

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.2 Marketplaces

#### 13.2.1 Alpha ATS LP – Notice of Proposed Changes and Request for Feedback

##### ALPHA ATS LP NOTICE OF PROPOSED CHANGES AND REQUEST FOR FEEDBACK

Alpha ATS LP has announced its plans to implement the changes described below in Q4 2010. It is publishing this Notice of Proposed Changes in accordance with the requirements set out in OSC Staff Notice 21-703 - *Transparency of the Operations of Stock Exchanges and Alternative Trading Systems*. Pursuant to OSC Staff Notice 21-703, Commission staff invite market participants to provide the Commission with feedback on the proposed changes.

Feedback on the proposed changes should be in writing and submitted by **August 30, 2010** to:

Market Regulation Branch  
Ontario Securities commission  
Suite 1903, Box 55  
20 Queen Street West  
Toronto, ON M5H 3S8  
Fax (416) 595-8940  
Email: [marketregulation@osc.gov.on.ca](mailto:marketregulation@osc.gov.on.ca)

And to:

Randee Pavalow  
Head of Operations and Legal  
Alpha ATS LP  
70 York Street, suite 1501  
Toronto, ON M5J 1S9  
Email: [randee.pavalow@alphatradingsystems.ca](mailto:randee.pavalow@alphatradingsystems.ca)

If the proposed changes do not raise any regulatory concerns, Alpha ATS may implement the proposed changes by **September 13, 2010**.