

13.1.2 OSC Staff Notice of Approval – MFDA Proposed Amendments to MFDA Rules 2.2.5 (Relationship Disclosure), 2.4.4 (Transaction Fees or Charges) and 5.4.2 (Trade Confirmations – Automatic Payment Plans)

OSC STAFF NOTICE OF REQUEST FOR COMMENT

MUTUAL FUNDS DEALERS ASSOCIATION OF CANADA (MFDA)

**AMENDMENTS TO MFDA RULES 2.2.5 (RELATIONSHIP DISCLOSURE),
2.4.4 (TRANSACTION FEES OR CHARGES) AND
5.4.2 (TRADE CONFIRMATIONS – AUTOMATIC PAYMENT PLANS)**

The MFDA and British Columbia Securities Commission (BCSC) are publishing for public comment proposed amendments to MFDA Rules 2.2.5 (Relationship Disclosure), 2.4.4 (Transaction Fees or Charges) and 5.4.2 (Trade Confirmations – Automatic Payment Plans). The objective of the amendments is to amend the above-mentioned rules to reflect recent changes to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (NI 31-103) and the Companion Policy to the Instrument related to cost disclosure, performance reporting and client statement. The requirements established under NI 31-103 will come into effect on July 15, 2014. A copy of the MFDA Notice including the amended documents was also published on our website at <http://www.osc.gov.on.ca>.