

1.1.6 Notice of Commission Approval – Amendments to IDA Policy No. 5 – Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy No. 5B – Retail Debt Market Trading and Supervision

**THE INVESTMENT DEALERS ASSOCIATION
OF CANADA (“IDA”)**

**AMENDMENTS TO
IDA POLICY NO. 5 AND POLICY NO. 5B
NOTICE OF COMMISSION APPROVAL**

On January 27, 2006, the Ontario Securities Commission approved amendments to IDA Policy 5 - Code of Conduct for IDA Member Firms Trading in Wholesale Domestic Debt Markets and Policy 5B - Retail Debt Market Trading and Supervision. In addition, the Autorité des marchés financiers approved and the Alberta Securities Commission and the British Columbia Securities Commission did not object to the amendments. The amendments clarify the expected standards of compliance for IDA members dealing in the fixed income markets and the types of fixed income market activities deemed to be improper. The amendments were published for comment on July 15, 2005 at (2005) 28 OSCB 6125. Some immaterial changes have been made to the amendments since the time they were originally published and a copy of the amendments, black-lined to highlight the changes from the previously published version, are being republished in Chapter 13 of this Bulletin. A summary of the comments received and IDA's response are also published in Chapter 13.