

SUMMARY OF PUBLICATIONS

PUBLICATION BY DATE PUBLISHED

January 6, 2006

- (2006), 29 OSCB 3 Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.
- (2006), 29 OSCB 4 Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 4 Short Notice of Amendments to the Securities Act
- (2006), 29 OSCB 75 Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 223 Amendments to the Securities Act

January 13, 2006

- (2006), 29 OSCB 253 Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8** Commodity Futures Contracts and Futures Contracts Options
- (2006), 29 OSCB 254 Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 254 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions
- (2006), 29 OSCB 256 Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule
- (2006), 29 OSCB 259 CSA Staff Notice **52-312** Audit Committee Compliance Review
- (2006), 29 OSCB 263 CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2006
- (2006), 29 OSCB 321 OSC Request for Comment **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 419 IDA Regulation **100.2(F)(i)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment
- (2006), 29 OSCB 420 IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**
- (2006), 29 OSCB 471 **Request for Comments** – Amendments to the TSX Direct Access Rules
- (2006), 29 OSCB 483 IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options
- (2006), 29 OSCB 493 CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

January 20, 2006

- (2006), 29 OSCB 507 Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees
- (2006), 29 OSCB 597 OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees
- (2006), 29 OSCB 637 OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

January 27, 2006

- (2006), 29 OSCB 805 Short Notice of Commission Approval – Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 806 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 15** Association Accounts and Funds and Execution of Instruments
- (2006), 29 OSCB 806 Short Notice of Commission Order – Application to Vary CDS' Recognition Order
- (2006), 29 OSCB 959 Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 965 IDA Proposed Amendments to the Insurance Requirements – Regulation **400**
- (2006), 29 OSCB 970 IDA Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period
- (2006), 29 OSCB 974 Investment Dealers Association of Canada – **By-law 15** Association Accounts and Funds and Execution of Instruments

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- (2006), 29 OSCB 987 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-laws 10.26, 10.27** and **10.28** – Member Regulation Oversight Committee and Additional Board Committees
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/**Request for Comment**
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/**Request for Comment**

(2006), 29 OSCB 989	Short Notice of Commission Approval – Repeal of CNQ Rule 10-105 Risk Disclosure Statement
(2006), 29 OSCB 1151	IDA By-laws 10.26, 10.27 and 10.28 Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and Request for Comment – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ Request for Comment
(2006), 29 OSCB 1170	Notice and Request for Comment – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ Request for Comment
(2006), 29 OSCB 1177	CNQ – Repeal of Rule 10-105 Risk Disclosure Statement – Summary of Comments
<u>February 10, 2006</u>	
(2006), 29 OSCB 1188	OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
(2006), 29 OSCB 1192	OSC Staff Notice 11-757 Withdrawal of OSC Notices
(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
<u>February 17, 2006</u>	
(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation 100.4C and 100.4K Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
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(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule 2.1.4 Conflicts of Interest
(2006), 29 OSCB 1627	CSA Staff Notice 51-317 – NI 51-101 <i>Standards of Disclosure for Oil and Gas Activities</i> – Application of Canadian Oil and Gas Evaluation Handbook
(2006), 29 OSCB 1669	Proposed Amendment to OSC Rule 31-502 Proficiency Requirements for Registrants/ Request for Comments
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule 2.1.4 and Response of the MFDA
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(2006), 29 OSCB 1802	CSA Notice 24-302 Entitlement Payments to CDS
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form 1 , Notes and Instructions to Statement A Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1807	CSA Notice 11-309 Withdrawal of CSA Notices
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation 500 and Policy No. 6 Trader Registration
(2006), 29 OSCB 1829	CSA Notice and Request for Comment on Proposed National Instrument 24-101 Institutional Trade Matching and Settlement, and Proposed Companion Policy 24-101CP to National Instrument 24-101 Institutional Trade Matching and Settlement/ Request for Comment
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation 500 and Policy No. 6 , Part I. – Trader Registration
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(2006), 29 OSCB 2008	CSA Notice 44-303 Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI 44-101 Short Form Prospectus Distribution
(2006), 29 OSCB 2011	CSA Notice 52-313 Status of Proposed MI 52-111 Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
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(2006), 29 OSCB 2261	Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule 13-502 Fees, Companion Policy 13-502CP Fees, OSC Rule 13-503 (Commodity Futures Act) Fees and Companion Policy 13-503CP (Commodity Futures Act) Fees
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA By-laws 4.6 and 4.9 and Policy No. 6 – Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2263 Short Notice of Commission Approval – Amendments to IDA Regulation **1300.1** – Suitability and Institutional Accounts

(2006), 29 OSCB 2264 Short Notice of Commission Approval – Amendments to IDA Policy **No. 5** – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy **No. 5B** – Retail Debt Market Trading and Supervision

(2006), 29 OSCB 2265 CSA Staff Notice **23-304** Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)

(2006), 29 OSCB 2333 OSC Rule **13-502** Fees and Companion Policy **13-502CP** Fees

(2006), 29 OSCB 2367 OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2525 Amendments to IDA **By-law 4.9** Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2527 IDA Amendment to IDA Regulation **1300.1** – Suitability and Institutional Accounts

(2006), 29 OSCB 2532 IDA Policy **No. 5** – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets

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(2006), 29 OSCB 2603 OSC Request for Comment **11-903** Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/**Request for Comment**

April 7, 2006

(2006), 29 OSCB 2904 OSC Staff Notice **12-704** Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications

(2006), 29 OSCB 2904 Short Notice of Commission Approval – Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

(2006), 29 OSCB 2905 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

(2006), 29 OSCB 3129 Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

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(2006), 29 OSCB 3334 CSA Staff Notice **47-302** Pre-marketing of underwriters’ options on bought deals

(2006), 29 OSCB 3475 CNQ – Revised CNQ Dealer Application

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(2006), 29 OSCB 3485 Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service

(2006), 29 OSCB 3533 CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

(2006), 29 OSCB 3590 OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

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(2006), 29 OSCB 3865 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows

(2006), 29 OSCB 3866 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports

(2006), 29 OSCB 3867 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting

(2006), 29 OSCB 3868 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues

May 12, 2006

(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

(2006), 29 OSCB 4083 TSX Inc. – Request for Comments – Amendments to the Direct Access Rules/**Request for Comments**

(2006), 29 OSCB 4089 IDA Amendments to Form No. **2**, Regulation **1300.2** and Policy Nos. **2**, **4** and **9**

(2006), 29 OSCB 4108 CDS – Material Amendments to CDS Rules – ACT Participant

May 19, 2006

(2006), 29 OSCB 4279 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment

(2006), 29 OSCB 4280 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings

(2006), 29 OSCB 4285 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ATON

May 26, 2006

(2006), 29 OSCB 4295 OSC Staff Notice **81-708** Model Portfolios of Mutual Funds

(2006), 29 OSCB 4297 Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority

(2006), 29 OSCB 4297 OSC Staff Notice **51-714** (Revised) – OSC Continuous Disclosure Advisory Committee

(2006), 29 OSCB 4298 Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

(2006), 29 OSCB 4467 RS Market Integrity Notice – Amendment Approval

(2006), 29 OSCB 4487 Notice and **Request for Comments** – Material Amendments to CDS Rules Relating to Intellectual Property Rights/**Request for Comments**

(2006), 29 OSCB 4496 TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

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(2006), 29 OSCB 4509 CSA Staff Notice **43-306** Technical Reports Filed for Prospectus Offerings

(2006), 29 OSCB 4513 OSC Staff Notice **55-701** Automatic Securities Disposition Plans and Automatic Securities Purchase Plans

(2006), 29 OSCB 4571 **Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604** Defence for Misrepresentations in Forward-Looking Information/**Request for Comments**

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(2006), 29 OSCB 4882 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/**Request for Comments**

(2006), 29 OSCB 4887 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/**Request for Comments**

(2006), 29 OSCB 4892 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/**Request for Comments**

June 16, 2006

(2006), 29 OSCB 4905 OSC Staff Notice **11-758** Review of Limited Market Dealers

(2006), 29 OSCB 4912 Short Notice of Commission Approval – Investment Dealers Association of Canada – **By-law No. 28** Discretionary Fund

(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund

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(2006), 29 OSCB 5338 OSC Notice **11-753** (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007

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(2006), 29 OSCB 5538 OSC Notice **46-303** Principal Protected Notes

(2006), 29 OSCB 5542 CSA Notice **52-314** Securities Regulators Want Your Feedback on XBRL

(2006), 29 OSCB 5557 Short Notice of Commission Approval – IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

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(2006), 29 OSCB 5696 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

(2006), 29 OSCB 5697 Short Notice of Commission Approval – Material Amendments to CDS Rules Governing Cross-Border Services – Regulation SHO

(2006), 29 OSCB 5735 Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

(2006), 29 OSCB 5757 CSA Notice **21-304** Request for Filing of Form **21-101F5** Initial Operation Report for Information Processor by Interested Information Processors

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(2006), 29 OSCB 5862 Short Notice of Commission Approval – Material Amendments to CDS Rules – ACT Participant

(2006), 29 OSCB 5862 Short Notice of Commission Approval – MFDA Policy **5** – Branch Review Requirements

(2006), 29 OSCB 5863 Short Notice of Commission Approval – IDA Amendments to Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities During the Distribution Period

(2006), 29 OSCB 5863 Short Notice and Request for Comment – ICE Futures Application for Exemption/**Request for Comment**

(2006), 29 OSCB 5923 Notice of Proposed NI **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research (“Soft Dollar” Arrangements)/**Request for Comment**

(2006), 29 OSCB 5934	Proposed National Instrument 23-102 Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements) and Companion Policy 23-102CP/Request for Comment
(2006), 29 OSCB 6043	MFDA Policy 5 – Branch Review Requirements
(2006), 29 OSCB 6054	Notice and Request for Comment – ICE Futures' Application for Exemption from Recognition and Registration as an Exchange/ Request for Comment
(2006), 29 OSCB 6084	Changes to CNQ Rules and Policies
(2006), 29 OSCB 6100	CNQ Notice 2006-004 – Proposed Repeal of CNQ Rule 10
(2006), 29 OSCB 6103	Material Amendments to CDS Rules – Delivery Services/ Request for Comments
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(2006), 29 OSCB 6125	Short Notice of National Instrument 81-107 Independent Review Committee for Investment Funds
(2006), 29 OSCB 6259	MFDA – Calculation of Risk Adjusted Capital (Rules 3.2.2 , 3.2.5 and Form 1)
(2006), 29 OSCB (Supp)	National Instrument 81-107 Independent Review Committee for Investment Funds, Amendments to National Instrument 81-101 Mutual Fund Prospectus Disclosure, National Instrument 81-102 Mutual Funds, National Instrument 81-106 Investment Fund Continuous Disclosure, National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument 81-104 Commodity Pools, Rule 41-501 General Prospectus Requirements, Rule 81-802 Implementing National Instrument 81-107 Independent Review Committee for Investment Funds
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(2006), 29 OSCB 6269	CSA Staff Notice 52-306 (Revised) – Non-GAAP Financial Measures
(2006), 29 OSCB 6272	CSA Staff Notice 51-319 – Report on Staff's Second Continuous Disclosure Review of Income Trust Issuers
(2006), 29 OSCB 6278	Short Notice of Commission Approval – Amendments to IDA Policy 6 Parts I and II Regarding Wealth Management Essentials Course, and Policy 6 Part I Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6278	Short Notice of Commission Approval – Housekeeping Amendments to IDA Form I , Part II Auditors' Report
(2006), 29 OSCB 6443	Amendments to IDA Policy 6 Parts I and II Regarding Wealth Management Essentials Course, and Policy 6 Part I Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6446	Notice of Commission Approval - Housekeeping Amendments to IDA Form I , Part II Auditors' Report
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(2006), 29 OSCB 6459	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral
(2006), 29 OSCB 6460	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participants
(2006), 29 OSCB 6460	Short Notice of Approval of Amendments to NI 31-101 National Registration System and NP 31-201 National Registration System
(2006), 29 OSCB 6489	Amendments to NI 31-101 National Registration System and NP 31-201 National Registration System
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(2006), 29 OSCB 6581	Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Mark-to-Market Component of Continuous Net Settlement Collateral Requirement
(2006), 29 OSCB 6731	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Contingency Services
(2006), 29 OSCB 6733	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Regulation SHO
(2006), 29 OSCB 6735	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Data Expansion
(2006), 29 OSCB 6737	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Funds Transfer
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(2006), 29 OSCB 6743	Short Notice of Commission Approval – IDA Proposed New Methodology for Margining Equity Securities and Related Amendments to Regulation 100 and Form 1
(2006), 29 OSCB 6801	Proposed MI 61-101 Protection of Minority Security Holders in Special Transactions and Related Companion Policy 61-101CP Protection of Minority Security Holders in Special Transactions/ Request for Comments
(2006), 29 OSCB 6985	CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT
(2006), 29 OSCB 6986	IDA Proposed New Methodology for Margining Equity Securities – Regulation 100 and Form 1
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(2006), 29 OSCB 7001	Short Notice of Ministerial Approval of Ontario Amendment Instrument Amending National Instrument 44-101 Short Form Prospectus Distributions
(2006), 29 OSCB 7003	OSC Staff Notice 33-726 - IOSCO Publishes Final Report on Compliance Function at Market Intermediaries

<i>(2006), 29 OSCB 7085</i>	National Instrument 44-101 Short Form Prospectus Distributions – Ontario Amendment Instrument
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<i>(2006), 29 OSCB 7190</i>	CSA Staff Notice 51-320 Options Backdating
<i>(2006), 29 OSCB 7319</i>	Investment Dealers Association – Amendments to IDA Regulation 100.12 – Optional Use of Value at Risk Modeling
<i>(2006), 29 OSCB 7323</i>	Notice and Request for Comment – Application to Vary the Recognition and Designation Order of the Canadian Depository for Securities Limited as a Clearing Agency/ Request for Comment
<i>(2006), 29 OSCB 7326</i>	CDS Application re Restructuring of The Canadian Depository for Securities Limited
<i>(2006), 29 OSCB 7331</i>	The Canadian Depository for Securities Ltd. and CDS Clearing and Depository Services Inc. – Amendment to Recognition and Designation Order – ss. 21.2(1) and s. 144 of the Act and Part VI of the OBCA
<u>September 15, 2006</u> <i>(2006), 29 OSCB 7530</i>	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to International Services/ Request for Comments
<u>September 22, 2006</u> <i>(2006), 29 OSCB 7556</i>	CSA Staff Notice 52-315 Certification Compliance Review
<i>(2006), 29 OSCB 7682</i>	TSX Inc. – Request for Comments – Deletion of Rule 4-804
<i>(2006), 29 OSCB 7685</i>	CDS Rule Amendment Notice – Technical Amendments to CDS Rules Relating to Corporate Restructuring
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<i>(2006), 29 OSCB 7815</i>	TSX Inc. Notice – Filing of Housekeeping Amendments to the Rules of the Toronto Stock Exchange Relating to the Adoption of Universal Market Integrity Rules
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<i>(2006), 29 OSCB 1192</i>	OSC Staff Notice 11-757 Withdrawal of OSC Notices
<u>April 7, 2006</u> <i>(2006), 29 OSCB 2904</i>	OSC Staff Notice 12-704 Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications
<i>(2006), 29 OSCB 2905</i>	OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
<u>May 26, 2006</u> <i>(2006), 29 OSCB 4295</i>	OSC Staff Notice 81-708 Model Portfolios of Mutual Funds
<i>(2006), 29 OSCB 4297</i>	OSC Staff Notice 51-714 (Revised) – OSC Continuous Disclosure Advisory Committee
<u>June 2, 2006</u> <i>(2006), 29 OSCB 4513</i>	OSC Staff Notice 55-701 Automatic Securities Disposition Plans and Automatic Securities Purchase Plans
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<u>June 30, 2006</u> <i>(2006), 29 OSCB 5338</i>	OSC Notice 11-753 (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007
<u>July 7, 2006</u> <i>(2006), 29 OSCB 5538</i>	OSC Notice 46-303 Principal Protected Notes
<u>July 14, 2006</u> <i>(2006), 29 OSCB 5696</i>	OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

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Canadian Securities Administrators' Notices

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(2006), 29 OSCB 259
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CSA Staff Notice **52-312** Audit Committee Compliance Review
CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2006

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(2006), 29 OSCB 1627

CSA Staff Notice **51-317** – NI **51-101** *Standards of Disclosure for Oil and Gas Activities* – Application of Canadian Oil and Gas Evaluation Handbook

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CSA Notice **24-302** Entitlement Payments to CDS
CSA Notice **11-309** Withdrawal of CSA Notices

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(2006), 29 OSCB 2008
(2006), 29 OSCB 2011

CSA Notice **44-303** Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI **44-101** Short Form Prospectus Distribution
CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

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(2006), 29 OSCB 2265

CSA Staff Notice **23-304** Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)

April 21, 2006
(2006), 29 OSCB 3334

CSA Staff Notice **47-302** Pre-marketing of underwriters' options on bought deals

June 2, 2006
(2006), 29 OSCB 4509

CSA Staff Notice **43-306** Technical Reports Filed for Prospectus Offerings

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(2006), 29 OSCB 5542

CSA Notice **52-314** Securities Regulators Want Your Feedback on XBRL

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CSA Notice **21-304** Request for Filing of Form **21-101F5** Initial Operation Report for Information Processor by Interested Information Processors

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(2006), 29 OSCB 6269
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CSA Staff Notice **52-306** (Revised) – Non-GAAP Financial Measures
CSA Staff Notice **51-319** – Report on Staff's Second Continuous Disclosure Review of Income Trust Issuers

September 8, 2006
(2006), 29 OSCB 7190

CSA Staff Notice **51-320** Options Backdating

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(2006), 29 OSCB 7556

CSA Staff Notice **52-315** Certification Compliance Review

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(2006), 29 OSCB 7692

CSA Staff Notice **52-316** Certification of Design of Internal Control over Financial Reporting

B. MEMORANDA OF UNDERSTANDING

C. RESCISSION OF POLICY STATEMENTS

D. PROCEDURE AND RELATED MATTERS

13-101 System for Electronic Document Analysis and Retrieval (SEDAR)

July 28, 2006

(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

13-502 13-502CP 13-502F1 13-502F2 13-502F2A 13-502F3A 13-502F3B 13-502F3C 13-502F4 13-502F5 13-502F6 Fees

January 20, 2006

(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 597

OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees

March 17, 2006

(2006), 29 OSCB 2261

Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2333

OSC Rule **13-502** Fees and Companion Policy **13-502CP** Fees

13-503 13-503CP 13-503F1 13-503F2 (Commodity Futures Act) Fees

January 20, 2006

(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 637

OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

March 17, 2006

(2006), 29 OSCB 2261

Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2367

OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

15-901 Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters

January 13, 2006

(2006), 29 OSCB 254

Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters

(2006), 29 OSCB 321

OSC Request for Comments **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comments**

E.

CERTAIN CAPITAL MARKET PARTICIPANTS

21-101 21-101CP 21-101F5 Marketplace Operation

July 14, 2006

(2006), 29 OSCB 5735

Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

(2006), 29 OSCB 5757

CSA Notice **21-304** Request for Filing of Form **21-101F5** Initial Operation Report for Information Processor by Interested Information Processors

23-101 23-101CP Trading Rules

July 14, 2006

(2006), 29 OSCB 5735

Notice of Proposed Amendments to NI **21-101** Marketplace Operation, Companion Policy **21-101CP**, NI **23-101** Trading Rules and Companion Policy **23-101CP/Request for Comment**

23-102 23-102CP Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements)

July 21, 2006

(2006), 29 OSCB 5923

Notice of Proposed NI **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements)/**Request for Comment**

(2006), 29 OSCB 5934 Proposed National Instrument **23-102** Use of Client Brokerage Commissions as Payment for Order Execution Services or Research ("Soft Dollar" Arrangements) and Companion Policy **23-102CP/Request for Comment**

24-101 24-101CP Post-Trade Matching and Settlement

March 3, 2006
(2006), 29 OSCB 1829 CSA Notice and **Request for Comment** on Proposed National Instrument **24-101** Institutional Trade Matching and Settlement, and Proposed Companion Policy **24-101CP** to National Instrument **24-101** Institutional Trade Matching and Settlement/**Request for Comment**

F. REGISTRATION REQUIREMENTS AND RELATED MATTERS

31-101 National Registration System

May 12, 2006
(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

August 11, 2006
(2006), 29 OSCB 6460 Short Notice of Approval of Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System
(2006), 29 OSCB 6489 Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

31-102 31-102CP National Registration Database

May 12, 2006
(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

31-201 National Registration System

May 12, 2006
(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

August 11, 2006
(2006), 29 OSCB 6460 Short Notice of Approval of Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System
(2006), 29 OSCB 6489 Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

31-502 Proficiency Requirements for Registrants

February 24, 2006
(2006), 29 OSCB 1669 Proposed Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants/**Request for Comments**

33-109 33-109CP Registration Information

May 12, 2006
(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

G. DISTRIBUTION REQUIREMENTS

41-501 General Prospectus Requirements

July 28, 2006
(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

44-101 Short Form Prospectus Distribution

March 10, 2006
(2006), 29 OSCB 2008 CSA Notice **44-303** Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI **44-101** Short Form Prospectus Distribution

September 1, 2006
(2006), 29 OSCB 7001 Short Notice of Ministerial Approval of Ontario Amendment Instrument Amending National Instrument **44-101** Short Form Prospectus Distributions
(2006), 29 OSCB 7085 National Instrument **44-101** Short Form Prospectus Distributions – Ontario Amendment Instrument

45-106 45-106CP 45-106F1 45-106F2 45-106F3 45-106F4 45-106F5 Prospectus and Registration Exemptions

January 6, 2006
(2006), 29 OSCB 4 Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**
(2006), 29 OSCB 75 Ontario Amending Instrument Amending NI **45-106**

H. ONGOING REQUIREMENTS FOR ISSUERS AND INSIDERS

51-101 51-101CP Standards of Disclosure for Oil and Gas Activities

February 24, 2006
(2006), 29 OSCB 1627 CSA Staff Notice **51-317** – NI **51-101** *Standards of Disclosure for Oil and Gas Activities* – Application of Canadian Oil and Gas Evaluation Handbook

51-604 Defence for Misrepresentations in Forward-Looking Information

June 2, 2006
(2006), 29 OSCB 4571 **Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604** Defence for Misrepresentations in Forward-Looking Information/**Request for Comments**

52-109 Certification of Disclosure in Issuers' Annual and Interim Filings

March 10, 2006
(2006), 29 OSCB 2011 CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

52-111 Reporting on Internal Control over Financial Reporting

March 10, 2006
(2006), 29 OSCB 2011 CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

I. TAKE-OVER BIDS AND SPECIAL TRANSACTIONS

61-101 Protection of Minority Security Holders in Special Transactions

August 25, 2006
(2006), 29 OSCB 6743 Short Notice of Commission Approval – IDA Proposed New Methodology for Margining Equity Securities and Related Amendments to Regulation **100** and Form **1**
(2006), 29 OSCB 6801 Proposed MI **61-101** Protection of Minority Security Holders in Special Transactions and Related Companion Policy **61-101CP** Protection of Minority Security Holders in Special Transactions/
Request for Comments
(2006), 29 OSCB 6985 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT
(2006), 29 OSCB 6986 IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **1**

62-103 The Early Warning System and Related Take-Over Bid and Insider Reporting Issues

April 28, 2006
(2006), 29 OSCB 3533 CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

62-104 62-104CP Take-Over Bids and Issuer Bids

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(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

(2006), 29 OSCB 3590

OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

62-201 Bids Made Only in Certain Jurisdictions

April 28, 2006

(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104** Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy **62-104CP** Take-Over Bids and Issuer Bids, Proposed Amendments to NI **62-103** The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy **62-201** Bids Made Only in Certain Jurisdictions/**Request for Comment**

62-801 Implementing National Instrument 62-104 Take-Over Bids and Issuer Bids

April 28, 2006

(2006), 29 OSCB 3590

OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

J. SECURITY TRANSACTIONS OUTSIDE THE JURISDICTION

K. MUTUAL FUNDS

81-101 81-101F1 81-101F2 Mutual Fund Prospectus Disclosure

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(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

81-102 81-102CP Mutual Funds

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(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

81-104 Commodity Pools

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(2006), 29 OSCB (Supp)

National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

81-106 81-106F1 Investment Fund Continuous Disclosure

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(2006), 29 OSCB (Supp) National Instrument **81-107** Independent Review Committee for Investment Funds, Amendments to National Instrument **81-101** Mutual Fund Prospectus Disclosure, National Instrument **81-102** Mutual Funds, National Instrument **81-106** Investment Fund Continuous Disclosure, National Instrument **13-101** System for Electronic Document Analysis and Retrieval (SEDAR), National Instrument **81-104** Commodity Pools, Rule **41-501** General Prospectus Requirements, Rule **81-802** Implementing National Instrument **81-107** Independent Review Committee for Investment Funds

81-107 Independent Review Committee for Investment Funds

July 28, 2006

(2006), 29 OSCB 6125
(2006), 29 OSCB (Supp)

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81-802 81-802CP Implementing National Instrument 81-107 Independent Review Committee for Investment Funds

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(2006), 29 OSCB (Supp)

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L. DERIVATIVES

M. MISCELLANEOUS

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(2006), 29 OSCB 4
(2006), 29 OSCB 223

Short Notice of Amendments to the Securities Act
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(2006), 29 OSCB 2603

OSC Request for Comment **11-903** Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/**Request for Comment**

N. RULES AND POLICIES OF SROs AND RECOGNIZED EXCHANGES

January 6, 2006

(2006), 29 OSCB 3

Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.

January 13, 2006

(2006), 29 OSCB 253

Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8** Commodity Futures Contracts and Futures Contracts Options

(2006), 29 OSCB 254

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions

(2006), 29 OSCB 256

Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

(2006), 29 OSCB 419

IDA Regulation **100.2(F)(I)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment

(2006), 29 OSCB 420

IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**

(2006), 29 OSCB 471

Request for Comments – Amendments to the TSX Direct Access Rules/**Request for Comments**

(2006), 29 OSCB 483

IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options

(2006), 29 OSCB 493

CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

January 27, 2006

(2006), 29 OSCB 805	Short Notice of Commission Approval – Proposed IDA Policy No. 4 Minimum Standards for Institutional Account Opening, Operation and Supervision
(2006), 29 OSCB 806	Short Notice of Commission Approval – Housekeeping Amendments to IDA By-law 15 Association Accounts and Funds and Execution of Instruments
(2006), 29 OSCB 806	Short Notice of Commission Order – Application to Vary CDS' Recognition Order
(2006), 29 OSCB 959	Proposed IDA Policy No. 4 Minimum Standards for Institutional Account Opening, Operation and Supervision
(2006), 29 OSCB 965	IDA Proposed Amendments to the Insurance Requirements – Regulation 400
(2006), 29 OSCB 970	IDA Regulation 100.5 Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period
(2006), 29 OSCB 974	Investment Dealers Association of Canada – By-law 15 Association Accounts and Funds and Execution of Instruments
<u>February 3, 2006</u>	
(2006), 29 OSCB 987	Short Notice of Commission Approval – Housekeeping Amendments to IDA By-laws 10.26, 10.27 and 10.28 – Member Regulation Oversight Committee and Additional Board Committees
(2006), 29 OSCB 988	Short Notice and Request for Comment – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/ Request for Comment
(2006), 29 OSCB 988	Short Notice and Request for Comment – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ Request for Comment
(2006), 29 OSCB 989	Short Notice of Commission Approval – Repeal of CNQ Rule 10-105 Risk Disclosure Statement
(2006), 29 OSCB 1151	IDA By-laws 10.26, 10.27 and 10.28 Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and Request for Comment – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ Request for Comment
(2006), 29 OSCB 1170	Notice and Request for Comment – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ Request for Comment
(2006), 29 OSCB 1177	CNQ – Repeal of Rule 10-105 Risk Disclosure Statement – Summary of Comments
<u>February 10, 2006</u>	
(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
<u>February 17, 2006</u>	
(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation 100.4C and 100.4K Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
<u>February 24, 2006</u>	
(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule 2.1.4 Conflicts of Interest
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule 2.1.4 and Response of the MFDA
<u>March 3, 2006</u>	
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form 1 , Notes and Instructions to Statement A Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation 500 and Policy No. 6 Trader Registration
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation 500 and Policy No. 6 , Part I. – Trader Registration
<u>March 10, 2006</u>	
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
<u>March 17, 2006</u>	
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA By-laws 4.6 and 4.9 and Policy No. 6 – Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2263	Short Notice of Commission Approval – Amendments to IDA Regulation 1300.1 – Suitability and Institutional Accounts
(2006), 29 OSCB 2264	Short Notice of Commission Approval – Amendments to IDA Policy No. 5 – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy No. 5B – Retail Debt Market Trading and Supervision
(2006), 29 OSCB 2525	Amendments to IDA By-law 4.9 Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2527	IDA Amendment to IDA Regulation 1300.1 – Suitability and Institutional Accounts
(2006), 29 OSCB 2532	IDA Policy No. 5 – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets
<u>April 7, 2006</u>	
(2006), 29 OSCB 2904	Short Notice of Commission Approval – Amendments to IDA By-law 2 – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments
(2006), 29 OSCB 3129	Amendments to IDA By-law 2 – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments
<u>April 21, 2006</u>	
(2006), 29 OSCB 3475	CNQ – Revised CNQ Dealer Application
<u>April 28, 2006</u>	
(2006), 29 OSCB 3485	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service
<u>May 5, 2006</u>	
(2006), 29 OSCB 3865	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows
(2006), 29 OSCB 3866	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports
(2006), 29 OSCB 3867	CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting
(2006), 29 OSCB 3868	CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues
<u>May 12, 2006</u>	
(2006), 29 OSCB 4083	TSX Inc. – Request for Comments – Amendments to the Direct Access Rules/ Request for Comments
(2006), 29 OSCB 4089	IDA Amendments to Form No. 2 , Regulation 1300.2 and Policy Nos. 2, 4 and 9
(2006), 29 OSCB 4108	CDS – Material Amendments to CDS Rules – ACT Participant
<u>May 19, 2006</u>	
(2006), 29 OSCB 4279	IDA By-laws 10.1 and 10.4 Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment
(2006), 29 OSCB 4280	IDA By-laws 10.1 and 10.4 Board of Directors, National Advisory Committee and Meetings
(2006), 29 OSCB 4285	CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation - ATON
<u>May 26, 2006</u>	
(2006), 29 OSCB 4297	Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority
(2006), 29 OSCB 4298	Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual
(2006), 29 OSCB 4467	RS Market Integrity Notice – Amendment Approval
(2006), 29 OSCB 4487	Notice and Request for Comments – Material Amendments to CDS Rules Relating to Intellectual Property Rights/ Request for Comments
(2006), 29 OSCB 4496	TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual
<u>June 9, 2006</u>	
(2006), 29 OSCB 4882	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/ Request for Comments
(2006), 29 OSCB 4887	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/ Request for Comments
(2006), 29 OSCB 4892	CDS Notice and Request for Comments – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/ Request for Comments
<u>June 16, 2006</u>	
(2006), 29 OSCB 4912	Short Notice of Commission Approval – Investment Dealers Association of Canada – By-law No. 28 Discretionary Fund

(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund

July 7, 2006
(2006), 29 OSCB 5557 Short Notice of Commission Approval – IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

July 14, 2006
(2006), 29 OSCB 5697 Short Notice of Commission Approval – Material Amendments to CDS Rules Governing Cross-Border Services – Regulation SHO

July 21, 2006
(2006), 29 OSCB 5862 Short Notice of Commission Approval – Material Amendments to CDS Rules – ACT Participant
(2006), 29 OSCB 5862 Short Notice of Commission Approval – MFDA Policy **5** – Branch Review Requirements
(2006), 29 OSCB 5863 Short Notice of Commission Approval – IDA Amendments to Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities During the Distribution Period
(2006), 29 OSCB 5863 Short Notice and Request for Comment – ICE Futures Application for Exemption/**Request for Comment**
(2006), 29 OSCB 6043 MFDA Policy **5** – Branch Review Requirements
(2006), 29 OSCB 6054 Notice and Request for Comment – ICE Futures' Application for Exemption from Recognition and Registration as an Exchange/**Request for Comment**
(2006), 29 OSCB 6084 Changes to CNQ Rules and Policies
(2006), 29 OSCB 6100 CNQ Notice **2006-004** – Proposed Repeal of CNQ Rule **10**
(2006), 29 OSCB 6103 Material Amendments to CDS Rules – Delivery Services/**Request for Comments**

July 28, 2006
(2006), 29 OSCB 6259 MFDA – Calculation of Risk Adjusted Capital (Rules **3.2.2**, **3.2.5** and Form **1**)

August 4, 2006
(2006), 29 OSCB 6278 Short Notice of Commission Approval – Amendments to IDA Policy **6** Parts **I** and **II** Regarding Wealth Management Essentials Course, and Policy **6** Part **I** Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6278 Short Notice of Commission Approval – Housekeeping Amendments to IDA Form **I**, Part **II** Auditors' Report
(2006), 29 OSCB 6443 Amendments to IDA Policy **6** Parts **I** and **II** Regarding Wealth Management Essentials Course, and Policy **6** Part **I** Regarding Proficiency Requirements for Futures Contract Portfolio Management and Associate Futures Contract Portfolio Managers
(2006), 29 OSCB 6446 Notice of Commission Approval - Housekeeping Amendments to IDA Form **I**, Part **II** Auditors' Report

August 11, 2006
(2006), 29 OSCB 6459 Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral
(2006), 29 OSCB 6460 Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participants
(2006), 29 OSCB 6460 Short Notice of Approval of Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System
(2006), 29 OSCB 6489 Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

August 18, 2006
(2006), 29 OSCB 6581 Short Notice of Commission Approval – Material Amendments to CDS Procedures Relating to Mark-to-Market Component of Continuous Net Settlement Collateral Requirement
(2006), 29 OSCB 6731 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Contingency Services
(2006), 29 OSCB 6733 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Regulation SHO
(2006), 29 OSCB 6735 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Data Expansion
(2006), 29 OSCB 6737 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – Funds Transfer

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(2006), 29 OSCB 7817 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – New Exchange Procedures