

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1 SROs

13.1.1 MFDA Investor Protection Corporation – Variation and Restatement of Approval Order – Notice of Commission Approval

MFDA INVESTOR PROTECTION CORPORATION (MFDA IPC)

VARIATION AND RESTATEMENT OF APPROVAL ORDER

NOTICE OF COMMISSION APPROVAL

On March 31, 2015, effective on April 30, 2015, the Ontario Securities Commission issued an order (Variation Order) pursuant to section 144 of the *Securities Act* (Ontario), varying and restating an order dated May 3, 2005, as amended August 10, 2006, recognizing the MFDA IPC as a compensation fund for mutual fund dealers that are members of the Mutual Fund Dealers Association of Canada (MFDA) pursuant to section 110(1) of Regulation 1015 Made Under the Act, R.R.O. 1990, as amended.

The Variation Order reflects: the deletion of the definition of “Public Director” from the current order moving it to the MFDA IPC’s By-law #1, amendments to align the requirements of the MFDA IPC with those of the Canadian Investor Protection Fund, and housekeeping amendments to the terms and conditions of recognition included in Schedule A to the order.

The Order is published in Chapter 2 of this Bulletin.