

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1.1 SROs

13.1.1 OSC Staff Notice of Commission Approval – Mutual Fund Dealers Association of Canada – Housekeeping Amendments to Rule 2.4.2 (Referral Arrangements)

OSC STAFF NOTICE OF COMMISSION APPROVAL

MUTUAL FUND DEALERS ASSOCIATION OF CANADA

HOUSEKEEPING AMENDMENTS TO RULE 2.4.2 (REFERRAL ARRANGEMENTS)

The Ontario Securities Commission approved proposed amendments to MFDA Rule 2.4.2. The amendments directly adopt referral arrangement requirements under NI 31-103 and are housekeeping in nature.

In addition, the British Columbia Securities Commission, the Alberta Securities Commission, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission of New Brunswick, the Manitoba Securities Commission, the Nova Scotia Securities Commission, and the Prince Edward Island Office of the Superintendent of Securities Office did not object to or approved the amendments.

A copy of the MFDA's notice for publication, a certified copy of the resolution adopted by the MFDA Board of Directors, and a blackline of the amendments can be found at <http://www.osc.gov.on.ca>.