

## SUMMARY OF PUBLICATIONS

### PUBLICATION BY DATE PUBLISHED

#### January 6, 2006

- (2006), 29 OSCB 3 Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.
- (2006), 29 OSCB 4 Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 4 Short Notice of Amendments to the Securities Act
- (2006), 29 OSCB 75 Ontario Amending Instrument Amending NI **45-106**
- (2006), 29 OSCB 223 Amendments to the Securities Act

#### January 13, 2006

- (2006), 29 OSCB 253 Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8** Commodity Futures Contracts and Futures Contracts Options
- (2006), 29 OSCB 254 Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 254 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments
- (2006), 29 OSCB 255 Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions
- (2006), 29 OSCB 256 Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule
- (2006), 29 OSCB 259 CSA Staff Notice **52-312** Audit Committee Compliance Review
- (2006), 29 OSCB 263 CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2006
- (2006), 29 OSCB 321 OSC Request for Comment **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comment**
- (2006), 29 OSCB 419 IDA Regulation **100.2(F)(i)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment
- (2006), 29 OSCB 420 IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**
- (2006), 29 OSCB 471 **Request for Comments** – Amendments to the TSX Direct Access Rules
- (2006), 29 OSCB 483 IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options
- (2006), 29 OSCB 493 CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

#### January 20, 2006

- (2006), 29 OSCB 507 Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees
- (2006), 29 OSCB 597 OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees
- (2006), 29 OSCB 637 OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

#### January 27, 2006

- (2006), 29 OSCB 805 Short Notice of Commission Approval – Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 806 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 15** Association Accounts and Funds and Execution of Instruments
- (2006), 29 OSCB 806 Short Notice of Commission Order – Application to Vary CDS' Recognition Order
- (2006), 29 OSCB 959 Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision
- (2006), 29 OSCB 965 IDA Proposed Amendments to the Insurance Requirements – Regulation **400**
- (2006), 29 OSCB 970 IDA Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period
- (2006), 29 OSCB 974 Investment Dealers Association of Canada – **By-law 15** Association Accounts and Funds and Execution of Instruments

#### February 3, 2006

- (2006), 29 OSCB 987 Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-laws 10.26, 10.27** and **10.28** – Member Regulation Oversight Committee and Additional Board Committees
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/**Request for Comment**
- (2006), 29 OSCB 988 Short Notice and **Request for Comment** – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/**Request for Comment**

(2006), 29 OSCB 989	Short Notice of Commission Approval – Repeal of CNQ Rule <b>10-105</b> Risk Disclosure Statement
(2006), 29 OSCB 1151	IDA <b>By-laws 10.26, 10.27 and 10.28</b> Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ <b>Request for Comment</b>
(2006), 29 OSCB 1170	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
(2006), 29 OSCB 1177	CNQ – Repeal of Rule <b>10-105</b> Risk Disclosure Statement – Summary of Comments
<u>February 10, 2006</u>	
(2006), 29 OSCB 1188	OSC Staff Notice <b>11-739</b> (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
(2006), 29 OSCB 1192	OSC Staff Notice <b>11-757</b> Withdrawal of OSC Notices
(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
<u>February 17, 2006</u>	
(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation <b>100.4C</b> and <b>100.4K</b> Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
<u>February 24, 2006</u>	
(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule <b>2.1.4</b> Conflicts of Interest
(2006), 29 OSCB 1627	CSA Staff Notice <b>51-317</b> – NI <b>51-101</b> <i>Standards of Disclosure for Oil and Gas Activities</i> – Application of Canadian Oil and Gas Evaluation Handbook
(2006), 29 OSCB 1669	Proposed Amendment to OSC Rule <b>31-502</b> Proficiency Requirements for Registrants/ <b>Request for Comments</b>
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule <b>2.1.4</b> and Response of the MFDA
<u>March 3, 2006</u>	
(2006), 29 OSCB 1802	CSA Notice <b>24-302</b> Entitlement Payments to CDS
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form <b>1</b> , Notes and Instructions to Statement <b>A</b> Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1807	CSA Notice <b>11-309</b> Withdrawal of CSA Notices
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation <b>500</b> and Policy No. <b>6</b> Trader Registration
(2006), 29 OSCB 1829	CSA Notice and <b>Request for Comment</b> on Proposed National Instrument <b>24-101</b> Institutional Trade Matching and Settlement, and Proposed Companion Policy <b>24-101CP</b> to National Instrument <b>24-101</b> Institutional Trade Matching and Settlement/ <b>Request for Comment</b>
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation <b>500</b> and Policy No. <b>6</b> , Part I. – Trader Registration
<u>March 10, 2006</u>	
(2006), 29 OSCB 2008	CSA Notice <b>44-303</b> Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI <b>44-101</b> Short Form Prospectus Distribution
(2006), 29 OSCB 2011	CSA Notice <b>52-313</b> Status of Proposed MI <b>52-111</b> Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI <b>52-109</b> Certification of Disclosure in Issuers' Annual and Interim Filings
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
<u>March 17, 2006</u>	
(2006), 29 OSCB 2261	Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule <b>13-502</b> Fees, Companion Policy <b>13-502CP</b> Fees, OSC Rule <b>13-503</b> (Commodity Futures Act) Fees and Companion Policy <b>13-503CP</b> (Commodity Futures Act) Fees
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA <b>By-laws 4.6 and 4.9</b> and Policy <b>No. 6</b> – Branch Managers of Branches Having Only Non-Retail Accounts

(2006), 29 OSCB 2263	Short Notice of Commission Approval – Amendments to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2264	Short Notice of Commission Approval – Amendments to IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy <b>No. 5B</b> – Retail Debt Market Trading and Supervision
(2006), 29 OSCB 2265	CSA Staff Notice <b>23-304</b> Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)
(2006), 29 OSCB 2333	OSC Rule <b>13-502</b> Fees and Companion Policy <b>13-502CP</b> Fees
(2006), 29 OSCB 2367	OSC Rule <b>13-503</b> (Commodity Futures Act) Fees and Companion Policy <b>13-503CP</b> (Commodity Futures Act) Fees
(2006), 29 OSCB 2525	Amendments to IDA <b>By-law 4.9</b> Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2527	IDA Amendment to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2532	IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets
<u>March 24, 2006</u>	
(2006), 29 OSCB 2603	OSC Request for Comment <b>11-903</b> Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/ <b>Request for Comment</b>
<u>April 7, 2006</u>	
(2006), 29 OSCB 2904	OSC Staff Notice <b>12-704</b> Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications
(2006), 29 OSCB 2904	Short Notice of Commission Approval – Amendments to IDA <b>By-law 2</b> – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments
(2006), 29 OSCB 2905	OSC Staff Notice <b>11-739</b> (Revised) – Policy Reformulation Table of Concordance and List of New Instruments
(2006), 29 OSCB 3129	Amendments to IDA <b>By-law 2</b> – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments
<u>April 21, 2006</u>	
(2006), 29 OSCB 3334	CSA Staff Notice <b>47-302</b> Pre-marketing of underwriters’ options on bought deals
(2006), 29 OSCB 3475	CNQ – Revised CNQ Dealer Application
<u>April 28, 2006</u>	
(2006), 29 OSCB 3485	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service
(2006), 29 OSCB 3533	CDS Notice and <b>Request for Comment</b> – Proposed NI <b>62-104</b> Take-Over Bids and Issuer Bids, and Related Forms and Companion Policy <b>62-104CP</b> Take-Over Bids and Issuer Bids, Proposed Amendments to NI <b>62-103</b> The Early Warning System and Related Take-Over Bid and Insider Reporting Issues, and Proposed Repeal of CSA Policy <b>62-201</b> Bids Made Only in Certain Jurisdictions/ <b>Request for Comment</b>
(2006), 29 OSCB 3590	OSC Notice and <b>Request for Comment</b> – Proposed Rule <b>62-801</b> Implementing National Instrument <b>62-104</b> Take-Over Bids and Issuer Bids/ <b>Request for Comment</b>
<u>May 5, 2006</u>	
(2006), 29 OSCB 3865	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows
(2006), 29 OSCB 3866	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports
(2006), 29 OSCB 3867	CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting
(2006), 29 OSCB 3868	CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues
<u>May 12, 2006</u>	
(2006), 29 OSCB 3955	CSA Notice – Amendments to NI <b>31-101</b> National Registration System and NP <b>31-201</b> National Registration System
(2006), 29 OSCB 3961	Notice of Proposed Amendments to MI <b>33-109</b> Registration Information, Companion Policy <b>33-109CP</b> , MI <b>31-102</b> National Registration Database and Companion Policy <b>31-102CP/Request for Comment</b>
(2006), 29 OSCB 4083	TSX Inc. – Request for Comments – Amendments to the Direct Access Rules/ <b>Request for Comments</b>
(2006), 29 OSCB 4089	IDA Amendments to Form No. <b>2</b> , Regulation <b>1300.2</b> and Policy Nos. <b>2</b> , <b>4</b> and <b>9</b>
(2006), 29 OSCB 4108	CDS – Material Amendments to CDS Rules – ACT Participant
<u>May 19, 2006</u>	

(2006), 29 OSCB 4279 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment  
(2006), 29 OSCB 4280 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings  
(2006), 29 OSCB 4285 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ATON

May 26, 2006

(2006), 29 OSCB 4295 OSC Staff Notice **81-708** Model Portfolios of Mutual Funds  
(2006), 29 OSCB 4297 Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority  
(2006), 29 OSCB 4297 OSC Staff Notice **51-714** (Revised) – OSC Continuous Disclosure Advisory Committee  
(2006), 29 OSCB 4298 Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual  
(2006), 29 OSCB 4467 RS Market Integrity Notice – Amendment Approval  
(2006), 29 OSCB 4487 Notice and **Request for Comments** – Material Amendments to CDS Rules Relating to Intellectual Property Rights/**Request for Comments**  
(2006), 29 OSCB 4496 TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

June 2, 2006

(2006), 29 OSCB 4509 CSA Staff Notice **43-306** Technical Reports Filed for Prospectus Offerings  
(2006), 29 OSCB 4513 OSC Staff Notice **55-701** Automatic Securities Disposition Plans and Automatic Securities Purchase Plans  
(2006), 29 OSCB 4571 **Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604** Defence for Misrepresentations in Forward-Looking Information/**Request for Comments**

June 9, 2006

(2006), 29 OSCB 4882 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/**Request for Comments**  
(2006), 29 OSCB 4887 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/**Request for Comments**  
(2006), 29 OSCB 4892 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/**Request for Comments**

June 16, 2006

(2006), 29 OSCB 4905 OSC Staff Notice **11-758** Review of Limited Market Dealers  
(2006), 29 OSCB 4912 Short Notice of Commission Approval – Investment Dealers Association of Canada – **By-law No. 28** Discretionary Fund  
(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund

June 30, 2006

(2006), 29 OSCB 5338 OSC Notice **11-753** (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007

**Local Notices**

February 10, 2006

(2006), 29 OSCB 1188 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments  
(2006), 29 OSCB 1192 OSC Staff Notice **11-757** Withdrawal of OSC Notices

April 7, 2006

(2006), 29 OSCB 2904 OSC Staff Notice **12-704** Materials to be Provided on a Compact Disc or 3.25” Disk on Exemptive Relief Applications  
(2006), 29 OSCB 2905 OSC Staff Notice **11-739** (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

May 26, 2006

(2006), 29 OSCB 4295 OSC Staff Notice **81-708** Model Portfolios of Mutual Funds  
(2006), 29 OSCB 4297 OSC Staff Notice **51-714** (Revised) – OSC Continuous Disclosure Advisory Committee

June 2, 2006

(2006), 29 OSCB 4513 OSC Staff Notice **55-701** Automatic Securities Disposition Plans and Automatic Securities Purchase Plans

June 16, 2006

(2006), 29 OSCB 4905 OSC Staff Notice **11-758** Review of Limited Market Dealers

June 30, 2006  
(2006), 29 OSCB 5338

OSC Notice **11-753** (Revised) – Statement of Priorities for the Financial Year to End March 31, 2007

### **Canadian Securities Administrators' Notices**

January 13, 2006  
(2006), 29 OSCB 259  
(2006), 29 OSCB 263

CSA Staff Notice **52-312** Audit Committee Compliance Review  
CSA Revised Staff Notice **13-315** Securities Regulatory Authority Closed Dates 2006

February 24, 2006  
(2006), 29 OSCB 1627

CSA Staff Notice **51-317** – NI **51-101** *Standards of Disclosure for Oil and Gas Activities* – Application of Canadian Oil and Gas Evaluation Handbook

March 3, 2006  
(2006), 29 OSCB 1802  
(2006), 29 OSCB 1807

CSA Notice **24-302** Entitlement Payments to CDS  
CSA Notice **11-309** Withdrawal of CSA Notices

March 10, 2006  
(2006), 29 OSCB 2008

CSA Notice **44-303** Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI **44-101** Short Form Prospectus Distribution

(2006), 29 OSCB 2011

CSA Notice **52-313** Status of Proposed MI **52-111** Reporting on Internal Control over Financial Reporting and Proposed Amended and Restated MI **52-109** Certification of Disclosure in Issuers' Annual and Interim Filings

March 17, 2006  
(2006), 29 OSCB 2265

CSA Staff Notice **23-304** Joint CSA/SRO Notice – Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)

April 21, 2006  
(2006), 29 OSCB 3334

CSA Staff Notice **47-302** Pre-marketing of underwriters' options on bought deals

June 2, 2006  
(2006), 29 OSCB 4509

CSA Staff Notice **43-306** Technical Reports Filed for Prospectus Offerings

### **B. MEMORANDA OF UNDERSTANDING**

### **C. RESCISSION OF POLICY STATEMENTS**

### **D. PROCEDURE AND RELATED MATTERS**

### **13-502 13-502CP 13-502F1 13-502F2 13-502F2A 13-502F3A 13-502F3B 13-502F3C 13-502F4 13-502F5 13-502F6 Fees**

January 20, 2006  
(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 597

OSC Rule **13-502** Fees, Forms and Companion Policy **13-502CP** Fees

March 17, 2006  
(2006), 29 OSCB 2261

Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2333

OSC Rule **13-502** Fees and Companion Policy **13-502CP** Fees

### **13-503 13-503CP 13-503F1 13-503F2 (Commodity Futures Act) Fees**

January 20, 2006  
(2006), 29 OSCB 507

Short Notice of Commission Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 637

OSC Rule **13-503** (Commodity Futures Act) Fees, Forms and Companion Policy **13-503CP** (Commodity Futures Act) Fees

March 17, 2006  
(2006), 29 OSCB 2261 Short Notice of Ministerial Approval – Revocation and Replacement of OSC Rule **13-502** Fees, Companion Policy **13-502CP** Fees, OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

(2006), 29 OSCB 2367 OSC Rule **13-503** (Commodity Futures Act) Fees and Companion Policy **13-503CP** (Commodity Futures Act) Fees

**15-901 Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters**

January 13, 2006  
(2006), 29 OSCB 254 Short Notice – OSC Request for Comment **15-901** Proposed Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters

(2006), 29 OSCB 321 OSC Request for Comments **15-901** Procedures for Opportunities to be Heard Before Director's Decisions on Registration Matters/**Request for Comments**

**E. CERTAIN CAPITAL MARKET PARTICIPANTS**

**24-101 24-101CP Post-Trade Matching and Settlement**

March 3, 2006  
(2006), 29 OSCB 1829 CSA Notice and **Request for Comment** on Proposed National Instrument **24-101** Institutional Trade Matching and Settlement, and Proposed Companion Policy **24-101CP** to National Instrument **24-101** Institutional Trade Matching and Settlement/**Request for Comment**

**F. REGISTRATION REQUIREMENTS AND RELATED MATTERS**

**31-101 National Registration System**

May 12, 2006  
(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

**31-102 31-102CP National Registration Database**

May 12, 2006  
(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

**31-201 National Registration System**

May 12, 2006  
(2006), 29 OSCB 3955 CSA Notice – Amendments to NI **31-101** National Registration System and NP **31-201** National Registration System

**31-502 Proficiency Requirements for Registrants**

February 24, 2006  
(2006), 29 OSCB 1669 Proposed Amendment to OSC Rule **31-502** Proficiency Requirements for Registrants/**Request for Comments**

**33-109 33-109CP Registration Information**

May 12, 2006  
(2006), 29 OSCB 3961 Notice of Proposed Amendments to MI **33-109** Registration Information, Companion Policy **33-109CP**, MI **31-102** National Registration Database and Companion Policy **31-102CP/Request for Comment**

**G. DISTRIBUTION REQUIREMENTS**

**44-101 Short Form Prospectus Distribution**

March 10, 2006  
(2006), 29 OSCB 2008 CSA Notice **44-303** Filing of Notice of Intention to be Qualified to File a Short Form Prospectus under NI **44-101** Short Form Prospectus Distribution

## **45-106 45-106CP 45-106F1 45-106F2 45-106F3 45-106F4 45-106F5 Prospectus and Registration Exemptions**

January 6, 2006  
(2006), 29 OSCB 4  
(2006), 29 OSCB 75

Short Notice of Ministerial Approval – Ontario Amending Instrument Amending NI **45-106**  
Ontario Amending Instrument Amending NI **45-106**

### **H. ONGOING REQUIREMENTS FOR ISSUERS AND INSIDERS**

#### **51-101 51-101CP Standards of Disclosure for Oil and Gas Activities**

February 24, 2006  
(2006), 29 OSCB 1627

CSA Staff Notice **51-317** – NI **51-101 Standards of Disclosure for Oil and Gas Activities** –  
Application of Canadian Oil and Gas Evaluation Handbook

#### **51-604 Defence for Misrepresentations in Forward-Looking Information**

June 2, 2006  
(2006), 29 OSCB 4571

**Request for Comments** – Notice of Proposed Ontario Securities Policy **51-604 Defence for**  
Misrepresentations in Forward-Looking Information/**Request for Comments**

#### **52-109 Certification of Disclosure in Issuers' Annual and Interim Filings**

March 10, 2006  
(2006), 29 OSCB 2011

CSA Notice **52-313 Status of Proposed MI 52-111 Reporting on Internal Control over Financial**  
Reporting and Proposed Amended and Restated MI **52-109 Certification of Disclosure in Issuers'**  
Annual and Interim Filings

#### **52-111 Reporting on Internal Control over Financial Reporting**

March 10, 2006  
(2006), 29 OSCB 2011

CSA Notice **52-313 Status of Proposed MI 52-111 Reporting on Internal Control over Financial**  
Reporting and Proposed Amended and Restated MI **52-109 Certification of Disclosure in Issuers'**  
Annual and Interim Filings

### **I. TAKE-OVER BIDS AND SPECIAL TRANSACTIONS**

#### **62-103 The Early Warning System and Related Take-Over Bid and Insider Reporting Issues**

April 28, 2006  
(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104 Take-Over Bids and Issuer Bids,**  
and Related Forms and Companion Policy **62-104CP Take-Over Bids and Issuer Bids,** Proposed  
Amendments to NI **62-103 The Early Warning System and Related Take-Over Bid and Insider**  
Reporting Issues, and Proposed Repeal of CSA Policy **62-201 Bids Made Only in Certain**  
Jurisdictions/**Request for Comment**

#### **62-104 62-104CP Take-Over Bids and Issuer Bids**

April 28, 2006  
(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104 Take-Over Bids and Issuer Bids,**  
and Related Forms and Companion Policy **62-104CP Take-Over Bids and Issuer Bids,** Proposed  
Amendments to NI **62-103 The Early Warning System and Related Take-Over Bid and Insider**  
Reporting Issues, and Proposed Repeal of CSA Policy **62-201 Bids Made Only in Certain**  
Jurisdictions/**Request for Comment**

(2006), 29 OSCB 3590

OSC Notice and **Request for Comment** – Proposed Rule **62-801 Implementing National**  
Instrument **62-104 Take-Over Bids and Issuer Bids/Request for Comment**

#### **62-201 Bids Made Only in Certain Jurisdictions**

April 28, 2006  
(2006), 29 OSCB 3533

CDS Notice and **Request for Comment** – Proposed NI **62-104 Take-Over Bids and Issuer Bids,**  
and Related Forms and Companion Policy **62-104CP Take-Over Bids and Issuer Bids,** Proposed  
Amendments to NI **62-103 The Early Warning System and Related Take-Over Bid and Insider**  
Reporting Issues, and Proposed Repeal of CSA Policy **62-201 Bids Made Only in Certain**  
Jurisdictions/**Request for Comment**

## **62-801 Implementing National Instrument 62-104 Take-Over Bids and Issuer Bids**

April 28, 2006

(2006), 29 OSCB 3590

OSC Notice and **Request for Comment** – Proposed Rule **62-801** Implementing National Instrument **62-104** Take-Over Bids and Issuer Bids/**Request for Comment**

### **J. SECURITY TRANSACTIONS OUTSIDE THE JURISDICTION**

### **K. MUTUAL FUNDS**

### **L. DERIVATIVES**

### **M. MISCELLANEOUS**

January 6, 2006

(2006), 29 OSCB 4

(2006), 29 OSCB 223

Short Notice of Amendments to the Securities Act  
Amendments to the Securities Act

March 24, 2006

(2006), 29 OSCB 2603

OSC Request for Comment **11-903** Regarding Statement of Priorities for Fiscal Year Ending March 31, 2007/**Request for Comment**

### **N. RULES AND POLICIES OF SROs AND RECOGNIZED EXCHANGES**

January 6, 2006

(2006), 29 OSCB 3

Short Notice of Commission Order – Application to Amend Recognition Order of TSX Group Inc. and TSX Inc.

January 13, 2006

(2006), 29 OSCB 253

Short Notice of Commission Approval – Housekeeping Amendments to IDA Regulation **100.8**  
Commodity Futures Contracts and Futures Contracts Options

(2006), 29 OSCB 254

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Eligibility Criteria for CAD RCP

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Entitlement Payments

(2006), 29 OSCB 255

Short Notice - CDS Notice of Commission Approval – Material Amendments to CDS Rules Relating to Qualifications for Participation – Foreign Institutions

(2006), 29 OSCB 256

Short Notice - CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

(2006), 29 OSCB 419

IDA Regulation **100.2(F)(I)** Margin Treatment of CNQ Exchange Traded Securities – Withdrawal of Proposed Rule Amendment

(2006), 29 OSCB 420

IDA Proposed New Methodology for Margining Equity Securities – Regulation **100** and Form **(1)**

(2006), 29 OSCB 471

**Request for Comments** – Amendments to the TSX Direct Access Rules/**Request for Comments**

(2006), 29 OSCB 483

IDA Regulation **100.8** – Commodity Futures Contracts and Futures Contract Options

(2006), 29 OSCB 493

CDS Notice of Commission Approval – Technical Amendments to CDS Free Payments Funds Transfer Rule

January 27, 2006

(2006), 29 OSCB 805

Short Notice of Commission Approval – Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision

(2006), 29 OSCB 806

Short Notice of Commission Approval – Housekeeping Amendments to IDA **By-law 15** Association Accounts and Funds and Execution of Instruments

(2006), 29 OSCB 806

Short Notice of Commission Order – Application to Vary CDS' Recognition Order

(2006), 29 OSCB 959

Proposed IDA Policy No. **4** Minimum Standards for Institutional Account Opening, Operation and Supervision

(2006), 29 OSCB 965

IDA Proposed Amendments to the Insurance Requirements – Regulation **400**

(2006), 29 OSCB 970

IDA Regulation **100.5** Capital Requirements for Certain Private Placements of Restricted Securities during the Distribution Period

(2006), 29 OSCB 974

Investment Dealers Association of Canada – **By-law 15** Association Accounts and Funds and Execution of Instruments

February 3, 2006

(2006), 29 OSCB 987	Short Notice of Commission Approval – Housekeeping Amendments to IDA <b>By-laws 10.26, 10.27</b> and <b>10.28</b> – Member Regulation Oversight Committee and Additional Board Committees
(2006), 29 OSCB 988	Short Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Service/ <b>Request for Comment</b>
(2006), 29 OSCB 988	Short Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
(2006), 29 OSCB 989	Short Notice of Commission Approval – Repeal of CNQ Rule <b>10-105</b> Risk Disclosure Statement
(2006), 29 OSCB 1151	IDA <b>By-laws 10.26, 10.27</b> and <b>10.28</b> Member Regulation Oversight Committees and Additional Board Committees
(2006), 29 OSCB 1156	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification (ATON) Services/ <b>Request for Comment</b>
(2006), 29 OSCB 1170	Notice and <b>Request for Comment</b> – Material Amendments to CDS Rules Relating to Cross-Border Services – Regulation SHO/ <b>Request for Comment</b>
(2006), 29 OSCB 1177	CNQ – Repeal of Rule <b>10-105</b> Risk Disclosure Statement – Summary of Comments
<u>February 10, 2006</u>	
(2006), 29 OSCB 1412	TSX Notice of Approval – Amendments to Parts III and IV of the TSX Company Manual
<u>February 17, 2006</u>	
(2006), 29 OSCB 1424	Short Notice of Commission Approval – IDA Amendments to Regulation <b>100.4C</b> and <b>100.4K</b> Offset Positions in Canadian Debt Securities and Related Futures Contracts
(2006), 29 OSCB 1424	Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to CCP Capping
(2006), 29 OSCB 1610	CDS Rule Amendments Notice – Technical Amendments to CDS Reporting Procedures
(2006), 29 OSCB 1611	CDS Rule Amendments Notice – Technical Amendments to CDS U.S. Deposit and Withdrawal Procedures
(2006), 29 OSCB 1613	CDS Rule Amendments Notice – Technical Amendments to CDS Money Market Issue and Entitlement Procedures
<u>February 24, 2006</u>	
(2006), 29 OSCB 1626	Short Notice of Commission Approval – Proposed Amendments to MFDA Rule <b>2.1.4</b> Conflicts of Interest
(2006), 29 OSCB 1793	Summary of Public Comments Respecting Proposed Amendments to MFDA Rule <b>2.1.4</b> and Response of the MFDA
<u>March 3, 2006</u>	
(2006), 29 OSCB 1806	Short Notice of Commission Approval – IDA Amendments to Form <b>1</b> , Notes and Instructions to Statement <b>A</b> Regarding Foreign Currency Cash Balances Held in Registered Retirement Savings Plan Accounts
(2006), 29 OSCB 1806	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1808	Short Notice of Commission Approval - Proposed Amendments to IDA Regulation <b>500</b> and Policy No. <b>6</b> Trader Registration
(2006), 29 OSCB 1991	TSX Inc. Notice – Approval of Amendments to the Rules of the Toronto Stock Exchange (Exchange) for Marketplace Trade and Message Reporting on the Exchange
(2006), 29 OSCB 1996	IDA Regulation <b>500</b> and Policy No. <b>6</b> , Part I. – Trader Registration
<u>March 10, 2006</u>	
(2006), 29 OSCB 2247	CDS Rule Amendment Notice – Technical Amendments to CDS Procedures CCP Cap
<u>March 17, 2006</u>	
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Proposed Amendments to IDA <b>By-laws 4.6</b> and <b>4.9</b> and Policy <b>No. 6</b> – Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2263	Short Notice of Commission Approval – Amendments to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2264	Short Notice of Commission Approval – Amendments to IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy <b>No. 5B</b> – Retail Debt Market Trading and Supervision
(2006), 29 OSCB 2525	Amendments to IDA <b>By-law 4.9</b> Regarding the Proficiency Requirements for Branch Managers of Branches Having Only Non-Retail Accounts
(2006), 29 OSCB 2527	IDA Amendment to IDA Regulation <b>1300.1</b> – Suitability and Institutional Accounts
(2006), 29 OSCB 2532	IDA Policy <b>No. 5</b> – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets
<u>April 7, 2006</u>	
(2006), 29 OSCB 2904	Short Notice of Commission Approval – Amendments to IDA <b>By-law 2</b> – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

(2006), 29 OSCB 3129 Amendments to IDA **By-law 2** – Eliminating the Reference to District Association Auditors and Other Housekeeping Amendments

April 21, 2006  
(2006), 29 OSCB 3475 CNQ – Revised CNQ Dealer Application

April 28, 2006  
(2006), 29 OSCB 3485 Short Notice of Commission Approval – Material Amendments to CDS Rules Relating to Access to the Account Transfer Online Notification Service

May 5, 2006  
(2006), 29 OSCB 3865 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Buy-in Windows  
(2006), 29 OSCB 3866 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures – CDS Projected Payment and Tender Breakdown Reports  
(2006), 29 OSCB 3867 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Institutional Trade Reporting  
(2006), 29 OSCB 3868 CDS Rule Amendment Notice – Technical Amendment to CDS Procedures – Special Requests for Outstanding Issues

May 12, 2006  
(2006), 29 OSCB 4083 TSX Inc. – **Request for Comments** – Amendments to the Direct Access Rules/**Request for Comments**  
(2006), 29 OSCB 4089 IDA Amendments to Form No. 2, Regulation **1300.2** and Policy Nos. **2, 4** and **9**  
(2006), 29 OSCB 4108 CDS – Material Amendments to CDS Rules – ACT Participant

May 19, 2006  
(2006), 29 OSCB 4279 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings – Withdrawal of Proposed Rule Amendment  
(2006), 29 OSCB 4280 IDA **By-laws 10.1** and **10.4** Board of Directors, National Advisory Committee and Meetings  
(2006), 29 OSCB 4285 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation - ATON

May 26, 2006  
(2006), 29 OSCB 4297 Short Notice – RS Market Integrity Notice – Notice of Amendment Approval – Provisions Respecting Client Priority  
(2006), 29 OSCB 4298 Short Notice – TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual  
(2006), 29 OSCB 4467 RS Market Integrity Notice – Amendment Approval  
(2006), 29 OSCB 4487 Notice and **Request for Comments** – Material Amendments to CDS Rules Relating to Intellectual Property Rights/**Request for Comments**  
(2006), 29 OSCB 4496 TSX Notice of Approval – Housekeeping Amendments to the TSX Company Manual

June 9, 2006  
(2006), 29 OSCB 4882 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Late Delivery of Collateral/**Request for Comments**  
(2006), 29 OSCB 4887 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to Mark-Market Component of Continuous Net Settlement Collateral Requirements/**Request for Comments**  
(2006), 29 OSCB 4892 CDS Notice and **Request for Comments** – Material Amendments to CDS Procedures Relating to CCP Collateral Requirements for Withdrawing Participant/**Request for Comments**

June 16, 2006  
(2006), 29 OSCB 4912 Short Notice of Commission Approval – Investment Dealers Association of Canada – **By-law No. 28** Discretionary Fund  
(2006), 29 OSCB 5125 IDA **By-law No. 28** Discretionary Fund