

Chapter 13

SRO Notices and Disciplinary Proceedings

13.1.2 Notice of Commission Approval – Approval of Amendments to IDA By-law 37 – Ombudsman for Banking Services and Investments

AMENDMENTS TO IDA BY-LAW 37 – OMBUDSMAN FOR BANKING SERVICES AND INVESTMENTS

NOTICE OF COMMISSION APPROVAL

The Ontario Securities Commission approved amendments to IDA By-law 37 regarding the Ombudsman for Banking Services and Investments. In addition, the Saskatchewan Securities Commission approved, the Alberta Securities Commission did not disapprove and the British Columbia Securities Commission did not object to these amendments. The purpose of the amendments is to mandate the IDA Members to participate in, to co-operate with, and to provide their clients with information on the Ombudsman for Banking Services and Investments. A copy and description of these amendments were published on July 19, 2002 at (2002) 25 OSCB 4810. No comments were received.