

## SUMMARY OF PUBLICATIONS

### PUBLICATION BY DATE PUBLISHED

#### January 6, 2006

- (2006), 29 OSCB 3 Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.
- (2006), 29 OSCB 4 Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 4 Short Notice of Amendments to the Securities Act
- (2006), 29 OSCB 75 Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 223 Amendments to the Securities Act

#### January 13, 2006

- (2006), 29 OSCB 253 Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8** Commodity Futures Contracts and Futures Contracts Options
- (2006), 29 OSCB 254 Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 254 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions
- (2006), 29 OSCB 256 Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule
- (2006), 29 OSCB 259 CSA Staff Notice **52-312** Audit Committee Compliance Review
- (2006), 29 OSCB 263 CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2006
- (2006), 29 OSCB 321 OSC Request for Comment **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 419 IDA Regulation **100.2(F)(I)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment
- (2006), 29 OSCB 420 IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**
- (2006), 29 OSCB 471 **Request for Comments** – Amendments to the TSX Direct Access Rules
- (2006), 29 OSCB 483 IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options
- (2006), 29 OSCB 493 CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

#### January 20, 2006

- (2006), 29 OSCB 507 Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees
- (2006), 29 OSCB 597 OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees
- (2006), 29 OSCB 637 OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

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- (2006), 29 OSCB 805 Short Notice of Commission Approval – Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 806 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 15** Association Accounts and Funds and Execution of Instruments
- (2006), 29 OSCB 806 Short Notice of Commission Order – Application to Vary CDS' Recognition Order
- (2006), 29 OSCB 959 Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 965 IDA Proposed Amendments to the Insurance Requirements – Regulation **400**
- (2006), 29 OSCB 970 IDA Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period
- (2006), 29 OSCB 974 Investment Dealers Association of Canada – **By-law 15** Association Accounts and Funds and Execution of Instruments

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- (2006), 29 OSCB 987 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-laws 10.26, 10.27** and **10.28** – Member Regulation Oversight Committee and Additional Board Committees
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/**Request for Comment**
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/**Request for Comment**

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(2006), 29 OSCB 1151	IDA <b>By-laws 10.26, 10.27</b> and <b>10.28</b> Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ <b>Request for Comment</b>
(2006), 29 OSCB 1170	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
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(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
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(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation <b>100.4C</b> and <b>100.4K</b> Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
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(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule <b>2.1.4</b> Conflicts of Interest
(2006), 29 OSCB 1627	CSA Staff Notice <b>51-317</b> – NI <b>51-101</b> <i>Standards of Disclosure for Oil and Gas Activities</i> – Application of Canadian Oil and Gas Evaluation Handbook
(2006), 29 OSCB 1669	Proposed Amendment to OSC Rule <b>31-502</b> Proficiency Requirements for Registrants/ <b>Request for Comments</b>
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule <b>2.1.4</b> and Response of the MFDA
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(2006), 29 OSCB 1802	CSA Notice <b>24-302</b> Entitlement Payments to CDS
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form <b>1</b> , Notes and Instructions to Statement <b>A</b> Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1807	CSA Notice <b>11-309</b> Withdrawal of CSA Notices
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation <b>500</b> and Policy No. <b>6</b> Trader Registration
(2006), 29 OSCB 1829	CSA Notice and <b>Request for Comment</b> on Proposed National Instrument <b>24-101</b> Institutional Trade Matching and Settlement, and Proposed Companion Policy <b>24-101CP</b> to National Instrument <b>24-101</b> Institutional Trade Matching and Settlement/ <b>Request for Comment</b>
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation <b>500</b> and Policy No. <b>6</b> , Part I. – Trader Registration
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(2006), 29 OSCB 2008	CSA Notice <b>44-303</b> Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI <b>44-101</b> Short Form Prospectus Distribution
(2006), 29 OSCB 2011	CSA Notice <b>52-313</b> Status of Proposed MI <b>52-111</b> Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI <b>52-109</b> Certification of Disclosure in Issuers' Annual and Interim Filings
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
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(2006), 29 OSCB 2261	Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule <b>13-502</b> Fees, Companion Policy <b>13-502CP</b> Fees, OSC Rule <b>13-503</b> (Commodity Futures Act) Fees and Companion Policy <b>13-503CP</b> (Commodity Futures Act) Fees
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA <b>By-laws 4.6</b> and <b>4.9</b> and Policy <b>No. 6</b> – Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2263 Short Notice of Commission Approval – Amendments to IDA Regulation **1300.1** – Suitability and Institutional Accounts

(2006), 29 OSCB 2264 Short Notice of Commission Approval – Amendments to IDA Policy **No. 5** – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy **No. 5B** – Retail Debt Market Trading and Supervision

(2006), 29 OSCB 2265 CSA Staff Notice **23-304** Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)

(2006), 29 OSCB 2333 OSC Rule **13-502** Fees and Companion Policy **13-502CP** Fees

(2006), 29 OSCB 2367 OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2525 Amendments to IDA **By-law 4.9** Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2527 IDA Amendment to IDA Regulation **1300.1** – Suitability and Institutional Accounts

(2006), 29 OSCB 2532 IDA Policy **No. 5** – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets

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(2006), 29 OSCB 2603 OSC Request for Comment **11-903** Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/**Request for Comment**

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(2006), 29 OSCB 2904 OSC Staff Notice **12-704** Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications

(2006), 29 OSCB 2904 Short Notice of Commission Approval – Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

(2006), 29 OSCB 2905 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

(2006), 29 OSCB 3129 Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

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(2006), 29 OSCB 3334 CSA Staff Notice **47-302** Pre-marketing of underwriters’ options on bought deals

(2006), 29 OSCB 3475 CNQ – Revised CNQ Dealer Application

April 28, 2006

(2006), 29 OSCB 3485 Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service

(2006), 29 OSCB 3533 CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

(2006), 29 OSCB 3590 OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

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(2006), 29 OSCB 3865 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows

(2006), 29 OSCB 3866 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports

(2006), 29 OSCB 3867 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting

(2006), 29 OSCB 3868 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues

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(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

(2006), 29 OSCB 4083 TSX Inc. – Request for Comments – Amendments to the Direct Access Rules/**Request for Comments**

(2006), 29 OSCB 4089 IDA Amendments to Form No. **2**, Regulation **1300.2** and Policy Nos. **2**, **4** and **9**

(2006), 29 OSCB 4108 CDS – Material Amendments to CDS Rules – ACT Participant

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(2006), 29 OSCB 4279 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment

(2006), 29 OSCB 4280 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings

(2006), 29 OSCB 4285 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ATON

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(2006), 29 OSCB 4295 OSC Staff Notice **81-708** Model Portfolios of Mutual Funds

(2006), 29 OSCB 4297 Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority

(2006), 29 OSCB 4297 OSC Staff Notice **51-714** (Revised) – OSC Continuous Disclosure Advisory Committee

(2006), 29 OSCB 4298 Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

(2006), 29 OSCB 4467 RS Market Integrity Notice – Amendment Approval

(2006), 29 OSCB 4487 Notice and **Request for Comments** – Material Amendments to CDS Rules Relating to Intellectual Property Rights/**Request for Comments**

(2006), 29 OSCB 4496 TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

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(2006), 29 OSCB 4509 CSA Staff Notice **43-306** Technical Reports Filed for Prospectus Offerings

(2006), 29 OSCB 4513 OSC Staff Notice **55-701** Automatic Securities Disposition Plans and Automatic Securities Purchase Plans

(2006), 29 OSCB 4571 **Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604** Defence for Misrepresentations in Forward-Looking Information/**Request for Comments**

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(2006), 29 OSCB 4882 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/**Request for Comments**

(2006), 29 OSCB 4887 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/**Request for Comments**

(2006), 29 OSCB 4892 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/**Request for Comments**

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(2006), 29 OSCB 4905 OSC Staff Notice **11-758** Review of Limited Market Dealers

(2006), 29 OSCB 4912 Short Notice of Commission Approval – Investment Dealers Association of Canada – **By-law No. 28** Discretionary Fund

(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund

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(2006), 29 OSCB 5338 OSC Notice **11-753** (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007

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(2006), 29 OSCB 5538 OSC Notice **46-303** Principal Protected Notes

(2006), 29 OSCB 5542 CSA Notice **52-314** Securities Regulators Want Your Feedback on XBRL

(2006), 29 OSCB 5557 Short Notice of Commission Approval – IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

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(2006), 29 OSCB 5696 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

(2006), 29 OSCB 5697 Short Notice of Commission Approval – Material Amendments to CDS Rules Governing Cross-Border Services – Regulation SHO

(2006), 29 OSCB 5735 Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

(2006), 29 OSCB 5757 CSA Notice **21-304** Request for Filing of Form **21-101F5** Initial Operation Report for Information Processor by Interested Information Processors

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(2006), 29 OSCB 5862 Short Notice of Commission Approval – Material Amendments to CDS Rules – ACT Participant

(2006), 29 OSCB 5862 Short Notice of Commission Approval – MFDA Policy **5** – Branch Review Requirements

(2006), 29 OSCB 5863 Short Notice of Commission Approval – IDA Amendments to Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities During the Distribution Period

(2006), 29 OSCB 5863 Short Notice and Request for Comment – ICE Futures Application for Exemption/**Request for Comment**

(2006), 29 OSCB 5923 Notice of Proposed NI **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research (“Soft Dollar” Arrangements)/**Request for Comment**

(2006), 29 OSCB 5934	Proposed National Instrument <b>23-102</b> Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements) and Companion Policy <b>23-102CP/Request for Comment</b>
(2006), 29 OSCB 6043	MFDA Policy <b>5</b> – Branch Review Requirements
(2006), 29 OSCB 6054	Notice and Request for Comment – ICE Futures' Application for Exemption from Recognition and Registration as an Exchange/ <b>Request for Comment</b>
(2006), 29 OSCB 6084	Changes to CNQ Rules and Policies
(2006), 29 OSCB 6100	CNQ Notice <b>2006-004</b> – Proposed Repeal of CNQ Rule <b>10</b>
(2006), 29 OSCB 6103	Material Amendments to CDS Rules – Delivery Services/ <b>Request for Comments</b>
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(2006), 29 OSCB 6125	Short Notice of National Instrument <b>81-107</b> Independent Review Committee for Investment Funds
(2006), 29 OSCB 6259	MFDA – Calculation of Risk Adjusted Capital (Rules <b>3.2.2</b> , <b>3.2.5</b> and Form <b>1</b> )
(2006), 29 OSCB (Supp)	National Instrument <b>81-107</b> Independent Review Committee for Investment Funds, Amendments to National Instrument <b>81-101</b> Mutual Fund Prospectus Disclosure, National Instrument <b>81-102</b> Mutual Funds, National Instrument <b>81-106</b> Investment Fund Continuous Disclosure, National Instrument <b>13-101</b> System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument <b>81-104</b> Commodity Pools, Rule <b>41-501</b> General Prospectus Requirements, Rule <b>81-802</b> Implementing National Instrument <b>81-107</b> Independent Review Committee for Investment Funds
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(2006), 29 OSCB 6269	CSA Staff Notice <b>52-306</b> (Revised) – Non-GAAP Financial Measures
(2006), 29 OSCB 6272	CSA Staff Notice <b>51-319</b> – Report on Staff's Second Continuous Disclosure Review of Income Trust Issuers
(2006), 29 OSCB 6278	Short Notice of Commission Approval – Amendments to IDA Policy <b>6</b> Parts <b>I</b> and <b>II</b> Regarding Wealth Management Essentials Course, and Policy <b>6</b> Part <b>I</b> Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6278	Short Notice of Commission Approval – Housekeeping Amendments to IDA Form <b>I</b> , Part <b>II</b> Auditors' Report
(2006), 29 OSCB 6443	Amendments to IDA Policy <b>6</b> Parts <b>I</b> and <b>II</b> Regarding Wealth Management Essentials Course, and Policy <b>6</b> Part <b>I</b> Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6446	Notice of Commission Approval - Housekeeping Amendments to IDA Form <b>I</b> , Part <b>II</b> Auditors' Report
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(2006), 29 OSCB 6459	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral
(2006), 29 OSCB 6460	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participants
(2006), 29 OSCB 6460	Short Notice of Approval of Amendments to NI <b>31-101</b> National Registration System and NP <b>31-201</b> National Registration System
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(2006), 29 OSCB 6731	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Contingency Services
(2006), 29 OSCB 6733	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Regulation SHO
(2006), 29 OSCB 6735	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Data Expansion
(2006), 29 OSCB 6737	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Funds Transfer
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(2006), 29 OSCB 6743	Short Notice of Commission Approval – IDA Proposed New Methodology for Margining Equity Securities and Related Amendments to Regulation <b>100</b> and Form <b>1</b>
(2006), 29 OSCB 6801	Proposed MI <b>61-101</b> Protection of Minority Security Holders in Special Transactions and Related Companion Policy <b>61-101CP</b> Protection of Minority Security Holders in Special Transactions/ <b>Request for Comments</b>
(2006), 29 OSCB 6985	CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT
(2006), 29 OSCB 6986	IDA Proposed New Methodology for Margining Equity Securities – Regulation <b>100</b> and Form <b>1</b>
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(2006), 29 OSCB 7001	Short Notice of Ministerial Approval of Ontario Amendment Instrument Amending National Instrument <b>44-101</b> Short Form Prospectus Distributions
(2006), 29 OSCB 7003	OSC Staff Notice <b>33-726</b> - IOSCO Publishes Final Report on Compliance Function at Market Intermediaries

(2006), 29 OSCB 7085	National Instrument <b>44-101</b> Short Form Prospectus Distributions – Ontario Amendment Instrument
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(2006), 29 OSCB 7189	Short Notice of Commission Approval – Amendments to IDA Regulation <b>100.12</b> – Optional Use of Value at Risk Modeling
(2006), 29 OSCB 7190	CSA Staff Notice <b>51-320</b> Options Backdating
(2006), 29 OSCB 7319	Investment Dealers Association – Amendments to IDA Regulation <b>100.12</b> – Optional Use of Value at Risk Modeling
(2006), 29 OSCB 7323	Notice and Request for Comment – Application to Vary the Recognition and Designation Order of the Canadian Depository for Securities Limited as a Clearing Agency/ <b>Request for Comment</b>
(2006), 29 OSCB 7326	CDS Application re Restructuring of The Canadian Depository for Securities Limited
(2006), 29 OSCB 7331	The Canadian Depository for Securities Ltd. and CDS Clearing and Depository Services Inc. – Amendment to Recognition and Designation Order – <b>ss. 21.2(1)</b> and <b>s. 144</b> of the Act and Part <b>VI</b> of the OBCA
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(2006), 29 OSCB 7530	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to International Services/ <b>Request for Comments</b>
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(2006), 29 OSCB 7556	CSA Staff Notice <b>52-315</b> Certification Compliance Review
(2006), 29 OSCB 7682	TSX Inc. – <b>Request for Comments</b> – Deletion of Rule <b>4-804</b>
(2006), 29 OSCB 7685	CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Corporate Restructuring
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(2006), 29 OSCB 7692	CSA Staff Notice <b>52-316</b> Certification of Design of Internal Control over Financial Reporting
(2006), 29 OSCB 7815	TSX Inc. Notice – Filing of Housekeeping Amendments to the Rules of the Toronto Stock Exchange Relating to the Adoption of Universal Market Integrity Rules
(2006), 29 OSCB 7817	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – New Exchange Procedures
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(2006), 29 OSCB 7824	CNQ Notice of Approval – Housekeeping Amendments to CNQ's Trader Approval Form
(2006), 29 OSCB 7991	RS Market Integrity Notice – <b>Request for Comments</b> – Provisions Respecting Competitive Marketplaces
(2006), 29 OSCB 8023	TSX Inc. – <b>Request for Comments</b> – Implementation of a Pre-Trade Matching Facility – Alternative Trade eXecution
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(2006), 29 OSCB 8181	IDA <b>By-law Nos. 21</b> and <b>41</b> and Form 1 – Amendments to Reflect Changes to CIPF Oversight Role
(2006), 29 OSCB 8190	IDA Regulations <b>100.9</b> and <b>100.10</b> – Amendments to Recognize Three Complex Option Offset Strategies and to Expand the List of Available Option Spreads Involving Individual Equities
(2006), 29 OSCB 8203	IDA Regulations <b>100.4A</b> , <b>100.4B</b> , <b>100.4C</b> , <b>100.4D</b> , <b>100.4E</b> and <b>100.4K</b> – Extending Debt Offsets to Customer Positions
(2006), 29 OSCB (Supp)	Notice of Amendments to National Instrument <b>51-102</b> Continuous Disclosure Obligations
(2006), 29 OSCB (Supp)	Amendments to National Instrument <b>51-102</b> Continuous Disclosure Obligations and Amendments to Form <b>51-102F1</b> Management's Discussion and Analysis, Form <b>51-102F2</b> Annual Information Form, Form <b>51-102F3</b> Material Change Report, Form <b>51-102F4</b> Business Acquisition Report, Form <b>51-102F5</b> Information Circular and Form <b>51-102F6</b> Statement of Executive Compensation
(2006), 29 OSCB (Supp)	Consequential Amendments to Ontario Securities Commission Rule <b>51-801</b> Implementing National Instrument <b>51-102</b> Continuous Disclosure Obligations
(2006), 29 OSCB (Supp)	Amendments to National Instrument <b>52-107</b> Acceptable Accounting Principles, Auditing Standards and Reporting Currency
(2006), 29 OSCB (Supp)	Amendments to National Instrument <b>71-102</b> Continuous Disclosure and Other Exemptions Relating to Foreign Issuers
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(2006), 29 OSCB 8220	OSC Staff Notice <b>11-739 (Revised)</b> – Policy Reformulation Table of Concordance and List of New Instruments
(2006), 29 OSCB 8222	CSA Staff Notice <b>23-305</b> Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)
(2006), 29 OSCB 8224	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Intellectual Property Rights
(2006), 29 OSCB 8345	CDS Rule Amendment – Intellectual Property Rights
(2006), 29 OSCB 8352	Material Amendments to CDS Rules Relating to Intellectual Property Rights – Summary of Comments

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- (2006), 29 OSCB 8363 Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Delivery Services
- (2006), 29 OSCB 8364 CSA Staff Notice **21-305** Extension of Approval of Information Processor for Corporate Fixed Income Securities
- (2006), 29 OSCB 8365 OSC Staff Notice **51-706** Corporate Finance Report (2006)
- (2006), 29 OSCB 8367 CSA Staff Notice **13-316** Amendments to the SEDAR Filer Manual
- (2006), 29 OSCB 8441 **Request for Comment** – Proposed Amendments to NI **55-101** Insider Reporting Exemptions and Companion Policy **55-101CP** Insider Reporting Exemptions
- (2006), 29 OSCB 8527 MFDA Proposed Policy **6** – Information Reporting Requirements, Notification of Change in Registration Information (Rule **1.2.5**) and Consequential Amendments
- (2006), 29 OSCB 8541 CDS Rule Amendment – Delivery Services – Revisions in Response to Comments
- (2006), 29 OSCB 8545 Material Amendments to CDS Rules Relating to Delivery Services – Summary of Comments
- (2006), 29 OSCB 8547 Amendments to IDA **By-law 38** and Policy **6**, Part **1** – CCO Qualifying Examination
- (2006), 29 OSCB 8556 Notice of Approval – Application to Vary the Recognition and Designation Order of CDS Ltd.
- (2006), 29 OSCB 8561 MFDA Notice and **Request for Comments** – Suspensions in Certain Circumstances (Section **24.3** of **By-law No. 1**) and Related Provisions of **By-law No. 1/Request for Comments**
- (2006), 29 OSCB 8571 MFDA Notice and **Request for Comments** – Hearing Panels (Section **19.9** of **By-law No. 1**)/  
**Request for Comments**
- (2006), 29 OSCB 8574 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Intellectual Property Procedures

November 3, 2006

- (2006), 29 OSCB 8582 CSA Staff Notice **12-307** Ceasing to be a Reporting Issuer under the Mutual Reliance Review System for Exemptive Relief Applications
- (2006), 29 OSCB 8701 Notice of Proposed Amendments to the Securities Act and Excerpt from Budget Measures Act, 2006 (No. 2) (**Bill 151**)
- (2006), 29 OSCB 8729 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Delivery Services
- (2006), 29 OSCB 8731 RS Market Integrity Notice – Notice of Withdrawal – Definition of “Applicable Market Display”
- (2006), 29 OSCB 8733 RS Market Integrity Notice – Notice of Withdrawal – Provisions to Accommodate the Introduction of Multiple Marketplaces

November 10, 2006

- (2006), 29 OSCB 8748 Short Notice of Ministerial Approval – NI **81-107** Independent Review Committee for Investment Funds and OSC Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds
- (2006), 29 OSCB 8807 NI **81-107** Independent Review Committee for Investment Funds and Related Amendments
- (2006), 29 OSCB 8840 OSC Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds and Companion Policy **81-802CP**
- (2006), 29 OSCB 8842 Consequential Amendments to NI **81-101** Mutual Fund Prospectus Disclosure, Form **81-101F1** Contents of Simplified Prospectus and Form **81-101F2** Contents of Annual Information Form
- (2006), 29 OSCB 8845 Consequential Amendments to NI **81-102** Mutual Funds and Companion Policy **81-102CP**
- (2006), 29 OSCB 8849 Consequential Amendments to NI **81-104** Commodity Pools
- (2006), 29 OSCB 8850 Consequential Amendments to NI **81-106** Investment Fund Continuous Disclosure and Form **81-106F1** Contents of Annual and Interim Management Report of Fund Performance
- (2006), 29 OSCB 8851 Consequential Amendments to NI **13-101** System for Electronic Document Analysis and Retrieval (SEDAR)
- (2006), 29 OSCB 8852 Consequential Amendments to OSC Rule **41-501** General Prospectus Requirements

November 17, 2006

- (2006), 29 OSCB 8974 CSA Staff Notice **51-321** Questions and Answers Concerning Resources and Possible Reserves – NI **51-101** Standards of Disclosure for Oil and Gas Activities
- (2006), 29 OSCB 8978 Short Notice of Commission Order – Application to Amend the Recognition Order of Mutual Fund Dealers Association of Canada
- (2006), 29 OSCB 8978 Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to International Services Procedures
- (2006), 29 OSCB 9127 RS Notice – Request for Comments – Proposed Allocation of Costs – First Group/**Request for Comments**
- (2006), 29 OSCB 9138 CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to the USTA
- (2006), 29 OSCB 9139 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – Corporate Restructuring
- (2006), 29 OSCB 9140 CDS Rule Amendment Notice – Technical Amendments to ATON User Guide

November 24, 2006

- (2006), 29 OSCB 9148 Corrections to RS Notice and Request for Comments 2006-07
- (2006), 29 OSCB 9148 Revised OSC Notice **45-706** OSC Small Business Advisory Committee – Vacancies (Previous Version Published December 17, 2004)

December 1, 2006  
 (2006), 29 OSCB 9287 Short Notice of Commission Approval – Amendments to MFDA Rule **3.2** and MFDA Financial Questionnaire and Report  
 (2006), 29 OSCB 9339 CSA Notice and Request for Comments – Proposed Rescission of NP **48** Future-Oriented Financial Information – Proposed Amendments to NI **51-102** Continuous Disclosure Obligations – Related Consequential Amendments/**Request for Comments**  
 (2006), 29 OSCB 9451 MFDA – Calculation of Risk Adjusted Capital (Rule **3.2.2**, **3.2.5** and Form 1)  
 (2006), 29 OSCB 9452 Summary of Public Comments Respecting Proposed Amendments to MFDA Rule **3.2** and MFDA Financial Questionnaire and Report and Response of the MFDA  
 (2006), 29 OSCB 9454 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to International Services Procedures

December 8, 2006  
 (2006), 29 OSCB 9462 CSA Notice **24-303** CSA SRO Oversight Project – Review of Oversight of Self-Regulatory Organizations and Market Infrastructure Entities – Report of the CSA SRO Oversight Project Committee – December 2006  
 (2006), 29 OSCB 9670 CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to CREST Link/**Request for Comments**

December 15, 2006  
 (2006), 29 OSCB 9731 Notice of Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP**  
 (2006), 29 OSCB 9747 Amendments to NI **21-101** Marketplace Operation  
 (2006), 29 OSCB 9925 Toronto Stock Exchange Notice of Approval of Housekeeping Amendments to the Toronto Stock Exchange Company Manual

December 22, 2006  
 (2006), 29 OSCB 9948 Short Notice of Minister Approval of Amendments to NI **21-101** Marketplace Operation, NI **23-101** Trading Rules and Forms **21-101F2** and **21-101F5**  
 (2006), 29 OSDB 9949 Short Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** Regarding the Definition of “Control”  
 (2006), 29 OSDB 9949 Short Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** Regarding the Preamble to the Definitions  
 (2006), 29 OSCB 9950 Short Notice of Commission Approval – Housekeeping Amendments to MFDA Rule **1.2.4** Regarding the Currency of Courses  
 (2006), 29 OSCB 10011 Amendments to NI **21-101** Marketplace Operation, Forms **21-101F2** and **21-101F5**, and Companion Policy **21-101CP**, and NI **23-101** Trading Rules and Companion Policy **23-101CP**  
 (2006), 29 OSCB 10154 CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Strip Bond Monthly Reports Service  
 (2006), 29 OSCB 10156 Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** (Definitions – “Control”)  
 (2006), 29 OSCB 10158 MFDA Notice – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** (Definitions)  
 (2006), 29 OSCB 10160 MFDA Notice – Housekeeping Amendments to MFDA Rule **1.2.4** (Currency of Courses)  
 (2006), 29 OSCB (Supp) NI **44-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### Local Notices

February 10, 2006  
 (2006), 29 OSCB 1188 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments  
 (2006), 29 OSCB 1192 OSC Staff Notice **11-757** Withdrawal of OSC Notices

April 7, 2006  
 (2006), 29 OSCB 2904 OSC Staff Notice **12-704** Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications  
 (2006), 29 OSCB 2905 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

May 26, 2006  
 (2006), 29 OSCB 4295 OSC Staff Notice **81-708** Model Portfolios of Mutual Funds  
 (2006), 29 OSCB 4297 OSC Staff Notice **51-714** (Revised) – OSC Continuous Disclosure Advisory Committee

June 2, 2006  
 (2006), 29 OSCB 4513 OSC Staff Notice **55-701** Automatic Securities Disposition Plans and Automatic Securities Purchase Plans

June 16, 2006

(2006), 29 OSCB 4905	OSC Staff Notice <b>11-758</b> Review of Limited Market Dealers
<u>June 30, 2006</u> (2006), 29 OSCB 5338	OSC Notice <b>11-753</b> (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007
<u>July 7, 2006</u> (2006), 29 OSCB 5538	OSC Notice <b>46-303</b> Principal Protected Notes
<u>July 14, 2006</u> (2006), 29 OSCB 5696	OSC Staff Notice <b>11-739</b> (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
<u>September 1, 2006</u> (2006), 29 OSCB 7003	OSC Staff Notice <b>33-726</b> - IOSCO Publishes Final Report on Compliance Function at Market Intermediaries
<u>October 20, 2006</u> (2006), 29 OSCB 8220	OSC Staff Notice <b>11-739 (Revised)</b> – Policy Reformulation Table of Concordance and List of New Instruments
<u>October 27, 2006</u> (2006), 29 OSCB 8365	OSC Staff Notice <b>51-706</b> Corporate Finance Report (2006)
<u>November 24, 2006</u> (2006), 29 OSCB 9148	Revised OSC Notice <b>45-706</b> OSC Small Business Advisory Committee – Vacancies (Previous Version Published December 17, 2004)

### **Canadian Securities Administrators' Notices**

<u>January 13, 2006</u> (2006), 29 OSCB 259 (2006), 29 OSCB 263	CSA Staff Notice <b>52-312</b> Audit Committee Compliance Review CSA Revised Staff Notice <b>13-315</b> Securities Regulatory Authority Closed Dates 2006
<u>February 24, 2006</u> (2006), 29 OSCB 1627	CSA Staff Notice <b>51-317</b> – <i>NI 51-101 Standards of Disclosure for Oil and Gas Activities</i> – Application of Canadian Oil and Gas Evaluation Handbook
<u>March 3, 2006</u> (2006), 29 OSCB 1802 (2006), 29 OSCB 1807	CSA Notice <b>24-302</b> Entitlement Payments to CDS CSA Notice <b>11-309</b> Withdrawal of CSA Notices
<u>March 10, 2006</u> (2006), 29 OSCB 2008 (2006), 29 OSCB 2011	CSA Notice <b>44-303</b> Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI <b>44-101</b> Short Form Prospectus Distribution CSA Notice <b>52-313</b> Status of Proposed MI <b>52-111</b> Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI <b>52-109</b> Certification of Disclosure in Issuers' Annual and Interim Filings
<u>March 17, 2006</u> (2006), 29 OSCB 2265	CSA Staff Notice <b>23-304</b> Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)
<u>April 21, 2006</u> (2006), 29 OSCB 3334	CSA Staff Notice <b>47-302</b> Pre-marketing of underwriters' options on bought deals
<u>June 2, 2006</u> (2006), 29 OSCB 4509	CSA Staff Notice <b>43-306</b> Technical Reports Filed for Prospectus Offerings
<u>July 7, 2006</u> (2006), 29 OSCB 5542	CSA Notice <b>52-314</b> Securities Regulators Want Your Feedback on XBRL
<u>July 14, 2006</u> (2006), 29 OSCB 5757	CSA Notice <b>21-304</b> Request for Filing of Form <b>21-101F5</b> Initial Operation Report for Information Processor by Interested Information Processors
<u>August 4, 2006</u>	

(2006), 29 OSCB 6269 (2006), 29 OSCB 6272	CSA Staff Notice <b>52-306</b> (Revised) – Non-GAAP Financial Measures CSA Staff Notice <b>51-319</b> – Report on Staff’s Second Continuous Disclosure Review of Income Trust Issuers
<u>September 8, 2006</u> (2006), 29 OSCB 7190	CSA Staff Notice <b>51-320</b> Options Backdating
<u>September 22, 2006</u> (2006), 29 OSCB 7556	CSA Staff Notice <b>52-315</b> Certification Compliance Review
<u>September 29, 2006</u> (2006), 29 OSCB 7692	CSA Staff Notice <b>52-316</b> Certification of Design of Internal Control over Financial Reporting
<u>October 20, 2006</u> (2006), 29 OSCB 8222	CSA Staff Notice <b>23-305</b> Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)
<u>October 27, 2006</u> (2006), 29 OSCB 8364  (2006), 29 OSCB 8367	CSA Staff Notice <b>21-305</b> Extension of Approval of Information Processor for Corporate Fixed Income Securities CSA Staff Notice <b>13-316</b> Amendments to the SEDAR Filer Manual
<u>November 3, 2006</u> (2006), 29 OSCB 8582	CSA Staff Notice <b>12-307</b> Ceasing to be a Reporting Issuer under the Mutual Reliance Review System for Exemptive Relief Applications
<u>November 17, 2006</u> (2006), 29 OSCB 8974	CSA Staff Notice <b>51-321</b> Questions and Answers Concerning Resources and Possible Reserves – NI <b>51-101</b> Standards of Disclosure for Oil and Gas Activities
<u>December 8, 2006</u> (2006), 29 OSCB 9462	CSA Notice <b>24-303</b> CSA SRO Oversight Project – Review of Oversight of Self-Regulatory Organizations and Market Infrastructure Entities – Report of the CSA SRO Oversight Project Committee – December 2006

**B. MEMORANDA OF UNDERSTANDING**

**C. RESCISSION OF POLICY STATEMENTS**

**NP 14 Acceptability of Currencies in Material Filed with Securities Regulatory Authorities**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

**NP 21 National Advertising - Warnings**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

**NP 48 Future-Oriented Financial Information**

December 1, 2006  
(2006), 29 OSCB 9339 CSA Notice and Request for Comments – Proposed Rescission of NP **48** Future-Oriented Financial Information – Proposed Amendments to NI **51-102** Continuous Disclosure Obligations – Related Consequential Amendments/**Request for Comments**

**D. PROCEDURE AND RELATED MATTERS**

**13-101 System for Electronic Document Analysis and Retrieval (SEDAR)**

July 28, 2006

(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8851

Consequential Amendments to NI **13-101** System for Electronic Document Analysis and Retrieval (SEDAR)

**13-502 13-502CP 13-502F1 13-502F2 13-502F2A 13-502F3A 13-502F3B 13-502F3C 13-502F4 13-502F5 13-502F6 Fees**

January 20, 2006

(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 597

OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees

March 17, 2006

(2006), 29 OSCB 2261

Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2333

OSC Rule **13-502** Fees and Companion Policy **13-502CP** Fees

December 22, 2006

(2006), 29 OSCB (Supp)

NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

**13-503 13-503CP 13-503F1 13-503F2 (Commodity Futures Act) Fees**

January 20, 2006

(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 637

OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

March 17, 2006

(2006), 29 OSCB 2261

Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2367

OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

**14-101 Definitions**

December 22, 2006

(2006), 29 OSCB (Supp)

NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

**15-901 Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters**

January 13, 2006

(2006), 29 OSCB 254

Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters

(2006), 29 OSCB 321

OSC Request for Comments **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comments**

**E.**

**CERTAIN CAPITAL MARKET PARTICIPANTS**

**21-101 21-101CP 21-101F5 Marketplace Operation**

July 14, 2006

(2006), 29 OSCB 5735

Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

(2006), 29 OSCB 5757 CSA Notice **21-304** Request for Filing of Form **21-101F5** Initial Operation Report for Information Processor by Interested Information Processors

December 15, 2006  
(2006), 29 OSCB 9731 Notice of Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP**  
(2006), 29 OSCB 9747 Amendments to NI **21-101** Marketplace Operation

December 22, 2006  
(2006), 29 OSCB 9948 Short Notice of Minister Approval of Amendments to NI **21-101** Marketplace Operation, NI **23-101** Trading Rules and Forms **21-101F2** and **21-101F5**  
(2006), 29 OSCB 10011 Amendments to NI **21-101** Marketplace Operation, Forms **21-101F2** and **21-101F5**, and Companion Policy **21-101CP**, and NI **23-101** Trading Rules and Companion Policy **23-101CP**

### **23-101 23-101CP Trading Rules**

July 14, 2006  
(2006), 29 OSCB 5735 Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

December 15, 2006  
(2006), 29 OSCB 9731 Notice of Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP**  
(2006), 29 OSCB 9747 Amendments to NI **21-101** Marketplace Operation

December 22, 2006  
(2006), 29 OSCB 9948 Short Notice of Minister Approval of Amendments to NI **21-101** Marketplace Operation, NI **23-101** Trading Rules and Forms **21-101F2** and **21-101F5**  
(2006), 29 OSCB 10011 Amendments to NI **21-101** Marketplace Operation, Forms **21-101F2** and **21-101F5**, and Companion Policy **21-101CP**, and NI **23-101** Trading Rules and Companion Policy **23-101CP**

### **23-102 23-102CP Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements)**

July 21, 2006  
(2006), 29 OSCB 5923 Notice of Proposed NI **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements)/**Request for Comment**  
(2006), 29 OSCB 5934 Proposed National Instrument **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements) and Companion Policy **23-102CP/Request for Comment**

### **24-101 24-101CP Post-Trade Matching and Settlement**

March 3, 2006  
(2006), 29 OSCB 1829 CSA Notice and **Request for Comment** on Proposed National Instrument **24-101** Institutional Trade Matching and Settlement, and Proposed Companion Policy **24-101CP** to National Instrument **24-101** Institutional Trade Matching and Settlement/**Request for Comment**

## **F. REGISTRATION REQUIREMENTS AND RELATED MATTERS**

### **31-101 National Registration System**

May 12, 2006  
(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

August 11, 2006  
(2006), 29 OSCB 6460 Short Notice of Approval of Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System  
(2006), 29 OSCB 6489 Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

### **31-102 31-102CP National Registration Database**

May 12, 2006

(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

### **31-201 National Registration System**

May 12, 2006

(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

August 11, 2006

(2006), 29 OSCB 6460 Short Notice of Approval of Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

(2006), 29 OSCB 6489 Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

### **31-502 Proficiency Requirements for Registrants**

February 24, 2006

(2006), 29 OSCB 1669 Proposed Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants/**Request for Comments**

### **33-109 33-109CP Registration Information**

May 12, 2006

(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

## **G. DISTRIBUTION REQUIREMENTS**

### **41-101 41-101CP 41-101F1 41-101F2 General Prospectus Requirements**

December 22, 2006

(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### **41-501 General Prospectus Requirements**

July 28, 2006

(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8852 Consequential Amendments to OSC Rule **41-501** General Prospectus Requirements

### **41-801 Implementing NI 41-101 General Prospectus Requirements**

December 22, 2006

(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### **43-201 Mutual Reliance Review System for Prospectuses**

December 22, 2006

(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### **44-101 44-101CP 44-101F1 Short Form Prospectus Distribution**

March 10, 2006

(2006), 29 OSCB 2008 CSA Notice **44-303** Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI **44-101** Short Form Prospectus Distribution

September 1, 2006

(2006), 29 OSCB 7001 Short Notice of Ministerial Approval of Ontario Amendment Instrument Amending National Instrument **44-101** Short Form Prospectus Distributions  
(2006), 29 OSCB 7085 National Instrument **44-101** Short Form Prospectus Distributions – Ontario Amendment Instrument

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

#### **44-102 44-102CP Shelf Distributions**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

#### **44-103 44-103CP Post-Receipt Pricing**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

#### **44-801 Implementing NI 44-101 Short Form Prospectus Distributions**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

#### **45-101 45-101F1 Rights Offerings**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

#### **45-106 45-106CP 45-106F1 45-106F2 45-106F3 45-106F4 45-106F5 Prospectus and Registration Exemptions**

January 6, 2006  
(2006), 29 OSCB 4 Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**  
(2006), 29 OSCB 75 Ontario Amending Instrument Amending NI **45-106**

### **H. ONGOING REQUIREMENTS FOR ISSUERS AND INSIDERS**

#### **51-101 51-101CP Standards of Disclosure for Oil and Gas Activities**

February 24, 2006  
(2006), 29 OSCB 1627 CSA Staff Notice **51-317** – NI **51-101** *Standards of Disclosure for Oil and Gas Activities* – Application of Canadian Oil and Gas Evaluation Handbook

November 17, 2006  
(2006), 29 OSCB 8974 CSA Staff Notice **51-321** Questions and Answers Concerning Resources and Possible Reserves – NI **51-101** Standards of Disclosure for Oil and Gas Activities

#### **51-102 51-102CP 51-102F1 51-102F2 51-102F3 51-102F4 51-102F5 51-102F6 Continuous Disclosure Obligations**

October 13, 2006  
(2006), 29 OSCB (Supp) Notice of Amendments to National Instrument **51-102** Continuous Disclosure Obligations  
(2006), 29 OSCB (Supp) Amendments to National Instrument **51-102** Continuous Disclosure Obligations and Amendments to Form **51-102F1** Management's Discussion and Analysis, Form **51-102F2** Annual Information Form, Form **51-102F3** Material Change Report, Form **51-102F4** Business Acquisition Report, Form **51-102F5** Information Circular and Form **51-102F6** Statement of Executive Compensation  
(2006), 29 OSCB (Supp) Consequential Amendments to Ontario Securities Commission Rule **51-801** Implementing National Instrument **51-102** Continuous Disclosure Obligations

December 1, 2006  
(2006), 29 OSCB 9339 CSA Notice and Request for Comments – Proposed Rescission of NP **48** Future-Oriented Financial Information – Proposed Amendments to NI **51-102** Continuous Disclosure Obligations – Related Consequential Amendments/**Request for Comments**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

## **51-604 Defence for Misrepresentations in Forward-Looking Information**

June 2, 2006

(2006), 29 OSCB 4571

**Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604** Defence for Misrepresentations in Forward-Looking Information/**Request for Comments**

## **51-801 Implementing National Instrument 51-102 Continuous Disclosure Obligations**

October 13, 2006

(2006), 29 OSCB (Supp)

Consequential Amendments to Ontario Securities Commission Rule **51-801** Implementing National Instrument **51-102** Continuous Disclosure Obligations

## **52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency**

October 13, 2006

(2006), 29 OSCB (Supp)

Amendments to National Instrument **52-107** Acceptable Accounting Principles, Auditing Standards and Reporting Currency

## **52-109 Certification of Disclosure in Issuers' Annual and Interim Filings**

March 10, 2006

(2006), 29 OSCB 2011

CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

## **52-111 Reporting on Internal Control over Financial Reporting**

March 10, 2006

(2006), 29 OSCB 2011

CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

## **56-501 Restricted Shares**

December 22, 2006

(2006), 29 OSCB (Supp)

NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

# **I. TAKE-OVER BIDS AND SPECIAL TRANSACTIONS**

## **61-101 Protection of Minority Security Holders in Special Transactions**

August 25, 2006

(2006), 29 OSCB 6743

Short Notice of Commission Approval – IDA Proposed New Methodology for Margining Equity Securities and Related Amendments to Regulation **100** and Form **1**

(2006), 29 OSCB 6801

Proposed MI **61-101** Protection of Minority Security Holders in Special Transactions and Related Companion Policy **61-101CP** Protection of Minority Security Holders in Special Transactions/**Request for Comments**

(2006), 29 OSCB 6985

(2006), 29 OSCB 6986

CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **1**

## **62-103 The Early Warning System and Related Take-Over Bid and Insider Reporting Issues**

April 28, 2006

(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

## **62-104 62-104CP Take-Over Bids and Issuer Bids**

April 28, 2006

(2006), 29 OSCB 3533 CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

(2006), 29 OSCB 3590 OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

### **62-201 Bids Made Only in Certain Jurisdictions**

April 28, 2006  
(2006), 29 OSCB 3533 CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

### **62-801 Implementing National Instrument 62-104 Take-Over Bids and Issuer Bids**

April 28, 2006  
(2006), 29 OSCB 3590 OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

## **J. SECURITY TRANSACTIONS OUTSIDE THE JURISDICTION**

### **71-102 71-102CP Continuous Disclosure and Other Exemptions Relating to Foreign Issuers**

October 13, 2006  
(2006), 29 OSCB (Supp) Amendments to National Instrument **71-102** Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

### **71-801 Implementing the Multijurisdictional Disclosure System**

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

## **K. MUTUAL FUNDS**

### **81-101 81-101CP 81-101F1 81-101F2 Mutual Fund Prospectus Disclosure**

July 28, 2006  
(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006  
(2006), 29 OSCB 8842 Consequential Amendments to NI **81-101** Mutual Fund Prospectus Disclosure, Form **81-101F1** Contents of Simplified Prospectus and Form **81-101F2** Contents of Annual Information Form

December 22, 2006  
(2006), 29 OSCB (Supp) NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### **81-102 81-102CP Mutual Funds**

July 28, 2006  
(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8845 Consequential Amendments to NI **81-102** Mutual Funds and Companion Policy **81-102CP**

### **81-104 81-104CP Commodity Pools**

July 28, 2006

(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8849

Consequential Amendments to NI **81-104** Commodity Pools

December 22, 2006

(2006), 29 OSCB (Supp)

NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

### **81-106 81-106F1 Investment Fund Continuous Disclosure**

July 28, 2006

(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8850

Consequential Amendments to NI **81-106** Investment Fund Continuous Disclosure and Form **81-106F1** Contents of Annual and Interim Management Report of Fund Performance

### **81-107 Independent Review Committee for Investment Funds**

July 28, 2006

(2006), 29 OSCB 6125

(2006), 29 OSCB (Supp)

Short Notice of National Instrument **81-107** Independent Review Committee for Investment Funds National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006

(2006), 29 OSCB 8748

Short Notice of Ministerial Approval – NI **81-107** Independent Review Committee for Investment Funds and OSC Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

(2006), 29 OSCB 8807

(2006), 29 OSCB 8840

NI **81-107** Independent Review Committee for Investment Funds and Related Amendments OSC Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds and Companion Policy **81-802CP**

### **81-802 81-802CP Implementing National Instrument 81-107 Independent Review Committee for Investment Funds**

July 28, 2006

(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

November 10, 2006  
(2006), 29 OSCB 8840

OSC Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds and Companion Policy **81-802CP**

**81-803 Implementing Amendments to NI 81-101 Mutual Fund Prospectus Disclosure**

December 22, 2006  
(2006), 29 OSCB (Supp)

NI **41-101** General Prospectus Requirements and Related Amendments/**Request for Comment**

L.

**DERIVATIVES**

M.

**MISCELLANEOUS**

January 6, 2006  
(2006), 29 OSCB 4  
(2006), 29 OSCB 223

Short Notice of Amendments to the Securities Act  
Amendments to the Securities Act

March 24, 2006  
(2006), 29 OSCB 2603

OSC Request for Comment **11-903** Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/**Request for Comment**

November 3, 2006  
(2006), 29 OSCB 8701

Notice of Proposed Amendments to the Securities Act and Excerpt from Budget Measures Act, 2006 (No. 2) (**Bill 151**)

N.

**RULES AND POLICIES OF SROs AND RECOGNIZED EXCHANGES**

January 6, 2006  
(2006), 29 OSCB 3

Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.

January 13, 2006  
(2006), 29 OSCB 253

Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8** Commodity Futures Contracts and Futures Contracts Options

(2006), 29 OSCB 254

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions

(2006), 29 OSCB 256

Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

(2006), 29 OSCB 419

IDA Regulation **100.2(F)(I)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment

(2006), 29 OSCB 420

IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**

(2006), 29 OSCB 471

**Request for Comments** – Amendments to the TSX Direct Access Rules/**Request for Comments**

(2006), 29 OSCB 483

IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options

(2006), 29 OSCB 493

CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

January 27, 2006  
(2006), 29 OSCB 805

Short Notice of Commission Approval – Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision

(2006), 29 OSCB 806

Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 15** Association Accounts and Funds and Execution of Instruments

(2006), 29 OSCB 806

Short Notice of Commission Order – Application to Vary CDS' Recognition Order

(2006), 29 OSCB 959

Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision

(2006), 29 OSCB 965

IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

(2006), 29 OSCB 970

IDA Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period

(2006), 29 OSCB 974	Investment Dealers Association of Canada – <b>By-law 15</b> Association Accounts and Funds and Execution of Instruments
<u>February 3, 2006</u>	
(2006), 29 OSCB 987	Short Notice of Commission Approval – Housekeeping Amendments to IDA <b>By-laws 10.26, 10.27</b> and <b>10.28</b> – Member Regulation Oversight Committee and Additional Board Committees
(2006), 29 OSCB 988	Short Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/ <b>Request for Comment</b>
(2006), 29 OSCB 988	Short Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
(2006), 29 OSCB 989	Short Notice of Commission Approval – Repeal of CNQ Rule <b>10-105</b> Risk Disclosure Statement
(2006), 29 OSCB 1151	IDA <b>By-laws 10.26, 10.27</b> and <b>10.28</b> Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ <b>Request for Comment</b>
(2006), 29 OSCB 1170	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
(2006), 29 OSCB 1177	CNQ – Repeal of Rule <b>10-105</b> Risk Disclosure Statement – Summary of Comments
<u>February 10, 2006</u>	
(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
<u>February 17, 2006</u>	
(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation <b>100.4C</b> and <b>100.4K</b> Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
<u>February 24, 2006</u>	
(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule <b>2.1.4</b> Conflicts of Interest
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule <b>2.1.4</b> and Response of the MFDA
<u>March 3, 2006</u>	
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form <b>1</b> , Notes and Instructions to Statement <b>A</b> Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation <b>500</b> and Policy No. <b>6</b> Trader Registration
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation <b>500</b> and Policy No. <b>6</b> , Part I. – Trader Registration
<u>March 10, 2006</u>	
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
<u>March 17, 2006</u>	
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA <b>By-laws 4.6</b> and <b>4.9</b> and Policy <b>No. 6</b> – Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Amendments to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2264	Short Notice of Commission Approval – Amendments to IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy <b>No. 5B</b> – Retail Debt Market Trading and Supervision
(2006), 29 OSCB 2525	Amendments to IDA <b>By-law 4.9</b> Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2527	IDA Amendment to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2532	IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets

April 7, 2006  
(2006), 29 OSCB 2904 Short Notice of Commission Approval – Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments  
(2006), 29 OSCB 3129 Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

April 21, 2006  
(2006), 29 OSCB 3475 CNQ – Revised CNQ Dealer Application

April 28, 2006  
(2006), 29 OSCB 3485 Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service

May 5, 2006  
(2006), 29 OSCB 3865 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows  
(2006), 29 OSCB 3866 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports  
(2006), 29 OSCB 3867 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting  
(2006), 29 OSCB 3868 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues

May 12, 2006  
(2006), 29 OSCB 4083 TSX Inc. – **Request for Comments** – Amendments to the Direct Access Rules/**Request for Comments**  
(2006), 29 OSCB 4089 IDA Amendments to Form No. **2**, Regulation **1300.2** and Policy Nos. **2, 4** and **9**  
(2006), 29 OSCB 4108 CDS – Material Amendments to CDS Rules – ACT Participant

May 19, 2006  
(2006), 29 OSCB 4279 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment  
(2006), 29 OSCB 4280 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings  
(2006), 29 OSCB 4285 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation - ATON

May 26, 2006  
(2006), 29 OSCB 4297 Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority  
(2006), 29 OSCB 4298 Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual  
(2006), 29 OSCB 4467 RS Market Integrity Notice – Amendment Approval  
(2006), 29 OSCB 4487 Notice and **Request for Comments** – Material Amendments to CDS Rules Relating to Intellectual Property Rights/**Request for Comments**  
(2006), 29 OSCB 4496 TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

June 9, 2006  
(2006), 29 OSCB 4882 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/**Request for Comments**  
(2006), 29 OSCB 4887 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/**Request for Comments**  
(2006), 29 OSCB 4892 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/**Request for Comments**

June 16, 2006  
(2006), 29 OSCB 4912 Short Notice of Commission Approval – Investment Dealers Association of Canada – **By-law No. 28** Discretionary Fund  
(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund

July 7, 2006  
(2006), 29 OSCB 5557 Short Notice of Commission Approval – IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

July 14, 2006  
(2006), 29 OSCB 5697 Short Notice of Commission Approval – Material Amendments to CDS Rules Governing Cross-Border Services – Regulation SHO

July 21, 2006

(2006), 29 OSCB 5862	Short Notice of Commission Approval – Material Amendments to CDS Rules – ACT Participant
(2006), 29 OSCB 5862	Short Notice of Commission Approval – MFDA Policy 5 – Branch Review Requirements
(2006), 29 OSCB 5863	Short Notice of Commission Approval – IDA Amendments to Regulation 100.5 Capital
(2006), 29 OSCB 5863	Requirements for Certain Private Placements of Restricted Securities During the Distribution Period
(2006), 29 OSCB 6043	Short Notice and Request for Comment – ICE Futures Application for Exemption/ <b>Request for</b>
(2006), 29 OSCB 6054	<b>Comment</b> MFDA Policy 5 – Branch Review Requirements
(2006), 29 OSCB 6084	Notice and Request for Comment – ICE Futures' Application for Exemption from Recognition and
(2006), 29 OSCB 6100	Registration as an Exchange/ <b>Request for Comment</b>
(2006), 29 OSCB 6103	Changes to CNQ Rules and Policies CNQ Notice <b>2006-004</b> – Proposed Repeal of CNQ Rule 10
	Material Amendments to CDS Rules – Delivery Services/ <b>Request for Comments</b>
<u>July 28, 2006</u>	
(2006), 29 OSCB 6259	MFDA – Calculation of Risk Adjusted Capital (Rules 3.2.2, 3.2.5 and Form 1)
<u>August 4, 2006</u>	
(2006), 29 OSCB 6278	Short Notice of Commission Approval – Amendments to IDA Policy 6 Parts I and II Regarding
(2006), 29 OSCB 6278	Wealth Management Essentials Course, and Policy 6 Part I Regarding Proficiency Requirements
(2006), 29 OSCB 6443	for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6446	Short Notice of Commission Approval – Housekeeping Amendments to IDA Form I, Part II Auditors' Report
	Amendments to IDA Policy 6 Parts I and II Regarding Wealth Management Essentials Course, and
	Policy 6 Part I Regarding Proficiency Requirements for Futures Contract Portfolio Management and
	Associate Futures Contract Portfolio Managers
	Notice of Commission Approval - Housekeeping Amendments to IDA Form I, Part II Auditors' Report
<u>August 11, 2006</u>	
(2006), 29 OSCB 6459	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Late
(2006), 29 OSCB 6460	Delivery of Collateral
(2006), 29 OSCB 6460	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP
(2006), 29 OSCB 6489	Collateral Requirements for Withdrawing Participants
	Short Notice of Approval of Amendments to NI 31-101 National Registration System and NP
	31-201 National Registration System
	Amendments to NI 31-101 National Registration System and NP 31-201 National Registration
	System
<u>August 18, 2006</u>	
(2006), 29 OSCB 6581	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to
(2006), 29 OSCB 6731	Mark-to-Market Component of Continuous Net Settlement Collateral Requirement
(2006), 29 OSCB 6733	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Contingency
(2006), 29 OSCB 6737	Services
	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Regulation SHO
	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Data Expansion
	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Funds Transfer
<u>August 25, 2006</u>	
(2006), 29 OSCB 6743	Short Notice of Commission Approval – IDA Proposed New Methodology for Margining Equity
(2006), 29 OSCB 6985	Securities and Related Amendments to Regulation 100 and Form 1
(2006), 29 OSCB 6986	CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT
	IDA Proposed New Methodology for Margining Equity Securities – Regulation 100 and Form 1
<u>September 8, 2006</u>	
(2006), 29 OSCB 7189	Short Notice of Commission Approval – Amendments to IDA Regulation 100.12 – Optional Use of
(2006), 29 OSCB 7319	Value at Risk Modeling
(2006), 29 OSCB 7323	Investment Dealers Association – Amendments to IDA Regulation 100.12 – Optional Use of Value
(2006), 29 OSCB 7326	at Risk Modeling
(2006), 29 OSCB 7331	Notice and Request for Comment – Application to Vary the Recognition and Designation Order of
	the Canadian Depository for Securities Limited as a Clearing Agency/ <b>Request for Comment</b>
	CDS Application re Restructuring of The Canadian Depository for Securities Limited
	The Canadian Depository for Securities Ltd. and CDS Clearing and Depository Services Inc. –
	Amendment to Recognition and Designation Order – ss. 21.2(1) and s. 144 of the Act and Part VI
	of the OBCA
<u>September 15, 2006</u>	
(2006), 29 OSCB 7530	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to
	International Services/ <b>Request for Comments</b>

September 22, 2006  
(2006), 29 OSCB 7682  
(2006), 29 OSCB 7685  
TSX Inc. – **Request for Comments** – Deletion of Rule **4-804**  
CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Corporate Restructuring

September 29, 2006  
(2006), 29 OSCB 7815  
(2006), 29 OSCB 7817  
TSX Inc. Notice – Filing of Housekeeping Amendments to the Rules of the Toronto Stock Exchange Relating to the Adoption of Universal Market Integrity Rules  
CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – New Exchange Procedures

October 6, 2006  
(2006), 29 OSCB 7824  
(2006), 29 OSCB 7991  
(2006), 29 OSCB 8023  
CNQ Notice of Approval – Housekeeping Amendments to CNQ's Trader Approval Form  
RS Market Integrity Notice – **Request for Comments** – Provisions Respecting Competitive Marketplaces  
TSX Inc. – **Request for Comments** – Implementation of a Pre-Trade Matching Facility – Alternative Trade eXecution

October 13, 2006  
(2006), 29 OSCB 8181  
(2006), 29 OSCB 8190  
(2006), 29 OSCB 8203  
IDA **By-law Nos. 21** and **41** and Form **1** – Amendments to Reflect Changes to CIPF Oversight Role  
IDA Regulations **100.9** and **100.10** – Amendments to Recognize Three Complex Option Offset Strategies and to Expand the List of Available Option Spreads Involving Individual Equities  
IDA Regulations **100.4A**, **100.4B**, **100.4C**, **100.4D**, **100.4E** and **100.4K** – Extending Debt Offsets to Customer Positions

October 20, 2006  
(2006), 29 OSCB 8224  
(2006), 29 OSCB 8345  
(2006), 29 OSCB 8352  
Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Intellectual Property Rights  
CDS Rule Amendment – Intellectual Property Rights  
Material Amendments to CDS Rules Relating to Intellectual Property Rights – Summary of Comments

October 27, 2006  
(2006), 29 OSCB 8363  
(2006), 29 OSCB 8527  
(2006), 29 OSCB 8541  
(2006), 29 OSCB 8545  
(2006), 29 OSCB 8547  
(2006), 29 OSCB 8556  
(2006), 29 OSCB 8561  
(2006), 29 OSCB 8571  
(2006), 29 OSCB 8574  
Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Delivery Services  
MFDA Proposed Policy **6** – Information Reporting Requirements, Notification of Change in Registration Information (Rule **1.2.5**) and Consequential Amendments  
CDS Rule Amendment – Delivery Services – Revisions in Response to Comments  
Material Amendments to CDS Rules Relating to Delivery Services – Summary of Comments  
Amendments to IDA **By-law 38** and Policy **6**, Part **1** – CCO Qualifying Examination  
Notice of Approval – Application to Vary the Recognition and Designation Order of CDS Ltd.  
MFDA Notice and **Request for Comments** – Suspensions in Certain Circumstances (Section **24.3** of **By-law No. 1**) and Related Provisions of **By-law No. 1/Request for Comments**  
MFDA Notice and **Request for Comments** – Hearing Panels (Section **19.9** of **By-law No. 1**)/  
**Request for Comments**  
CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Intellectual Property Procedures

November 3, 2006  
(2006), 29 OSCB 8729  
(2006), 29 OSCB 8731  
(2006), 29 OSCB 8733  
CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Delivery Services  
RS Market Integrity Notice – Notice of Withdrawal – Definition of “Applicable Market Display”  
RS Market Integrity Notice – Notice of Withdrawal – Provisions to Accommodate the Introduction of Multiple Marketplaces

November 17, 2006  
(2006), 29 OSCB 8978  
(2006), 29 OSCB 8978  
(2006), 29 OSCB 9127  
(2006), 29 OSCB 9138  
(2006), 29 OSCB 9139  
(2006), 29 OSCB 9140  
Short Notice of Commission Order – Application to Amend the Recognition Order of Mutual Fund Dealers Association of Canada  
Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to International Services Procedures  
RS Notice – Request for Comments – Proposed Allocation of Costs – First Group/**Request for Comments**  
CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to the USTA  
CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – Corporate Restructuring  
CDS Rule Amendment Notice – Technical Amendments to ATON User Guide

November 24, 2006  
(2006), 29 OSCB 9148

Corrections to RS Notice and Request for Comments 2006-07

December 1, 2006  
(2006), 29 OSCB 9287

Short Notice of Commission Approval – Amendments to MFDA Rule **3.2** and MFDA Financial Questionnaire and Report

(2006), 29 OSCB 9451

MFDA – Calculation of Risk Adjusted Capital (Rule **3.2.2**, **3.2.5** and Form 1)

(2006), 29 OSCB 9452

Summary of Public Comments Respecting Proposed Amendments to MFDA Rule **3.2** and MFDA Financial Questionnaire and Report and Response of the MFDA

(2006), 29 OSCB 9454

CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to International Services Procedures

December 8, 2006  
(2006), 29 OSCB 9670

CDS Notice and Request for Comments – Material Amendments to CDS Rules Relating to CREST Link/**Request for Comments**

December 15, 2006  
(2006), 29 OSCB 9925

Toronto Stock Exchange Notice of Approval of Housekeeping Amendments to the Toronto Stock Exchange Company Manual

December 22, 2006  
(2006), 29 OSDB 9949

Short Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** Regarding the Definition of “Control”

(2006), 29 OSDB 9949

Short Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** Regarding the Preamble to the Definitions

(2006), 29 OSCB 9950

Short Notice of Commission Approval – Housekeeping Amendments to MFDA Rule **1.2.4** Regarding the Currency of Courses

(2006), 29 OSCB 10154

CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Strip Bond Monthly Reports Service

(2006), 29 OSCB 10156

Notice of Commission Approval – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** (Definitions – “Control”)

(2006), 29 OSCB 10158

MFDA Notice – Housekeeping Amendment to MFDA **By-law No. 1**, Section **1** (Definitions)

(2006), 29 OSCB 10160

MFDA Notice – Housekeeping Amendments to MFDA Rule **1.2.4** (Currency of Courses)