 OSCB 1783 CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Delivery Services – Responsibility for Shipments

(2007), 30 OSCB (Supp) NI **31-103** and Companion Policy **31-103CP** Registration Requirements and Proposed Amendments to MI **33-109** Registration Information/**Request for Comments**

March 2, 2007

(2007), 30 OSCB 1800 OSC Staff Notice **33-727** – IOSCO Publishes Consultation Report on Market Intermediary Management of Conflicts that Arise in Securities Offerings

March 9, 2007

(2007), 30 OSCB 2028 RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Competitive Marketplaces

(2007), 30 OSCB 2029 CSA Staff Notice **51-309** (revised) – NI 51-101 Standards of Disclosure for Oil and Gas Activities – Acceptance of Certain Foreign Professional Boards as a “Professional Organization”

(2007) 30 OSCB 2097 Notice of Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants

(2007) 30 OSCB 2101 Proposed Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants

March 23, 2007

(2007), 30 OSCB 2523 Assignment of Certain Powers and Duties of the Ontario Securities Commission - s. 6(3)

(2007), 30 OSCB 2530 Short notice of Ministerial Approval of National Instrument **24-101** Institutional Trade Matching and Settlement, Forms 24-101F1, 24-101F2, 24-101F3, 24-101F4, and 24-101F5, and Companion Policy 24-101CP

(2007), 30 OSCB 2531 Notice of Commission Approval – Material Amendments to CDS Rules Relating to the Rule Amendment Process

(2007), 30 OSCB 2532 OSC Staff Notice **12-703** (Revised) – Preferred Format of Application to the Director under Clause 1(10)(b)

(2007,) 30 OSCB 2535 CSA Staff Notice **12-310** Expedited Treatment of Applications under the Mutual Reliance Review System for Exemptive Relief Applications

(2007), 30 OSCB 2609 National Instrument **24-101** Institutional Trade Matching and Settlement, Forms 24-101F1, 24-101F2, 24-101F3, 24-101F4, and 24-101F5, and Companion Policy 24-101CP

(2007), 30 OSCB 2641 Notice of Amendment to and Restatement of OSC Policy **12-602** Deeming a Reporting Issuer in Certain Other Canadian Jurisdictions to be a Reporting Issuer in Ontario

March 30, 2007

(2007) 30 OSCB 2807 CSA Staff Notice **81-317** Frequently Asked Questions on National Instrument 81-107 Independent Review Committee for Investment Funds

(2007) 30 OSCB 2812 OSC Notice **11-904** Request for Comment Regarding the Proposed Passport System

<u>April 6, 2007</u> (2007) 30 OSCB 3133 (2007) 30 OSCB 3211	Notice of Amendments to the Securities Act Notice and Request for Comment – Proposed OSC Rule 62-504 Take-Over Bids and Issuer Bids
<u>April 13, 2007</u> (2007) 30 OSCB 3429 (2007) 30 OSCB 3429 (2007) 30 OSCB 3431 (2007) 30 OSCB 3432	Notice of Commission Approval – Material Amendments to CDS Rules Relating to International Services OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Exchange Trades Notice of Commission Approval – Material Amendments to CDS Rules Relating to Constrained Entitlements
<u>April 20, 2007</u> (2007) 30 OSCB (Supp-3) (2007) 30 OSCB (Supp-3)	Proposed Amendments to National Instrument 21-101 Marketplace Operation and Companion Policy 21-101CP Proposed Amendments to National Instrument 23-101 Trading Rules and Companion Policy 23-101CP
<u>April 27, 2007</u> (2007) 30 OSCB 3892 (2007) 30 OSCB 3909	OSC Staff Notice 11-760 Report on Mutual Fund Sales Practices Under Part 5 of Ni 81-105 Mutual Fund Sales Practices OSC Request for Comments Regarding Statement of Priorities for Fiscal Year Ending March 31, 2008
<u>May 4, 2007</u> (2007) 30 OSCB 4119	CSA Staff Notice 51-311 (Revised) – Frequently Asked Questions Regarding National Instrument 51-102 Continuous Disclosure Obligations
<u>May 11, 2007</u> (2007) 30 OSCB 4368	Proposed Rescission of OSC Policy 57-602 Cease Trading Orders – Application for Partial Revocation to Permit a Securityholder to Establish a Tax Loss
<u>May 18, 2007</u> (2007) 30 OSCB 4490	Notice and Request for Comments Regarding the Procedure of the Ontario Securities Commission
<u>June 1, 2007</u> (2007) 30 OSCB 4906 (2007) 30 OSCB 4907 (2007) 30 OSCB 4965	Notice of Amendments to the Securities Act OSC Notice 11-904 – Extension of Comment Period Notice and Request for Comment – Proposed Amendments to NI 81-106 Investment Fund Continuous Disclosure, Form 81-106F1 and Companion Policy 81-106CP Investment Fund Continuous Disclosure and Related Amendments
<u>June 8, 2007</u> (2007) 30 OSCB 5204 (2007) 30 OSCB 5205 (2007) 30 OSCB 5205 (2007) 30 OSCB 5263	Notice of Commission Approval – Amendments to the Rules of the Toronto Stock Exchange – Implementation of a Pre-Trade Matching Facility – Alternative Trade eXecution (ATX) Notice of Commission Approval – MFDA Policy No .6, Amendments to MFDA Rule 1.2.5 and Consequential Amendments Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participants Notice of Commission Approval of Amendments to National Instrument 55-101 – Insider Reporting Exemptions and Companion Policy 55-101CP
<u>June 15, 2007</u> (2007) 30 OSCB 5430 (2007) 30 OSCB 5431 (2007) 30 OSCB 5431	Notice of Ministerial Approval – Ontario Amending Instrument Amending MI 33-109 Registration Information and MI 31-102 National Registration Database Notice of Request for Comments – Joint Forum Proposed Framework 81-406 Point of Sale Disclosure for Mutual Funds and Segregated Funds CSA Revised Staff Notice 13-315 Securities Regulatory Authority Closed Dates 2007
<u>June 29, 2007</u> (2007) 30 OSCB 5823 (2007) 30 OSCB 5818	CSA Staff Notice 52-318 Audit Committee Follow-up Compliance Review CSA Staff Notice 58-303 Corporate Governance Disclosure Compliance Review

July 6, 2007
(2007) 30 OSCB 6014 CSA Staff Notice **24-304** – CSA Industry Working Group on NI 24-101 – Institutional Trade Matching and Settlement
(2007) 30 OSCB 6016 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
(2007) 30 OSCB 6067 NP **41-201** Income Trusts and Other Indirect Offerings

July 13, 2007
(2007) 30 OSCB 6285 Notice of OSC Rule **24-501** – Designation as Market Participant

July 20, 2007
(2007) 30 OSCB 6425 CSA Staff Notice **44-304** – Linked Notes Distributed under Shelf Prospectus System
(2007) 30 OSCB 6433 Notice of Commission Approval – Amendments to Section 19.9 of MFDA By-law No.1 – Hearing Panels

July 27, 2007
(2007) 30 OSCB 6650 Notice of Commission Approval – Amendments to the Rules of the Toronto Stock Exchange – Direct Access Provisions about Connectivity
(2007) 30 OSCB 6651 CSA Notice **46-304** Update on Principal Protected Notes
(2007) 30 OSCB 6733 NP **12-202** Revocation of a Compliance-related Cease Trade Order
(2007) 30 OSCB 6742 OSC Notice – Rescission of OSC Policy **57-602** Cease-Trading Orders – Applications for Partial Revocation to Permit a Securityholder to Establish a Tax Loss

August 3, 2007
(2007) 30 OSCB 6859 Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Maximum Debt Trade Amount

August 24, 2007
(2007) 30 OSCB 7303 OSC Staff Notice **33-728** – 2007 Annual Report – Compliance Team
(2007) 30 OSCB 7321 Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Security Master File Enhancement
(2007) 30 OSCB 7321 Notice of Approval – Application to Vary the Recognition and Designation Order of CDS

August 31, 2007
(2007) 30 OSCB 7445 CSA Notice **51-325** – Status of Proposed Repeal and Substitution of Form 51-102F6 Statement of Executive Compensation
(2007) 30 OSCB 7447 Notice of Commission Approval – Material Amendments to CDS Rules Relating to Euroclear UK Direct Service
(2007) 30 OSCB 7527 CSA Notice and Request for Comment – Proposed NP **11-202** Process for Prospectus Reviews in Multiple Jurisdictions and NP **11-203** Process for Exemptive Relief Applications in Multiple Jurisdictions and Related Repeals

September 7, 2007
(2007) 30 OSCB 7682 Notice of Ministerial Approval of Amendments to NI **55-101CP** Insider Reporting Exemptions
(2007) 30 OSCB 7683 Notice of Commission Approval – Housekeeping Amendments to MFDA Form 1, Financial Questionnaire and Report
(2007) 30 OSCB 7711 Amendments to NI **55-101** Insider Reporting Exemptions
(2007) 30 OSCB 7712 NI **55-101** Insider Reporting Exemptions (blacklined)
(2007) 30 OSCB 7717 Companion Policy **55-101CP** Insider Reporting Exemptions (blacklined)

September 14, 2007
(2007) 30 OSCB 7860 Notice of Ministerial Approval of OSC Rule **24-501** – Designation as Market Participant
(2007) 30 OSCB 7907 OSC Rule **24-501** Designation as Market Participant

September 21, 2007
(2007) 30 OSCB 8028 CNQ Amendments to Policy 6 Distributions – Amendment of Warrant Terms – Notice of Commission Approval

September 28, 2007
(2007) 30 OSCB 8180 Notice of Commission Approval – Proposed Amendments to IDA By-Law 38 and Policy 6, Part 1- Chief Compliance Officer Qualifying Examination
(2007) 30 OSCB 8187 Notice of Commission Approval Proposed Amendments to IDA Policy 6, Part III- Continuing Education Program
(2007) 30 OSCB 8187 CSA Staff Notice **58-304** – Review of NI 58-101 Disclosure of Corporate Governance Practices and NP 58-201 Corporate Governance Guidelines

October 5, 2007
(2007) 30 OSCB 8321 OSC Staff Notice **11-739** (Revised) – Policy Reformation Table of Concordance and List of New Instruments

October 12, 2007

- (2007) 30 OSCB (Supp-5) Notice of Amendments to National Instrument **51-101** Standards of Disclosure for Oil and Gas Activities, Form **51-101F1** Statement of Reserves Data and Other Oil and Gas Information, Form **51-101F2** Report on Reserves Data by Independent Qualified Reserves Evaluator or Auditor, Form **51-101F3** Report of Management and Directors on Oil and Gas Disclosure and Companion Policy **51-101CP** Standards of Disclosure for Oil and Gas Activities
- (2007) 30 OSCB 8570 Notice of Amendments and Notice and Request for Comment - Amendments to **NI 51-102** Continuous Disclosure Obligations, Related Forms and Companion Policy, and Consequential and Other Amendments
- (2007) 30 OSCB 8599 Notice and Request for Comment - Proposed Amendments to National Instrument **51-102** Continuous Disclosure Obligations, Companion Policy **51-102CP** Continuous Disclosure Obligations and National Instrument **52-108** Auditor Oversight

November 2, 2007

- (2007) 30 OSCB 9049 OSC Staff Notice **51-706** - Corporate Finance Branch Report 2007

November 9, 2007

- (2007) 30 OSCB 9213 OSC Staff Notice **33-729** - Marketing Practices of Investment Counsel/Portfolio Managers
- (2007) 30 OSCB 9222 CSA Staff Notice **21-307** - Extension of Approval of Information Processor for Corporate Fixed Income Securities

November 23, 2007

- (2007) 30 OSCB 9638 OSC Staff Notice **11-737** (Revised) - Securities Advisory Committee - Vacancies (Previous versions published September 17, 2004 and July 15, 2005)
- (2007) 30 OSCB 9640 CSA Notice **52-319** - Status of Proposed Repeal and Replacement of MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings
- (2007) 30 OSCB 9642 OSC Staff Notice **52-717** - Certification of Annual and Interim Filings - Venture Issuer Basic Certificates

December 7, 2007

- (2007) 30 OSCB 10093 CSA Notice and Request for Comment - Proposed Amendments to **NI 55-102** System for Electronic Disclosure by Insiders (SEDI), **Form 55-102F1** Insider Profile, **Form 55-102F2** Insider Report, **Form 55-102F3** Issuer Profile Supplement and **Form 55-102F6** Insider Report

December 14, 2007

- (2007) 30 OSCB (Supp-6) OSC Rule **62-504** Take-Over Bids and Issuer Bids
- (2007) 30 OSCB (Supp-6) CSA Notice – National Policy **62-203** Take-Over Bids and Issuer Bids
- (2007) 30 OSCB (Supp-6) Multilateral Instrument **61-101** Protection of Minority Security Holders in Special Transactions and Companion Policy
- (2007) 30 OSCB (Supp-6) OSC Rule **61-801** Implementing Multilateral Instrument **61-101** Protection of Minority Security Holders in Special Transactions
- (2007) 30 OSCB 10202 CSA Staff Notice **24-305** – Frequently Asked Questions About NI **24-101** – Institutional Trade Matching and Settlement and Related Companion Policy

December 21, 2007

- (2007) 30 OSCB 10499 Amendments to **NI 51-102** Continuous Disclosure Obligations and Related Amendments
- (2007) 30 OSCB 10512 Revocation of **NP 48** Future-Oriented Financial Information and Amendments to **NI 51-102** Continuous Disclosure Obligations and Related Consequential Amendments
- (2007) 30 OSCB National Instrument **41-101** General Prospectus Requirements, National Instrument **41-101**, Form **41-101F1**, Form **41-101F2** and Companion Policy **41-101CP**

Local Notices

January 5, 2007

- (2007), 30 OSCB 7 OSC Staff Notice **11-759** Business Continuity Planning

January 12, 2007

- (2007), 30 OSCB 284 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

March 2, 2007

- (2007), 30 OSCB 1800 OSC Staff Notice **33-727** – IOSCO Publishes Consultation Report on Market Intermediary Management of Conflicts that Arise in Securities Offerings

March 23, 2007

- (2007), 30 OSCB 2532 OSC Staff Notice **12-703** (Revised) – Preferred Format of Application to the Director under Clause 1(10)(b)

March 30, 2007

- (2007) 30 OSCB 2812 OSC Notice **11-904** Request for Comment Regarding the Proposed Passport System

April 13, 2007
(2007) 30 OSCB 3429 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

April 27, 2007
(2007) 30 OSCB 3892 OSC Staff Notice **11-760** Report on Mutual Fund Sales Practices Under Part 5 of Ni 81-105 Mutual Fund Sales Practices

July 6, 2007
(2007) 30 OSCB 6016 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

August 24, 2007
(2007) 30 OSCB 7303 OSC Staff Notice **33-728** – 2007 Annual Report – Compliance Team

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(2007) 30 OSCB 8321 OSC Staff Notice **11-739** (Revised) – Policy Reformation Table of Concordance and List of New Instruments

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(2007) 30 OSCB 9049 OSC Staff Notice **51-706** - Corporate Finance Branch Report 2007

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(2007) 30 OSCB 9213 OSC Staff Notice **33-729** - Marketing Practices of Investment Counsel/Portfolio Managers

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(2007) 30 OSCB 9638 OSC Staff Notice **11-737** (Revised) - Securities Advisory Committee - Vacancies (Previous versions published September 17, 2004 and July 15, 2005)
(2007) 30 OSCB 9642 OSC Staff Notice **52-717** - Certification of Annual and Interim Filings - Venture Issuer Basic Certificates

Canadian Securities Administrators' Notices

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(2007), 30 OSCB 4 CSA Notice **51-322** Reporting Issuer Defaults

January 12, 2007
(2007), 30 OSCB 277 CSA Staff Notice **81-316** Hedge Funds

January 19, 2007
(2007), 30 OSCB 510 CSA Notice **51-323** – XBRL Filing Program and Request for Volunteers

January 26, 2007
(2007), 30 OSCB 816 CSA Staff Notice **13-315** (Revised) Securities Regulatory Authority Closed Dates 2007

February 6, 2007
(2007), 30 OSCB 1186 CSA Notice **52-317** Timing of Proposed National Instrument **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

February 23, 2007
(2007), 30 OSCB 1606 CSA Staff Notice **55-314** - Use of the Terms “senior officer”, “officer” and “insider” in NI **55-101** Insider Reporting Exemptions

March 9, 2007
(2007), 30 OSCB 2029 CSA Staff Notice **51-309** (revised) – NI 51-101 Standards of Disclosure for Oil and Gas Activities – Acceptance of Certain Foreign Professional Boards as a “Professional Organization”

March 23, 2007
(2007), 30 OSCB 2535 CSA Staff Notice **12-310** Expedited Treatment of Applications under the Mutual Reliance Review System for Exemptive Relief Applications

March 30, 2007
(2007) 30 OSCB 2807 CSA Staff Notice **81-317** Frequently Asked Questions on National Instrument 81-107 Independent Review Committee for Investment Funds

June 15, 2007
(2007) 30 OSCB 5431 CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2007

<u>June 29, 2007</u> (2007) 30 OSCB 5823 (2007) 30 OSCB 5818	CSA Staff Notice 52-318 Audit Committee Follow-up Compliance Review CSA Staff Notice 58-303 Corporate Governance Disclosure Compliance Review
<u>July 6, 2007</u> (2007) 30 OSCB 6014	CSA Staff Notice 24-304 – CSA Industry Working Group on NI 24-101 – Institutional Trade Matching and Settlement
<u>July 20, 2007</u> (2007) 30 OSCB 6425	CSA Staff Notice 44-304 – Linked Notes Distributed under Shelf Prospectus System
<u>July 27, 2007</u> (2007) 30 OSCB 6651	CSA Notice 46-304 Update on Principal Protected Notes
<u>August 31, 2007</u> (2007) 30 OSCB 7445	CSA Notice 51-325 – Status of Proposed Repeal and Substitution of Form 51-102F6 Statement of Executive Compensation
<u>September 28, 2007</u> (2007) 30 OSCB 8187	CSA Staff Notice 58-304 – Review of NI 58-101 Disclosure of Corporate Governance Practices and NP 58-201 Corporate Governance Guidelines
<u>November 9, 2007</u> (2007) 30 OSCB 9222	CSA Staff Notice 21-307 - Extension of Approval of Information Processor for Corporate Fixed Income Securities
November 23, 2007 (2007) 30 OSCB 9640	CSA Notice 52-319 - Status of Proposed Repeal and Replacement of MI 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings

B. MEMORANDA OF UNDERSTANDING

C. RESCISSION OF POLICY STATEMENTS

D. PROCEDURE AND RELATED MATTERS

12-202 Revocation of a Compliance-related Cease Trade Order

<u>January 5, 2007</u> (2007), 30 OSCB 73	Proposed NP 12-202 Revocation of a Compliance-related Cease Trade Order
<u>March 23, 2007</u> (2007), 30 OSCB 2641	Notice of Amendment to and Restatement of OSC Policy 12-602 Deeming a Reporting Issuer in Certain Other Canadian Jurisdictions to be a Reporting Issuer in Ontario
<u>July 27, 2007</u> (2007) 30 OSCB 6733	NP 12-202 Revocation of a Compliance-related Cease Trade Order
<u>August 31, 2007</u> (2007) 30 OSCB 7527	CSA Notice and Request for Comment – Proposed NP 11-202 Process for Prospectus Reviews in Multiple Jurisdictions and NP 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions and Related Repeals

E. CERTAIN CAPITAL MARKET PARTICIPANTS

21-101 Market place Operation

(2007) 30 OSCB (Supp-3)	Proposed Amendments to National Instrument 21-101 Marketplace Operation and Companion Policy 21-101CP
<u>November 9, 2007</u> (2007) 30 OSCB 9222	CSA Staff Notice 21-307 - Extension of Approval of Information Processor for Corporate Fixed Income Securities

23-101 Trading Rules

(2007) 30 OSCB (Supp-3)	Proposed Amendments to National Instrument 23-101 Trading Rules and Companion Policy 23-101CP
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24-101 24-101CP Institutional Trade Matching and Settlement

January 12, 2007
(2007), 30 OSCB 276

(2007), 30 OSCB 335

Short Notice of NI **24-101** Institutional Trade Matching and Settlement and Companion Policy **24-101CP** to NI **24-101** Institutional Trade Matching and Settlement
CSA Notice of NI **24-101** Institutional Trade Matching and Settlement and Companion Policy **24-101CP** to NI **24-101** Institutional Trade Matching and Settlement

December 14, 2007
(2007) 30 OSCB 10202

CSA Staff Notice **24-305** – Frequently Asked Questions About NI **24-101** – Institutional Trade Matching and Settlement and Related Companion Policy

24-501 Designation as a Market Participant

January 12, 2007
(2007), 30 OSCB 395

Notice and Request for Comment – Proposed OSC Rule **24-501** Designation as a Market Participant/**Request for Comments**

July 13, 2007
(2007) 30 OSCB 6285

Notice of OSC Rule **24-501** – Designation as Market Participant

September 14, 2007
(2007) 30 OSCB 7860
(2007) 30 OSCB 7907

Notice of Ministerial Approval of OSC Rule **24-501** – Designation as Market Participant
OSC Rule **24-501** Designation as Market Participant

F. REGISTRATION REQUIREMENTS AND RELATED MATTERS

31-102 31-102CP National Registration Database

February 23, 2007
(2007), 30 OSCB 1649

Notice of Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database, and Companion Policy **31-102CP**

June 15, 2007
(2007) 30 OSCB 5430

Notice of Ministerial Approval – Ontario Amending Instrument Amending MI **33-109** Registration Information and MI **31-102** National Registration Database

31-103 31-103CP 31-103F1 31-103F2 31-103F3 Registration Requirements

February 23, 2007
(2007), 30 OSCB 1606

Notice of CSA Request for Comments – Proposed NI **31-103** Registration Requirements, Form **31-103F1**, Form **31-103F2**, Form **31-103F3** and Companion Policy **31-103CP**, and Proposed Forms **33-109F1**, **33-109F4** and **33-109F6** under MI **33-109** Registration Information/**Request for Comments**

(2007), 30 OSCB (Supp)

NI **31-103** and Companion Policy **31-103CP** Registration Requirements and Proposed Amendments to MI **33-109** Registration Information/**Request for Comments**

31-502 Proficiency Requirements

March 9, 2007
(2007) 30 OSCB 2097

Notice of Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants

(2007), 30 OSCB 2101

Proposed Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants

June 15, 2007
(2007) 30 OSCB 5683

Notice of Amendments to OSC Rule **31-502** Proficiency Requirements for Registrants

33-109 33-109F1 33-109F4 33-109F6 Registration Information

February 23, 2007
(2007), 30 OSCB 1606

Notice of CSA Request for Comments – Proposed NI **31-103** Registration Requirements, Form **31-103F1**, Form **31-103F2**, Form **31-103F3** and Companion Policy **31-103CP**, and Proposed Forms **33-109F1**, **33-109F4** and **33-109F6** under MI **33-109** Registration Information/**Request for Comments**

(2007), 30 OSCB 1649

Notice of Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database, and Companion Policy **31-102CP**

(2007), 30 OSCB (Supp) NI **31-103** and Companion Policy **31-103CP** Registration Requirements and Proposed Amendments to MI **33-109** Registration Information/**Request for Comments**

June 15, 2007
(2007) 30 OSCB 5430 Notice of Ministerial Approval – Ontario Amending Instrument Amending MI **33-109** Registration Information and MI **31-102** National Registration Database

November 9, 2007
(2007) 30 OSCB 9213 OSC Staff Notice **33-729** - Marketing Practices of Investment Counsel/Portfolio Managers

G. DISTRIBUTION REQUIREMENTS

41-201 Income Trusts and Other Indirect Offerings

January 5, 2007
(2007), 30 OSCB 49 Proposed Amendments to NP **41-201** Income Trusts and Other Indirect Offerings

July 6, 2007
(2007) 30 OSCB 6067 NP **41-201** Income Trusts and Other Indirect Offerings

41-101 General Prospectus Requirements

December 21, 2007
(2007) 30 OSCB (Supp-7) National Instrument **41-101** General Prospectus Requirements, Form **41-101F1** Information Required in a Prospectus of the Rule, **Form 41-101F2** Information Required in an Investment Fund Prospectus, **Companion Policy 41-101CP** General Prospectus Requirements

H. ONGOING REQUIREMENTS FOR ISSUERS AND INSIDERS

51-101 51-101CP 51-101F1 51-101F2 51-101F3 Standards of Disclosure for Oil and Gas Activities

January 19, 2007
(2007), 30 OSCB 551 Proposed Amendments to NI **51-101** Standards of Disclosure for Oil and Gas Activities, Form **51-101F1** Statement of Reserves Data and Other Oil and Gas Information, Form **51-101F2** Report on Reserves Data by Independent Qualified Reserves Evaluator or Auditor, Form **51-101F3** Report of Management and Directors on Oil and Gas Disclosure and Companion Policy **51-101CP** Standards of Disclosure for Oil and Gas Activities/**Request for Comments**

October 12, 2007
(2007) 30 OSCB (Supp-5) Notice of Amendments to National Instrument **51-101** Standards of Disclosure for Oil and Gas Activities, Form **51-101F1** Statement of Reserves Data and Other Oil and Gas Information, Form **51-101F2** Report on Reserves Data by Independent Qualified Reserves Evaluator or Auditor, Form **51-101F3** Report of Management and Directors on Oil and Gas Disclosure and Companion Policy **51-101CP** Standards of Disclosure for Oil and Gas Activities

51-102 51-102CP 51-102F1 51-102F2 51-102F3 51-102F4 51-102F5 51-102F6 Continuous Disclosure Obligations

January 19, 2007
(2007), 30 OSCB 508 Short Notice of Ministerial Approval – Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments

(2007), 30 OSCB (Supp) Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments to NI **52-107** Acceptable Accounting Principles, Auditing Standards and Reporting Currency, and NI **71-102** Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

May 4, 2007
(2007) 30 OSCB 4119 CSA Staff Notice **51-311** (Revised) – Frequently Asked Questions Regarding National Instrument **51-102** Continuous Disclosure Obligations

October 12, 2007
(2007) 30 OSCB 8570 Notice of Amendments and Notice and Request for Comment - Amendments to NI **51-102** Continuous Disclosure Obligations, Related Forms and Companion Policy, and Consequential and Other Amendments

October 12, 2007
(2007) 30 OSCB 8599 Notice and Request for Comment - Proposed Amendments to National Instrument **51-102** Continuous Disclosure Obligations, Companion Policy **51-102CP** Continuous Disclosure Obligations and National Instrument **52-108** Auditor Oversight

November 2, 2007
(2007) 30 OSCB 9049 OSC Staff Notice **51-706** - Corporate Finance Branch Report 2007

December 21, 2007

(2007) 30 OSCB 10499

(2007) 30 OSCB 10512

Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments
Revocation of **NP 48** Future-Oriented Financial Information and Amendments to NI **51-102**
Continuous Disclosure Obligations and Related Consequential Amendments

52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency

(2007), 30 OSCB (Supp)

Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments to
NI **52-107** Acceptable Accounting Principles, Auditing Standards and Reporting Currency, and
NI **71-102** Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

52-109 Certification of Disclosure in Issuers' Annual and Interim Filings

February 6, 2007

(2007), 30 OSCB 1186

CSA Notice **52-317** Timing of Proposed National Instrument **52-109** Certification of Disclosure in
Issuers' Annual and Interim Filings

November 23, 2007

(2007) 30 OSCB 9640

CSA Notice **52-319** - Status of Proposed Repeal and Replacement of MI **52-109** Certification of
Disclosure in Issuers' Annual and Interim Filings

(2007) 30 OSCB 9642

OSC Staff Notice **52-717** - Certification of Annual and Interim Filings - Venture Issuer Basic
Certificates

55-101 Insider Reporting Exemptions

February 23, 2007

(2007), 30 OSCB 1606

CSA Staff Notice **55-314** Use of the Terms "senior officer", "officer" and "insider" in NI **55-101**
Insider Reporting Exemptions

September 7, 2007

(2007) 30 OSCB 7682

(2007) 30 OSCB 7711

(2007) 30 OSCB 7712

(2007) 30 OSCB 7717

Notice of Ministerial Approval of Amendments to NI **55-101CP** Insider Reporting Exemptions
Amendments to NI **55-101** Insider Reporting Exemptions
NI **55-101** Insider Reporting Exemptions (blacklined)
Companion Policy **55-101CP** Insider Reporting Exemptions (blacklined)

December 7, 2007

(2007) 30 OSCB 10093

CSA Notice and Request for Comment - Proposed Amendments to NI **55-102** System for
Electronic Disclosure by Insiders (SEDI), Form **55-102F1** Insider Profile, Form **55-102F2** Insider
Report, Form **55-102F3** Issuer Profile Supplement and Form **55-102F6** Insider Report

57-602 Application for Partial Revocation to Permit a Securityholder to Establish a Tax Loss

May 11, 2007

(2007) 30 OSCB 4368

Proposed Rescission of OSC Policy 57-602 Cease Trading Orders – Application for Partial
Revocation to Permit a Securityholder to Establish a Tax Loss

July 27, 2007

(2007) 30 OSCB 6742

OSC Notice – Rescission of OSC Policy **57-602** Cease-Trading Orders – Applications for Partial
Revocation to Permit a Securityholder to Establish a Tax Loss

I.

TAKE-OVER BIDS AND SPECIAL TRANSACTIONS

62-504 Take Over Bids and Issuer Bids

April 6, 2007

(2007) 30 OSCB 3211

Notice and Request for Comments – Proposed OSC Rule **62-504** Take Over Bids and Issuer Bids

December 14, 2007

(2007) 30 OSCB (Supp-6)

(2007) 30 OSCB (Supp-6)

(2007) 30 OSCB (Supp-6)

(2007) 30 OSCB (Supp-6)

OSC Rule **62-504** Take-Over Bids and Issuer Bids
CSA Notice – National Policy **62-203** Take-Over Bids and Issuer Bids
Multilateral Instrument **61-101** Protection of Minority Security Holders in Special Transactions and
Companion Policy
OSC Rule **61-801** Implementing Multilateral Instrument **61-101** Protection of Minority Security
Holders in Special Transactions

71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

January 19, 2007

(2007), 30 OSCB (Supp)

Amendments to NI **51-102** Continuous Disclosure Obligations and Related Amendments to NI **52-107** Acceptable Accounting Principles, Auditing Standards and Reporting Currency, and NI **71-102** Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

K.

MUTUAL FUNDS

81-106 Investment Fund Continuous Disclosure

June 1, 2007

(2007) 30 OSCB 4965

Notice and Request for Comment – Proposed Amendments to NI **81-106** Investment Fund Continuous Disclosure, Form **81-106F1** and Companion Policy **81-106CP** Investment Fund Continuous Disclosure and Related Amendments

June 15, 2007

(2007) 30 OSCB 5431

Notice of Request for Comments – Joint Forum Proposed Framework **81-406** Point of Sale Disclosure for Mutual Funds and Segregated Funds

L.

DERIVATIVES

M.

MISCELLANEOUS

N.

RULES AND POLICIES OF SROs AND RECOGNIZED EXCHANGES

January 5, 2007

(2007), 30 OSCB 9

Short Notice of Commission Approval – Repeal of CNQ Rule **10** – Sales Practice

January 12, 2007

(2007), 30 OSCB 283

Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 18.14(d)(iii)** – Registered Representatives and Investment Representatives

(2007), 30 OSCB 498

RS Notice – Request for Comments – Proposed UMIR Regulation Fee Model/**Request for Comments**

(2007), 30 OSCB 498

IDA Amendment to By-law **18.14(d)(iii)** - Registered Representatives and Investment Representatives

January 19, 2007

(2007), 30 OSCB 509

Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulations **100.2(d)** and **100.13**

(2007), 30 OSCB 799

Notice of Commission Approval – IDA Proposed Housekeeping Amendments to Regulations **100.2(d)** and **100.13**

January 26, 2007

(2007), 30 OSCB 996

TSX Request for Comments – Amendments to Part VI of the TSX Company Manual/**Request for Comments**

(2007), 30 OSCB 1006

CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation

February 2, 2007

(2007), 30 OSCB 1014

Short Notice of Commission Approval – IDA Amendments to Regulations **100.4A, 100.4B, 100.4C, 100.4D, 100.4E** and **100.4K** – Extending Debt Offsets to Customer Positions

(2007), 30 OSCB 1141

CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to International Services/**Request for Comments**

(2007), 30 OSCB 1147

CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to Constrained Entitlements/**Request for Comments**

(2007), 30 OSCB 1151

CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to International Services Procedures

(2007), 30 OSCB 1153

IDA – Amendment to Policy **6, Part III**

(2007), 30 OSCB 1169

CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to the Rule Amendment Process/**Request for Comments**

(2007), 30 OSCB 1173

CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to Event Claims Procedures

(2007), 30 OSCB 1175

CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to SWIFT Release

(2007), 30 OSCB 1176	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to CDS Participant Procedures
<u>February 6, 2007</u>	
(2007), 30 OSCB 1333	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to Exchange Trades/ Request for Comments
(2007), 30 OSCB 1340	CDS Rule Amendment Notice – Technical Amendment to CDS Procedures Relating to IESS Manifest Form Procedures
<u>February 16, 2007</u>	
(2007), 30 OSCB 1595	CNQ Notice and Request for Comments – Proposed Rule Change to Rules 4-106 and 4-107 Regarding Entry of Orders/ Request for Comments
<u>February 23, 2007</u>	
(2007), 30 OSCB 1783	CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Delivery Services – Responsibility for Shipments
<u>March 9, 2007</u>	
(2007), 30 OSCB 2028	RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Competitive Marketplaces
<u>March 23, 2007</u>	
(2007), 30 OSCB 2531	Notice of Commission Approval – Material Amendments to CDS Rules Relating to the Rule Amendment Process
<u>April 13, 2007</u>	
(2007) 30 OSCB 3429	Notice of Commission Approval – Material Amendments to CDS Rules Relating to International Services
(2007) 30 OSCB 3431	Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Exchange Trades
(2007) 30 OSCB 3432	Notice of Commission Approval – Material Amendments to CDS Rules Relating to Constrained Entitlements
<u>June 8, 2007</u>	
(2007) 30 OSCB 5204	Notice of Commission Approval – Amendments to the Rules of the Toronto Stock Exchange – Implementation of a Pre-Trade Matching Facility – Alternative Trade eXecution (ATX)
(2007) 30 OSCB 5205	Notice of Commission Approval – MFDA Policy No .6, Amendments to MFDA Rule 1.2.5 and Consequential Amendments
(2007) 30 OSCB 5205	Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participants
<u>July 20, 2007</u>	
(2007) 30 OSCB 6433	Notice of Commission Approval – Amendments to Section 19.9 of MFDA By-law No.1 – Hearing Panels
<u>July 27, 2007</u>	
(2007) 30 OSCB 6650	Notice of Commission Approval – Amendments to the Rules of the Toronto Stock Exchange – Direct Access Provisions about Connectivity
<u>August 3, 2007</u>	
(2007) 30 OSCB 6859	Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Maximum Debt Trade Amount
<u>August 24, 2007</u>	
(2007) 30 OSCB 7321	Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Security Master File Enhancement
(2007) 30 OSCB 7321	Notice of Approval – Application to Vary the Recognition and Designation Order of CDS
<u>August 31, 2007</u>	
(2007) 30 OSCB 7447	Notice of Commission Approval – Material Amendments to CDS Rules Relating to Euroclear UK Direct Service
<u>September 7, 2007</u>	
(2007) 30 OSCB 7683	Notice of Commission Approval – Housekeeping Amendments to MFDA Form 1, Financial Questionnaire and Report
<u>September 21, 2007</u>	
(2007) 30 OSCB 8028	CNQ Amendments to Policy 6 Distributions – Amendment of Warrant Terms – Notice of Commission Approval
<u>November 2, 2007</u>	
(2007) 30 OSCB 9079	Notice of Commission Approval Material Amendments to CDS Rules Relating to Failure to Receive in CCP Services
<u>November 16, 2007</u>	
(2007) 30 OSCB 9430	Notice of Commission Approval – Amendment to Rules of the Toronto Stock Exchange – Deletion of Rule 4-804 – Market Maker and Principal Accounts

December 14, 2007
(2007) 30 OSCB 10201

Notice of Commission Approval – Amendments to the Rules of the Toronto Stock Exchange –
Direct Access Trading – Eligible Client Definition

December 21, 2007
(2007) 30 OSCB 10201

Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Issuer
Buy-Back procedures